	Case 2:12-cv-01004-GEB-DAD Document	72 Filed 09/08/14 Page 1 of 21
1 2 3 4 5 6 7 8	<ul> <li>Daniel M. Cislo, Esq., No. 125,378 dan@cislo.com</li> <li>Mark D. Nielsen, Esq., No. 210,023 mnielsen@cislo.com</li> <li>CISLO &amp; THOMAS LLP</li> <li>1333 2<sup>nd</sup> Street Suite 500</li> <li>Santa Monica, California 90401-4110</li> <li>Telephone: (310) 451-0647</li> <li>Telefax: (310) 394-4477</li> <li>Attorneys for Plaintiff,</li> <li>ADVANCED STEEL RECOVERY, LLC</li> </ul>	DISTRICT COURT
9	UNITED STATES DISTRICT COURT	
	EASTERN DISTRI	CT OF CALIFORNIA
10	ADVANCED STEEL RECOVERY, LLC,	) Case No. 2:12-cv-01004-GEB-DAD
11	Plaintiff,	) [Hon. Garland E. Burrell, Jr.]
12 13	VS.	) ) PLAINTIFF ADVANCED STEEL ) RECOVERY, LLC'S NOTICE OF A DEFAL
14 15	X-BODY EQUIPMENT, INC. and JEWELL ATTACHMENTS, LLC,	) APPEAL ) )
16	Defendants.	)
17		)
18		) )
19		)
20	AND RELATED COUNTERCLAIMS	)
21		<u>)</u>
22		
23 24		
25		
26		
27		
28		
	Notice of Appeal	Case No. 2:12-cv-01004-GEB-DAD

Notice is hereby given that Plaintiff Advanced Steel Recovery, LLC ("Plaintiff" or "ASR") in the above-named case hereby appeals to the United States Court of Appeals for the Federal Circuit from the from the Order Granting Defendants' Motion for Summary Judgment (Docket No. 70), and Judgment (Docket No. 71) entered in this action on August 11, 2014.

A copy of the Order Granting Defendants' Motion for Summary Judgment is attached hereto as Exhibit 1. A copy of the Judgment is attached hereto as Exhibit 2.

> Respectfully submitted: CISLO & THOMAS LLP

By: <u>/s/Mark D. Nielsen</u> Daniel M. Cislo Mark D. Nielsen

> Attorneys for Plaintiff, ADVANCED STEEL RECOVERY, LLC

Dated: September 8, 2014

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# Exhibit 1

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6	UNITED STATES DISTRICT COURT	
7	EASTERN DISTRICT OF CALIFORNIA	
8		
9	ADVANCED STEEL RECOVERY, LLC, No. 2:12-cv-1004-GEB-DAD	
10	Plaintiff,	
11	V. ORDER GRANTING DEFENDANTS' MOTION FOR SUMMARY JUDGMENT	
12	X-BODY EQUIPMENT, INC. and JEWELL ATTACHMENTS, LLC,	
13	Defendants.	
14	Derendants.	
15	AND RELATED COUNTERCLAIMS	
16		
17		
18	Defendants move under Federal Rule of Civil Procedure	
19	("Rule") 56 for summary judgment, or in the alternative, partial	
20	summary judgment, on each of Plaintiff's infringement claims	
21	concerning U.S. Patent No. 8,061,950 ("the '950 patent").	
22	Defendants also move for summary judgment on their counterclaim	
23	in which they seek a declaration of non-infringement of U.S.	
24	Patent No. 7,744,330 ("the '330 patent"). Plaintiff opposes the	
25	motions.	
26	I. LEGAL STANDARD	
27	A party seeking summary judgment under Rule 56 bears	
28	the initial burden of demonstrating the absence of a genuine 1	

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issue of material fact for trial. Celotex Corp. v. Catrett, 477 1 2 U.S. 317, 323 (1986). "A fact is 'material' when, under the 3 governing substantive law, it could affect the outcome of the case." Thrifty Oil Co. v. Bank of Am. Nat'l Trust & Sav. Ass'n, 4 322 F.3d 1039, 1046 (9th Cir. 2003) (quoting Anderson v. Liberty 5 Lobby, Inc., 477 U.S. 242, 248 (1986)). An issue of material fact 6 7 is "genuine" when "'the evidence is such that a reasonable jury could return a verdict for the nonmoving party." Id. (quoting 8 9 Anderson, 477 U.S. at 248).

