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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

ASTRAZENECA AB, AKTIEBOLAGET  
HÄSSLE, ASTRAZENECA LP, and  
ZENECA INC.

Plaintiffs,

v.

WOCKHARDT LIMITED and  
WOCKHARDT USA LLC,

Defendants.

Civil Action No. 3:13-cv-04854 (MLC) (TJB)

**FIRST AMENDED COMPLAINT FOR  
PATENT INFRINGEMENT**

Plaintiffs AstraZeneca AB, Aktiebolaget Hässle, AstraZeneca LP, and Zeneca Inc. (collectively, “Plaintiffs”), by their attorneys, for their Complaint against Wockhardt Limited and Wockhardt USA LLC (collectively, “Defendants”), allege as follows:

**THE PARTIES**

1. Plaintiff AstraZeneca AB is a corporation operating and existing under the laws of the Sweden, with its principal place of business at Södertälje, Sweden. AstraZeneca AB was a corporate name change from Astra Aktiebolaget

2. Plaintiff Aktiebolaget Hässle (“Hässle”) is a corporation organized and existing under the laws of Sweden, having its principal place of business at Mölndal, Sweden

3. Plaintiff AstraZeneca LP (“AZ LP”) is a limited partnership organized under the laws of Delaware having its principal place of business at Wilmington, Delaware. AstraZeneca LP holds an approved New Drug Application from the United States Food and Drug Administration (“FDA”) for an esomeprazole magnesium formulation which it sells under the name NEXIUM®.

4. Plaintiff Zeneca Inc. (“Zeneca”) is a Delaware corporation having its principal place of business at Wilmington, Delaware. Zeneca has exclusive rights in the United States to market and sell products covered by United States Patent No. 5,714,504 (the “504 patent”); 6,369,085 (the “085 patent”); and 7,411,070 (the “070 patent) (collectively, the “patents-in-suit”).

5. Upon information and belief, Defendant Wockhardt Limited is a corporation organized and existing under the laws of India, having its principal place of business at Bandra-Kurla Complex, Bandra East, Mumbai 400 051, India. Upon information and belief, Wockhardt Limited is in the business of, among other things, manufacturing, marketing and selling generic

copies of branded pharmaceutical products throughout the United States, including within this district.

6. Upon information and belief, Defendant Wockhardt USA LLC is a corporation organized and existing under the laws of Delaware, having its principal place of business at 20 Waterview Boulevard, Parsippany, NJ 07054. Upon information and belief, Wockhardt USA LLC is in the business of, among other things, manufacturing, marketing and selling generic copies of branded pharmaceutical products throughout the United States, including within this district.

7. Upon information and belief, Workhardt Limited is the parent company of Workhardt USA LLC.

8. Upon information and belief, Wockhardt USA LLC and Wockhardt Limited act in concert with one another and hold themselves out as an integrated unit for purposes of developing, manufacturing, distributing, marketing, and selling generic drug products throughout the United States, including in this judicial district. For example, in Wockhardt Limited's 2010-11 Annual Report, Wockhardt Limited lists Wockhardt USA LLC as one of its "International Offices." (See Annual Report, available at <http://www.wockhardt.com/pdf/Annual-Report-2010-11-53a4d.pdf> (last accessed August 7, 2013)).

9. Upon information and Belief, Wockhardt LLC and Wockhardt Limited acted collaboratively and in concert in the preparation and submission of ANDA No. 205204.

10. Upon information and belief, and consistent with past practices, Wockhardt Limited's preparation and submission of ANDA No. 205204 was done with the assistance of, and in concert with, Wockhardt USA LLC.

11. Upon information and belief, Wockhardt USA LLC's preparation and submission of ANDA No. 205204 was done at the direction, under the control, and for the direct benefit of, and in concert with, Wockhardt Limited.

12. Upon information and belief, following any FDA approval of ANDA No. 205204, Wockhardt Limited and Wockhardt USA LLC will act in concert with one another, and with other Wockhardt Limited subsidiaries, to make, use, offer to sell, and/or sell the generic drug products that are the subject of ANDA No. 205204 throughout the United States, and/or import such generic drug products into the United States, including in this judicial district.

