

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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LECTROSONICS INC.,  
Petitioner

v.

ZAXCOM, INC.  
Patent Owner

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Case IPR2018-01130  
Patent 8,385,814

Before SCOTT R. BOALICK, Chief Administrative Patent Judge, KALYAN K. DESHPANDE and LYNNE E. PETTIGREW, Administrative Patent Judges.

**PATENT OWNER'S NOTICE OF APPEAL**  
**35 U.S.C. § 142 & 37 C.F.R. § 90.2**

Pursuant to 37 C.F.R. § 90.2(a), Patent Owner, ZAXCOM, INC., hereby provides notice of its appeal (“Notice”) to the United States Court of Appeals for the Federal Circuit for review of the Final Written Decision of the United States Patent and Trademark Office (“USPTO”) Patent Trial and Appeals Board (“PTAB”) in Inter Partes Review 2018-01130, concerning U.S. Patent 8,385,814 (“the ’814 patent”), entered on January 24, 2020, attached hereto as Appendix A. This Notice is timely filed in light of Zaxcom’s Request for Rehearing, which was filed on February 21, 2020, and which was later denied on April 9, 2020.

#### **ISSUES TO BE ADDRESSED ON APPEAL**

In accordance with 37 C.F.R. § 90.2(a)(3)(ii), Patent Owner further indicates that the issues on appeal include, but are not limited to the following:

- A. Whether the PTAB erred in its construction of the “wearable” claim limitation;
- B. Whether the PTAB erred in concluding that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41-44 of the ’814 patent are unpatentable under 35 U.S.C. § 103 as obvious over Strub, and Nagai or Gleissner, and Woo;
- C. Whether the PTAB erred in concluding that claim 45 is unpatentable under 35 U.S.C. § 103 as obvious over Strub, Dwyer, and Nagai or Gleissner, and Woo;

- D. Whether the PTAB erred in failing to give any weight to Patent Owner's objective indicia of non-obviousness; and
- E. Whether the PTAB erred in concluding that Patent Owner had failed to show the requisite nexus between the objective indicia of nonobviousness and the merits of the claimed invention.

Zaxcom reserves the right to challenge any finding or determination supporting or related to the issues above and to challenge any other issues decided adversely to Zaxcom in the Board's Final Written Decision and/or any order, decisions, or rulings underlying the Final Written Decision.

Simultaneous with submission of this Notice of Appeal to the Director of the United States Patent and Trademark Office, this Notice of Appeal is being filed with the Patent Trial and Appeal Board. In addition, this Notice of Appeal, along with the required docketing fees, is being filed with the United States Court of Appeals for the Federal Circuit, and is being served on the Petitioner.

Dated: June 11, 2020

Respectfully submitted,

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## **CERTIFICATE OF SERVICE**

The undersigned certifies that in addition to being filed electronically through the Patent Trial and Appeal Board's E2E system, the foregoing PATENT OWNER'S NOTICE OF APPEAL was served on the Director of the United States Patent and Trademark Office, at the following address (in accordance with 37 C.F.R. §§ 90.2(a), 104.2):

Director of the United States Patent and Trademark Office

c/o Office of the General Counsel

United States Patent and Trademark Office

P.O. Box 1450 Alexandria, Virginia 22313-1450

**CERTIFICATE OF FILING**

The undersigned certifies that on June 11, 2020, a true and correct copy of the foregoing PATENT OWNER'S NOTICE OF APPEAL was filed electronically with the Clerk's Office of the United States Court of Appeals for the Federal Circuit at the following address:

Clerk of Court

United States Court of Appeals for the Federal Circuit

717 Madison Place NW

Washington, DC 20005

## CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing PATENT OWNER'S NOTICE OF APPEAL was served on June 11, 2020, by filing this document through the PTAB's E2E system as well as by delivering a copy via electronic mail to the attorneys of record for the Petitioners listed below:

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Dated: June 11, 2020

/Gregory J. Gonsalves/  
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# **Appendix A**

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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LECTROSONICS, INC.,  
Petitioner,

v.

ZAXCOM, INC.,  
Patent Owner.

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IPR2018-01130  
Patent 8,385,814 B2

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Before SCOTT R. BOALICK, *Chief Administrative Patent Judge*,  
KALYAN K. DESHPANDE, and LYNNE E. PETTIGREW, *Administrative  
Patent Judges*.

DESHPANDE, *Administrative Patent Judge*.

JUDGMENT  
Final Written Decision  
Determining All Challenged Claims Unpatentable  
Granting Patent Owner's Motion to Amend  
*35 U.S.C. § 318(a)*

## I. INTRODUCTION

### A. *Background*

Lectrosonics, Inc. (“Petitioner”) filed a Petition requesting an *inter partes* review of claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of U.S. Patent No. 8,385,814 B2 (Ex. 1001, “the ’814 patent”). Paper 2 (“Pet.”). Zaxcom, Inc. (“Patent Owner”) filed a Preliminary Response. Paper 10 (“Prelim. Resp.”).

On January 24, 2019, we issued a Decision ordering that “an *inter partes* review of claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the ’814 patent is hereby instituted with respect to all grounds set forth in the Petition.” Paper 11 (“Dec.”). After institution, Patent Owner filed a Patent Owner’s Response (Paper 16, “PO Resp.”) and a Patent Owner’s Contingent Motion to Amend (Paper 15, “PO MTA”). In reply, Petitioner filed a Petitioner’s Reply to Patent Owner’s Response (Paper 20, “Pet. Reply”) and a Petitioner’s Opposition to Motion to Amend (Paper 21, “Pet. Opp. to MTA”). In response, Patent Owner filed a Patent Owner’s Sur-Reply (Paper 23, “PO Sur-Reply”) and a Patent Owner’s Reply in Support of Motion to Amend (Paper 24, “PO Reply to Opp. to MTA”). In reply, Petitioner filed a Petitioner’s Sur-Reply in Opposition to Patent Owner’s Motion to Amend (Paper 26, “Pet. Sur-Reply to Opp. to MTA”). Patent Owner and Petitioner presented oral arguments on October 25, 2019, and a transcript has been entered into the record. Paper 31 (“Tr.”).

The Board has jurisdiction under 35 U.S.C. § 6. In this Final Written Decision, after reviewing all relevant evidence and arguments, we determine that Petitioner has met its burden of showing, by a preponderance of the evidence, that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the ’814

patent are unpatentable. We further determine that Petitioner has not met its burden of showing, by a preponderance of the evidence, that proposed substitute claims 50–65 are unpatentable.

### *B. Related Proceedings*

The parties indicate that the '814 patent is involved in *Zaxcom, Inc. v. Lectrosonics, Inc.*, Civil Action No. 1:17-cv-03408 (E.D.N.Y.), and *Zaxcom, Inc. v. Lectrosonics, Inc.*, Civil Action No. 2:17-cv-02840 (D.N.J.). Pet. 65; Paper 9, 1–2. The following proceedings, before the Board, also involve the same parties: IPR2018-00972 and IPR2018-01129. Paper 3, 2. We previously issued a decision in IPR2018-00972 (“the '972 proceeding”). See *Lectrosonics, Inc. v. Zaxcom, Inc.*, IPR2018-00972, Paper 41 (PTAB Nov. 7, 2019) (Final Written Decision).

### *C. The '814 Patent (Ex. 1001)*

The '814 patent discloses a system and method “for recording and processing audio having one or more tracks received from one or more wireless devices operating in either an asynchronous or synchronous mode.” Ex. 1001, 1:34–36. Figure 1 is reproduced below.

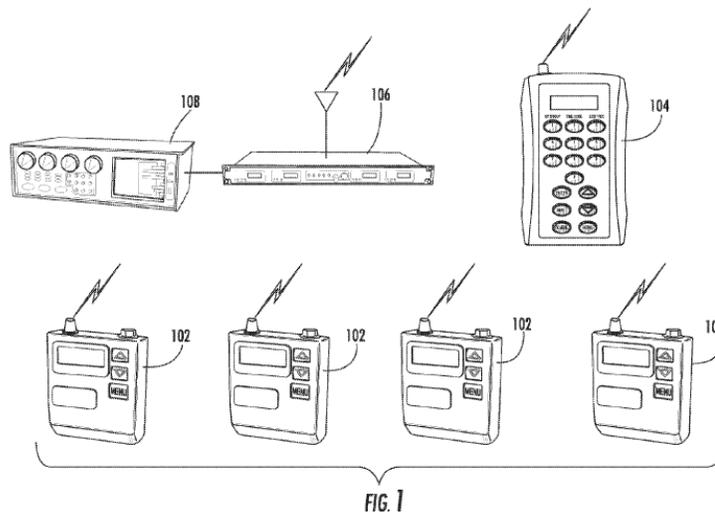


Figure 1 depicts recording system 100, which “wirelessly records audio events, such as performances, movie takes, etc. having one or more performers.” Ex. 1001, 3:63–65. Recording system 100 includes local audio devices 102, remote control unit (“RCU”) 104, receiver 106, and recorder 108. *Id.* at 4:21–24. Local audio devices 102 record live audio and store the audio in memory using timestamps that are synchronized with the timestamps of recorder 108. *Id.* at 5:2–7. Local audio devices 102 may transmit both live and replayed audio to receiver 106 to be recorded by audio recorder 108. *Id.* at 4:34–36. “RCU 104 includes an RF transmitter capable of transmitting one or more of a time reference signal, digital commands, and audio to one or more other components of recording system 100.” *Id.* at 4:24–27. The RCU may remotely control local audio devices 102, receiver 106, and recorder 108 for “initiating audio playback of all local audio devices 102 starting at the same time reference, as well as recording thereof by receiver 106 and recorder 108.” *Id.* at 4:27–33.

#### *D. Illustrative Claim*

Petitioner challenges claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the ’814 patent. Pet. 20–64. Claim 1 is the only independent claim at issue. Claim 1 is illustrative of the challenged claims and is reproduced below:

1. A system for recording locally generated audio comprising:
  - at least one master timecode generator for generating a plurality of master timecodes; and
  - at least one local audio device wearable by a creator of said locally generated audio including:
    - at least one local audio device receiver for receiving at least one of the group consisting of digital commands, said master timecodes, and non-local audio data;

at least one audio input port for receiving said locally generated audio from an audio input device;  
at least one memory;  
at least one local timecode generator for generating a plurality of local timecodes; and  
at least one control unit electrically coupled to said local audio device receiver, said audio input device, said memory, and said local timecode generator for creating stamped local audio data from said locally generated audio and storing said stamped local audio data in said memory;  
wherein said stamped local audio data includes at least one local timestamp to reference at least a portion of said stamped local audio data to at least one of said local timecodes.

Ex. 1001, 23:18–41.

## II. ANALYSIS

### A. *Prior Art and Asserted Grounds*

Petitioner asserts that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the '814 patent are unpatentable based on the following grounds (*see* Pet. 20–64):<sup>1</sup>

<b>Challenged Claim(s)</b>	<b>35 U.S.C. §</b>	<b>Reference(s)</b>
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub <sup>2</sup>
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub and Nagai <sup>3</sup>

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<sup>1</sup> Petitioner supports its challenge with the Declaration of John Tinsman. Ex. 1011.

<sup>2</sup> U.S. Patent No. 6,825,875 B1, issued Nov. 30, 2004 (Ex. 1003, “Strub”).

<sup>3</sup> U.S. Patent Application Publication No. 2002/0159179 A1, published Oct. 31, 2002 (Ex. 1004, “Nagai”).

<b>Challenged Claim(s)</b>	<b>35 U.S.C. §</b>	<b>Reference(s)</b>
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub and Gleissner <sup>4</sup>
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub and Woo <sup>5</sup>
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub, Nagai, and Woo
1–4, 9, 10, 12, 15, 31, 36, 37, 41–44	103	Strub, Gleissner, and Woo
45	103	Strub and Dwyer <sup>6</sup>
45	103	Strub, Nagai, and Dwyer
45	103	Strub, Gleissner, and Dwyer
45	103	Strub, Woo, and Dwyer
45	103	Strub, Nagai, Woo, and Dwyer
45	103	Strub, Gleissner, Woo, and Dwyer

*B. Claim Construction*

The Petition was filed on June 12, 2018, prior to the effective date of the rule change that replaces the broadest reasonable interpretation (“BRI”) standard. *See* Changes to the Claim Construction Standard for Interpreting Claims in Trial Proceedings Before the Patent Trial and Appeal Board, 83

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<sup>4</sup> U.S. Patent Application Publication No. 2004/0028241 A1, published Feb. 12, 2004 (Ex. 1005, “Gleissner”).

<sup>5</sup> U.S. Patent No. 5,479,351, published Dec. 26, 1995 (Ex. 1020, “Woo”).

<sup>6</sup> U.S. Patent No. 6,571,211 B1, published May 27, 2003 (Ex. 1021, “Dwyer”).