If the movant satisfies its "initial burden," "the 10 11 nonmoving party must set forth, by affidavit or as otherwise provided in Rule 56, 'specific facts showing that there is a 12 13 genuine issue for trial." T.W. Elec. Serv., Inc. v. Pac. Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987) (quoting 14 15 former Fed. R. Civ. P. 56(e)). "A party asserting that a fact 16 cannot be or is genuinely disputed must support the assertion by 17 citing to particular parts of material in the record . . . or 18 showing that the materials cited do not establish the absence or 19 presence of a genuine dispute, or that an adverse party cannot 20 produce admissible evidence to support the fact." Fed. R. Civ. P. 21 56(c)(1). Summary judgment "evidence must be viewed in the light 22 most favorable to the nonmoving party, and all reasonable 23 inferences must be drawn in favor of that party." Sec. & Exch. 24 Comm'n v. Todd, 642 F.3d 1207, 1215 (9th Cir. 2011) (citing 25 Johnson v. Paradise Valley Unified Sch. Dist., 251 F.3d 1222, 26 1227 (9th Cir. 2001)). Further, Local Rule 260(b) prescribes: 27

Any party opposing a motion for summary

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Case 2:12-cv-01004-GEB-DAD Document 70 Filed 09/08/14 Page 6 of 25 1 summary adjudication [must] judqment or reproduce the itemized facts in the [moving 2 party's] Statement of Undisputed Facts and admit those facts that are undisputed and 3 deny those that are disputed, including with each denial a citation to the particular 4 of pleading, portions any affidavit, deposition, interrogatory answer, admission, or other document relied upon in support of 5 that denial. 6 If the nonmovant does not "specifically . . 7 [controvert duly supported] facts identified in the [movant's] 8 statement of undisputed facts," the nonmovant "is deemed to have 9 admitted the validity of the facts contained in the [movant's] 10 statement." Beard v. Banks, 548 U.S. 521, 527 (2006). 11 Because a district court has no independent 12 duty "to scour the record in search of a genuine issue of triable fact," and may "rely 13 on the nonmoving party to identify with reasonable particularity the evidence that judgment," 14 precludes summary . . . the district court . . [is] under no obligation 15 to undertake a cumbersome review of the record on the [nonmoving party's] behalf. 16 Simmons v. Navajo Cnty., Ariz., 609 F.3d 1011, 1017 (9th Cir. 17 2010) (quoting Keenan v. Allan, 91 F.3d 1275, 1279 (9th Cir. 18 1996)); see also Fed. R. Civ. P. 56(c)(3) ("The court need 19 consider only the cited materials, but it may consider other 20 materials in the record."). 21 **II. UNCONTROVERTED FACTS** 22 The following facts are uncontroverted in the summary 23 judgment record. Claim 1 in the '950 patent, upon which all other 24 claims except claim 9 in the '950 patent depend, discloses a 25 container packer system, which comprises[, 26 inter alia]: 27 a transfer base including proximate and distal ends and a container packer guide; 28 3

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1 2	a container packer including a proximate end, a distal end with an opening, opposite sidewalls, a floor and an interior;
3 4	said container packer being movable longitudinally along said container packer guide between a retracted position on said
5	transfer base and an extended position extending at least partially from said transfer base distal end;
6	
7	a container packer drive connected to said transfer base and said container packer and adapted for moving said container packer
8	between its extended and retracted positions; [and]
9	
10	said container packer drive comprising a
11	container packer piston-and-cylinder unit connected to said transfer base proximate end
12	and said container packer proximate end
13	
14	(Compl. Ex. A, `950 Patent col. 4:62-5:8, 5:24-26, , ECF No. 1-
15	1.) Claim 9 in the '950 patent also discloses: "said container
16	packer drive compris[es] a container packer piston-and-cylinder
17	unit connected to said transfer base proximate end." ('950 Patent
18	col. 6:34-37.)
19	The `950 patent is a ``continuation of [the `330
20	patent." (`950 patent p. 1.). The sole independent claim in the
21	'330 patent also discloses: "said container packer drive
22	compris[es] a container packer piston-and-cylinder unit connected
23	to said transfer base proximate end." (Def. X-Body Equipment,
24	LLC's Answer to Compl. & Countercls. Ex. B, '330 Patent col.
25	5:21-23, ECF No. 7.)
26	"Plaintiff did not accuse the Acculoader of
27	infringement of the '330 patent in this case and did not identify
28	any claim of the '330 patent as infringed in response to an

