Paper No. 33 Filed September 28, 2017

# UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MASTERCARD INTERNATIONAL INCORPORATED, Petitioner,

v.

D'AGOSTINO, JOHN, Patent Owner.

Case IPR2014-00544 Patent No. 7,840,486 B2

PATENT OWNER'S NOTICE OF APPEAL TO THE FEDERAL CIRCUIT (35 U.S.C. § 141(c))

#### **NOTICE OF APPEAL**

Patent Owner hereby provides notice of appeal to the United States Court of Appeals for the Federal Circuit under 35 U.S.C. §§ 141 and 142, and 37 C.F.R. § 90.2(a) from the Decision on Remand entered July 28, 2017 (Paper No. 32) of the Patent Trial and Appeal Board, and from all orders, decisions, rulings, and opinions underlying the Decision on Remand.

Pursuant to 37 C.F.R. § 90.2(a)(3)(ii) the expected issues on appeal will include (as well as any underlying findings, determinations, rulings, decisions, opinions, claim interpretations, or other related issues):

1. Whether the Board erred in finding that claims 1-15 and 22-30 are unpatentable under 35 U.S.C. § 102(e) as anticipated by U.S. Patent No. 6,422,462 to Cohen ("Cohen").

2. Whether the Board erred in finding that claims 16-21 are unpatentable under 35 U.S.C. § 103(a) as being obvious over the combination of Cohen and U.S. Patent No. 5,826,243 to Musmanno ("Musmanno").

3. Whether the PTAB lacks jurisdiction to enter any final decision other than dismissal of the Petition when the Federal Circuit vacates and remands.

4. Whether inter partes review, an adversarial process used by the Patent and Trademark Office (PTO) to analyze the validity of existing patents, violates the

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Constitution by extinguishing private property rights through a non-Article III forum without a jury.

Patent Owner has served this Notice of Appeal on the Office of the General Counsel of the USPTO, and the Petitioner.

Patent Owner has electronically filed this notice with the Patent Trial and Appeal Board, pursuant to 37 C.F.R. § 90.2(a)(1), 37 C.F.R. § 42.6(b)(1) and Federal Circuit Rule 15(a)(1).

Simultaneously herewith, Patent Owner is providing the Federal Circuit an electronic copy of the present Notice of Appeal (pursuant to 37 C.F.R. \$ 90.2(a)(2)(i) and Federal Circuit Rule 15(a)(1)) together with a \$500 fee (pursuant to 37 C.F.R. \$ 90.2(a)(2)(ii) and Federal Circuit Rule 52(a)(3)).

All copies include a copy of the Decision on Remand.

Date: September 28, 2017

Respectfully submitted,

By: <u>/s/ Stephen Lewellyn</u> Stephen J. Lewellyn (Reg. No. 51,942) Brittany J. Maxey (Reg. No. 57,621) Maxey Law Offices, PLLC 100 Second Avenue South Suite 401 North Tower St. Petersburg, Florida 33701 Telephone: 727-230-4949 Facsimile: 727-230-4827 <u>s.lewellyn@maxeyiplaw.com</u> <u>b.maxey@maxeyiplaw.com</u> Attorneys for Patent Owner, John D'Agostino

## **CERTIFICATE OF FILING**

I hereby certify that, in addition to being filed electronically through the Patent Trial and Appeal Board's End to End (PTAB E2E) system, the original version of the foregoing Patent Owner's Notice of Appeal to the Federal Circuit (35 U.S.C. § 141(c)) was filed by Express Mail on this 28th day of September 2017, with the Director of the United States Patent and Trademark Office, at the following address:

Director of the United States Patent and Trademark Office c/o Office of the General Counsel United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

## **CERTIFICATE OF FILING**

I hereby certify that a true and correct copy of the foregoing Patent Owner's Notice of Appeal to the Federal Circuit (35 U.S.C. § 141(c)) was filed with the Clerk's Office of the United States Court of Appeals for the Federal Circuit through the federal courts' Case Management and Electronic Case Files (CM/ECF) system on this 28th day of September 2017.

#### **CERTIFICATE OF SERVICE**

The undersigned certifies that on this 28th day of September 2017 a true and correct copy of the foregoing Patent Owner's Notice of Appeal to the Federal

Circuit (35 U.S.C. § 141(c)) was served by agreed-upon E-mail on the following

counsel of record for the Petitioner:

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Dated: September 28, 2017

<u>/s/Stephen Lewellyn</u> Stephen J. Lewellyn Reg. No. 51,942 Attorney for Patent Owner