Fed. Reg. 51,340 (Oct. 11, 2018) (final rule) (“This rule is effective on November 13, 2018 and applies to all IPR, PGR and CBM petitions filed on or after the effective date.”). We, therefore, interpret claims of an unexpired patent using the broadest reasonable construction in light of the specification of the patent in which they appear. *See* 37 C.F.R. § 42.100(b) (2017); *Cuozzo Speed Techs., LLC v. Lee*, 136 S. Ct. 2131, 2142–46 (2016). Under the broadest reasonable construction standard, claim terms are generally given their ordinary and customary meaning, as would have been understood by one of ordinary skill in the art in the context of the entire disclosure. *In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

1. “wearable”

Patent Owner and Petitioner propose different meanings for the term “wearable.” *See* PO Resp. 9–10; Pet. Reply 3; PO Sur-Reply 2–4. Claim 1 recites “at least one local audio device wearable by a creator of said locally generated audio.”

Patent Owner, relying on the Microsoft Encarta Dictionary, asserts that an “electronic device (e.g., a local audio device) would have been considered to be ‘wearable’ if it were ‘suitable and in a condition to be worn.’” PO Resp. 9 (citing Ex. 2110, 1628). Patent Owner then proposes that “wearable” means “small, lightweight, unobtrusive, easily hidden, not visible, and designed to be worn on the body of a creator of audio (*i.e.*, performer).” *Id.* (citing Ex. 2111 ¶ 15). Patent Owner argues that Petitioner’s expert, Mr. Tinsman, agrees with this narrower construction. *Id.* (citing Ex. 2109, 41:7–42:5, 47:15–48:2).

Patent Owner further asserts that the ’814 patent specification “repeatedly describes the local audio device as being suitably worn on the

body of a creator of audio (*i.e.*, a performer).” *Id.* at 10 (citing Ex. 1001, 1:55–57 (“Such wireless transmitters may take the form of body packs that are worn by each performer.”), 8:46–51 (“Such audio devices may be manufactured in the form of body-packs, such as those typically worn by news announcers, performers, and the like.”), 9:58–61 (“In one aspect of the present invention, local control unit 310 receives recordable audio from local audio input device 312, which may be worn by the performer and connects to local audio device 102 at local audio input device port 314.”)). Finally, Patent Owner asserts that the Examiner considered “wearable” to exclude devices carried in backpacks. PO Sur-Reply 4 (citing Ex. 2095, 29; Ex. 2117).

Petitioner argues that the ’814 patent specification does not support the narrow construction proposed by Patent Owner. Pet. Reply 3. Rather, Petitioner argues that the ’814 patent specification only indicates that a device may be worn. *Id.* (citing Ex. 1001, 1:55–57, 8:46–51, 9:58–61). Petitioner asserts that Mr. Tinsman explains that “wearable” means “something that was straightforward to carry on your person,” or “designed to be worn on the body.” *Id.* (citing Ex. 2109, 41:2–10).

We agree with Petitioner that the term “wearable” means “suitable and in a condition to be worn.” *See id.* (quoting Ex. 2110, 1628). This definition is consistent with the plain meaning of “wearable,” and we find no credible evidence on the record that requires a narrower definition. Furthermore, we are not persuaded that Petitioner’s expert, Mr. Tinsman, provides a definition consistent with Patent Owner’s narrow definition. Rather than defining “wearable,” Mr. Tinsman explains that the term “bodypack” is “[s]omething relatively small and lightweight.” *Id.* (citing

Ex. 2109, 41:18–22). Further, when describing “wearable” as “unobtrusive, easily hidden,” Mr. Tinsman clarifies this description as “[y]ou know, reasonable to carry around.” Ex. 2109, 47:20–22.

2. “*master timecode generator*”

Patent Owner and Petitioner propose different meanings for the term “master timecode generator,” as recited in claim 1. PO Resp. 10–15; Pet. Reply 1–2; PO Sur-Reply 4–6.

Patent Owner proposes that the term “master timecode generator” should be construed to mean “a producer of a plurality of master timecodes controlling other time code generators.” PO Resp. 10. Patent Owner further asserts that the term “master timecodes” should be construed as “codes synchronizing audio samples.” *Id.* at 11. Relying on the American Heritage Dictionary, Patent Owner further asserts that the term “master” means “[one] that has control over another or others.” *Id.* at 11 (quoting Ex. 2084, 835). Patent Owner argues that the ’814 specification supports the proposed construction as it describes that a master timecode “(i) is used to control the local timecode generator and (ii) produces master time codes controlling other time code generators.” *Id.* at 11–15 (citing Ex. 1001, 14:61–15:16, 4:58–65, 6:11–21, 10:3–15, 10:39–43, 13:60–14:1, 16:14–21).

Petitioner argues that Patent Owner “improperly limits the function of the master timecode generator to what dependent claim 37 recites is done with a master timecode in one embodiment,” i.e., “synchronization between the local timecode generator and the master timecodes.” Pet. Reply 1–2 (citing PO Resp. 10–11). Petitioner argues that the ’814 patent specification “describes the master timecode generator as providing a ‘time reference signal’ that can be used ‘for a variety of purposes.’” *Id.* at 2. Petitioner

argues that cited descriptions in the '814 patent specification cannot be overcome by the extrinsic evidence cited by Patent Owner. *Id.* (citing *Phillips v. AWH Corp.*, 415 F.3d 1303, 1317 (Fed. Cir. 2005)).

We agree with Patent Owner and construe “master timecode generator” to mean “a producer of a plurality of master timecodes controlling other time code generators.” *See* PO Resp. 10–11. This definition is consistent with the plain meaning of “master timecode generator,” and to construe the claim term as Petitioner proposes would require that we read the term “master” out of the claim. Furthermore, although we agree with Petitioner that the '814 patent specification broadly describes master timecodes as being used “for a variety of purposes,” we agree with Patent Owner that the specification also clearly provides support for the plain and ordinary meaning of master timecode generator—controlling other time code generators. *See id.* at 10–15 (citing Ex. 1001, 14:61–15:16, 4:58–65, 6:11–21, 10:3–15, 10:39–43, 13:60–14:1, 16:14–21). Thus, we determine that the term “master timecode generator” requires the master timecodes to control the local timecode generators because the plain meaning of *master* timecode generator requires control and the '814 patent specification contemplates producing a plurality of master timecodes to control other time code generators. *See* Ex. 1001, 14:61–15:16, 4:58–65, 6:11–21, 10:3–15, 10:39–43, 13:60–14:1, 16:14–21.

We determine that no other express claim construction analysis of any claim term is necessary. *See Nidec Motor Corp. v. Zhongshan Broad Ocean Motor Co.*, 868 F.3d 1013, 1017 (Fed. Cir. 2017) (holding that only terms in controversy must be construed and only to the extent necessary to resolve

the controversy) (citing *Vivid Techs., Inc. v. Am. Sci. & Eng'g*, 200 F.3d 795, 803 (Fed. Cir. 1999)).

*C. Obviousness and the Level of Ordinary Skill in the Art*

“Section 103(a) forbids issuance of a patent when ‘the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains.’” *KSR Int’l Co. v. Teleflex Inc.*, 550 U.S. 398, 406 (2007). The question of obviousness is resolved on the basis of underlying factual determinations, including: (1) the scope and content of the prior art; (2) any differences between the claimed subject matter and the prior art; (3) the level of ordinary skill in the art; and (4) if in the record, objective evidence of nonobviousness. *Graham v. John Deere Co.*, 383 U.S. 1, 17–18 (1966).

Petitioner asserts that a person of ordinary skill in the art, at the time of the ’814 patent, would have had “a bachelor’s degree in electrical engineering or a related subject and two to five years working with audio and wireless communications systems.” Pet. 11 (citing Ex. 1011 ¶ 28). Patent Owner’s expert, Mr. DeFilippis, similarly opines that a person of ordinary skill in the art would have had a “Bachelor’s degree in electrical engineering and two years of experience working with audio and wireless communications systems either in industry or in graduate school.” Ex. 2111 ¶ 15.

We adopt Petitioner’s and Patent Owner’s proffered level of ordinary skill in the art as its essence is agreed upon and consistent with the prior art of record. *See Okajima v. Bourdeau*, 261 F.3d 1350, 1355 (Fed. Cir. 2001)

("[T]he level of skill in the art is a prism or lens through which a judge, jury, or the Board views the prior art and the claimed invention."); *Ryko Mfg. Co. v. Nu-Star, Inc.*, 950 F.2d 714, 718 (Fed. Cir. 1991) ("The importance of resolving the level of ordinary skill in the art lies in the necessity of maintaining objectivity in the obviousness inquiry."). Specifically, we determine that a person of ordinary skill in the art, at the time of the '814 patent, would have had a Bachelor's degree in electrical engineering and two or more years of experience working with audio and wireless communications systems. Pet. 11 (citing Ex. 1011 ¶ 28); Ex. 2111 ¶ 15. To that end, we note that the prior art itself often reflects an appropriate skill level. *See Okajima*, 261 F.3d at 1355.

*D. Obviousness of claims 1-4, 9, 10, 12, 15, 31, 36, 37, and 41-44 of the '814 patent over Strub in combination with Nagai or Gleissner, and Woo*

Petitioner contends that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 of the '814 patent are unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, and Woo. Pet. 21–60. For the reasons discussed below, we determine Petitioner has demonstrated by a preponderance of the evidence that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 of the '814 patent are unpatentable under 35 U.S.C. § 103 as obvious over Strub in combination with Nagai or Gleissner, and Woo.

*1. Strub (Ex. 1003)*

Strub, titled "Hybrid Recording Unit Including Portable Video Recorder and Auxiliary Device," is directed to "recording of the event by multiple participants (i.e., from multiple points of view), often simultaneously." Ex. 1003, 1:25–31. Strub discloses a "hybrid recording unit" that is "constructed by adding to a portable video recorder (e.g.,

camcorder, portable dockable videotape recorder (VTR)) one or more devices (an ‘auxiliary device’) that provide additional functionality to the portable video recorder.” *Id.* at 5:23–29. “The auxiliary device can advantageously provide, for example, one or more of the following capabilities: marking, position sensing, physiological monitoring and/or biometric identification.” *Id.* at 5:29–32. The hybrid recording unit is adapted to obtain a visual recording of the event as well as an audio recording of the event. *Id.* at 8:44–52. Multiple hybrid recording units may record a single event and one recording unit may transmit its recording to another recording unit. *Id.* at 37:18–40; 38:8–10.

2. *Nagai (Ex. 1004)*

*Nagai* is directed to a data recording and reproducing apparatus for recording and reproducing voice data. Ex. 1004 ¶¶ 3–5. *Nagai*’s apparatus includes an audio input, a headphone jack for audio output, a memory card to store audio data, and a USB port for transferring audio data to another device. Ex. 1004 ¶¶ 106, 125, 126, 139, 140, 145, Figs. 1, 2A, 2B.

3. *Gleissner (Ex. 1005)*

*Gleissner* is directed to an audio data recorder that includes a microphone unit and a recording appliance (audio data recorder), connected to one another via a plug connection. Ex. 1005 ¶ 10. The plug connection between the microphone unit and recording appliance provides both an electrical connection and a rigid mechanical connection. *Id.* The recording appliance may further be connected to headphones to allow a user to simultaneously hear the input into the microphone. *Id.* ¶ 33.

4. *Woo (Ex. 1020)*

*Woo* is directed to a “time-keeping system for synchronizing sound

and picture recordings from a plurality of independent recording devices at a shared performance.” Ex. 1020, 4:62–65. The time-keeping system includes a master clock comprised of a GPS navigation satellite receiver 122 and a digital signal processor 124. *Id.* at 8:60–63, Fig. 5. The master clock output 128 is an SMPTE<sup>7</sup>-formatted timecode that is preferably compatible with commercially available equipment that has master clock input ports. *Id.* at 9:1–4, Fig. 5.

## 5. Analysis

### a. Petitioner’s Contentions

Petitioner contends that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 of the ’814 patent are unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, and Woo. Pet. 21–60.

Claim 1 recites a “system for recording locally generated audio.” Petitioner asserts that Strub discloses a recording unit for use in a system. Pet. 21 (citing Ex. 1003, 11:32–36). Petitioner also asserts that Strub discloses the recording unit acquires local audio from a microphone and stores it in a data storage device. *Id.* (citing Ex. 1003, 12:13–21, 12:31–39, 25:35–49, 35:54–65, 37:18–40, 38:1–4). Petitioner further asserts that audio data acquisition device 303 acquires local audio, the recording unit stores the audio data in data storage device 305, and transmitter 309 wirelessly transmits the locally generated audio to a remote recording unit. *Id.* at 22 (citing Ex. 1003, 6:1–8, 7:25–35, 12:31–39, 35:54–65, 37:18–40, 47:41–48, 53:16–33, 64:57–65:22, 70:38–51, 75:58–76:34, Fig. 1).

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<sup>7</sup> SMPTE is the acronym for the Society of Motion Picture and Television Engineers.