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1 interrogatory asking for a list of all allegedly infringed 2 claims." (Pl.'s Resp. to Defs.' Stat. of Undisputed Facts ("Pl.'s 3 Resp.") ¶ 9, ECF No. 65.)

The figures below depict "exemplary embodiments" of the 4 5 '950 and '330 patent inventions. ('950 Patent col. 2:18; '330 Patent col. 2:9.) Figure 1 "is a side elevational view of a 6 7 container packer system . . . in a retracted position on a transfer base," and Figure 2 "is a side elevational view thereof, 8 9 shown with the container packer extended partly into [a] 10 transport container." ('950 Patent col. 2:20-25; '330 Patent col. 11 2:11-16.) Reference number 4 designates the transfer base, reference number 6 designates the container packer, and reference 12 13 number 30 designates the container packer piston-and-cylinder 14 unit, which is shown connected to the transfer base proximate end 15 at reference number 26 and to the container packer proximate end at reference number 32. ('950 Patent col. 3:28-33; '330 Patent 16 17 col. 3:21-25.)

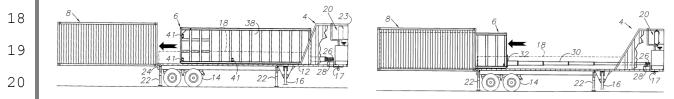


FIG. 1

FIG. 2

22 ('950 Patent p. 2-3; '330 Patent p. 2-3.)

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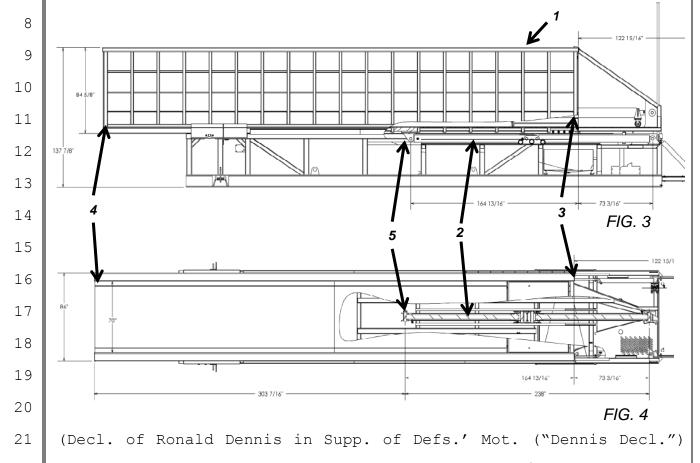
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The figures below depict Defendants' accused Acculoader product. Figure 3 is a side view of the product, and Figure 4 is a view from beneath the product. Reference number 1 designates the container packer,<sup>1</sup> reference number 2 designates the

<sup>&</sup>lt;sup>1</sup> Defendants call this part of the Acculoader a "load sled." However, 28 Plaintiff identified this item as a "container packer." (See Decl. of Robert

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1 container packer piston-and-cylinder unit, reference number 3 2 designates the proximate-end of the sidewalls of the container 3 packer, and reference number 4 designates the distal end of the 4 sidewalls of the container packer. "The piston-and-cylinder 5 unit[] that move[s] the [container packer] of the Acculoader [is] 6 attached to the bottom of the [container packer]"<sup>2</sup> as depicted by 7 reference number 5 in the figures below. (Pl.'s Resp. ¶ 5.)



