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6	Tel: 619.544.6400 Fax: 619.696.0323					
7	Attorneys for Plaintiff					
8	e.Digital Corporation					
9						
10	UNITED STATES I	DISTRICT COURT				
11	SOUTHERN DISTRIC	CT OF CALIFORNIA				
12		'14CV0227 LAB BLM				
13	e.Digital Corporation,	Case No.				
14	Plaintiff,	COMPLAINT FOR PATENT INFRINGEMENT				
15	V.	DEMAND FOR JURY TRIAL				
16 17	Shinano Kenshi Co., Ltd.; Shinano Kenshi Corporation, dba under the brand name Plextor; Plextor LLC; and, Plextor					
	Americas,					
18	Defendants.					
19 20	Plaintiff e.Digital Corporation ("e.I	Digital" or "Plaintiff"), by and through its				
21	undersigned counsel, complains and alleges against Defendants Shinano Kenshi					
22	Co., Ltd.; Shinano Kenshi Corporation	n (dba under the brand name Plextor);				
23	Plextor LLC; and, Plextor Americas	(collectively referred to hereafter as				
24	"PLEXTOR" or "Defendant" or "Defenda	ants") as follows:				
25	NATURE OF	THE ACTION				
26	1. This is a civil action for in	fringement of a patent arising under the				
27	laws of the United States relating to pate	ents, 35 U.S.C. § 101, et seq., including,				
28	without limitation, § 281. Plaintiff e.Di	gital seeks a preliminary and permanent				

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injunction and monetary damages for the infringement of its U.S. Patent No. 2 5,839,108.

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JURISDICTION AND VENUE

- This court has subject matter jurisdiction over this case for patent 2. infringement under 28 U.S.C. §§ 1331 and 1338(a) and pursuant to the patent laws of the United States of America, 35 U.S.C. § 101, et seg.
- 3. Venue properly lies within the Southern District of California pursuant to the provisions of 28 U.S.C. §§ 1391(b), (c), and (d) and 1400(b). On information and belief, Defendants conduct substantial business directly and/or through third parties or agents in this judicial district by selling and/or offering to sell the infringing products and/or by conducting other business in this judicial district. Furthermore, Plaintiff e.Digital is headquartered and has its principal place of business in this district, engages in business in this district, and has been harmed by Defendants' conduct, business transactions and sales in this district.
- 4. This Court has personal jurisdiction over Defendants because, on information and belief, Defendants transact continuous and systematic business within the State of California and the Southern District of California. In addition, this Court has personal jurisdiction over the Defendants because, on information and belief, this lawsuit arises out of Defendants' infringing activities, including, without limitation, the making, using, selling and/or offering to sell infringing products in the State of California and the Southern District of California. Finally, this Court has personal jurisdiction over Defendants because, on information and belief, Defendants have made, used, sold and/or offered for sale their infringing products and placed such infringing products in the stream of interstate commerce with the expectation that such infringing products would be made, used, sold and/or offered for sale within the State of California and the Southern District of California.

PARTIES

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ANDAL & ASSOCIATES 1200 THIRD AVE SUITE 1321 SAN DIEGO, CA 92101 619.544.6400

5.	Plair	ntiff e.Digi	tal is a D	elawa	re corpora	tion wi	th its l	neadqı	uarter	s and
orincipal	place of	f business	at 16870	West	Bernardo	Drive,	Suite	120, \$	San D	iego
Californi	a 92127.									

- Upon information and belief, Defendant Shinano Kenshi Co., Ltd. is 6. corporation registered and lawfully existing under the laws of Japan, with a principal place of business located at 1078 Kamimaruko, Maruko-Machi, Chiisagata-Gun, Nagano-Ken, 386-0498 Japan. Upon information and belief, the PLEXTOR brand of storage and multimedia products is owned by Shinano Kenshi Co., Ltd. and/or PLEXTOR is the brand name of Shinano Kenshi Co., Ltd.
- 7. Upon information and belief, Defendant Shinano Kenshi Corporation is a company registered and lawfully existing under the laws of the State of California, with an office and principal place of business located at 6065 Bristol Parkway, Culver City, CA 90230. Upon information and belief, PLEXTOR is the brand name Shinano Kenshi Corporation and/or the dba of Shinano Kenshi Corporation
- Upon information and belief, Defendant Plextor LLC is a company 8. registered and lawfully existing under the laws of the State of California, with an office and principal place of business located at 6065 Bristol Parkway, Culver City, CA 90230.
- 9. Upon information and belief, Defendant Plextor Americas is a company registered and lawfully existing under the laws of the State of California, with an office and principal place of business located at 42000 Christy Street, Fremont, California 94538.

THE ASSERTED PATENT

10. On November 17, 1998, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,839,108 ("the '108 patent") entitled "Flash Memory File System In A Handheld Record And Playback Device," to its named inventors Norbert P. Daberko and Richard K. Davis.

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COUNT ONE

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INFRINGEMENT OF THE '108 PATENT BY DEFENDANT

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11. Plaintiff re-alleges and incorporates by reference each of the allegations set forth in paragraphs 1 through 10 above.

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Upon information and belief, Defendants, without authority, (a) have induced and continue to induce infringement of one or more claims of the '108 patent in violation of 35 U.S.C. § 271(b); and, (b) have contributed and continue to

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contribute to the infringement of one or more claims of the '108 patent in violation

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of 35 U.S.C. § 271(c).

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not limited to PLEXTOR's memory card products for Flash Memory Storage

The accused products for purposes of the '108 patent include but are

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including but not limited to its USB, SSD, SD, microSD, and/or Compact Flash

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products. The accused products include but are not limited to PLEXTOR'S PX-

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M5S Series SSD products, Pro Xtreme SSD series of products, M5Pro Xtreme

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SSD series of products, and/or PLEXTOR M6M SSD series of products.

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14. The accused product, alone or in combination with other products, practice each of the limitations of independent claim 1 of the '108 patent.

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15. Upon information and belief, Defendants, without authority, have

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actively induced infringement and continue to actively induce infringement of the '108 patent in violation of 35 U.S.C. § 271(b) by causing others to directly infringe

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the claims of the '108 patent and/or by intentionally instructing others how to use

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the accused products in a manner that infringes the claims of the '108 patent. On

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information and belief, Defendants have induced and continue to induce

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infringement by instructing customers to operate the product in an infringing

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manner and/or when Defendants tests or otherwise operate the accused products in

the United States.

