

IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
AUSTIN DIVISION

CROSSROADS SYSTEMS, INC.,	§	
	§	
Plaintiff,	§	
	§	CIVIL ACTION NO. 1:14-cv-00149
v.	§	
	§	JURY DEMANDED
NETAPP, INC.,	§	
	§	
Defendant.	§	

**PLAINTIFF CROSSROADS SYSTEMS, INC.’S  
FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT**

**THE PARTIES**

1. Pursuant to Federal Rule of Civil Procedure 15(a)(1)(B), Plaintiff Crossroads Systems, Inc. (“Crossroads”) hereby submits its First Amended Complaint for Patent Infringement as a matter of course within 21 days after service of NetApp, Inc.’s Answer and Affirmative Defenses (Dkt. No. 21).

2. Crossroads is a corporation incorporated under the laws of the State of Delaware and has its principal place of business at 11000 North MoPac Expressway, Austin, Texas 78759.

3. Upon information and belief, Defendant NetApp, Inc. (“Defendant” or “NetApp”) is a California corporation with a principal place of business of 495 East Java Drive, Sunnyvale, California 94089.

**JURISDICTION AND VENUE**

3. This action arises under the laws of the United States, more specifically under 35 U.S.C. § 100, *et seq.* Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §§ 1331 and 1338.

4. Personal jurisdiction and venue are proper in this district under 28 U.S.C. §§ 1391 and 1400(b). Upon information and belief, Defendant NetApp has established minimum contacts with this forum such that the exercise of jurisdiction over Defendant would not offend traditional notions of fair play and substantial justice.

5. This Court has personal jurisdiction over NetApp. Upon information and belief, NetApp regularly conducts business in the State of Texas and in this judicial district and is subject to the jurisdiction of this Court. Upon information and belief, NetApp has been doing business in Texas and this judicial district by distributing, marketing, selling and/or offering for sale its products, including, but not limited to, products that practice the subject matter claimed in United States Patent Nos. 6,425,035 (“the ’035 Patent”), 7,934,041 (“the ’041 Patent”), 7,051,147 (“the ’147 Patent”), and 7,987,311 (“the ’311 Patent”) (collectively “the Patents-In-Suit”), and/or regularly doing or soliciting business and/or engaging in other persistent courses of conduct in and/or directed to Texas and this judicial district.

**COUNT 1: INFRINGEMENT OF U.S. PATENT NO. 6,425,035**

6. Crossroads incorporates by reference the allegations set forth in the preceding paragraphs.

7. On July 23, 2002, the ’035 Patent was duly and legally issued. A true and correct copy of the ’035 Patent is attached hereto as Exhibit A. Crossroads is the assignee and the owner of all right, title, and interest in and to the ’035 Patent. The ’035 Patent is entitled to a presumption of validity.

8. On information and belief, Defendant has directly infringed the ’035 Patent. On information and belief, Defendant continues to directly infringe the ’035 Patent.

9. Specifically, on information and belief, Defendant has directly infringed the '035 Patent by making, using, offering for sale, selling and/or importing into the United States certain of its products including at least the following: NetApp E5400 Series Storage Arrays (including but not limited to the E5460, E5424, and E5412), E5500 Series Storage Arrays with FC, iSCSI and InfiniBand interfaces (including but not limited to the E5560, E5524, and E5512), E2700 Storage Systems with FC and iSCSI interfaces (including but not limited to the E2760, E2724, E2712), E2600 Storage Systems with FC and iSCSI interfaces (including but not limited to the E2600-60, E2600-24, E2600-12), EF550 Flash Arrays with FC, iSCSI and InfiniBand Host interfaces, EF540 Flash Arrays, FAS2200 Series (including but not limited to FAS2220 and FAS2240), FAS3200 Series (including but not limited to FAS3270, FAS3250, FAS3240, FAS3220, FAS3210), FAS6200 Series (including but not limited to FAS6210, FAS6220, FAS6240, FAS6250, FAS6280, FAS6290), FAS8000 Series (including but not limited to FAS8060, FAS8040, FAS8020), V3200 Series Storage Controllers (including but not limited to V3220, V3240, V3250, V3270), V6200 Series Storage Controllers (including but not limited to V6210, V6220, V6240, V6250, V6280, V6290) and the Data ONTAP Operating System (including but not limited to Data ONTAP 8.2), hereinafter "the Accused Products".