22 Ex. 1, Acculoader Design Drawings, ECF No. 56-5.)<sup>3</sup>

Hawkins in Supp. of Defs.' Mot. Ex. 3, Ex. 1, p. 2 (ECF pagination, p. 49), ECF No. 56-3.) For consistency, this part of the Acculoader is referenced as the "container packer."

25 Plaintiff asserts that this statement is disputed but has not specifically controverted it and therefore "is deemed to have admitted the validity of the facts contained" therein. Banks, 548 U.S. at 527.

Plaintiff objects to consideration of the following paragraph from Mr. Dennis's declaration, on the grounds that it lacks foundation, is "not the best evidence," and is hearsay: "Attached as Exhibit 1 hereto is a true and correct copy of design drawings for the Acculoader. The drawing accurately depicts the design used by [Defendant] Jewell to manufacture the Acculoader as

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1	"The piston-and-cylinder unit[] that move[s] the
2	[container packer] of the Acculoader, when fully retracted,
3	measure[s] 238 inches long." $^4$ (Pl.'s Resp. $\P$ 4.) The distance
4	from the proximate end of the sidewalls (depicted at reference
5	number 3 above) to the distal end of the sidewalls (depicted at
6	reference number 4 above) is 468.25 inches. The attachment point
7	between the container packer piston-and-cylinder unit and the
8	container packer is 164.81 inches from the proximate end of the
9	sidewalls of the container packer, or 35.2% down the length of
10	the container packer from the proximate end of the sidewalls to
11	the distal end of the sidewalls. (Acculoader Design Drawings.)
12	III. DISCUSSION
13	A. `950 Patent
14	1. Literal Infringement of `950 Patent
1 Г	Defendants argue their non-infringement motion
15	
15 16	concerning all claims in the '950 patent should be granted since
16	concerning all claims in the '950 patent should be granted since
16 17	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s
16 17 18	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his
16 17 18 19	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the development of design drawings from the Acculoader," and thus provides
16 17 18 19 20	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the
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16 17 18 19 20 21 22 23	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the development of design drawings from the Acculoader," and thus provides foundation for his averment that the "drawing[s] accurately depict[] the design used as well as the actual dimensions of the Acculoader." (Dennis Decl. ¶¶ 2-3.) <u>See Barthelemy v. Air Lines Pilots Ass'n</u> , 897 F.2d 999, 1017 (9th Cir. 1990) ("[P]ersonal knowledge [can be] reasonably inferred from [a declarant's] position[] and the nature of [his] participation in the matters to which [he] swore"). Review of the declaration testimony reveals that Plaintiff's other objections are also unfounded. Therefore, Plaintiff' s objections are overruled. <sup>4</sup> Plaintiff argues that this statement is disputed but has not
16 17 18 19 20 21 22 23 24	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the development of design drawings from the Acculoader." and thus provides foundation for his averment that the "drawing[s] accurately depict[] the design used as well as the actual dimensions of the Acculoader." (Dennis Decl. ¶¶ 2-3.) <u>See Barthelemy v. Air Lines Pilots Ass'n</u> , 897 F.2d 999, 1017 (9th Cir. 1990) ("[P]ersonal knowledge [can be] reasonably inferred from [a declarant's] position[] and the nature of [his] participation in the matters to which [he] swore"). Review of the declaration testimony reveals that Plaintiff's other objections are also unfounded. Therefore, Plaintiff's objections are overruled. <sup>4</sup> Plaintiff argues that this statement is disputed but has not specifically controverted it with any facts and therefore "is deemed to have admitted the validity of the facts contained" therein. <u>Banks</u> , 548 U.S. at 527;
16 17 18 19 20 21 22 23 24 25	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the development of design drawings from the Acculoader." and thus provides foundation for his averment that the "drawing[s] accurately depict[] the design used as well as the actual dimensions of the Acculoader." (Dennis Decl. ¶¶ 2-3.) <u>See Barthelemy v. Air Lines Pilots Ass'n</u> , 897 F.2d 999, 1017 (9th Cir. 1990) ("[Plersonal knowledge [can be] reasonably inferred from [a declarant's] position[] and the nature of [his] participation in the matters to which (he] swore"). Review of the declaration testimony reveals that Plaintiff's other objections are also unfounded. Therefore, Plaintiff' argues that this statement is disputed but has not specifically controverted it with any facts and therefore "is deemed to have admitted the validity of the facts contained" therein. <u>Banks</u> , 548 U.S. at 527; <u>see T.W. Elec. Serv</u> , 809 F.2d at 630 ("If the movant satisfies its initial burden the nonmoving party must set forth ' <u>specific facts</u> showing
16 17 18 19 20 21 22 23 24 25 26	concerning all claims in the '950 patent should be granted since "the piston-and-cylinder[] for the [container packer] [of the Acculoader] [is] not connected to the proximate <u>end</u> of the well as the actual dimensions of the shown part of the Acculoader." (Pl.'s Evidentiary Objections, 9:13-19, ECF No. 64.) Plaintiff's lack of foundation objection is unfounded since Mr. Dennis explains in paragraph 2 of his declaration that "[as] a Design Engineer, [he] was directly involved in the development of design drawings from the Acculoader," and thus provides foundation for his averment that the "drawing[s] accurately depict[] the design used as well as the actual dimensions of the Acculoader." (Dennis Decl. ¶¶ 2-3.) <u>See Barthelemy v. Air Lines Pilots Ass'n</u> , 897 F.2d 999, 1017 (9th Cir. 1990) ("[P]ersonal knowledge [can be] reasonably inferred from [a declarant's] position[] and the nature of [his] participation in the matters to which [he] swore"). Review of the declaration testimony reveals that Plaintiff's other objections are also unfounded. Therefore, Plaintiff argues that this statement is disputed but has not specifically controverted it with any facts and therefore "is deemed to have admitted the validity of the facts contained" therein. <u>Banks</u> , 548 U.S. at 527; <u>see T.W. Elec. Serv</u> , 809 F.2d at 630 ("If the movant satisfies its initial