- 16. Upon information and belief, Defendants, without authority, have contributed and continue to contribute to the infringement of the '108 patent in violation of 35 U.S.C. § 271(c) by importing into the United States, selling and/or offering to sell within the United States accused products that (1) embody and constitute a material part of the invention of the '108 patent, (2) Defendants know to be especially adapted for use in infringing the '108 patent, and (3) are not staple articles of commerce suitable for substantial non-infringing use with respect to the '108 patent.
- 17. Upon information and belief, certain of the accused products manufactured by PLEXTOR have been and/or are currently sold and/or offered for sale to at, among other places, the PLEXTOR website located at http://shop.plextoramericas.com to consumers including, but not limited to, consumers located within the State of California.
- 18. Upon information and belief, certain of the accused products manufactured by PLEXTOR have been and/or are currently sold and/or offered for sale to at, among other places, the Best Buy website located at www.bestbuy.com to consumers including, but not limited to, consumers located within the State of California.
- 19. Upon information and belief, certain of the accused products manufactured by PLEXTOR have been and/or are currently sold and/or offered for sale to at, among other places, the Amazon.com, Inc. website located at www.amazon.com to consumers including, but not limited to, consumers located within the State of California.
- 20. Based on information and belief, Plaintiff alleges that Defendants sell, ship, or otherwise deliver the accused product with all the features required to infringe the asserted claims of the '108 patent. On information and belief, these products are designed to practice the infringing features.

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21. Defendants had knowledge of infringement of the '108 patent since at least the filing of this complaint. On information and belief, Defendants have continued to sell products that practice the '108 patent after acquiring knowledge of infringement.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for relief and judgment as follows:

- That Defendants be declared to have infringed the Patent-in-Suit; 1.
- 2. That Defendants, Defendants' officers, agents, servants, employees, and attorneys, and those persons in active concert or participation with them, be preliminarily and permanently enjoined from infringement of the Patent-in-Suit, including but not limited to any making, using, offering for sale, selling, or importing of unlicensed infringing products within and without the United States;
- 3. Compensation for all damages caused by Defendants' infringement of the Patent-in-Suit to be determined at trial;
- Enhancement of Plaintiff's damages up to three (3) times their amount 4. pursuant to 35 U.S.C. § 284;
- 5. Granting Plaintiff pre-and post-judgment interest on its damages, together with all costs and expenses; and,
 - 6. Awarding such other relief as this Court may deem just and proper.

Dated: January 31, 2014

HANDAL & ASSOCIA 1200 THIRD AVE SUITE 1321 SAN DIEGO, CA 92101

HANDAL & ASSOCIATES

By: /s/ Pamela C. Chalk Anton N. Handal Pamela C. Chalk Gabriel G. Hedrick Attorneys for Plaintiff e.Digital Corporation

	Case 3:14-cv-00227-H-BGS Document 1 Filed 01/31/14 Page 7 of 22
1	DEMAND FOR JURY TRIAL
2	Plaintiff hereby demands a trial by jury on all claims.
3	HANDAL & ASSOCIATES
4	Dated: January 31, 2014
5	By: /s/ Pamela C. Chalk Anton N. Handal
6	By: /s/ Pamela C. Chalk Anton N. Handal Pamela C. Chalk Gabriel G. Hedrick Attorneys for Plaintiff e.Digital Corporation
7	Attorneys for Plaintiff e.Digital Corporation
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HANDAL & ASSOCIATES 1200 THIRD AVE SUITE 1321	-7-
SAN DIEGO, CA 92101 TEL: 619.544.6400 FAX: 619.696.0323	COMPLAINT

CERTIFICATE OF SERVICE

 Dated: January 31, 2014

HANDAL & ASSOCIATES 1200 THIRD AVE SUITE 1321 SAN DIEGO, CA 92101 TEL: 619.544.6400 FAX: 619.696.0323 The undersigned hereby certifies that a true and correct copy of the foregoing document has been served on this date to all counsel of record, if any to date, who are deemed to have consented to electronic service via the Court's CM/ECF system per CivLR 5.4(d). Any other counsel of record will be served by electronic mail, facsimile and/or overnight delivery upon their appearance in this matter.

I declare under penalty of perjury of the laws of the United States that the foregoing is true and correct. Executed this 31st day of January, 2014 at San Diego, California.

HANDAL & ASSOCIATES

D--- /

By: /s/ Pamela C. Chalk
Anton N. Handal
Pamela C. Chalk
Gabriel G. Hedrick
Attorneys for Plaintiff
e.Digital Corporation

-8-

EXHIBIT A

US005839108*A*

United States Patent [19]

Daberko et al.

[11] Patent Number: 5

5,839,108

[45] **Date of Patent:** Nov. 17, 1998

[54]	FLASH MEMORY FILE SYSTEM IN A
	HANDHELD RECORD AND PLAYBACK
	DEVICE

[75] Inventors: Norbert P. Daberko, Oceanside;

Richard K. Davis, San Diego, both of

Calif.

[73] Assignee: Norris Communications, Inc., San

Diego, Calif.

[21] Appl. No.: 884,245

[22] Filed: Jun. 30, 1997

Related U.S. Application Data

[63] Continuation-in-part of Ser. No. 612,772, Mar. 7, 1996.

711/115; 711/202; 711/206; 707/205

[56] References Cited

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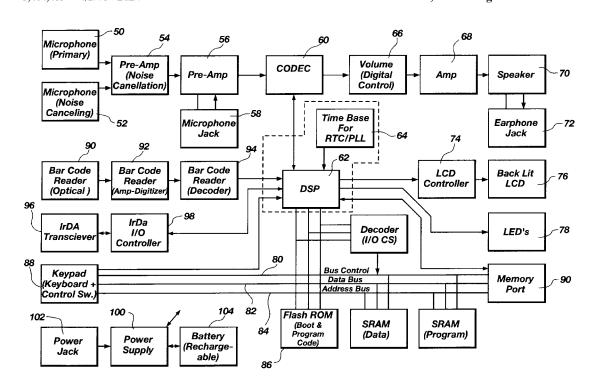
Primary Examiner—Tod R. Swann Assistant Examiner—J. Peikari

Attorney, Agent, or Firm—Thorpe, North & Western, L.L.P.