Claim 1 also recites “at least one master timecode generator for generating a plurality of master timecodes.” Petitioner argues that it would have been obvious to modify Strub’s recording unit to include “a conventional master timecode input port to receive SMPTE timecodes . . . from the master clock . . . in Woo.” Pet. 24 (citing Ex. 1011 ¶ 57). Petitioner asserts that Woo discloses “a master clock to synchronize audio recorders (like Strub’s recording units) at a performance event by timestamping the audio data with conventional timecodes, including SMPTE timecodes (like in Strub and the ’814 patent).” *Id.* at 25 (citing Ex. 1020, Abstract, 1:21–28, 1:60–2:9, 2:39–48, 3:3–24, 4:3–32 (“audio recorders”), Figs. 1–5). Petitioner contends that Woo’s master clock receives a GPS time signal and converts it to a conventional time code output, preferably in SMPTE timecode format. *Id.* at 25–26 (citing Ex. 1020, Abstract, 2:2–9, 4:45–55, 8:60–9:4).

Petitioner argues that it would have been obvious to combine the teachings of Woo with Strub to “provide an SMPTE-formatted master timecode to Strub’s recording units, as Strub itself teaches.” Pet. 26–27 (citing Ex. 1003, 5:32–6:26, 62:26–63:15, 75:25–57; Ex. 1011 ¶ 59). First, Petitioner asserts that audio recorders with timecode input ports “were a conventional way to synchronize two devices recording the same event.” *Id.* at 26 (citing Ex. 1020, 2:2–48, 3:37–57, 4:3–5; Ex. 1011 ¶ 59). Further, Petitioner asserts that Woo provides express motivation to combine as

- (1) the master clock provides accurate synchronization across recorders recording a performance;
- (2) it can generate coordinated timecodes at independent sites proximate to recorders;
- (3) it is simple to connect to the recorders; and
- (4) it provides timecode output in a format that is compatible with a

standard (i.e., SMPTE) used by commercially available equipment.

*Id.* at 26–27 (citing Ex. 1020, 4:3–44; Ex. 1011 ¶ 59). Finally, Petitioner concludes that a person with ordinary skill in the art would have combined the master clock and input port described by Woo with Strub for the express reasons above and because such a combination “would have been simply combining prior-art elements according to known methods to improve the system and yield predictable results.” *Id.* at 27 (citing Ex. 1011 ¶ 59).

Claim 1 also recites “at least one local audio device wearable by a creator of said locally generated audio.” Petitioner asserts that Strub discloses a “small, lightweight, *wearable* recording unit.” Pet. 27 (citing Ex. 1003, 4:29–31). Petitioner further asserts that the recording device may be worn by “a creator of said locally generated audio.” *Id.* (citing Ex. 1003, 4:21–31, 25:38–46, 93:26–27, 94:21–27; Ex. 1011 ¶ 60).

Claim 1 also recites “at least one local audio device receiver for receiving at least one of the group consisting of digital commands, said master timecodes, and non-local audio data.” Petitioner contends that Strub discloses a recording unit that includes multiple receivers including a receiver, position sensing device (GPS receiver), and an SMPTE timecode input port. Pet. 28 (citing Ex. 1003, 12:39–52, 63:12–15, 70:26–37, 75:25–51, Fig. 3; Ex. 1011 ¶ 62). Petitioner asserts that “[a]lthough the claim requires only ‘at least one of the group,’ Strub discloses receiving ‘digital commands,’ ‘master timecodes,’ and ‘non-local audio data.’” *Id.* at 28 (emphasis omitted).

First, Petitioner argues that Strub’s receiver 301 may wirelessly receive digital data from the control interface device including recording data from other recording units (non-local audio data) and digital commands

for the recording unit. *Id.* at 28–29 (citing Ex. 1003, 12:39–52, 35:53–61, 45:51–67, 47:33–48, 64:50–65:15; Ex. 1011 ¶ 63). Petitioner asserts that the digital commands include “control settings of the data acquisition device.” *Id.* at 29 (citing Ex. 1003, 89:21–39, Ex. 1011 ¶ 64). Petitioner further asserts that Strub discloses receiving master timecodes because the recording unit can “receive a signal representing the current time that can be used as a clock to generate time-stamps for the recording data.” *Id.* at 30 (quoting Ex. 1003, 63:41–60; citing Ex. 1011 ¶ 66). Further, Petitioner asserts that Strub discloses an alternate method of receiving a master timecode because the recording unit includes an SMPTE timecode input port that can receive SMPTE-formatted master timecodes from an external device. *Id.* at 26–27, 30 (citing Ex. 1011 ¶ 67).

Alternatively, Petitioner asserts that Woo discloses a conventional master timecode input port for receiving SMPTE-formatted timecodes from the master clock. *Id.* at 30–31. Petitioner asserts that it would have been obvious to a person of ordinary skill in the art to modify Strub’s recording unit to include Woo’s conventional master timecode input port. *Id.*

Claim 1 further recites “at least one audio input port for receiving said locally generated audio from an audio input device.” Petitioner asserts that Strub discloses the recording unit can receive audio from a microphone such as a lavalier worn by the creator. *Id.* at 31 (citing Ex. 1003, Fig. 3, 21:65–25:49, 68:63–69:67). The microphone or lavalier passes audio data to the recording unit “using wired or wireless techniques.” *Id.* (citing Ex. 1003, 64:50–65:3). Petitioner asserts that a person with ordinary skill in the art would have understood Strub’s wireless or wired connections would include an “audio input port,” such as a standard microphone jack in the case of a

wired connection. *Id.* Petitioner’s expert, Mr. Tinsman, explains that Strub’s wired or wireless techniques connecting the microphone and recording unit would include an audio input port. *Id.* (citing Ex. 1011 ¶ 70).

Alternatively, Petitioner asserts that Strub’s recording unit could be modified to include an input port disclosed by either Nagai or Gleissner. *Id.* at 32 (citing Ex. 1011 ¶ 72). Petitioner asserts that Nagai discloses a “mike jack” that “receives a voice signal from an external device such as an external mike.” *Id.* at 33–34 (quoting Ex. 1004 ¶¶ 109, 139; citing Ex. 1011 ¶ 75). Mr. Tinsman explains that Nagai’s “mike jack” would have been understood by a person of ordinary skill in the art to include, for example, a conventional tip-ring-sleeve (“TRS”) microphone connector. *Id.* at 34 (citing Ex. 1011 ¶ 75). Petitioner further asserts that Gleissner also discloses an audio input, arguing that Gleissner discloses an “XLR plug connector.” *Id.* at 34–35 (citing Ex. 1005 ¶¶ 13, 23, 24, 32; Ex. 1011 ¶ 76).

Petitioner argues that it would have been obvious to combine the teachings of Nagai or Gleissner with Strub. *Id.* at 33. Petitioner argues that a person with ordinary skill in the art would have understood that Strub suggests the use of an audio input port, which “provide[s] the benefit of interchangeability by allowing the user to select the appropriate microphone for the recording scenario.” Pet. 32 (citing Ex. 1003, 25:8–49). Petitioner further asserts that the ’814 patent recognizes that such a benefit of using a port for a microphone was known, and describes input port 314 as “any type of commercially available audio input device port” using “any commercially available audio input device such as a microphone.” *Id.* (citing Ex. 1001, 8:60–9:3). Accordingly, Petitioner concludes that a person with ordinary skill in the art would have combined either of the input ports described by

Nagai and Gleissner with Strub to provide the benefit of customization and detachability. *Id.* at 33 (citing Ex. 1011 ¶ 74).

Claim 1 further recites “at least one memory.” Petitioner asserts that Strub discloses its recording unit includes a data storage device 305, which may include a hard disk, removable data storage medium, or non-volatile data storage device. Pet. 35 (citing Ex. 1003, 27:36–51, 33:20–35:50, 76:6–34, 94:14–20, Fig. 3; Ex. 1011 ¶ 77).

Claim 1 further recites “at least one local timecode generator for generating a plurality of local timecodes.” Petitioner asserts that Strub discloses its recording unit includes an internal clock that provides a timecode. *Id.* at 36 (citing Ex. 1003, 13:48–67, 63:50–60 (“recording unit according to the invention typically also includes an internal clock . . . that . . . can be used to accurately time-stamp data”), 79:54–80:9). Petitioner further asserts that each recording unit uses its clock to time-stamp recording data as it is acquired. *Id.* (citing Ex. 1003, 79:54–80:9). Petitioner further asserts that Strub discloses synchronizing recordings from multiple recording units using those time stamps in post-processing. *Id.* (citing Ex. 1003, 79:54–80:9 (“temporally synchronize multiple recordings of the same event that were simultaneously obtained by different recording units”)).

Claim 1 further recites “at least one control unit electrically coupled to said local audio device receiver, said audio input device, said memory, and said local timecode generator for creating stamped local audio data from said locally generated audio and storing said stamped local audio data in said memory.” Petitioner asserts that Strub discloses system controller 301 and data processing device 304, which are electrically coupled to receiver 310,

position sensing device 311, and/or SMPTE timecode input port, audio data acquisition device 303, data storage device 305, and the internal clock. Pet. 37 (citing Ex. 1003, 12:4–13, 13:36–14:13, Fig. 3; Ex. 1011 ¶ 80). Petitioner asserts that system controller 301 controls the operation of the components of recording unit 300, “*for creating stamped local audio data from said locally generated audio and storing said stamped local audio data in said memory.*” *Id.* (citing Ex. 1003, 11:32–56, 12:4–13, 13:36–14:13, 66:7–25, 70:1–5, Fig. 3). Petitioner asserts that “[s]ystem controller 301 acquires local audio via audio data acquisition device 303 and stores the acquired audio data in data storage device 305.” *Id.* at 38 (citing Ex. 1003, 12:10–21). Petitioner further asserts that “system controller 301 may also store information associated with the audio recording, e.g., a timestamp or information identifying the recording unit and/or recorder, which become part of the ‘*stamped local audio data.*’” *Id.* (citing Ex. 1003, 13:48–67; Ex. 1011 ¶ 81).

Claim 1 further recites “wherein said stamped local audio data includes at least one local timestamp to reference at least a portion of said stamped local audio data to at least one of said local timecodes.” Petitioner asserts that Strub discloses the recording unit stores stamped local audio data in memory where “that data includes a timestamp (‘*at least one local timestamp*’), which references at least a portion of the stamped local audio data to the local timecode (e.g., time) of the recording provided by the internal clock (‘*at least one of said local timecodes*’).” *Id.* at 39–40 (citing Ex. 1011 ¶ 83). Petitioner further asserts that Woo also discloses “timestamps are used to reference at least a portion of the stamped audio

data to allow recordings to be time synchronized for playback.” *Id.* (citing Ex. 1020, 8:26–59, Fig. 4).

*b. Patent Owner’s Arguments*

Patent Owner argues that Petitioner fails to demonstrate by a preponderance of the evidence that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 would have been obvious over Strub in combination with Nagai or Gleissner, and Woo. PO. Resp. 15–59. Specifically, Patent Owner argues that (i) Petitioner fails to demonstrate that one of ordinary skill in the art would have been motivated to combine the teachings of the cited prior art references with a reasonable expectation of success; (ii) Petitioner fails to demonstrate that the combination of references teaches each and every element of the challenged claims; and (iii) the objective indicia of nonobviousness indicates that the claimed invention of the ’814 patent would not have been obvious to a person of ordinary skill in the art. *Id.* at 16.

*1. Differences between the prior art and claims*

First, Patent Owner argues that Strub does not disclose a local audio device “wearable by a creator of said locally generated audio.” PO Resp. 16–18. Patent Owner argues that Strub’s device is not “small, lightweight, unobtrusive, easily hidden, not visible, and designed to be worn on the body of a creator of audio (i.e., performer)” based on its claim construction. *Id.* at 16–17; *see* Section II.B.1. Mr. DeFilippis, Patent Owner’s expert, opines that Strub’s system “would require a computer that could compare content from multiple mpeg sources in real time and multiplex the results to a recording,” and the “hardware and software to do

this would be difficult to implement in a device that is wearable.” PO Resp. 17 (citing Ex. 2111 ¶ 22).

We are not persuaded by Patent Owner’s argument that Strub fails to teach a “wearable” device because Patent Owner’s argument is based on a claim construction we do not agree with and do not apply. *See* Section II.B.1. We construe “wearable” as “suitable and in a condition to be worn.” *Id.*; *see also* PO Resp. 9 (citing Ex. 2110, 1628). We further agree with Petitioner that Strub’s device is “wearable.” Pet. Reply 8–10. Strub describes its device as a “small, lightweight, wearable” unit. *Id.* at 8-9 (citing Ex. 1003, 4:29–31, 14:59–15:11, 16:66–17:24, 38:65–39:11, 66:33–51, 67:54–68:10, 72:9–19, Figs. 1, 8A–8C, 9A, 9B; Ex. 1011 ¶ 60). Accordingly, we are not persuaded by Patent Owner’s argument that Strub fails to disclose a “wearable” device.