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[container packer] at all," which the two independent claims of 1 the '950 patent (claims 1 and 9) require, but instead is 2 3 connected "at a point on the bottom of the container packer far from the proximate end." (Defs.' Mot. 7:15-16, 9:26-27, ECF No. 4 5 56 (emphasis added).) Plaintiff counters: The term "proximate end" . . . should simply mean the back half to the container 6 7 packer . . . Thus, the claim element that reads, "said container packer drive 8 comprising a piston-and-cylinder unit connected to . . . said container packer 9 proximate end" means the piston-and-cylinder is connected at the back half of the 10 container packer (i.e., the end opposite the transport container). 11 . . . . 12 The Acculoader therefore literally infringes 13 both claims 1 and 9 [in the '950 patent]. 14 (Pl.'s Opp'n 11:7-11, ECF No. 62 (emphasis added).) 15 Claims 1 and 9 in the '950 patent require that the described invention include a "container packer drive comprising 16 17 a container packer piston-and-cylinder unit connected to said 18 transfer base proximate end and said container packer proximate end." ('950 Patent, col. 5:24-26, 6:33-37 (emphasis added).) 19 20 "We give claim terms their plain and ordinary meaning 21 unless the patentee acted as its own lexicographer or there was a 22 disavowal of claim scope." Braintree Labs., Inc. v. Novel Labs., 23 Inc., 749 F.3d 1349, 1365 (Fed. Cir. 2014). Here, Plaintiff has

not shown that the patent itself contemplates a particular definition of the term "proximate end." Further, Plaintiff "waived any argument with respect to [the] term ['proximate end'] by failing to raise it during the claim construction phrase." 28 Cent. Admixture Pharm. Servs., Inc. v. Advanced Cardiac

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Solutions, P.C., 482 F.3d 1347, 1356 (Fed. Cir. 2007). Therefore, the plain and ordinary meaning of the term "proximate end" applies.

"[I]t is entirely appropriate for the district court to 4 look to dictionaries . . . for context—to aid in arriving at 5 the plain meaning of a claim term." Helmsderfer v. Bobrick 6 Washroom Equip., Inc., 527 F.3d 1379, 1382 (Fed. Cir. 2008). 7 Webster's Third New International Dictionary defines the term 8 "end" as "the extreme or last part lengthwise" and provides, 9 inter alia, these examples: the "[end] of a rope" and "the rear 10 11 [end] of an automobile." Webster's Third New International Dictionary 747, definition 1(c)(2) (1986). Therefore, the plain 12 13 and ordinary meaning of "proximate end" does not include Plaintiff's proffered definition of "back half." (Pl.'s Opp'n 14 15 11:11.)