[57] ABSTRACT

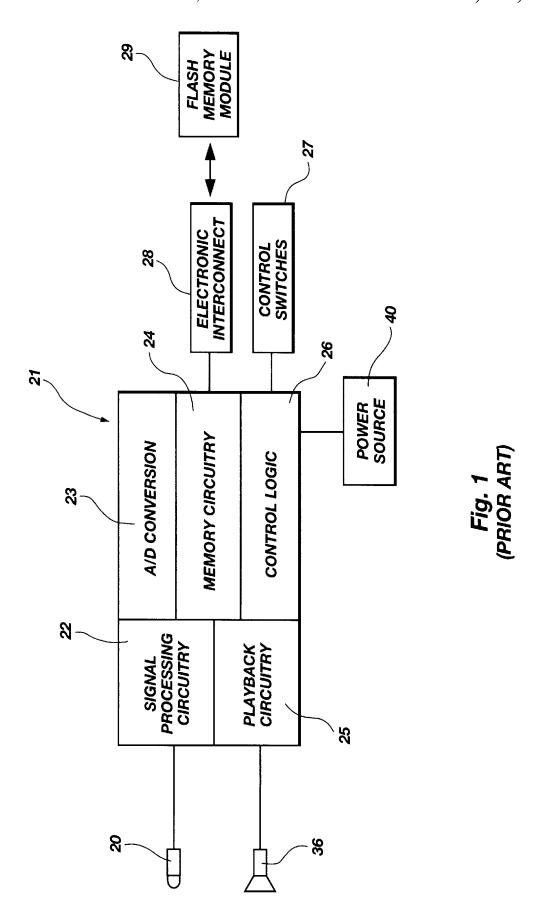
A record/playback device for use with a removable, interchangeable, flash memory recording medium which enables noise dampened recording of voice data and CD quality stereo recording of music data. The device includes a port for receiving a flash memory module which can record data according to industry standard formats to enable the transfer of data to and from personal computers through swapping of flash memory media. Alternative forms of data input and output also include implementation of a barcode reader and an infra-red transceiver for the transfer of data to and from the device.

6 Claims, 4 Drawing Sheets



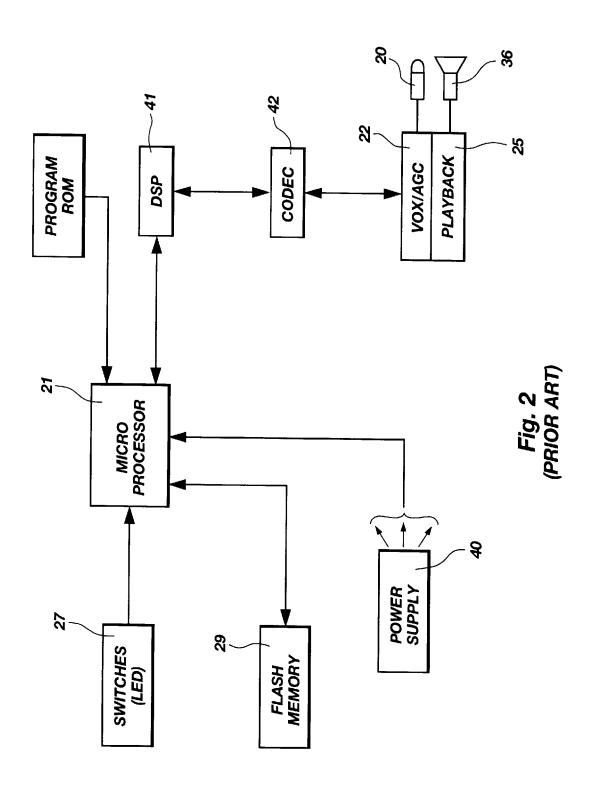
Nov. 17, 1998

Sheet 1 of 4



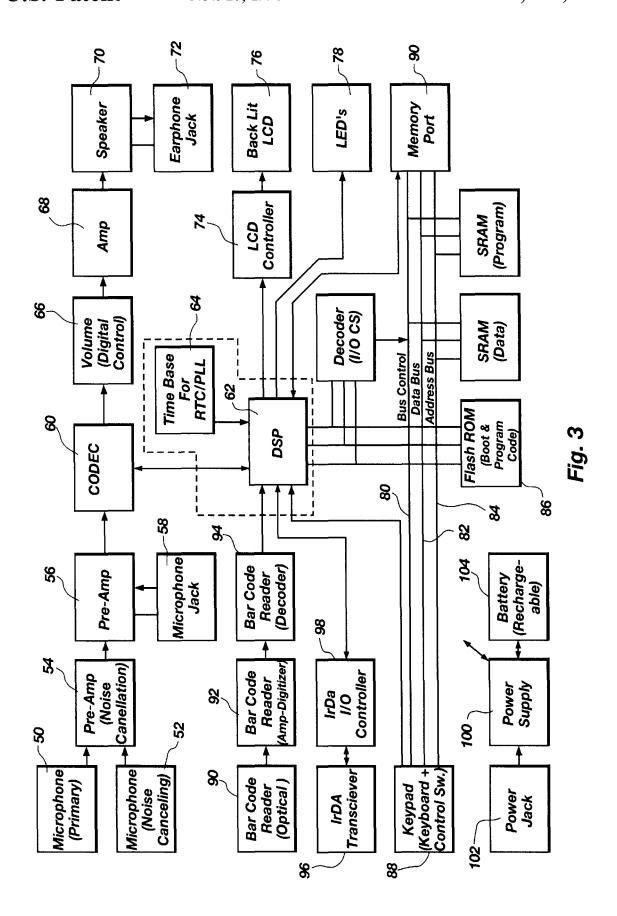
Nov. 17, 1998

Sheet 2 of 4



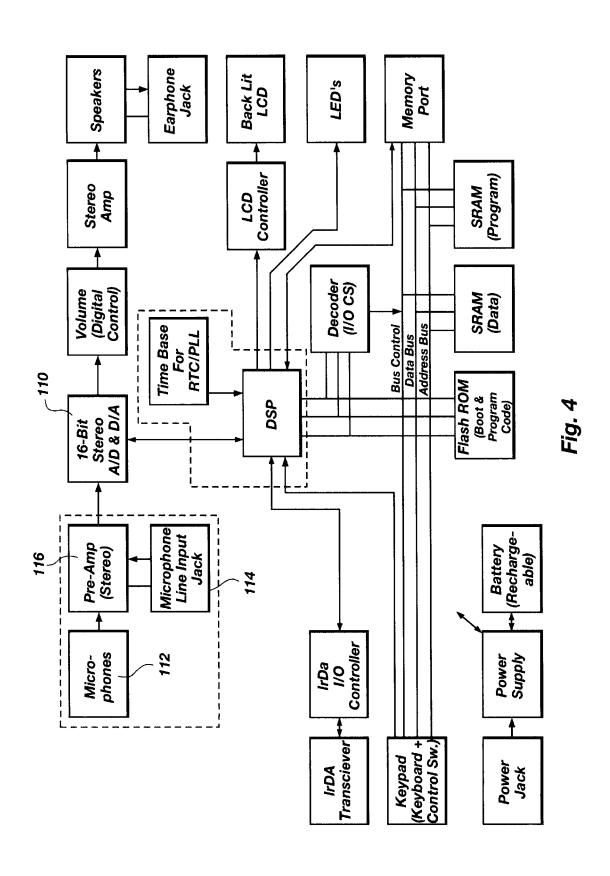
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Sheet 3 of 4