Patent Owner further argues that Strub “does not disclose the particular electrical coupling of components within a wearable local device as required by independent claim 1.” PO Resp. 19; PO Sur-Reply 12–14. More specifically, Patent Owner argues that Figure 3 of Strub does not disclose the electrical coupling of components because Figure 3 is a functional diagram that does not show “electrical couplings, electrical signals, or intervening circuitry” and, therefore, fails to disclose “at least one control unit electrically coupled to said local audio device receiver, said audio input device, said memory, and said local timecode generator for creating stamped local audio data and storing said stamped local audio data in said memory.” PO Resp. 19–20; PO Sur-Reply 13. Patent Owner argues that Strub is silent as to any electrical coupling between any components and the portions relied upon by Petitioner illustrate functional components rather

than electrical coupling. *Id.* at 20 (citing Ex. 1003, Fig. 3, 7:44–46, 12:4–13, 13:36–14:13, 11:32–56, 12:4–13, 13:36–14:13, 31:51–59, 66:7–25, 70:1–5; Ex. 2111 ¶ 23).

We are not persuaded by Patent Owner’s argument. As argued by Petitioner, Strub discloses that system controller 301 and data processing device 304 are electrically coupled to receiver 310, position sensing device 311, and/or SMPTE timecode input port, audio data acquisition device 303, data storage device 305, and the internal clock. Pet. 37–38 (citing Ex. 1003, 12:4–13, 13:36–14:13, Fig. 3; Ex. 1011 ¶ 80). We disagree with Patent Owner’s argument that Figure 3 of Strub is a functional diagram, and we determine that Strub’s description of Figure 3 indicates that the components are electrically coupled as claimed. *See* Ex. 1003, 12:4–13, 13:36–14:13. Strub describes that “communication among the components of a recording unit according to the invention can be implemented using conventional apparatus and techniques (e.g., using conventional *bus* techniques and apparatus), and is controlled or mediated by the system controller.” Ex. 1003, 64:50–65:3 (emphasis added). Patent Owner’s expert, Mr. DeFilippis, with reference to Strub’s system controller 301, agrees that “the system controller has some interfaces to the various other blocks in the diagram and is either supplying instructions or receiving data or passing data.” Ex. 1033, 17:13–17; *see* Pet. Reply 12. As such, we agree with Petitioner that a person of ordinary skill in the art would have understood “that the components in Strub’s recording unit are ‘*electrically coupled*’ to system controller 301 and data processing device 304 (*at least one control unit*).” Pet. Reply 10 (citing Pet. 14–15, 37–38).

Patent Owner also argues that Woo fails to teach “at least one master timecode generator for generating a plurality of master timecodes,” as recited in claim 1. PO Resp. 26–29. Patent Owner, relying on the Declaration of Mr. DeFilippis, argues that “there is no teaching that Woo’s master clock produces codes synchronizing audio samples via the control of other (slave) timecode generators.” *Id.* at 26 (quoting Ex. 2111 ¶ 29). Patent Owner argues that “there is no mention of any such use of timecode generators in Woo, let alone that they are controlled by a master time code generator.” *Id.* at 26–27. Mr. DeFillipis explains that “[t]he mere disclosure of a master clock and master clock input ports on commercially-available equipment does not nearly meet the requirements of the claimed ‘master clock generator’ producing codes synchronizing audio samples to control other timecode generators.” *Id.* at 27 (quoting Ex. 2111 ¶ 30).

We are not persuaded by Patent Owner’s argument that Woo fails to teach a “master timecode generator.” Rather, we agree with Petitioner that “the purpose of Woo’s device is to provide master timecodes in SMPTE format to synchronize recording data in independent sound, film, and video recorders. Pet. Reply 7 (citing Ex. 1020, title, abstract, 3:20–24, 8:26–59, Figs. 4, 5). Woo discloses a master clock comprising a GPS navigation satellite receiver and a digital signal processor. Pet. 25–26 (citing Ex. 1020, 8:60–9:4 (“The master clock 120 comprises a GPS navigation satellite receiver 122 and a digital signal processor 124 for accumulating and averaging code epochs which occur each millisecond in time and having a precision time-base output.”)). Woo also discloses that the master clock outputs using the SMPTE timecode format. Ex. 1020, 2:2–9, 3:3–37, 4:3–37, 8:7–25, 9:1–4. In sum, Woo’s master timecode generator provides a

“precision clock output . . . for synchronizing film and video equipment.”  
*Id.* at 8:65–9:1.

Woo additionally discloses using “jam synchronization” to synchronize local clocks with a master time clock just as disclosed by the ’814 patent. Pet. Reply 7; Ex. 1001, 5:62–67 (“This master time reference signal provides a time reference for all local audio devices 102, which may use this information for a variety of purposes such as jam synchronizing their respective local timecode generators 304.”). Woo describes the process of jam synchronization as allowing “a time code generator to follow the time code off another source.” Pet. Reply 7 (citing Ex. 1020, 3:38–46). Thus, we find that Woo discloses a master timecode generator that provides an SMPTE timecode for use in synchronizing film and video equipment, using the same SMPTE format used in the ’814 patent, and Woo discloses jam synchronizing in which a slave, or local timecode generator, follows the timecode of another source. Therefore, we are persuaded that Woo teaches the “master timecode generator” as properly construed. *See* Section II.B.2.

Patent Owner further argues that “Petitioner makes only a conclusory argument that a POSA would have been motivated to ‘use Woo’s master timecode generator in the system of Strub,’” Petitioner’s “motivation to combine is rooted in forbidden hindsight analysis,” and a person with ordinary skill in the art would not have had a reasonable expectation of success in combining Strub and Woo. PO Resp. 29–34 (citing Pet. 26; Ex. 2111 ¶ 32); PO Sur-Reply 16–18.

We are not persuaded by Patent Owner’s argument. As discussed above, Petitioner asserts that Woo itself provides an express motivation to combine, stating

(1) the master clock provides accurate synchronization across recorders recording a performance; (2) it can generate coordinated timecodes at independent sites proximate to recorders; (3) it is simple to connect to the recorders; and (4) it provides timecode output in a format that is compatible with a standard (SMPTE) used by commercially available equipment.

Pet. 26–27 (citing Ex. 1020, 4:3–44; Ex. 1011 ¶ 59); Pet. Reply 14.

Petitioner further argues, with support from Mr. Tinsman, that “audio recorders with timecode input ports were known for more than a decade and were a conventional way to synchronize two devices recording the same event. *Id.* at 26 (citing Ex. 1020, 2:2–48, 3:37–57, 4:3–5, 5:16–19; Ex. 1011 ¶ 59). Petitioner argues that a person of ordinary skill in the art would have understood that modifying Strub to include “a conventional SMPTE timecode input port for receiving conventional SMPTE-formatted master timecodes from Woo’s master clock would have been simply combining prior-art elements according to known methods to improve the system and yield predictable results.” *Id.* at 27 (citing Ex. 1011 ¶ 59). Accordingly, we disagree with Patent Owner that Petitioner’s rationale to combine Strub and Woo is merely a conclusory argument without objective evidence, that Petitioner’s analysis is based on impermissible hindsight analysis, and a person of ordinary skill in the art would not have a reasonable expectation of success in combining Strub and Woo. Rather, we determine that Petitioner has set forth sufficient rationale to combine the teachings of the references in the manner asserted and specifically has articulated sufficient reasoning with rational underpinning to combine Strub with Woo. *See id.* at 26–27.

Patent Owner further argues that Woo is non-analogous art to the claimed invention because it is neither in the same field of endeavor as the claimed invention nor reasonably pertinent to the problems faced by the

inventors of the '814 patent. PO Resp. 32–33. Patent Owner contends that “Woo relates to a ‘GPS receiver [that] comprises a data output port for communicating time code information formatted according to standards,’” whereas the claimed invention “makes no mention of any GPS satellite or receiver; it instead relates to using a master timecode generator to control other timecode generators in other recorders.” *Id.* at 33 (citing Ex. 1020, Abstract; Ex. 1001, 24:20–41). We disagree with Patent Owner. Both Woo and the '814 patent address problems associated with the synchronization of audio or visual data using a master timecode. Pet. Reply 13–14 (citing Ex. 1001, 1:30–35); *see* Ex. 1020, Abstract. Furthermore, both the '814 patent and Woo disclose the use of SMPTE timecodes for use in synchronization as well as jam synchronization to control other timecode generators. Ex. 1001, 5:62–67; Ex. 1020, Abstract. Accordingly, we determine that Woo is both in the same field of endeavor, synchronizing different recordings of a live performance, and reasonably pertinent to the problem of synchronization, and, therefore, is analogous art. Ex. 1020, Title, Abstract.

Finally, Patent Owner argues that a person of ordinary skill in the art would not have been motivated to combine Strub and Woo because “Strub already discloses a solution to post-production editing (i.e., participants share their locally recorded audio after a recorded event).” PO Resp. 32 (citing Ex. 1003, 5:55–61). We are not persuaded by Patent Owner’s argument because Petitioner relies on Woo for its disclosure of a master timecode generator, not for a solution to post-production editing. Pet. 24–25. As discussed above, Petitioner’s proposed combination “simply requires Strub’s unit to receive conventional SMPTE timecodes from Woo’s master

clock.” Pet. Reply 14 (citing Pet. 23–26). As Strub discloses its devices are capable of receiving SMPTE timecodes, we are not persuaded that the proposed combination with Woo would result in any dramatic increase to the size, weight, and battery requirements of Strub’s devices. See Pet. 23 (citing Ex. 1011 ¶ 53, Ex. 1003, 79:54–80:7); Pet. Reply 14.

## 2. *Objective Indicia of Nonobviousness*

Patent Owner further asserts that the nonobviousness of the claims is supported by objective indicia of nonobviousness including long-felt need, failure of others, and industry praise of the patented invention. PO Resp. 34–59 (citing Exs. 2087, 2098–2104, 2106–2107, 2109, 2111, 2113–2114); PO Sur-Reply 18–24. Petitioner disagrees. Pet. Reply 16–22. For the reasons below, we determine that Patent Owner fails to show the requisite nexus between its alleged objective indicia of nonobviousness and the merits of the claimed invention.

For objective indicia of nonobviousness to be accorded substantial weight, its proponent must establish a nexus between the evidence and the merits of the claimed invention. *ClassCo, Inc., v. Apple, Inc.*, 838 F.3d 1214, 1220 (Fed. Cir. 2016). “[T]here is no nexus unless the evidence presented is ‘reasonably commensurate with the scope of the claims.’” *Id.* (quoting *Rambus Inc. v. Rea*, 731 F.3d 1248, 1257 (Fed. Cir. 2013)). A patentee is entitled to a presumption of nexus “when the patentee shows that the asserted objective evidence is tied to a specific product and that product ‘embodies the claimed features, and is coextensive with them.’” *Fox Factory, Inc. v. SRAM, LLC*, 944 F.3d 1366, 1373 (Fed. Cir. 2019) (quoting *Polaris Indus., Inc. v. Arctic Cat, Inc.*, 882 F.3d 1056, 1072 (Fed. Cir. 2018) (quoting *Brown & Williamson Tobacco Corp. v. Philip Morris Inc.*, 229

F.3d 1120, 1130 (Fed. Cir. 2000))). “[T]he purpose of the coextensiveness requirement is to ensure that nexus is only presumed when the product tied to the evidence of secondary considerations ‘is the invention disclosed and claimed.’” *Id.* at 1374 (quoting *Demaco Corp. v. F. Von Langsdorff Licensing Ltd.*, 851 F.2d 1387, 1392 (Fed. Cir. 1988)). “[T]he degree of correspondence between a product and the patent claim falls along a spectrum. At one end of the spectrum lies perfect or near perfect correspondence. At the other end lies no or very little correspondence.” *Id.* “A patent claim is not coextensive with a product that includes a ‘critical’ unclaimed feature that is claimed by a different patent and that materially impacts the product’s functionality.” *Id.* at 1375.

Patent Owner does not provide an analysis demonstrating that its products are coextensive (or nearly coextensive) with the challenged claims. We, therefore, find that a presumption of nexus is inappropriate. *See* Pet. Reply 21–22.

However, “[a] finding that a presumption of nexus is inappropriate does not end the inquiry into secondary considerations.” *Fox Factory*, 944 F.3d at 1375. “To the contrary, the patent owner is still afforded an opportunity to prove nexus by showing that the evidence of secondary considerations is the ‘direct result of the unique characteristics of the claimed invention.’” *Id.* at 1373–74 (quoting *In re Huang*, 100 F.3d 135, 140 (Fed. Cir. 1996)). “Where the offered secondary consideration actually results from something other than what is both claimed and *novel* in the claim, there is no nexus to the merits of the claimed invention,” meaning that “there must be a nexus to some aspect of the claim not already in the prior art.” *In re Kao*, 639 F.3d 1057, 1068–69 (Fed. Cir. 2011) (emphasis in

original). On the other hand, there is no requirement that “objective evidence must be tied exclusively to claim elements that are not disclosed in a particular prior art reference in order for that evidence to carry substantial weight.” *WBIP, LLC v. Kohler Co.*, 829 F.3d 1317, 1331 (Fed. Cir. 2016). A patent owner may show, for example, “that it is the claimed combination as a whole that serves as a nexus for the objective evidence; proof of nexus is not limited to only when objective evidence is tied to the supposedly ‘new’ feature(s).” *Id.* Ultimately, the fact finder must weigh the secondary considerations evidence presented in the context of whether the claimed invention as a whole would have been obvious to a skilled artisan. *Id.* at 1331–32.