The container packer piston-and-cylinder unit is connected to the Acculoader container packer 164.81 inches from the proximate end of the sidewalls of the container packer or 35.2% down the length of the sidewalls. (Acculoader Design Drawings.) This connection point is not at the proximate end. Therefore, Plaintiff has shown that the Acculoader does not literally infringe the '950 patent.

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## 2. Infringement of `950 Patent by Doctrine of Equivalents

Plaintiff argues:

[I]f the element "said container packer drive comprising a piston-and-cylinder unit connected to . . . said container packer proximate end" is not literally present in the Acculoader, . . . the Acculoader has an

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equivalent. Any difference between where the packer [piston-and-]cylinder of the accused Acculoader connects to Defendants' container packer . . . and where the packer [piston-and-]cylinder of claims 1 and 9 connects to the container packer . . . is insubstantial.

5 (Pl.'s Opp'n 13:1-6.) Defendants counter that the Acculoader 6 cannot infringe the '950 patent under the doctrine of equivalents 7 since "allowing the doctrine of equivalents to encompass 8 attachment at some other location entirely would improperly 9 vitiate the point of attachment requirement." (Defs.' Reply 7:19-10 21, ECF No. 67.)

"Under [the] doctrine [of equivalents], a product or 11 process that does not literally infringe upon the express terms 12 of a patent claim may nonetheless be found to infringe if there 13 is 'equivalence' between the elements of the accused product or 14 process and the claimed elements of the patented invention." 15 Warner-Jenkinson Co. v. Hilton Davis Chem. Co., 520 U.S. 17, 21 16 (1997). "[E]quivalence [must] be assessed on an limitation-by-17 limitation basis, as opposed to from the perspective of the 18 invention as a whole." Freedman Seating Co. v. Am. Seating Co., 19 420 F.3d 1350, 1358 (Fed. Cir. 2005). Further, "[t]he doctrine of 20 equivalents cannot be used to erase 'meaningful structural and 21 functional limitations of the claim on which the public is 22 entitled to rely in avoiding infringement." Conopco, Inc. v. May 23 Dep't Stores Co., 46 F.3d 1556, 1562 (Fed. Cir. 1994) (quoting 24 Pennwalt Corp. v. Durand-Wayland, Inc., 833 F.2d 931, 935 (Fed. 25 Cir. 1987) (en banc)). 26

The claim element at issue is the following: a 28 "container packer drive comprising a container packer piston-and-

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cylinder unit <u>connected to</u> said transfer base proximate end and said <u>container packer proximate end</u>." ('950 Patent, col. 5:24-26, 6:33-37 (emphasis added).) The limitation described therein is a connection between two particular places, one of which is the "container packer proximate end." <u>Id.</u> The limitation is, in essence, locational.

7 The Acculoader is connected at a different location: a point closer to the middle of the container packer sidewalls than 8 9 the proximate end of those sidewalls. No reasonable jury could 10 find this connection point to be equivalent to the "container packer proximate end." Id.; see Sage Prods., Inc. v. Devon 11 Indus., Inc., 126 F.3d 1420, 1422-26 (Fed. Cir. 1997) (holding 12 13 that a slot in a medical waste disposal container near the top 14 but within the container body could not be equivalent to a "slot 15 at the top of the container body" as disclosed in the patent); see also Ethicon Endo-Surgery, Inc. v. U.S. Surgical Corp., 149 16 F.3d 1309, 1318-19 (Fed. Cir. 1998) (holding that "no reasonable 17 18 jury could [] f[i]nd" infringement by the doctrine of equivalents 19 where claim limitations "tie[] [patented product structures] to 20 [] specific place[s]," and the accused product's analogous 21 structures were "not even close" to those places). Therefore, 22 Defendants are entitled to prevail on their non-infringement 23 summary judgment motion on all claims in the '950 patent.