Nov. 17, 1998

Sheet 4 of 4



5,839,108

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FLASH MEMORY FILE SYSTEM IN A HANDHELD RECORD AND PLAYBACK DEVICE

This patent application is a continuation-in-part of U.S. 5 patent application Ser. No. 08/612,772, filed Mar. 7, 1996, for an operating system including improved file management for use in devices utilizing flash memory as main memory.

BACKGROUND OF THE INVENTION

1. Field of the Invention

This invention pertains to a system for memory management in a non-volatile, long-term storage medium utilized in audio recording and playback devices. More particularly, this system organizes flash memory such that data storage and retrieval is optimized so as to decrease system overhead and thereby increase data throughput, system stability and fault tolerance, while improving noise reduction, improving the quality of audio data recording and playback, and increasing versatility of data input and output methods.

2. Prior Art

The present invention hereinafter incorporates by reference the materials disclosed in U.S. Pat. No. 5,491,774 for a HANDHELD RECORD AND PLAYBACK DEVICE WITH FLASH MEMORY by Norris et al. This patent provides a detailed example of the benefits of flash memory in a portable recorder. Most importantly, the parent application provides an operating system which is optimized for use in the handheld record and playback device mentioned above.

The motivation for the improvements in the hardware and the software elements of the present invention originated in a desire of the inventors to substantially improve the quality of audio recording and playback in handheld record and playback devices. It is unprecedented to expect high quality recording and playback capabilities on such a reduced scale. Therefore, while the benefits of efficient organization of data stored in long-term storage media is becoming realized, there remain substantial drawbacks in audio quality. For example, implementation of a system which can provide reliable data (sound file) recording and retrieval typically comes at the price of reduced audio performance, and convenience to the user.

The parent application of the present invention was in part devoted to the practical implementation of replacing typical long-term storage media with a non-volatile counterpart, flash memory. The application included overcoming the inherent practical limitations of using flash memory by developing a sophisticated operating system to efficiently manage data stored and retrieved therefrom. Accordingly, the challing system to control and undio recording and play controlling a sound capabitage to simplify the process to provide memory became recording and playback.

The parent application also addressed the drawbacks of 55 other prior art methods of file management designed specifically for use with flash memory such as the system taught in U.S. Pat. No. 5,404,485 issued to Ban. Ban, however, still takes a more conventional and disadvantageous approach of manipulating data stored in flash memory by first reading the 60 data out to a large random access memory (RAM), manipulating the data in RAM, erasing the flash memory where the data was originally stored, and then writing the data from RAM back to a contiguous block of flash memory. Ban also disadvantageously creates a file structure similar to personal 65 computer based DOS (disk operating system) which maps the location of stored data.

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The method of Ban creates several severe overhead burdens on the system which substantially hurt system performance. More specifically, Ban uses a virtual memory mapping system similar to the DOS file allocation table 5 (FAT), the virtual memory map converting virtual addresses to physical addresses. Using this method of indirection, Ban attempts to facilitate use of flash memory as RAM. The problem with this approach is that Ban creates the need for this indirection because data manipulation takes place outside of flash memory. Ban mistakenly teaches that the time wasted copying blocks of data from flash memory to RAM for manipulation then back into flash memory is unavoidable.

A further significant drawback to Ban is the lack of fault tolerance in a system that utilizes a virtual map stored partially in RAM. The system is inherently unstable because any loss of power to RAM destroys the map which must then be reconstructed before the system can read or write data to flash memory.

Another drawback of Ban is that the RAM requirement grows as flash memory grows. This is the consequence of using a virtual map whose size is dependent upon the total amount of RAM available, and is thus a ratio of the larger flash memory media in order to reflect a scaled version of what is stored in physical addresses.

Ban essentially teaches that it is necessary to follow the method already used in the conventional DOS operating system which also relies on long-term storage in conjunction with significant RAM resources. That is to say, the access to and structure of storage media is changed as little as possible so that the operating system does not have to be significantly altered to utilize flash memory.

While the objective of making a system see flash memory as RAM with its accompanying benefits of non-volatility is desirable, the approach taken by Ban fails to take full advantage of flash memory by continuing to rely heavily on RAM resources. This system then suffers from lack of fault tolerance which not only jeopardizes reliability, but slows down the entire system by requiring large data transfers between RAM and flash memory.

The drawbacks of Ban are accentuated when what is desired is to improve even further on the inventive hardware and software of the parent application and that disclosed in U.S. Pat. No. 5 491 774

Accordingly, the challenge is to use an advanced operating system to control a non-volatile, long-term storage medium such as flash memory in an improved handheld audio recording and playback device capable of providing CD quality sound capabilities. It would be a further advantage to simplify the processing of audio data by directly controlling a sound processor. It would be yet another advantage to provide more versatile data input and output methods to simplify use of CD-quality audio data.

OBJECTS AND SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a CD quality record/playback device utilizing a computer chip memory which offers long play operation similar to standard cassette tapes or compact disks.

It is a further object of this invention to provide such a device which has no moving parts, and in particular, no drive mechanism or means for moving a recording medium.

Another object of this invention is a record/playback device which utilizes nonvolatile memory stored in an integrated chip of compact size.

Another object of the invention is to provide a handheld recorder which provides noise reduction for voice recording, and stereo recording of CD quality for music recording.

Another object is to provide additional methods for data input and output which are not found in handheld record and 5 playback devices.

Another object is to provide a bar code reader as a method for data input to the handheld record and playback device to enable scanning of bar code data which can also be associated with other data such as verbal commentary.

Another object is to provide an infra-red input and output transceiver for the handheld record and playback device, to enable receiving and sending of data via an infra-red communication port.

Another object is to provide program updates to the operating system of the handheld record and playback device.

Another object is to enable the flash memory to store data so as to appear readable to industry standard information storage and retrieval operating interfaces and operating systems.

layout for the prior art handheld record disclosed in U.S. Pat. No. 5,491,774.