10. Further, on information and belief, Defendant has been and now is indirectly infringing by way of inducing infringement of the '035 Patent with knowledge of the '035 Patent by making, offering for sale, selling, importing into the United States, marketing, supporting, providing product instruction and/or advertising certain of its products, including the Accused Products, and Defendant knew that its actions were inducing end users to infringe the '035 Patent.

11. Further, on information and belief, Defendant has been and now is indirectly infringing by way of contributing to the infringement by end users of the '035 Patent by selling, offering to sell and/or importing into the United States components, including the Accused Products, knowing the components to be especially made or especially adapted for use in the infringement of the '035 Patent. Such components are not a staple article or commodity of commerce suitable for substantial non-infringing uses.

12. Defendant has been on constructive and/or actual notice of the '035 Patent since at least as early as October 2002, and Defendant has not ceased its infringing activities. The infringement of the '035 Patent by Defendant has been and continues to be willful and deliberate.

13. Crossroads has been irreparably harmed by Defendant's acts of infringement of the '035 Patent, and will continue to be harmed unless and until Defendant's acts of infringement are enjoined and restrained by order of this Court.

14. As a result of the acts of infringement of the '035 Patent by Defendant, Crossroads has suffered and will continue to suffer damages in an amount to be proven at trial.

**COUNT 2: INFRINGEMENT OF U.S. PATENT NO. 7,934,041**

15. Crossroads incorporates by reference the allegations set forth in the preceding paragraphs.

16. On April 26, 2011, the '041 Patent was duly and legally issued. A true and correct copy of the '041 Patent is attached hereto as Exhibit B. Crossroads is the assignee and the owner of all right, title, and interest in and to the '041 Patent. The '041 Patent is entitled to a presumption of validity.

17. On information and belief, Defendant has directly infringed the '041 Patent. On information and belief, Defendant continues to directly infringe the '041 Patent.

18. Specifically, on information and belief, Defendant has directly infringed the '041 Patent by making, using, offering for sale, selling and/or importing into the United States certain of its products including at least the Accused Products.

19. Further, upon information and belief, Defendant has been and now is indirectly infringing by way of inducing infringement of the '041 Patent with knowledge of the '041 Patent by making, offering for sale, selling, importing into the United States, marketing, supporting, providing product instruction and/or advertising certain of its products, including the Accused Products, and Defendant knew that its actions were inducing end users to infringe the '041 Patent.

20. Further, upon information and belief, Defendant has been and now is indirectly infringing by way of contributing to the infringement by end users of the '041 Patent by selling, offering to sell and/or importing into the United States components, including the Accused Products, knowing the components to be especially made or especially adapted for use in the infringement of the '041 Patent. Such components are not a staple article or commodity of commerce suitable for substantial non-infringing uses.

21. Defendant has been on constructive and/or actual notice of the '041 Patent since at least as early as May 2011, and Defendant has not ceased its infringing activities. The infringement of the '041 Patent by Defendant has been and continues to be willful and deliberate.

22. Crossroads has been irreparably harmed by Defendant's acts of infringement of the '041 Patent, and will continue to be harmed unless and until Defendant's acts of infringement are enjoined and restrained by order of this Court.

23. As a result of the acts of infringement of the '041 Patent by Defendant, Crossroads has suffered and will continue to suffer damages in an amount to be proven at trial.

**COUNT 3: INFRINGEMENT OF U.S. PATENT NO. 7,051,147**

24. Crossroads incorporates by reference the allegations set forth in the preceding paragraphs.

25. On May 23, 2006, the '147 Patent was duly and legally issued. A true and correct copy of the '147 Patent is attached hereto as Exhibit C. Crossroads is the assignee and the owner of all right, title, and interest in and to the '147 Patent. The '147 Patent is entitled to a presumption of validity.

26. On information and belief, Defendant has directly infringed the '147 Patent. On information and belief, Defendant continues to directly infringe the '147 Patent.

27. Specifically, on information and belief, Defendant has directly infringed the '147 Patent by making, using, offering for sale, selling and/or importing into the United States certain of its products including at least the V3200 Series Storage Controllers (including but not limited to V3220, V3240, V3250, V3270) and V6200 Series Storage Controllers (including but not limited to V6210, V6220, V6240, V6250, V6280 and V6290) and the Data ONTAP operating system, hereinafter "the Accused Fibre-to-Fibre Products".