As objective evidence of nonobviousness, Patent Owner submits the Declarations of Mr. Sarokin and Mr. Wexler, user manuals for digital transmitter and digital recording transmitter products, as well as evidence of awards for its products and product manuals. *See* PO Resp. 34–59; Exs. 2113, 2114. Patent Owner asserts that “[t]he products for which the inventors of the ‘814 patent received the Emmy award, the Technical Achievement Award from the Academy of Motion Picture Arts and Sciences, and other industry praise include the TRX900AA manual (Ex. 2113) and TRX900LA & TRX900LAS . . . these products contain all of the limitations recited in the originally-issued challenged claims as well as the substitute claims.” PO Resp. 40 (quoting Ex. 2111 ¶ 62); *see* Exs. 2113, 2114.

We determine that Patent Owner has not demonstrated a nexus exists between the evidence presented and the merits of the claimed invention because the evidence is directed to features that are not required by the

claims. *See Kao*, 639 F.3d at 1068–69. We determine that the evidence submitted by Patent Owner primarily is directed towards the feature of fixing dropouts. *See, e.g.*, Ex. 2104 ¶ 6 (“I have been in many situations where for a variety of reasons there have been RF dropouts . . . .”); *id.* (“If there is a drop out of the RF signal, the identical recording in the transmitter can be used by post production.”); Ex. 2103 ¶ 6 (“If the actors in a scene went in and out of radio range the SD card on the transmitter would continue to record the audio. . . . [A] sound mixer could hit a single button on a Zaxcom recorder and all the radios in use would play back from a certain take or time code start point so the scene could be re-mixed without any radio drop outs.”). However, the feature of repairing dropouts by replacing data is not required by claim 1, which instead is directed to locally recording and timestamping audio data. Ex. 1001, 23:17–41.

We do not discount the importance of receiving an Emmy award or Technical Achievement Award; however, our analysis requires determining whether a nexus exists between the evidence and the claimed invention. *ClassCo*, 838 F.3d at 1220. The evidence suggests that the Emmy and Technical Achievement Award were awarded for, among other things, the elimination of dropouts. Ex. 2108, 3; Pet. Reply 19–21 (citing Ex. 2106, 11). Accordingly, we are not persuaded that there is a nexus between the received award and the claimed invention. Absent a nexus between the merits of the claimed invention and the submitted evidence relating to long-felt need, industry praise, and the failure of others, we determine that Patent Owner’s evidence of secondary considerations does not weigh in favor of nonobviousness.

*6. Conclusion*

In summary, we are persuaded by Petitioner’s arguments, as they are supported by the cited evidence, notwithstanding Patent Owner’s arguments, addressed above. Having considered the *Graham* factors, including the scope and content of the prior art, the differences between the prior art and the challenged claims, and the objective evidence of nonobviousness, we determine Petitioner has demonstrated by a preponderance of the evidence that claim 1 of the ’814 patent is unpatentable under 35 U.S.C. § 103 as obvious over Strub in combination with Nagai or Gleissner, and Woo. Petitioner provides a similar analysis for claims 2–4, 9, 10, 12, 15, 31, 36, 37, and 41–44, and we similarly determine that Petitioner has demonstrated by a preponderance of the evidence that claims 2–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 are unpatentable under 35 U.S.C. § 103 as obvious over Strub in combination with Nagai or Gleissner, and Woo. *See* Pet. 40–60.<sup>8</sup>

*E. Obviousness of claim 45 of the ’814 patent over Strub in combination with Nagai or Gleissner, Woo, and Dwyer*

Petitioner contends that claim 45 of the ’814 patent is unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, Woo, and Dwyer. Pet. 61–64. Claim 45 depends from independent claim 1, and incorporates the subject matter as independent claim 1. Ex. 1001, 28:15–21. Claim 45 further recites “said stamped local audio data is stored in said memory as an audio file” and “said local timestamp is implemented via storage of data associated with said local

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<sup>8</sup> In view of this determination, we do not reach Petitioner’s challenge to claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44 as obvious over Strub alone, Strub in combination with Nagai, Strub in combination with Gleissner, and Strub in combination with Woo.

timecode in a header of said audio file.” *Id.* As discussed above, Petitioner relies on Strub in combination with Nagai or Gleissner, and Woo, as teaching all of the elements of claim 1. *See* Section II.D.5. Petitioner further relies on Dwyer for teaching an audio file with the limitations recited in claim 45. *See* Pet. 61–64. Petitioner argues that Dwyer discloses storing a timestamped audio information in an audio file, and including timestamp information in the file’s header information. *Id.* at 63–64. Accordingly, Petitioner argues that Strub in combination with Nagai or Gleissner, Woo, and Dwyer teach all the limitations of claim 45. Pet. 61–64; *see* Section II.D.5. We are persuaded that Petitioner, and Patent Owner does not provide any specific arguments directed to claim 45. *See generally* PO Resp. 14–60.

For the same reasons discussed above, we determine that Petitioner has demonstrated by a preponderance of the evidence that claim 45 is unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, Woo, and Dwyer, and we are not persuaded by Patent Owner’s arguments. *See* Section II.D.6.<sup>9</sup>

### III. PATENT OWNER’S CONTINGENT MOTION TO AMEND

Pursuant to 35 U.S.C. § 316(d)(1) and 37 C.F.R. § 42.121(a), Patent Owner moves to replace claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the ’814 patent with proposed substitute claims 50–65. PO MTA 1. The motion is contingent on our determination as to whether a preponderance of the evidence establishes that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45

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<sup>9</sup> In view of this determination, we do not reach Petitioner’s challenge to claim 45 as obvious over Strub in combination with Dwyer, Strub in combination with Nagai and Dwyer, Strub in combination with Gleissner and Dwyer, and Strub in combination with Woo and Dwyer.

of the '814 patent are unpatentable. *Id.* As discussed above, we determine that original claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the '814 patent have been shown to be unpatentable by a preponderance of the evidence. *See* Sections II.D.6, II.E. Therefore, we proceed to address Patent Owner's contingent Motion to Amend.

In support of the Motion to Amend, Patent Owner relies on the Declaration of Mr. DeFilippis. *Id.*

*A. Proposed substitute claims*

Patent Owner submits the following proposed substitute claims 50–65:

50. A system for locally recording locally generated audio and remotely recording the locally generated audio comprising:

at least one remote recorder;

at least one master timecode generator for generating a plurality of master timecodes; and

at least one local audio device wearable by a creator of said locally generated audio including:

at least one local audio device receiver for receiving [at least one of the group consisting of] digital commands, a transport status, said master timecodes, and non-local audio data;

at least one audio input port for receiving said locally generated audio from an audio input device;

at least one memory;

a wireless transmitter transmitting said locally generated audio to said at least one remote recorder;

at least one local timecode generator for generating a plurality of local timecodes said local timecode generator is synchronized by said master timecodes; and

at least one control unit electrically coupled to said local audio device receiver, said audio input device, said memory, and said local timecode generator for creating stamped local audio data

from said locally generated audio and storing said stamped local audio data in said memory;

wherein said stamped local audio data includes at least one local timestamp to reference at least a portion of said stamped local audio data to at least one of said local timecodes[.];

said at least one remote recorder receiving said locally generated audio and remotely recording said locally generated audio as remote audio data; receiving said stamped local audio data, and replacing a portion of said remote audio data with said stamped local audio data;

51. A system according to claim [1] 50, wherein said stamped local audio data includes at least one identifier selected from the group consisting of track identifiers, local audio device identifiers, performer identifiers, and combinations thereof.

52. A system according to claim [1] 50, said system further comprising:

at least one remote control unit having an RCU transmitter capable of wirelessly transmitting digital commands;

wherein said remote control unit controls at least one function of said local audio devices via transmission of at least one of said digital commands.

53. A system according to claim [3] 52, wherein said function includes at least one of the group consisting of adding said track identifier to at least a portion of said stamped local audio data, deleting said track identifier from at least a portion of said stamped local audio data, altering said track identifier associated with at least a portion of said stamped local audio data, adding said local audio device identifier to at least a portion of said stamped local audio data, deleting said local audio device identifier from at least a portion of said stamped local audio data, altering said local audio device identifier associated with at least a portion of said stamped local audio data, adding said performer identifier to at least a portion of said stamped local audio data, deleting said performer identifier from at least a portion of said stamped local audio data, altering said performer identifier associated with at least a portion of said stamped local audio data,

playing of said local audio data from said memory, enabling and disabling recording by said local audio device, adjusting a transmitter frequency of said local audio device, adjusting a frequency of said local audio device receiver, enabling and disabling a transmitter of said local audio device, adjusting a gain of said audio input port, adjusting a high pass filter of said local audio device, selecting a record mode of said local audio device, entering at least one master timecode value, storing data in protected areas of said memory, requesting status of said local audio device, and combinations thereof.

54. A system according to claim [1] 50, wherein said local timecode includes at least one of the group consisting of time data, frame data, timecode type, recorder transport status, name of scene, name of take, track identifier, and combinations thereof.

55. A system according to claim [1] 50, wherein said master timecode includes at least one of the group consisting of time data, frame data, timecode type, recorder transport status, name of scene, name of take, track identifier, and combinations thereof.

56. A system according to claim [1] 50, said system further comprising:

at least one remote control unit having an RCU transmitter capable of transmitting at least one of the group consisting of said master timecodes, digital commands, and combinations thereof.

57. A system according to claim [1] 50 further comprising:

at least one of the group consisting of a recorder, a receiver, and combinations thereof.

58. A system according to claim [1] 50, wherein said master timecode includes at least one of the group consisting of time data, frame data, timecode type, recorder transport status, name of scene, name of take, and combinations thereof.

59. A system according to claim [1] 50, wherein said memory is removable.

60. A system according to claim [1] 50, wherein said local timecode generator is jam synchronized with at least one of said

master timecodes received via said local audio device receiver to maintain synchronization of said local timecodes with said master timecodes.

61. A system according to claim [1] 50, wherein said local audio device further includes at least one audio output port for outputting said local audio to an audio output device.

62. A system according to claim [1] 50, wherein said local audio device is in the form of a body pack.

63. A system according to claim [1] 50, wherein said local audio device receives said master timecodes via at least one of the group consisting of said local audio device receiver, said audio input port, said audio input device, and combinations thereof.

64. A system according to claim [1] 50, wherein at least a portion of said memory is one of the group consisting of a flash memory card, a compact flash memory card, a Universal Serial Bus thumb disk, and combinations thereof.

65. A system according to claim [1] 50, wherein said stamped local audio data is stored in said memory as an audio file; and wherein said local timestamp is implemented via storage of data associated with said local timecode in a header of said audio file.

PO MTA 28–31.

*B. Procedural Requirements*

“Before considering the patentability of any substitute claims, . . . the Board first must determine whether the motion to amend meets the statutory and regulatory requirements set forth in 35 U.S.C. § 316(d) and 37 C.F.R. § 42.121.” *Lectrosonics, Inc. v. Zaxcom, Inc.*, Case IPR2018-01129, Paper 15 (PTAB Feb. 25, 2019) (precedential) (“*Lectrosonics*”).

First, we consider whether the Motion to Amend proposes a reasonable number of substitute claims. 35 U.S.C. § 316(d)(1)(B). “There is a rebuttable presumption that a reasonable number of substitute claims per challenged claim is one (1) substitute claim.” *Lectrosonics* at 4–5 (citing 37

C.F.R. § 42.121(a)(3)). The Petition challenges 16 claims. The Motion to Amend proposes 16 substitute claims. PO MTA 1. We determine that the number of proposed claims is reasonable.

Second, we consider whether the proposed substitute claims respond to a ground of unpatentability involved in this trial. *Lectrosonics* at 5–6. The Motion to Amend proposes adding the following limitation to independent claim 1, resulting in proposed substitute independent claim 50:

said at least one remote recorder receiving said locally generated audio and remotely recording said locally generated audio as remote audio data; receiving said stamped local audio data, and replacing a portion of said remote audio data with said stamped local audio data;

PO MTA 28–29. Patent Owner asserts that the proposed substitute claims are patentable over the references at issue in this proceeding. *Id.* at 22–27. We determine that the amended language in the proposed substitute claims is responsive to the grounds of unpatentability involved in this trial.

Third, we consider the breadth of the substitute claims. “A motion to amend may not present substitute claims that enlarge the scope of the claims of the challenged patent or introduce new subject matter.” *Lectrosonics* at 6–8 (citing 35 U.S.C. § 316(d)(3); 37 C.F.R. § 41.121(a)(2)(ii)). Patent Owner proposes an amendment that limits claim 50 to “replacing” remotely recorded audio data with local audio data, thereby limiting the scope of the claims. *See* PO MTA 2–3. We determine that the proposed amendment narrows claim 50.

Patent Owner asserts that proposed substitute claims 50–65 are supported by the original disclosure in U.S. Patent Application No. 11/404,735 (“the ’735 application”) and U.S. Patent Application No. 11/181,062 (“the ’062 application”) of which it is a continuation in part. PO

MTA 4–16 (providing claim charts with citations to Exs. 2018, 2112).  
Petitioner asserts that the Motion fails to show support in the original disclosure for the “replacing” limitation. Pet. Sur-Reply to Opp. to MTA 3.