FIG. 2 is an alternate block diagram detail on the functional operations of

Another object is to simplify circuitry of the handheld record and playback device.

Another object is to increase the audio resolution of the 25 handheld record and playback device to enable CD quality input and output.

Another object is to enable a plurality of different flash memory storage devices utilizing different technologies to be used with a same handheld record and playback device. ³⁰

Another object is to increase a sampling rate of data and provide improved compression algorithms to support CD quality audio capabilities.

Another object of the present invention to provide a file system for non-volatile, long-term storage media which has a low processing overhead requirement, thus increasing data throughput.

Another object of this invention to provide a file system which has particular application to the storage medium of $_{40}$ flash memory.

Another object of the present invention to provide a file system which is significantly fault tolerant.

These and other objects are realized in a CD quality record/playback device for use with a removable, 45 interchangeable, flash memory recording medium which enables extended recording of 30 minutes or more. The device includes at least one microphone element configured to receive and process sound into electrical signals and control circuitry coupled to the microphone element and 50 including signal input circuitry, amplification circuitry, analog-to-digital conversion circuitry, memory circuitry, signal output circuitry and control logic circuitry for performing CD quality record and playback functional operations with respect to the electrical signals and other regu- 55 lated components of the record/playback device. A receiving socket is electrically coupled to the memory circuitry and configured for electrical coupling with a flash memory module which is capable of retaining recorded digital information for storage in nonvolatile form. A speaker is coupled to the control circuitry for playback of recorded digital information and a power source is coupled to the control circuitry for supplying electrical power to the device.

In another aspect of the invention, noise reduction is advantageously provided when recording voice data. 65 Through companding of the voice signal data, non-linear signal conditioning provides better sound resolution than is 4

otherwise possible with a standard A/D conversion techniques. The noise cancellation is eliminated when recording music which would otherwise compromise true sound recording and playback.

The present invention also includes a method of memory management for a primary memory created from non-volatile, long-term storage media, in particular flash memory, which enables direct manipulation of data segments stored therein.

These and other objects, features, advantages and alternative aspects of the present invention will become apparent to those skilled in the art from a consideration of the following detailed description taken in combination with the accompanying drawings.

DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram providing a preferred functional layout for the prior art handheld record and playback device disclosed in U.S. Pat. No. 5.491.774.

FIG. 2 is an alternate block diagram providing additional detail on the functional operations of the device taught in FIG. 1.

FIG. 3 is a block diagram of the components in a presently preferred embodiment of the present invention which enables a handheld record and playback device to record and playback voice data using noise cancellation in accordance with the teachings of the present invention.

FIG. 4 is a block diagram of the components in a presently preferred embodiment of the present invention which enables a handheld record and playback device to record and playback music data at CD quality levels in accordance with the teachings of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made to the drawings in which the various elements of the present invention will be given numerical designations and in which the invention will be discussed so as to enable one skilled in the art to make and use the invention. It is to be understood that the following description is only exemplary of the principles of the present invention, and should not be viewed as narrowing the claims which follow.

FIGS. 1 and 2 are block diagrams of the prior art described in U.S. Pat. No. 5,491,774, and previously incorporated by reference. It is useful to include a portion of the description so that the differences between the presently preferred embodiments and the prior art are more evident when examining FIGS. 3 and 4 of the present invention.

The invention disclosed in U.S. Pat. No. 5,491,774 offers the advantages of a removable element smaller in size than a cassette tape, but which has no moving parts. This removable component comprises a flash memory chip or module which has the capacity to store digital information without need for ongoing power support. The stored information is substantially permanent and is not susceptible to magnetic erasure or modification. This information can be immediately recovered by inserting the module into any compatible recorder for immediate playback. It therefore functions in a manner comparable to a conventional handheld recorder, but without the deficiencies noted above for mechanical drive systems.

The record/playback device comprises a microphone element 20 which is coupled to control circuitry 21 which processes the audio signal. Any microphone element may be

used. The illustrated microphone is an electret element which is commonly used in handheld dictaphone equipment.

The control circuitry 21 includes signal input and amplification circuitry 22, analog-to-digital conversion circuitry 23, memory circuitry 24, signal output circuitry 25 and control logic circuitry 26 for performing record and playback functional operations with respect to the electrical signals and other regulated components of the record/ playback device. Some of these functions are manually controlled by the operator with switches 27, as well as automatic operations controlled by software and firmware elements of the device. These components are discussed in greater detail hereafter.

An electronic interconnect means 28 is electrically coupled to the memory circuitry 24 and is configured for removable, electrical coupling with a flash memory module 29 capable of retaining recorded digital information for storage in nonvolatile form. The flash memory module is a digital memory storage device manufactured by Intel Corporation of Santa Clara, Calif. (see U.S. Pat. No. 5,267,218). This module 29 includes a plurality of memories arranged in an array, which can be electrically programmable or eras-

A speaker 36 is coupled to the control circuitry 21, and specifically to the record playback circuitry 25, for playback of recorded digital information. Here again, the speaker device and attachment techniques are conventional parts of a recorder device. Selection of a specific speaker will depend on the desired quality of reproduction, as well as size limitation. For example, the speaker may consist of an ear plug jack and removable ear plug. Where the device is used for playback of music, a high fidelity speaker may be desired.

The control circuitry 21 and associated functional components are powered by a battery source 40, which is described in greater detail hereafter. Control circuitry is provided to enhance power conservation and to more effectively control allocation of voltage levels to circuitry components in accordance with specific power needs. The con- $_{40}$ trol circuitry includes embedded software commands for disabling certain circuitry components when not needed, as well as trigger commands to restore power for rapid response of disabled circuits. An alternate AC/DC input port is also provided.

An additional significant feature of the device comprises compression circuitry 41 and 42 coupled to the memory circuitry 24 (generally forming part of the control circuitry generally identified as 21) for compressing digital signal for storage in the flash memory module. This circuitry includes 50 mines the threshold for automatically activating the recorda DSP 41 and CODEC 42 which cooperate to compress data at a ratio of 16:1 for storage. Applying such data compression provides record times of 60 minutes or more, corresponding to traditional cassette tape recording times. These same components 41 and 42 cooperate as data retrieval circuitry for expanding compressed signal to a useful output signal to be sent to the speaker 36.