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#### 3. Plaintiff's 56(d) Request

However, Plaintiff requests that "if the present record does not support denial of [Plaintiff's motion for] summary judgment," on all claims in the '950 patent, "the Court [should] postpone a decision on this motion [under Rule 56(d)] until . . .

Case 2:12-cv-01004-GEB-DAD Document 70 Filed 09/08/14 Page 18 of 25 further discovery is taken." (Pl.'s Opp'n 4:4-6.) Specifically, 1 Plaintiff's counsel avers: 2 3 [E] xpert discovery has yet to take place. . . . [Plaintiff] expects its expert(s) to 4 explain how any limitations that may not be met literally are met under the doctrine of 5 equivalents. 6 . . . . 7 [Plaintiff] has not been given an opportunity during this litigation, particularly with an 8 expert present, to conduct a detailed of the Acculoader. inspection 9 . . . Permitting [Plaintiff] a pre-ruling inspection of the Acculoader, with а 10 technical expert, would allow [Plaintiff] to assess the veracity of Defendants' alleged 11 undisputed facts [concerning the design of the Acculoader], the untested averments in 12 Defendants' declarations, as well as the substantiality, or lack thereof, of any 13 difference in design between the Acculoader and the claims of the '950 [p]atent. 14 (Rule 56(d) Decl. of Mark D. Nielsen ¶¶ 8, 24, ECF No. 62-5.) 15 Defendants counter that the 56(d) request should be 16 denied since Plaintiffs' "Rule 56(d) declaration never states 17 that [Plaintiff] has any basis for disputing the main position of 18 the piston-and-cylinder." (Defs.' Reply 19:3-4.) 19 Rule 56(d) prescribes: "If a nonmovant shows by 20 affidavit or declaration that, for specified reasons, it cannot 21 present facts essential to justify its opposition, the court may 22 . . . defer considering the motion or deny it." 23 "To prevail under . . . Rule [56(d)], [a] part[y] 24 opposing a motion for summary judgment must make '(a) a timely 25 application which (b) specifically identifies (c) relevant 26 information, (d) where there is some basis for believing that the 27 information sought actually exists.'" Emp'rs Teamsters Local Nos. 28

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175 & 505 Pension Trust Fund v. Clorox Co., 353 F.3d 1125, 1129 1 (9th Cir. 2004) (quoting VISA Int'l Serv. Ass'n v. Bankcard 2 3 Holders of Am., 784 F.2d 1472, 1475 (9th Cir. 1986)). "The burden is on the party seeking additional discovery to proffer 4 5 sufficient facts to show that the evidence sought exists, and that it would prevent summary judgment." Id. at 1129-30 (quoting 6 Chance v. Pac-Tel Teletrac, Inc., 242 F.3d 1151, 1161 n.6 (9th 7 Cir. 2001)). 8

Here, Defendant has not proffered sufficient facts 9 10 showing that expert discovery would demonstrate the Acculoader's 11 container packer piston-and-cylinder unit is connected to the container packer at a location that is equivalent to the 12 13 proximate end of the container packer. Therefore, Defendant has not shown that expert discovery "would . . . prevent summary 14 15 judgment." Clorox, 353 F.3d at 1129-30. Further, Plaintiff has 16 not shown "there is some basis for believing" that an inspection 17 of the Acculoader would reveal a difference in the design of the 18 Acculoader from the design described in Defendants' evidence. Id. 19 at 1129. Therefore, Plaintiff's request for a postponement under Rule 56(d) is denied. 20

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#### B. '330 Patent

Defendants argue they are entitled to summary judgment on their declaratory judgment claim of non-infringement of the '330 patent since "[t]he '330 patent has only one independent claim," which, like the '950 patent, "requires 'said container packer drive comprising a container packer piston-and-cylinder unit [be] connected to said transfer base proximate end and said container packer proximate end.'" (Defs.' Mot. 15:22-24 (quoting

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'330 Patent col. 5:21-23.) Plaintiff counters: "Defendants' declaratory relief claims directed to the '330 [p]atent should be dismissed for lack of subject matter jurisdiction. . . Here, as Defendants admit in their Statement of Undisputed Facts, [Plaintiff] did not accuse, and has not accused, the Acculoader of infringing the '330 [p]atent." (Pl.'s Opp'n 15:20-21, 16:2-3.)