We disagree with Petitioner. We recognize that the ’062 application does not recite the term “replacing.” *See generally* Ex. 2018. However, the “description need not recite the claimed invention *in haec verba* but must do more than merely disclose that which would render the claimed invention obvious.” *ICU Med., Inc. v. Alaris Med. Sys., Inc.*, 558 F.3d 1368, 1377 (Fed. Cir. 2009). The ’062 application describes that locally recorded data may be retrieved and used to repair the corruption of the audio file generated by the receiver/recorders that occurred due to the receipt of corrupted audio data or dropouts. Ex. 2018, 12:12–17, 28:18–21. In other words, the ’062 application describes repairing corrupted remotely stored audio using locally recorded audio data. We determine, based on the testimony of Mr. DeFilippis, that the term “repair,” in the context of the specification, adequately supports the claimed “replacing.” Ex. 2111 ¶¶ 38–40. Mr. Tinsman, Petitioner’s expert, explains that the ’814 patent specification discloses that timestamps are used to synchronize the “local audio with the wirelessly transmitted version of the local audio to *replace* any dropouts.” Ex. 1011 ¶ 18 (emphasis added). Accordingly, we agree with Patent Owner that the proposed substitute claims do not enlarge the scope of the claims or introduce new subject matter.

Finally, the Motion to Amend includes a claim listing, as required by 37 C.F.R. § 42.121(b). PO MTA 28–31; *Lectrosonics* at 8.

In view of the above, we determine that Patent Owner’s Motion to Amend meets the statutory and regulatory requirements of 35 U.S.C.

§ 316(d) and 37 C.F.R. § 42.121 in a manner sufficient to proceed with the issue of whether Petitioner has met its burden of persuasion with respect to patentability.

*C. Claim Construction*

Patent Owner argues that the limitation of “said at least one remote recorder receiving said locally generated audio and remotely recording said locally generated audio as remote audio data; receiving said stamped local audio data, and replacing a portion of said remote audio data with said stamped local audio data” (the “replacing” limitation) requires:

- (i) locally generated audio by a creator is received at a wearable local audio device,
- (ii) the same locally generated audio is transmitted to a remote recorder or receiver,
- (iii) the same locally generated audio is remotely recorded at the recorder or receiver as remote audio data, and
- (iv) stamped local audio data is created from the locally generated audio and stored in the memory of the local audio device (in claim 21), and
- (v) the stamped local audio data (claim 21) or the local audio data (claim 24) is retrieved from the memory of the wearable local audio device and the remote audio data is combined with the stamped local audio data (claim 21) or the local audio data (claim 24) by replacing a portion of the remote audio data with the stamped local audio data (claim 21) or the local audio data (claim 24).

PO MTA 16–18.

Patent Owner asserts that its proposed claim construction is consistent with both the ’814 patent specification and the proposed substitute claim language. PO MTA 17–18. Patent Owner further asserts that the ’814 patent specification supports its proposed claim construction. *Id.* at 17–19

(citing Ex. 1001, 3:41–43, Fig. 6). Specifically, Patent Owner asserts that the '814 patent specification sets forth an embodiment where “the '814 patent replaces segments of the local audio that were previously transmitted by a local audio device to a remote receiver or recorder but not properly received (e.g., dropout).” *Id.* at 18.

Petitioner asserts that the plain meaning of the substitute claim language provides that

[T]he “locally generated audio”/“local audio” in claim 50 is audio generated by a creator/performer, is stored/recorded in the local audio device as “local audio data,” and is remotely recorded as “remote audio data.” Thus, no construction is necessary.

Pet. Sur-Reply to Opp. to MTA 2.

We agree with Patent Owner that the amended claim language supports its proposed claim construction. Notably, proposed substitute claim 50 requires “locally recording locally generated audio,” transmitting the “locally generated audio to said at least one remote recorder,” and “recording said locally generated audio as remote audio data” for “replacing a portion of said remote audio data with said stamped local audio data.” *Id.* We determine that the addition of the step of “transmitting,” as well as the explicit step of “replacing,” supports Patent Owner’s proposed construction.

As argued by Patent Owner, the '814 patent specification discloses “a process for recording audio *and for replaying and re-recording segments of missed audio.*” Ex. 1001, 3:41–43 (emphasis added). Figure 6 describes the step of “[l]ocal audio devices record audio and transmit to receiving equipment in real time.” *Id.* at Fig. 6, step 608. Later, “[l]ocal audio devices process [a] playback command and synchronize playback to the time code reference contained in the playback command and transmit synchronization

data to receiving equipment.” *Id.* at Fig. 6, step 614. Next, the “local audio devices transmit stored audio, which is simultaneously recorded by the receiving equipment, starting at the time specified in the playback command.” *Id.* at Fig. 6, step 616. The dropout is then corrected as the “local audio devices continue to replay audio while the receiving equipment re-records the audio.” *Id.* at Fig. 6, step 618. Although the ’814 patent specification does not use the term “replacing,” we determine that the aforementioned disclosure, and, more specifically, the playback command causing retransmission of local audio and the subsequent re-recording of the audio, provides adequate support for the amended claim recitation of “replacing.”

Based on the foregoing, we agree with, and adopt, Patent Owner’s proposed claim construction for the “replacing” limitation to require:

- (i) locally generated audio by a creator is received at a wearable local audio device,
- (ii) the same locally generated audio is transmitted to a remote recorder or receiver,
- (iii) the same locally generated audio is remotely recorded at the recorder or receiver as remote audio data, and
- (iv) stamped local audio data is created from the locally generated audio and stored in the memory of the local audio device (in claim 21), and
- (v) the stamped local audio data (claim 21) or the local audio data (claim 24) is retrieved from the memory of the wearable local audio device and the remote audio data is combined with the stamped local audio data (claim 21) or the local audio data (claim 24) by replacing a portion of the remote audio data with the stamped local audio data (claim 21) or the local audio data (claim 24).

PO MTA 16–18.

Patent Owner argues that the limitation of “a wireless transmitter transmitting said locally generated audio to said at least one remote recorder” (the “transmitting” limitation) requires that the locally generated audio at a wearable local audio device is wirelessly transmitted to a remote recorder. PO MTA 18–19. Petitioner does not dispute Patent Owner’s proposed construction. *See* Pet. Opp. to MTA 2.

We agree with Patent Owner that the amended claim language supports its proposed claim construction. Notably, proposed substitute claim 50 explicitly requires “a wireless transmitter transmitting said locally generated audio to said at least one remote recorder.” We determine that the plain meaning of the added limitation supports Patent Owner’s proposed construction. We further determine that the ’814 patent specification discloses that “each performer is equipped with a local audio device capable of locally recording the respective performer’s audio while also transmitting it to a master recorder.” Ex. 1001, Abstract. Based on the foregoing, we agree with, and adopt, Patent Owner’s proposed claim construction for the “transmitting” limitation.

Patent Owner argues that the limitation of “said local timecode generator is synchronized by said master timecodes,” in conjunction with the claimed “master timecode generator” and “master timecodes,” should be construed together such that

The claim limitation “master timecode generator” should be construed as “a producer of a plurality of master timecodes controlling other timecode generators.” The claim limitation “master timecodes” should be construed as “codes synchronizing audio samples.”

PO MTA 19 (Ex. 2111 ¶ 37.).

Petitioner disagrees and argues that “[m]aster timecode generator” means a device that provides a timecode to other devices as a reference, and ‘master timecodes’ are “time reference data.” Pet. Opp. to MTA 3. Petitioner asserts that the specification describes a master timecode as a reference and states that the master timecodes may be used “for a variety of purposes.” *Id.* (citing Ex. 1001, Fig. 6, 5:50–6:26, 16:14–30; quoting Ex. 1001, 5:62–67).

We agree with Patent Owner that the amended claim language supports its proposed claim construction. As described above, the limitation “master timecode generator” requires the master timecodes to control the local timecode generators because the plain meaning of master timecode generator requires control and the ’814 patent specification contemplates producing a plurality of master timecodes to control other time code generators. *See* Section II.B.2. The proposed substitute claims add further support for Patent Owner’s proposed construction as they explicitly require synchronization of a local timecode generator by a master timecode generator.

*D. Whether the substitute claims comply with 35 U.S.C. § 112*

Petitioner argues that the proposed substitute claims fail to particularly point out and distinctly claim the invention. Pet. Opp. to MTA 5 (citing *IPXL Holdings, L.L.C. v. Amazon.com, Inc.*, 430 F.3d 1377, 1384 (Fed. Cir. 2005)). In particular, Petitioner argues that proposed substitute independent claim 50 improperly covers both “an apparatus and a method of using it.” *Id.* at 5. Specifically, Petitioner argues that proposed substitute claim 50 recites apparatus or system elements, and also recites “a wireless transmitter transmitting,” and “at least one remote recorder receiving and

remotely recording . . . , receiving . . . , and replacing.” *Id.* at 5 (citing PO MTA 28–29). Petitioner contends that “[t]his is confirmed by Zaxcom’s own wireless transmitter construction: ‘wireless transmitter on a local audio device wearable by a creator of locally generated audio transmits the locally generated audio to a recorder that is remote (i.e., away from the creator).’” *Id.* (citing PO MTA 18).

Patent Owner argues that the “claimed phrases quoted by Petitioner are not steps performed by a user with the claimed system,” as in *IPXL*, but “instead qualify the types of components that are in the claimed system.” PO Reply to Opp. to MTA 5. We agree with Patent Owner. The limitations quoted by Petitioner qualify the functions of the apparatus elements. *See* PO MTA 28–29. Specifically, the claimed wireless transmitter is for “transmitting said locally generated audio to said at least one remote recorder” and the claimed at least one remote recorder is for “receiving said locally generated audio and remotely recording said locally generated audio as remote audio data; receiving said stamped local audio data, and replacing a portion of said remote audio data with said stamped local audio data.” Claim 50 does not recite a step of transmitting or steps of receiving, recording, and replacing, but rather recites a defined functionality for the recited wireless transmitter and at least one remote recorder. We further are not persuaded that substitute claim 50 specifies the remote recorder as part of the local audio device. Accordingly, we determine that the proposed substitute claims comply with 35 U.S.C. § 112.

*E. Level of Ordinary Skill in the Art*

As discussed above, Petitioner and Patent Owner assert that a person of ordinary skill in the art, at the time of the ’814 patent, would have had a

Bachelor's degree in electrical engineering and two or more years of experience working with audio and wireless communications systems. Section II.C; Pet. 11 (citing Ex. 1011 ¶ 28); Ex. 2111 ¶ 15. We adopt the same level of ordinary skill in the art in analyzing Patent Owner's proposed substitute claims.

*F. Patentability of substitute claims over Strub in combination with Nagai or Gleissner, Woo, and Wood, or Strub in combination with Nagai or Gleissner, Woo, Dwyer, and Wood*

Petitioner argues that substitute claims 50–64 are unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, Woo, and Wood, and that substitute claim 65 is unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in combination with Nagai or Gleissner, Woo, Dwyer, and Wood. Pet. Opp. to MTA 6–23.

*1. Wood (Ex. 1008)*

Wood is directed to a method for repairing a broadcast signal to improve the quality of the signal that is available to the end user. Ex. 1008, 2:28–30. Wood discloses a satellite or terrestrial digital television receiver 10 for receiving a digital video and audio stream. *Id.* at 3:16–18. Processor 16 monitors the broadcast signal to ascertain when the signal has been corrupted. *Id.* at 3:22–23. Transceiver 20 may request a replacement undamaged copy of the lost video and audio segments upon the detection of a lost portion of data in order to replace the lost data. *Id.* at 4:4–10. Multiplexor 24 is provided for combining the replacement portions supplied by transceiver 20 with the received broadcast signal. *Id.* at 4:11–12. Multiplexor 24 splices the “lost” video and/or audio obtained via the broadband connection into the “damaged” video and audio stream. *Id.* at 4:12–14.

2. *Differences between the prior art and claims*

Petitioner argues that substitute claims 50–64 are unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in view of Nagai or Gleissner, Woo, and Wood, and substitute claim 65 is unpatentable under 35 U.S.C. § 103(a) as obvious over Strub in view of Nagai or Gleissner, Woo, Dwyer, and Wood. Pet. Opp. to MTA 6–23. Petitioner argues that Strub in view of Nagai or Gleissner, and Woo, teaches most of the limitations of proposed substitute claim 50 for the same reasons discussed in the Petition with respect to claim 1. *Id.* at 6–23 (citing Pet. 20–40); *see* Section II.D.5. Accordingly, Petitioner asserts that, under Patent Owner’s proposed construction (which we adopt), Strub in view of Nagai or Gleissner, and Woo teach all of the limitations of claim 50 except for the newly amended “replacing” limitation. Pet. Opp. to MTA 6–7; *see* Section III.C.