Overall system design is based on the use of a microprocessor which can drive all system components. This eliminates the need for use of multiple interface logic that 60 demands a lot of board space within the circuitry. The DSP or digital support processor is coupled to the microprocessor and to a CODEC for coding and decoding the analog signal received from the microphone or transmitted to the speaker. functions to receive the analog signal input and convert it to PCM or pulse code modulation and then feed this signal to

the DSP. Conversely, when operating in play back mode, the DSP will feed pulse code modulation digital signal to the codec and the codec converts it to an analog signal that can be fed through an amplifier to a speaker.

Once the DSP compresses that data through customary hardware handshaking techniques, it feeds the data back to the microprocessor through a host bus. The basic functionality is as follows. If in a recording mode, the microprocessor sends commands to the DSP placing it into a record mode. The microprocessor places the DSP in a record mode where it retrieves data from the codec, compresses it and returns it to the microprocessor in the form of status words. The micro-controller then takes that data and sends it out to the PCMCIA connector or through the PCMCIA connector to the flash memory module.

To get the data back, the status request command is sent by the microprocessor and data is returned in compressed form. The compressed data is retrieved in 16 bit format. The specific addresses are written in the microprocessor for maintaining data location. The process involves sequential addressing through flash memory. The microprocessor's responsibility is to separate different messages and to be able to index the different messages and the different offsets within those messages. It maintains an address pointer and sequentially takes byte information from the DSP and writes it to memory in the form of a file. Conversely, the microprocessor in the play scenario places the DSP in a play mode and the DSP expects to be fed compressed data information at a specific rate. That rate is governed by hardware handshaking signals between the DSP and the microprocessor.

The microprocessor monitors data transfer status and every time the DSP is ready for another byte of information, it goes to flash, reads that byte of information from a location for spotting to a certain offset inside of a message, reads it back into the microprocessor and then writes it out to the DSP. The DSP takes blocks of 28 bytes and expands them and decompresses them into pulse code modulation. Each of those 28 bytes corresponds to 33 milliseconds of recorded analog signal.

The audio processing circuitry 22 and 25 includes circuitry that conditions the analog signal for both recording and playback. It feeds the signal through a differential amplifier. This boosts the signal and also removes common mode noise to produce a quieter signal.

Following this conditioning, the signal is processed through an automatic gain control circuit (AGC) where the recording level is automatically adjusted to a favorable level.

Also attached to the recording circuit is a VOX circuit for implementing voice operated recording. This circuit detering mechanism. This feature is typically available on dictating equipment for facilitating hands-free use.

Having described some specific aspects of some block diagrams of the prior art, it is now meaningful to examine 55 the improvements provided by the present invention. When looking at FIGS. 3 and 4, one of the most important modifications is the inclusion of a specific voice recording and playback embodiment which is distinct and separate from a music recording and playback embodiment. The purpose for this distinction is evident from a closer examination of the presently preferred voice and music embodiments.

FIG. 3 illustrates in a block diagram the components of a system which enables a handheld record and playback Codec also performs the analog to digital conversion. It 65 device typically smaller than a dictaphone to record and playback voice data using noise cancellation in accordance with the teachings of the present invention.

A first area of improvement is in the microphone input. Specifically, there are now provided a primary microphone 50 and a noise canceling microphone 52. In other words, the noise canceling microphone 52 is able to provide a signal which is useful in canceling noise received by the primary 5 microphone 50, using techniques which are well known to those skilled in the art. It is important to realize that this function is desirable when recording a voice as opposed to the situation when music is being recorded. Accuracy in recording music is a very distinct process from accurately 10 recording a voice. For example, it is not as important that a voice being played back sound as close as possible to the actual voice which was recorded. What is important is that the voice be distinct and distinguishable from distracting or interfering noise in the background. Consequently, accuracy 15 in reproducing the recorded voice is advantageously sacrificed for clarity.

The function of noise cancellation is accomplished utilizing a first pre-amplifier 54, also by utilizing methods well known to those skilled in the art. A second pre-amplifier 56 20 is then utilized for the purposes of automatic gain control and voice activated recording, as is explained in the previously issued U.S. Pat. No. 5,491,774. Accordingly, an external microphone jack 58 is provided to assist in these functions. Therefore, despite the small size of the present 25 invention, it accomplished acoustical noise reduction at the microphone input.

Before examining other methods of data input, the other elements of the embodiment of FIG. 3 include a CODEC 60. As explained in the prior art, the CODEC 60 is utilized for coding and decoding the analog signal received from the microphone or transmitted to the speaker. The CODEC 60 also performs the analog to digital conversion. It functions to receive the analog signal input and convert it to pulse code modulation (PCM) and then feed this signal to a digital signal processor (DSP) 62. Conversely, when operating in play back mode, the DSP 62 will feed pulse code modulation digital signals to the CODEC 60 and the CODEC 60 converts it to an analog signal that can be fed through an amplifier to a speaker.

An important distinction between the voice and the music embodiments also takes place here. The voice embodiment utilizes companding to increase the sound resolution. Utilizing non-linear signal conditioning enables an 8 bit input signal to have the response of a 13 bit resolution voice signal. This higher resolution is obtained without having to provide the hardware for the higher resolution.

The introduction of the DSP 62 raises another important Among reasons such as yo decrease cost of the system and increase system throughput, the micro-controller is eliminated. Modern DSPs can include within their structure an imbedded micro-controller. Accordingly, program instructions which were previously executed by a separate microcontroller are now accomplished within the DSP 62 itself. It should be realized that elimination of the micro-controller also reduces the total size of a circuit board, as well as reduce the system power requirements.

provides a real time clock (RTC), as well as a phase-locked loop (PLL). This time base 64 enables the DSP to control timing functions which are necessary for voice data segments to be stored and recalled in proper sequence.

The present invention also includes a digital volume 65 controller 66, an amplifier 68, an external loudspeaker 70, and an earphone jack 72 for private listening.

Associated with the DSP 62 is a liquid crystal display (LCD) controller 74, and a backlit LCD 76. Along with this display which enables the display of words are LEDs 78 which enable non-alphanumeric display of information.

The DSP 62 is coupled by a bus control bus 80, a data bus 82 and an address bus 84 to other system components. For example, the executable program instructions are stored in a presently preferred embodiment in a flash ROM 86. These program instructions include a boot-up program for system initialization, as well as program instructions for controlling the various functions of the handheld record and playback

A particularly advantageous feature of the present invention includes the aspect of upgrading the flash ROM 86 which is coupled to the three buses 80, 82 and 84. As various functions are enabled on the handheld record and playback device, for example, through the addition of various hardware attachments or upgrades, it is possible to enable the device to accomplish more than is presently programmed at any given time. Accordingly, the ability to change and update a presently existing program stored in flash ROM 86 is an ability which enables the present invention to take advantage of functions either not contemplated or not implemented.