28. Further, upon information and belief, Defendant has been and now is indirectly infringing by way of inducing infringement of the '147 Patent with knowledge of the '147 Patent by making, offering for sale, selling, importing into the United States, marketing, supporting, providing product instruction and/or advertising certain of its products, including the Accused Fibre-to-Fibre Products, and Defendant knew that its actions were inducing end users to infringe the '147 Patent.

29. Further, upon information and belief, Defendant has been and now is indirectly infringing by way of contributing to the infringement by end users of the '147 Patent by selling,

offering to sell and/or importing into the United States components, including the Accused Fibre-to-Fibre Products, knowing the components to be especially made or especially adapted for use in the infringement of the '147 Patent. Such components are not a staple article or commodity of commerce suitable for substantial non-infringing uses.

30. Defendant has been on constructive and/or actual notice of the '147 Patent since at least as early as August 2006, and Defendant has not ceased its infringing activities. The infringement of the '147 Patent by Defendant has been and continues to be willful and deliberate.

31. Crossroads has been irreparably harmed by Defendant's acts of infringement of the '147 Patent, and will continue to be harmed unless and until Defendant's acts of infringement are enjoined and restrained by order of this Court.

32. As a result of the acts of infringement of the '147 Patent by Defendant, Crossroads has suffered and will continue to suffer damages in an amount to be proven at trial.

**COUNT 4: INFRINGEMENT OF U.S. PATENT NO. 7,987,311**

33. Crossroads incorporates by reference the allegations set forth in the preceding paragraphs.

34. On July 26, 2011, the '311 Patent was duly and legally issued. A true and correct copy of the '311 Patent is attached hereto as Exhibit D. Crossroads is the assignee and the owner of all right, title, and interest in and to the '311 Patent. The '311 Patent is entitled to a presumption of validity.

35. On information and belief, Defendant has directly infringed the '311 Patent. On information and belief, Defendant continues to directly infringe the '311 Patent.

36. Specifically, on information and belief, Defendant has directly infringed the '311 Patent by making, using, offering for sale, selling and/or importing into the United States certain of its products including at least the Accused Products.

37. Further, on information and belief, Defendant has been and now is indirectly infringing by way of inducing infringement of the '311 Patent with knowledge of the '311 Patent by making, offering for sale, selling, importing into the United States, marketing, supporting, providing product instruction and/or advertising certain of its products, including the Accused Products, and Defendant knew that its actions were inducing end users to infringe the '311 Patent.

38. Further, on information and belief, Defendant has been and now is indirectly infringing by way of contributing to the infringement by end users of the '311 Patent by selling, offering to sell and/or importing into the United States components, including the Accused Products, knowing the components to be especially made or especially adapted for use in the infringement of the '311 Patent. Such components are not a staple article or commodity of commerce suitable for substantial non-infringing uses.

39. On information and belief, Defendant has been on constructive and/or actual notice of the '311 Patent. Thus, the infringement of the '311 Patent by Defendant has been and continues to be willful and deliberate.

40. Crossroads has been irreparably harmed by Defendant's acts of infringement of the '311 Patent, and will continue to be harmed unless and until Defendant's acts of infringement are enjoined and restrained by order of this Court.

41. As a result of the acts of infringement of the '311 Patent by Defendant, Crossroads has suffered and will continue to suffer damages in an amount to be proven at trial.



**DEMAND FOR JURY TRIAL**

Crossroads hereby demands a trial by jury on all issues.

**PRAYER FOR RELIEF**

WHEREFORE, Crossroads requests this Court enter judgment as follows:

- A. That Defendant has infringed the '035 Patent;
- B. That such infringement of the '035 Patent by Defendant has been willful;
- C. That Defendant accounts for and pays to Crossroads all damages caused by the infringement of the '035 Patent;
- D. That Crossroads receive enhanced damages from Defendant in the form of treble damages, pursuant to 35 U.S.C. § 284 based on Defendant's willful infringement of the '035 Patent;
- E. That Crossroads be granted pre-judgment and post-judgment interest on the damages caused to it by reason of Defendant's infringement of the '035 Patent, including pre-judgment and post-judgment interest on any enhanced damages or attorneys' fees award;
- F. That Defendant has infringed the '041 Patent;
- G. That such infringement of the '041 Patent by Defendant has been willful;
- H. That Defendant accounts for and pays to Crossroads all damages caused by the infringement of the '041 Patent;
- I. That Crossroads receive enhanced damages from Defendant in the form of treble damages, pursuant to 35 U.S.C. § 284 based on Defendant's willful infringement of the '041 Patent;