Petitioner asserts that, although Strub discloses combining local and remotely recorded audio data, it does not expressly disclose “replacing said remotely recorded audio data with said local audio data.” Pet. Opp. to MTA 15. For that limitation, Petitioner relies on the combined teachings of Strub and Wood. *Id.* at 15–18. Specifically, Petitioner asserts that Wood discloses a method to “sending a request for replacement portions when a dropout is detected so that the content can be re-sent and combined with the previously received audio to replace the dropout.” *Id.* at 16 (Ex. 1008, 1:31–2:13, Figs. 1, 2; Ex. 1040 ¶ 61 (“Wood discloses sending a request when a dropout is detected so that the content can be resent and combined with the previously received audio to repair the dropout.”)). Petitioner contends that, in the event of a transmission failure, it would have been obvious to a person of ordinary skill in the art to fix a defect in a remote recording of Strub’s

system by replacing the corrupt segment with a local copy. Pet. Opp. to MTA 17–18 (citing Ex. 1003, 35:54–57, 36:10–29, 37:53–38:4; Ex. 1008, 1:28–30, 3:4–6; Ex. 1040 ¶ 63).

Patent Owner argues that Petitioner fails to demonstrate that Wood teaches local audio data because “[t]here is no teaching in Wood that the data sent by its server is locally generated from an audio input device or by a creator wearing the local audio device.” PO Reply to Opp. to MTA 7 (quoting Ex. 2111 ¶ 46). We are not persuaded by this argument because, as Petitioner responds, “Lectrosonics does not rely on Wood to disclose ‘local audio’ or a ‘local recording device,’” and “Zaxcom [] errs by ignoring Lectrosonic’s proposed combination and focusing only on whether each reference alone discloses each claim element.” Pet. Sur-Reply to Opp. to MTA 4–5 (citing Pet. Opp. to MTA 7–18). This argument by Patent Owner is tantamount to an attack on Wood alone, but Petitioner’s argument is based on the combination of the cited references. “Non-obviousness cannot be established by attacking references individually where the rejection is based upon the teachings of a combination of references.” *In re Merck & Co.*, 800 F.2d 1091, 1097 (Fed. Cir. 1986).

Regarding the combination of Strub and Wood, Petitioner asserts that the addition of Wood’s method for replacing a dropout would have been obvious because Strub contemplated the problem of deficient recordings and Wood provided a known solution. Pet. Opp. to MTA 15–16. Specifically, Petitioner asserts that Strub recognized the problem of deficient recordings, and a person of ordinary skill in the art would have known that one such deficiency would have been dropouts. *Id.* at 15–16 (citing Ex. 1003, 48:18–30, 85:28–41 (“during an event, the recording obtained by a particular

recording unit will be deficient in some way”); Ex. 1040 ¶¶ 18, 43, 60–61). In order to solve the problem of dropouts, Petitioner asserts a person of ordinary skill in the art would have combined Wood with Strub in order to improve signal quality and produce a program free of dropouts. *Id.* at 17–18 (citing Ex. 1003, 35:54–57, 36:10–29, 37:53–38:4, 66:7–15; Ex. 1008, 1:28–30, 3:4–6; Ex. 1040 ¶ 63). In Petitioner’s view, the combination of Strub and Wood would have been expected because techniques for detecting dropouts and requesting replacements were well known, and Wood discloses such a technique. *Id.* at 16–17. Patent Owner’s own expert, Mr. DeFilippis, explains that if backup audio was available, a person of ordinary skill in the art would have known to replace corrupted audio with replacement audio. *See generally* Ex. 1034, 19:2–21:12.

Patent Owner argues that “Petitioner erred by focusing on whether the concept of repairing dropouts was known.” PO Reply to Opp. to MTA 8. Patent Owner argues that Petitioner fails to establish that the “claimed combination as a whole” would have been obvious. *Id.* at 8. Patent Owner argues that “Wood would have taught repairing dropouts by a completely different approach using a server and recorder, neither of which is anywhere near the location of the locally generated audio.” *Id.* at 8.

We are persuaded by Petitioner that Wood is analogous art, as it is reasonably pertinent to the problem faced by the inventors of the ’902 patent. *See In re Bigio*, 381 F.3d 1320, 1325 (Fed. Cir. 2004); Pet. Reply 18–19 (citing Ex. 1001, 16:59–17:5; Ex. 1008, 1:31–2:13). Nevertheless, in view of the differences between the asserted prior art references and the subject matter of the proposed substitute claims, Petitioner presents a weak case of obviousness. For instance, although Strub

recognizes that recordings may be deficient, Strub does not specifically contemplate deficiencies resulting from dropouts in transmission of local audio to a remote recorder or receiver. *See* Ex. 1003, 48:18–30, 85:28–41. Moreover, even if a person of ordinary skill in the art would have understood that dropouts could be one cause of deficient recordings in Strub, as Petitioner’s expert opines, and Wood teaches a method for repairing dropouts, Wood focuses on repairing dropouts in a received TV broadcast signal rather than during post-processing of a recording, as in the ’814 patent. Furthermore, the evidence that a person with ordinary skill in the art would have looked to combine a small, wearable device for recording the audio of an event, as taught in Strub, with a method for repairing a TV broadcast signal, as taught in Wood, does not support a strong showing of obviousness. Considering all of the arguments and evidence of record, we conclude that Petitioner’s proposed combination of the teachings of Wood with Strub, Nagai or Gleissner, and Woo, at best only slightly weighs in favor of a conclusion of obviousness.

### 3. *Objective Indicia of Nonobviousness*

Patent Owner further argues that objective indicia of nonobviousness demonstrate that the substitute claims are patentable over the prior art. PO MTA 27. Patent Owner asserts that the submitted evidence demonstrates that: (1) there was a long-felt need for a wearable, wireless device that could reliably capture sound data from actors recording a movie or television show and the invention recited in the substitute claims satisfied this need; and (2) the invention received industry praise and recognition. *Id.* (citing Exs. 2111 ¶¶ 55–61, 2098, 2099, 2100, 2101, 2102, 2087 ¶¶ 8–10); PO Resp. 34–59

(citing Exs. 2087, 2098–2104, 2106–2107, 2109, 2111, 2113–2114); PO Sur-Reply 18–24.

*a. Nexus*

As described above, for objective indicia of nonobviousness to be accorded substantial weight, its proponent must establish a nexus between the evidence and the merits of the claimed invention. *ClassCo*, 838 F.3d at 1220; *see* Section II.D.4.b.ii. A patentee is entitled to a presumption of nexus “when the patentee shows that the asserted objective evidence is tied to a specific product and that product ‘embodies the claimed features, and is coextensive with them.’” *Fox Factory*, 944 F.3d at 1373. However, “[a] finding that a presumption of nexus is inappropriate does not end the inquiry in to secondary considerations.” *Id.* at 1375. “Where the offered secondary consideration actually results from something other than what is both claimed and *novel* in the claim, there is no nexus to the merits of the claimed invention,” meaning that “there must be a nexus to some aspect of the claim not already in the prior art.” *Kao*, 639 F.3d at 1068–69.

In contrast to the original claims of the ’814 patent, we construe substitute claims 50–65 as being directed to repairing dropouts by receiving local audio data and replacing remotely recorded audio data with the received local audio data. *See* Section III.C. In light of the different scope of proposed substitute claims 50–65, we consider the issue of nexus anew.

First, we determine that Patent Owner does not provide an analysis demonstrating that its products are coextensive (or nearly coextensive) with the challenged claims. We, therefore, find that a presumption of nexus is inappropriate. *See* Pet. Reply 28–29; *see* Pet. Opp. to MTA 19–20.

However, we determine that Patent Owner has established a nexus between the evidence of secondary considerations and substitute claims 50–65. *Fox Factory*, 944 F.3d at 1373–1374. In its Motion to Amend, Patent Owner argues that there was a “long felt need for a wearable wireless device that could reliably capture sound data from actors recording a movie or television show” and the “invention received industry praise and recognition including an Emmy award and a Technical Achievement Award from the Academy of Motion Picture Arts and Sciences.” PO MTA 27 (citing Exs. 2111 ¶¶ 55–61, 2098, 2099, 2100, 2101, 2102, 2087 ¶¶ 8–10). Although Patent Owner does not provide any more analysis in its Motion to Amend (Pet. Opp. to MTA 29), Patent Owner’s arguments and evidence submitted in its Response are directed to the subject matter added by amendment to the proposed substitute claims, and we therefore consider the totality of the evidence regarding objective indicia of nonobviousness.

Patent Owner submits the testimony of Mr. Wexler, who explains: “I have been in many situations where for a variety of reasons there have been *RF dropouts and in some cases the wireless on the talent has moved way out of range . . . . [P]rior to Zaxcom’s invention, the audio would be lost forever in these situations.*” PO Resp. 34 (citing Ex. 2104 ¶ 6) (emphasis added). That is, Mr. Wexler refers generally to the prevention of dropouts and lost audio, i.e., the “replacing” limitation. *See* Section III.C. Mr. Wexler’s testimony has probative value in establishing that the asserted objective evidence is tied to the proposed substitute claims.

Patent Owner also cites the following testimony from Mr. Sarokin and Mr. Wexler:

Mr. Sanders announced his 3rd generation units. I purchased 12 TRX 900 transmitters and these included a mini SD card slot for recording and a built in remote control receiver . . . Not only could they transmit audio, they could also receive time code sync signals and remote control commands. Zaxcom combined this incredible capability with a built in digital recorder, making his digital transmitters full synchronous recording systems. This capability solved the major limitation of radio mics . . . radio mics had a very limited range. Depending on what else is on the frequency, the range can be as little as 50 feet. In a big motion picture scene, especially on a film that Ridley Scott is directing, there can be simultaneous action hundreds of feet apart. Prior to Zaxcom's invention of recording radios, the field mixer would capture as much of the dialog as his equipment would allow and the rest would have to be dubbed in post production. I can't emphasize enough the revolution these recording radios brought on. If the actors in a scene went in and out of radio range the SD card on the transmitter would continue to record the audio . . . Zaxcom also integrated all their equipment so a sound mixer could hit a single button on a Zaxcom recorder and all the radios in use would play back from a certain take or time code start point so the scene could be remixed without any radio drop outs. Zaxcom has been doing this since 2005. 14 years! . . .

Each Zaxcom transmitter can digitally record the output of the microphone along with transmitting the signal to the receiver. If there is a drop out of the RF signal, the identical recording in the transmitter can be used by post production. . .

PO Resp. 35–36 (citing Ex. 2103 ¶¶ 3, 4–7; Ex. 2104 ¶¶ 6–7). Mr. Sarokin and Mr. Wexler refer specifically to the “replacing” limitation of the '814 patent recited by the proposed substitute claims. For instance, Mr. Wexler states that each “transmitter can digitally record the output of the microphone along with transmitting the signal to the receiver. If there is a drop out of the RF signal, the identical recording in the transmitter can be used by post production.” Ex. 2104 ¶ 6. In other words, a dropout causing an issue with remotely recorded audio can be fixed by “replacing” the

remotely recorded audio with local audio data from a recording transmitter. We determine that this evidence is strongly probative in establishing that the asserted objective evidence is tied to the invention recited in the proposed substitute claims.

Similarly, Patent Owner's evidence of praise in the form of the Technical Achievement Award from the Academy of Motion Picture Arts and Sciences and the Emmy award from the Academy of Television Arts and Sciences awarded to Patent Owner also has probative value in establishing that the asserted objective evidence is tied to the invention disclosed and claimed in the substitute claims. For example, the Emmy award specifically praises the digital recording of microphone signals in the wireless transmitter to provide *backup* recording of the original microphone signal. PO Resp. 39 (citing Ex. 2106). That is, the Emmy award praises the "replacing" feature recited by the proposed substitute claims. We determine that this evidence is probative in establishing that the asserted objective evidence is tied to the invention disclosed in the substitute claims.

Petitioner contends that Patent Owner "presents no nexus argument, referring only to '[t]he invention.'" Pet. Opp. to MTA 20 (citing PO MTA 27). Petitioner specifically argues that Mr. Wexler and Mr. Sarokin praise unclaimed features. *Id.* at 28–29; PO Resp. 21–22. Petitioner further argues that the Technical Achievement Award and Emmy focus on "digital modulation technology," and "merely mention[] the ability to also record audio in the transmitter bodypack as one feature of the system." *Id.* at 22–23 (citing Ex. 2102, 1).

We are not persuaded by Petitioner's argument that the testimony of Mr. Wexler and Mr. Sarokin, and the Technical Achievement Award and

Emmy, are directed to unclaimed features. As discussed above, both Mr. Wexler and Mr. Sarokin specifically identify the “replacing” limitation as a basis for the praise. *See* Ex. 2104 ¶ 6; Ex. 2103 ¶¶ 3, 4, 6. The Emmy similarly discusses providing a backup recording to the original recording, and identifies the “replacing” limitation. *See* PO Resp. 39–40.

Accordingly, considering the totality of evidence before us, we determine that Patent Owner has established a nexus between the evidence of industry praise and long-felt need and the “replacing” limitation of the proposed substitute claims.

*b. Long-Felt Need*

“Evidence of a long-felt but unresolved need can weigh in favor of the non-obviousness of an invention because it is reasonable to infer that the need would not have persisted had the solution been obvious.” *Apple Inc. v. Samsung Elecs. Co.*, 839 F.3d 1034, 1056 (Fed. Cir. 2016). Patent Owner asserts that there was a long-felt need for a “wireless, wearable, transmitting and recording device that could reliably capture sound data from actors recording a movie or television show.” PO Resp. 34.