Another component which may be coupled to the three buses is an input device which is new to the present invention. Specifically, a keyboard 88 is a feature which can be implemented in various ways. For example, the keyboard 88 could be a very small input device which is not intended for use by fingers. Instead, a stylus or other small punch device (not shown) could be used to press keys. It should be apparent that single and multi-function control switches can also be coupled to the three buses.

Also coupled to the three buses is a memory cartridge port 90. At this interface 90, the main system memory is coupled to the device. Of course, flash memory in all of its different implementations can be used as explained previously. However, the present invention includes at this port 90 the ability to provide signals which are compatible with various 40 data exchange formats. For example, in the presently preferred embodiment, the port 90 is able to provide signals which are compliant with an industry standard IDE hard drive memory card interface.

It is another advantage of the present invention to be able 45 to provide industry compliant signals which are compatible with long-term storage media. In this way, a flash memory coupled to the port 90 could be removed from the handheld record and playback device and coupled to a port on a personal computer which is also compliant with the IDE difference between the prior art and the present invention. 50 hard drive memory card interface in order to exchange data therebetween.

Although the present invention is able to store data in a format which is readable by the IDE hard drive memory card interface, the underlying data is advantageously stored in accordance with the NORRIS FLASH FILE SYSTEM as disclosed in the parent application. Alternatively, the present invention makes possible a hybrid combination of the NOR-RIS FLASH FILE SYSTEM and the IDE hard drive memory card interface which follows more closely the Functioning with the DSP 62 is a time base 64 which 60 conventional memory scheme of the IDE hard drive memory card interface. Nevertheless, the advantages of the present invention are better realized by manipulating data and executing voice message creation, insertion, deletion and other record and playback functions in accordance with the NORRIS FLASH FILE SYSTEM.

> The presently preferred embodiment of the present invention also includes the use of two advantageous methods of

input, and one new advantageous method of output. One of the new methods of input is comprised of a barcode reader 90. A barcode reader 90 is an optical sensor which is typically implemented as some type of laser emitting device and a laser sensor. Barcode readers are often utilized in grocery stores to read prices from UPC labels. However, the uses of barcodes go beyond commercial retail. For example, when a doctor makes rounds, it is often necessary to dictate information to be included in a medical file. The notes are often made while the doctor has the file. The dictation notes 10 can be correlated to a particular file by including barcode labels on the files. A transcriptionist is then easily able to determine to which file the transcribed notes pertain by accessing the associated barcode label which the doctor scanned with the barcode reader 90 when making the notes. 15 The example above only illustrates one possible use for a barcode reader implemented in the handheld record and playback device.

Additional circuitry required by the barcode reader 90 is a signal amplifier and digitizer 92, and a decoder 94 which ²⁰ interprets the digitized barcode signal. The decoded signal is transferred to the DSP 62 for utilization as required.

The remaining newly implemented methods of input and output in the present invention are related technology. Specifically, an infra-red transceiver 96 is provided with an associated infra-red input/output controller 98. The infra-red I/O controller is in turn coupled to the DSP 62 which transmits signals to and receives signals from the infra-red transceiver 96. It is believed that the present invention is the first implementation of an infra-red transceiver in a handheld record and playback device which is advantageously capable of transmitting and receiving voice data.

The infra-red transceiver 96 capabilities are provided for various reasons. For example, it can be used for data collection. It should also be realized that the data can be comprised of voice, demographic, configuration and program data. The infra-red transceiver 96 also makes possible the rapid exchange of data between handheld record and playback devices without having to exchange data recording media. The data can also be transferred between a handheld record and playback device and a personal computer.

A final system of the handheld record and playback device to be improved is the power supply 100. The system can include a battery 104, as well as a battery charger 100. Alternatively, power can be supplied through a power jack 102.

The differences between the presently preferred voice record and playback embodiment of FIG. 3 and the presently preferred music record and playback embodiment of FIG. 4 are mainly limited to a modification of the music input system, and elimination of the barcode reader system 90,92 and 94.

Specifically, the music input system must provide better sound resolution than the voice embodiment. This is implemented in order to provide for CD quality sound recording and playback. Typically, CD quality sound capabilities are not expected from a device which does not provide the ability to play a CD. However, flash memory which is typically utilized as the storage medium for the present 60 invention is capable of storing the large amount of audio data which is typically stored on a CD. CDs hold a large amount of data in order to provide the significantly higher sound resolution of music as compared to voice data. For example, the presently preferred embodiment of the voice 65 system samples data at a rate of 8 KHz. However, CD quality sound reproduction requires sampling data at a rate

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of 44.1 KHz. Accordingly, the CODEC **60** of FIG. **3** is replaced with a 2 channel 16 bit stereo sound A/D and D/A circuit **110**. The 13 bit effective rate of the voice system of figure is improved to the 16 bit system required for CD quality sound recording and playback. It should be noted that the music embodiment of FIG. **4** results in an uncompressed data rate of 176,000 bytes/second as compared to 8,000 bytes/second for the voice embodiment.

CD quality recording and playback is heretofore unprecedented in a handheld record and playback device such as the present invention. However, by transferring CD music files to a flash memory unit, the flash memory can be coupled to the present invention, effectively resulting in a CD player without moving parts or the CD.

The music embodiment of FIG. 4 is also different in the microphone input. Whereas the voice embodiment only required monaural capabilities, recording requires use of a true stereo microphone 112 to record two channels of sound. Likewise, a microphone or line input jack 114 can be provided for direct electrical coupling of a signal to the handheld record and playback device. Both the jack 114 and stereo microphone 112 inputs feed to a stereo signal preamplifier 116. The pre-amplifier 116 sends its signals to the 16 bit stereo A/D and D/A 2 channel converter 110.

What may not be realized is that the present invention is capable of recording to and receiving data from all existing implementations of flash memory, including NOR, captive NAND and CompatchFlash memory modules operating in IDE mode. Only minor modifications are required in the NORRIS FLASH FILE SYSTEM in order to implement the different types of flash memory. Yet this capability enables the present invention to utilize the most inexpensive or best flash memory available. The operating system changes are focused, for example, about the varying methods of addressing the flash memory, and changes in "sector" and "block" sizes.