7 "The threshold guestion for declaratory judqment jurisdiction is 'whether the facts alleged, under all the 8 circumstances, show that there is a substantial controversy, 9 10 between parties having adverse legal interests, of sufficient 11 immediacy and reality to warrant the issuance of a declaratory judgment.'" Microsoft Corp. v. Datatern, Inc., Nos. 2013-1184 & 12 13 2013-1185, 2014 WL 1760882, at \*2 (Fed. Cir. May 5, 2014) 14 (quoting MedImmune, Inc. v. Genentech, Inc., 549 U.S. 118, 127, 15 127 S.Ct. 764, 166 L.Ed.2d 604 (2007)). "[R]elated litigation 16 involving the same technology and the same parties is relevant in 17 determining whether a justiciable declaratory judgment 18 controversy exists on other related patents." Teva Pharms. USA, 19 Inc. v. Novartis Pharms. Corp., 482 F.3d 1330, 1344 (9th Cir. 20 2007).

21 Here, the '950 patent is part of the same patent family 22 as the '330 patent since it is continuation of the '330 patent 23 application. Further, the '950 and '330 patents contains several 24 identical claim elements, including the limitation that "said 25 container packer drive compris[es] a container packer piston-and-26 cylinder unit connected to said transfer base proximate end and 27 said container packer proximate end." ('950 patent col. 5:24-26; 28 '330 patent col. 5:21-23.) Therefore, subject-matter jurisdiction

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exists over Defendants' counterclaim. See Seaboard. Int'l, Inc. 1 v. Cameron Int'l Corp., No. 1:13-cv-281-MLH-SKO, 2013 WL 3936889, 2 3 at \*9 (E.D. Cal. July 30, 2013) (holding that subject-matter jurisdiction existed over a declaratory judgment counterclaim 4 5 where the asserted patents and counterclaim patents, which plaintiff had "never asserted or demanded royalties for," 6 7 "contain[ed] claims that [were] nearly identical," and the patents were "part of the same patent family as they [were] all 8 derived from applications related to [a] parent application"). 9

Since the '330 patent's sole independent claim contains the same limitation as the '950 patent concerning connection between the container packer piston-and-cylinder unit and the container packer proximate end, Defendants are entitled to summary judgment on their declaratory judgment claim of noninfringement of the '330 patent for the same reasons discussed above in sections III.A.1 & III.A.2 of this order.

#### IV. CONCLUSION

18 For the stated reasons, Defendants' motion for summary 19 judgment is granted.

Dated: August 8, 2014

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GARIAND E. BURRELL, JR. Senior United States District Judge

# Exhibit 2

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### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF CALIFORNIA

JUDGMENT IN A CIVIL CASE

ADVANCED STEEL RECOVERY, LLC,

CASE NO: 2:12-CV-01004-GEB-DAD

v.

X-BODY EQUIPMENT, INC., ET AL.,

**XX** -- **Decision by the Court.** This action came to trial or hearing before the Court. The issues have been tried or heard and a decision has been rendered.

IT IS ORDERED AND ADJUDGED

THAT JUDGMENT IS HEREBY ENTERED IN ACCORDANCE WITH THE COURT'S ORDER FILED ON 8/11/2014

Marianne Matherly Clerk of Court

ENTERED: August 11, 2014

by: /s/ M. Marciel

Deputy Clerk

	Case 2:12-cv-01004-GEB-DAD Document 72 Filed 09/08/14 Page 21 of 21		
1	PROOF OF SERVICE		
2	I HEREBY CERTIFY that on this 8 <sup>th</sup> day of September, 2014, a true and correct copy of		
3	the foregoing pleading or paper was served using the Court's CM/ECF system with electronic notification of such filing to the following counsel of record:		
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17			
18	Executed on September 8, 2014, at Westlake Village, California.		
19 20	/s/ Laura Banuelos		
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	Notice of Appeal Case No. 2:12-cv-01004-GEB-DAD		