Patent Owner argues that the “claimed invention of the ‘814 patent satisfied this long felt need.” *Id.* at 34–35. As support, Patent Owner submits the declarations of Mr. Sarokin and Mr. Wexler. PO Resp. 34–28 (citing Exs. 2103, 2104). For example, Mr. Sarokin explains that “[f]or the first time radio mic transmitters were now transceivers. Not only could they transmit audio, they could also receive time code sync signals and remote control commands. Zaxcom combined this incredible capability with a built in digital recorder, making his digital transmitters full synchronous recording systems. This capability solved the major limitation of radio

mics.” Ex. 2103 ¶ 6. Mr. Sarokin goes on to explain that “Zaxcom also integrated all of their equipment so a sound mixer could hit a single button on a Zaxcom recorder and all the radios in use would playback from a certain take or time code start point so the scene could be re-mixed without any radio drop outs.” *Id.* Mr. Wexler also explains that “[i]n the past, prior to Zaxcom’s invention, the audio would be lost forever in these situations [where there has been a dropout]. With Zaxcom recording transmitters, the audio will always be available directly from the transmitter.” Ex. 2104 ¶ 6.

Petitioner asserts that Patent Owner has failed to provide evidence of long-felt need, specifically arguing that Patent Owner “presents no evidence of the field requesting such a device at any time, much less before the ’814 patent, and no evidence of efforts to meet such a request.” Pet. Opp. to MTA 21; *see* Pet. Reply 17–18. More specifically, Petitioner argues that Patent Owner “generally discusses dropouts and moving out of range, without discussing the significance of the problem, if any, before 2005.” Pet. Reply 17. Petitioner also argues that Patent Owner fails to show that the “need was unresolved and filled by the claimed features without unclaimed features in the specification.” Pet. Opp. to MTA 22.

Considering the totality of the evidence, we determine that Patent Owner has demonstrated that a long-felt need existed for a “wireless, wearable, transmitting and recording device that could reliably capture sound data from actors recording a movie or television show.” As discussed above, we credit the testimony of Mr. Sarokin and Mr. Wexler, who both identify repairing dropouts as a long-felt need. PO Resp. 34–38 (citing Ex. 2103 ¶ 6; Ex. 2104 ¶ 6). As also discussed above, we credit the testimony of Mr. Sarokin, who explains that “[b]y 2005 my sound cart was

fully digital . . . I purchased 12 TRX 900 transmitters . . . Zaxcom combined this incredible capability [of transmitting audio, receiving time code sync signals, and remote control commands] with a built in digital recorder, making his digital transmitters full synchronous recording systems.”

Ex. 2103 ¶ 6. Mr. Sarokin explains that “[t]his capability solved the major limitation of radio mics.” *Id.* We also credit the testimony of Mr. Wexler in explaining how the “replacing” limitation solved the long-felt need of repairing dropouts. PO Resp. 34–38 (citing Ex. 2104 ¶ 6). As such, we are not persuaded by Petitioner’s arguments that Patent Owner does not provide evidence of a long-felt need, and that claimed features solved that long-felt need.

We, however, agree with Petitioner that Patent Owner has not presented strong evidence demonstrating that “the need was long felt based on the date when the problem to be solved was identified and efforts were made to solve the problem.” Pet. Opp. to MTA 20–21 (citing *Texas Instruments Inc. v. U.S. Int’l Trade Comm’n*, 988 F.2d 1165, 1178 (Fed. Cir. 1993)). Although Mr. Sarokin generally asserts that there was a long-felt need as of 2005, Patent Owner’s lack of further evidence regarding a specific date of the identified problem and efforts to solve the problem does not provide additional weight in favor of Patent Owner. Nonetheless, in view of the testimony from Mr. Sarokin and Mr. Wexler, we determine that Patent Owner provides sufficient evidence there was a long-felt need for a “wireless, wearable, transmitting and recording device that could reliably capture sound data from actors recording a movie or television show.”

In sum, the evidence provided by Patent Owner establishes there was a persistent need, recognized by those of ordinary skill in the art, for a

“wireless, wearable, transmitting and recording device that could reliably capture sound data from actors recording a movie or television show.” We determine that the evidence of long-felt need weighs in favor of nonobviousness.

*c. Industry Praise*

Evidence that the industry praised a claimed invention or a product that embodies the patent claims weighs against an assertion that the same claim would have been obvious. *WBIP*, 829 F.3d at 1334. As evidence of industry praise, Patent Owner relies upon the Declarations of Mr. Sarokin and Mr. Wexler. PO Resp. 34–38; PO Sur-Reply 18–19. Patent Owner further relies on the evidence of the awards for its products: the Technical Achievement Award from the Academy of Motion Picture Arts and Sciences and the Emmy award from the Academy of Television Arts and Sciences. *Id.*

For example, Mr. Wexler states that “[w]ith Zaxcom’s brilliant invention . . . I could always deliver a track to post production even . . . where there were failures of the RF transmission” and “nothing else even came close.” PO Resp. 37–38 (citing Ex. 2104 ¶ 7). Mr. Sarokin explains that he “can’t emphasize enough the revolution these recording radios brought on.” Ex. 2103 ¶ 6. Mr. Sarokin further explains that “[n]o other company has anything remotely close” and “[t]here is nothing even remotely comparable.” Ex. 2103 ¶¶ 6, 8.

Also probative is Patent Owner’s evidence of the received awards. Patent Owner asserts the Emmy award specifically praises features of the proposed substitute claims including the digital recording of microphone signals in the wireless transmitter “to provide *backup recording* of the

original microphone signal.” PO Resp. 39–40 (quoting Ex. 2106) (emphasis added). Patent Owner further relies on, and we credit, the testimony of Mr. DeFilippis, a member of the committee who granted the award, who explains that “Mr. Sanders also received the Emmy award from the Academy of Television Arts and Sciences for the Zaxcom, Inc. digital recording wireless products that embody the claimed invention of the ‘814 patent.” Ex. 2111 ¶ 62; *see* PO Sur-Reply 19. Patent Owner further asserts that “Glenn Sanders and the co-inventor of the ‘814 patent, Howard Stark, received the Technical Achievement Award from the Academy of Motion Picture Arts and Sciences for the digital recording wireless products that embody the claimed invention of the ‘814 patent.” PO Resp. 38 (citing Ex. 2101; Ex. 2102; Ex. 2087 ¶¶ 2–4). Patent Owner further provides a press release for the Emmy that praises Patent Owner’s “digital wireless transmission system for microphones *and a production tool that married wireless transmission with a recording device* located within the actor’s body pack.” Ex. 2107 (emphasis added).

Petitioner argues that the evidence of industry praise submitted by Patent Owner is directed to features that are “unclaimed, known in the art, or both.” Pet. Opp. to MTA 22. Specifically, Petitioner argues that Mr. Wexler and Mr. Sarokin praise features directed to digital recording, wireless transmission, and time code signals, features that Petitioner alleges are not present in the claims. *Id.*

Although we agree with Petitioner that Patent Owner provides some evidence of industry praise toward features not recited by proposed substitute claims 50–65, we are persuaded that Patent Owner provides evidence of industry praise related to the “replacing” limitation that

specifically addresses dropouts. *See* PO Resp. 34–38. The evidence of features that are not recited by proposed substitute claims 50–65 weighs neither for nor against nonobviousness. However, the testimonial evidence by Mr. Sarokin and Mr. Wexler praising Patent Owner’s dropout correction features, as recited by the “replacing” limitation, weighs in favor of nonobviousness. Furthermore, the awards evidence that praises Patent Owner’s digital recording devices that “married wireless transmission with a recording device located within the actor’s body pack” also strongly weighs in favor of nonobviousness.

In sum, we determine that Patent Owner’s evidence of industry praise weighs in favor of nonobviousness.

*d. Failure of Others*

Patent Owner asserts that others tried and failed to provide a device with similar features to the ’814 patent, namely, “wireless, wearable, transmitting and recording device that transmits and stores the same local audio so that the corresponding local audio data can be used to repair dropouts.”<sup>10</sup> PO Resp. 37. More specifically, Patent Owner relies on the Declaration of Mr. Sarokin who states:

Zaxcom would have no competition for almost 8 years. It was 2009 before SONY engineers were able to figure out the algorithms pioneered by Zaxcom. By the time Sony came out with their first digital radio Zaxcom was already on their 3rd generation . . .

NO ONE else has recording capability, NO ONE else has systems integration. NO ONE else has reduced bandwidth

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<sup>10</sup> Although Patent Owner presents the failure of others arguments as directed to the original claims of the ’814 patent, we understand these arguments also to apply to the proposed substitute claims for the same reasons discussed above.

digital radios, and NO ONE else has micro sized digital radios period.

PO Resp. 37 (citing Ex. 2103 ¶¶ 5, 7).

Petitioner argues that Patent Owner provides no relevant evidence that others tried and failed to create the claimed technology, and that those failures were attributable to the claimed features. Pet. Reply 18–19 (citing *Ormco Corp. v. Align Tech., Inc.*, 463 F.3d 1299, 1313 (Fed. Cir. 2006)). According to Petitioner, Patent Owner’s evidence of the failure of others at most demonstrates an attempt at digital modulation. *Id.* (citing PO Resp. 37).

We agree with Petitioner. We find Patent Owner’s evidence of the failure of others to be conclusory and without adequate support for the proposition that others failed. Mr. Sarokin describes a lack of competition and states, without evidentiary support, that “it was 2009 before SONY engineers *were able to figure out* the algorithms.” Ex. 2103 ¶ 5 (emphasis added). The submitted evidence, by itself, is insufficient for us to find that Sony, or any other industry competitor, failed in developing a competing product as other business or economic factors may have come into play. The lack of a competing product is insufficient evidence of whether others tried and failed at development. Accordingly, we do not find Patent Owner’s evidence of the failure of others to weigh in favor of nonobviousness.

#### *4. Weighing the Objective Indicia of Nonobviousness*

“The objective indicia of non-obviousness play an important role as a guard against the statutorily proscribed hindsight reasoning in the obviousness analysis.” *WBIP*, 829 F.3d at 1328. Indeed, the Federal Circuit

has held that such evidence “may often be the most probative and cogent evidence in the record.” *Id.* (quoting *Stratoflex, Inc. v. Aeroquip Corp.*, 713 F.2d 1530, 1538 (Fed. Cir. 1983)). We determine that Patent Owner has provided strong evidence of the nonobviousness of proposed substitute claims 50–65. Specifically, we find that the factors of long-felt need and industry praise weigh heavily towards nonobviousness. We do, however, agree with Petitioner that the evidence of the failure of others does not weigh towards nonobviousness. In sum, we are persuaded by Patent Owner that the objective indicia of nonobviousness weighs towards a conclusion of nonobviousness.

#### 5. Conclusion

Factual inquiries for an obviousness determination include secondary considerations based on objective evidence of nonobviousness. *Graham*, 383 U.S. at 17–18. Weighing all four *Graham* factors, we conclude that Petitioner has not shown by a preponderance of the evidence that substitute claims 50–64 would have been obvious over Strub in combination with Nagai or Gleissner, Woo, and Wood, or that proposed substitute claim 65 would have been obvious over Strub in combination with Nagai or Gleissner, Woo, Dwyer, and Wood because we determine that Petitioner’s proposed combination of the teachings of the references presents a weak case of obviousness, whereas the objective indicia of nonobviousness weigh heavily in favor of nonobviousness.

Based on the foregoing, we grant Patent Owner’s Contingent Motion to Amend.

IV. CONCLUSION

Based on the information presented, we conclude that Petitioner has shown, by a preponderance of the evidence, that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the '814 patent are unpatentable. We also grant Patent Owner's Motion to Amend to replace claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 with proposed substitute claims 50–65.

In summary:

Reference(s)	Basis	Claims	Claims Shown Unpatentable	Claims Not shown Unpatentable
Strub, and Nagai or Gleissner, and Woo	§ 103	1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44	1–4, 9, 10, 12, 15, 31, 36, 37, and 41–44	
Strub and Dwyer and Nagai, or Gleissner, and Woo	§ 103	45	45	
<b>Overall Outcome</b>			1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45	

Motion to Amend Outcome	Claims
Original Claims Cancelled by Amendment	1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45
Substitute Claims Proposed in the Amendment	50–65
Substitute Claims: Motion to Amend Granted	50–65

V. ORDER

After due consideration of the record before us, and for the foregoing reasons, it is:

ORDERED that claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 of the '814 patent are held unpatentable;

FURTHER ORDERED Patent Owner's Contingent Motion to Amend is granted as to proposed substitute claims 50–65, and claims 1–4, 9, 10, 12, 15, 31, 36, 37, and 41–45 are cancelled and replaced by proposed substitute claims 50–65; and

FURTHER ORDERED that because this is a final written decision, parties to the proceeding seeking judicial review of the decision must comply with the notice and service requirements of 37 C.F.R. § 90.2.

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Patent 8,385,814 B2

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