- J. That Crossroads be granted pre-judgment and post-judgment interest on the damages caused to it by reason of Defendant's infringement of the '041 Patent, including pre-judgment and post-judgment interest on any enhanced damages or attorneys' fees award;
- K. That Defendant has infringed the '147 Patent;
- L. That such infringement of the '147 Patent by Defendant has been willful;
- M. That Defendant accounts for and pays to Crossroads all damages caused by the infringement of the '147 Patent;
- N. That Crossroads receive enhanced damages from Defendant in the form of treble damages, pursuant to 35 U.S.C. § 284 based on Defendant's willful infringement of the '147 Patent;
- O. That Crossroads be granted pre-judgment and post-judgment interest on the damages caused to it by reason of Defendant's infringement of the '147 Patent, including pre-judgment and post-judgment interest on any enhanced damages or attorneys' fees award;
- P. That Defendant has infringed the '311 Patent;
- Q. That such infringement of the '311 Patent by Defendant has been willful;
- R. That Defendant accounts for and pays to Crossroads all damages caused by the infringement of the '311 Patent;
- S. That Crossroads receive enhanced damages from Defendant in the form of treble damages, pursuant to 35 U.S.C. § 284 based on Defendant's willful infringement of the '311 Patent;

- T. That Crossroads be granted pre-judgment and post-judgment interest on the damages caused to it by reason of Defendant's infringement of the '311 Patent, including pre-judgment and post-judgment interest on any enhanced damages or attorneys' fees award;
- U. That Defendant pay Crossroads all of Crossroads' reasonable attorneys' fees and expenses;
- V. That costs be awarded to Crossroads;
- W. That Defendant, its agents, employees, representatives, successors and assigns, and those acting in privity or in concert with it, be preliminarily and permanently enjoined from further infringement of the '035 Patent;
- X. That Defendant, its agents, employees, representatives, successors and assigns, and those acting in privity or in concert with it, be preliminarily and permanently enjoined from further infringement of the '041 Patent;
- Y. That Defendant, its agents, employees, representatives, successors and assigns, and those acting in privity or in concert with it, be preliminarily and permanently enjoined from further infringement of the '147 Patent;
- Z. That Defendant, its agents, employees, representatives, successors and assigns, and those acting in privity or in concert with it, be preliminarily and permanently enjoined from further infringement of the '311 Patent;
- AA. That this is an exceptional case under 35 U.S.C. § 285; and
- BB. That Crossroads be granted such other and further relief as the Court may deem just and proper under the circumstances.

Dated: April 15, 2014

Respectfully submitted,

By: /s/ Susan K. Knoll

Steven Sprinkle  
Texas Bar No. 00794962  
Elizabeth J. Brown Fore  
Texas Bar No. 24001795  
Sprinkle IP Law Group, PC  
1301 W. 25<sup>th</sup> Street, Suite 408  
Austin, Texas 78705  
Tel: 512-637-9220  
Fax: 512-371-9088  
ssprinkle@sprinklelaw.com  
ebrownfore@sprinklelaw.com

Susan K. Knoll  
Texas Bar No. 11616900  
Russell T. Wong  
Texas Bar No. 21884235  
James H. Hall  
Texas Bar No. 24041040  
Stephen D. Zinda  
Texas Bar No. 24084147  
WONG, CABELLO, LUTSCH,  
RUTHERFORD & BRUCCULERI, L.L.P.  
20333 SH 249, Suite 600  
Houston, TX 77070  
Tel: 832-446-2400  
Fax: 832-446-2424  
sknoll@counselip.com  
rwong@counselip.com  
jhall@counselip.com  
szinda@counselip.com

*ATTORNEYS FOR PLAINTIFF  
CROSSROADS SYSTEMS, INC.*

**CERTIFICATE OF SERVICE**

I certify that on April 15, 2014, I electronically filed the foregoing with the Clerk of Court using the CM/ECF system, which will send notification of such filing to all CM/ECF participants.

/s/ Lynn Marlin  
Lynn Marlin