The CompactFlash memory module is also capable of going directly from the memory port 90 of the present invention directly to a port on a laptop of desktop personal computers with an PCMCIA interface. In this example, playing a CD on the present invention would then involve copying data from the CD in a laptop or desktop computer to the CompactFlash memory module. The CompactFlash memory module would then be inserted into the memory port 90 of the present invention. However, as a practical matter and in order to reduce memory costs, the data will typically be compressed on the laptop or desktop computer before copying it to the CompactFlash or other flash memory module. The presently preferred embodiment then provides for decompressing the data real-time during playback.

The compression algorithm implemented in the present invention can vary as necessary and as technology changes. However, industry standards such as MPEG-2 can presently be utilized.

It is to be understood that the above-described arrangements are only illustrative of the application of the principles of the present invention. Numerous modifications and alternative arrangements may be devised by those skilled in the art without departing from the spirit and scope of the present invention. The appended claims are intended to cover such modifications and arrangements.

What is claimed is:

1. A method of memory management for a primary memory created from a non-volatile, long-term storage medium, said method enabling direct manipulation of con-

tiguous and non-contiguous discrete data segments stored therein by a file system, and comprising the steps of:

- (a) creating the primary memory from a non-volatile, long-term storage medium, wherein the primary memory comprises a plurality of blocks in which the 5 data segments are to be stored;
- (b) coupling a cache memory to the primary memory, said cache memory providing temporary and volatile storage for at least one of the data segments;
- (c) writing a new data segment from the cache memory to the primary memory by linking said new data segment to a sequentially previous logical data segment by the following steps:
 - memory;
 - (2) moving the new data segment from the cache memory to a next available space within primary memory such that the new data segment is stored in primary memory in non-used memory space;
 - (3) identifying the previous logical data segment in primary memory;
 - (4) creating a logical link between the previous logical data segment and the new data segment such that the logical link provides a path for sequentially accessing the data segments within the primary memory;
 - (5) creating additional serial and logical links as subsequent new data segments are written to primary memory, said logical links providing the path for serially accessing the data segments regardless of $_{30}$ contiguity of the data segments relative to each other within the primary memory; and
 - (6) storing the data segments to primary memory in a manner consistent with an industry standard data storage format while retaining linking between data 35 segments created in previous steps.
- 2. A record/playback device for use with a removable, interchangeable, flash memory recording medium which enables extended voice recording comparable with tape cassette dictating equipment, said device comprising:
 - a housing:
 - a first microphone element coupled to the housing and configured to receive and process sound into electrical signals;
 - a second microphone element coupled to the housing and 45 configured to receive and process sound so as to cancel noise received at the first microphone element;
 - control circuitry coupled to the microphone element and including signal input circuitry, amplification circuitry, analog-to-digital conversion circuitry, memory control 50 circuitry, signal output circuitry and control logic circuitry for performing record and playback functional operations with respect to the electrical signals and other regulated components of the record/playback device;
 - said switch means coupled to the control circuitry for selecting the desired functional operations to be performed;
 - a receiving socket electrically coupled to the memory 60 ing data which is transmitted to the digital signal processor. control circuitry and configured for electrical coupling with a flash memory module which operates as sole

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- memory of the received processed sound electrical signals and is capable of retaining recorded digital information for storage in nonvolatile form; and
- a speaker coupled to the control circuitry for playback of recorded digital information; and a power source coupled to the control circuitry for supplying electrical power to the device.
- 3. The device as defined in claim 2 wherein the device further comprises a barcode reader coupled to the digital signal processor for optically scanning barcode data, amplifying and digitizing the barcode data, decoding the barcode data and transmitting the barcode data to the digital signal processor.
- (1) receiving the new data segment in the cache

 15 further comprises an infra-red transceiver circuit coupled to the digital signal processor for selectively transmitting data from the digital signal processor, and for selectively receiving data which is transmitted to the digital signal processor.
 - 5. A record/playback device for use with a removable, ²⁰ interchangeable, flash memory recording medium which enables music recording and playback comparable with compact disc (CD) quality equipment, said device comprising:
 - a housing;
 - a first microphone element coupled to the housing and configured to receive and process a first channel of sound into electrical signals;
 - a second microphone element coupled to the housing and configured to receive and process a second channel of sound into electrical signals;
 - a two channel, CD quality stereo signal pre-amplifier for receiving the first channel and the second channel of sound from the first and the second microphones;
 - control circuitry coupled to the microphone element and including two channel analog-to-digital conversion circuitry, memory control circuitry, signal output circuitry and control logic circuitry for performing music record and playback functional operations with respect to the electrical signals and other regulated components of the record/playback device;
 - said switch means coupled to the control circuitry for selecting the desired functional operations to be performed;
 - a receiving socket electrically coupled to the memory control circuitry and configured for electrical coupling with a flash memory module which operates as sole memory of the received processed sound electrical signals and is capable of retaining recorded digital information for storage in nonvolatile form; and
 - a speaker coupled to the control circuitry for CD quality playback of recorded digital information; and a power source coupled to the control circuitry for supplying electrical power to the device.
 - 6. The device as defined in claim 5 wherein the device further comprises an infra-red transceiver circuit coupled to the digital signal processor for selectively transmitting data from the digital signal processor, and for selectively receiv-

UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. : 5,839,108 Page 1 of 1

APPLICATION NO.: 08/884245

DATED : November 17, 1998 INVENTOR(S) : Daberko et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 11, line 56 in claim 2, please delete the word "said"

Column 12, line 42 in claim 5, please delete the word "said"

Signed and Sealed this

Twenty-fifth Day of September, 2007

JON W. DUDAS
Director of the United States Patent and Trademark Office

Case 3:14-cv-00227-H-BGS Document Stilled 01/31/14 Page 22 of 22

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating

the civil docket sheet. (SEE INS	STRUCTIONS ON NEXT PAGE	OF THIS FORM.)					
I. (a) PLAINTIFFS				DEFENDANTS			
e.Digital Corporation				Shinano Kenshi Co., Ltd.; Shinano Kenshi Corporation, dba under the brand name Plextor; Plextor LLC; and, Plextor Americas			
(b) County of Residence of	of First Listed Plaintiff	an Diego		County of Residence	of First Listed Defendant	Nagano-Ken, Japan	
(b) County of Residence of First Listed Plaintiff San Diego (EXCEPT IN U.S. PLAINTIFF CASES)				County of residence	(IN U.S. PLAINTIFF CASES		
				NOTE:	*	CASES, USE THE LOCATION OF	
(c) Attorneys (Firm Name, A	Address, and Telephone Number	r)		Attorneys (If Known)	'14CV0227 LAB	BLM	
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