### **JURISDICTION AND VENUE**

13. This is an action for patent infringement arising under the Patent and Food and Drug laws of the United States, Titles 35 and 21, United States Code. Jurisdiction and venue are based on 28 U.S.C. §§ 1331, 1338(a), 1391(b), 1391(c), 1400(b), 2201, 2202 and 35 U.S.C. § 271.

14. On information and belief, Defendants have been and are engaging in activities directed toward infringement of the patents-in-suit by, inter alia, submitting to the FDA an Abbreviated New Drug Application ("ANDA") No. 205204 ("Defendants' ANDA"), seeking approval to commercially manufacture, distribute, market, and sell its proposed 20 mg and 40 mg products called "Esomeprazole Magnesium delayed release Oral Capsules, 20 mg, and 40 mg" (hereinafter referred to as the "ANDA Products"), containing the active ingredient esomeprazole magnesium, throughout the United States, including this district, prior to the expiration of the patents-in-suit.

15. In a letter dated June 28, 2013 ("Notice Letter") from Wockhardt Limited's agent William Hare, Esq., of the law firm of McNeely, Hare & War, LLP, Wockhardt Limited notified

Plaintiffs of the filing of Defendants' ANDA and that the ANDA included a certification, pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV Certification"), with respect to, inter alia, the '504, '085, and '070 patents.

16. Defendants' submission of ANDA No. 205204 and service of the Notice Letter indicates a refusal to change their current course of action.

17. There has been and is now an actual controversy between Defendants and Plaintiffs as to whether Defendants infringe the '504, '085, and '070 patents.

18. This Court has personal jurisdiction over Wockhardt USA LLC because it has availed itself of the legal protections of the State of New Jersey by, among other things, maintaining its principal place of business in Parsippany, New Jersey.

19. This Court also has personal jurisdiction over Wockhardt USA LLC because, among other things, as described above, it manufactures, distributes, markets, and sells generic drug products throughout the United States and within New Jersey. Furthermore, upon information and belief, Wockhardt USA LLC derives substantial revenue from such conduct in New Jersey. Accordingly, Wockhardt USA LLC has persistent, systematic and continuous contacts with New Jersey and has therefore purposefully availed itself of the benefits and protections of New Jersey's laws such that it should reasonably anticipate being haled into court in this district.

20. This Court also has personal jurisdiction over Wockhardt USA LLC because it has availed itself of the legal protections of the State of New Jersey by, among other things, admitting jurisdiction and asserting counterclaims in lawsuits filed in the United States District Court for the District of New Jersey (*e.g.*, *Aventis Pharms. Inc. v. Wockhardt Limited.*, C.A. No. 07-5647, Answer and Counterclaims of Defendants Wockhardt Limited

and Wockhardt USA, LLC at 3 (D.N.J. June 4, 2010); *Sanofi Aventis U.S. LLC v. Wockhardt Limited.*, C.A. No. 10-1471, Answer and Counterclaims of Defendants Wockhardt Limited and Wockhardt USA LLC at 3-4 (D.N.J. Apr. 22, 2010); *Nautilus Neurosciences, Inc. v. Wockhardt USA LLC*, C.A. No. 11-1997, Defendants Wockhardt USA LLC's and Wockhardt Limited's Answer and Counterclaims at 4 (D.N.J. Apr. 29, 2011)).

21. This Court also has personal jurisdiction over Wockhardt Limited because, among other things, as described above it manufactures, distributes, markets, and sells generic drug products throughout the United States and within New Jersey. Furthermore, upon information and belief, Wockhardt Limited derives substantial revenue from such conduct in New Jersey. Accordingly, Wockhardt Limited has persistent, systematic and continuous contacts with New Jersey and therefore purposefully availed itself of the benefits and protections of New Jersey's laws such that it should reasonably anticipate being haled into court in this district.

22. This Court also has personal jurisdiction over Wockhardt Limited because it has availed itself of the legal protections of the State of New Jersey by, among other things, creating a subsidiary with its principal places of business in New Jersey (i.e., Wockhardt USA LLC).

23. This Court also has personal jurisdiction over Wockhardt Limited because it has availed itself of the legal protections of the State of New Jersey by, among other things, admitting jurisdiction and asserting counterclaims in lawsuits filed in the United States District Court for the District of New Jersey (e.g., *Aventis Pharms. Inc. v. Wockhardt Limited.*, C.A. No. 07-5647, Answer and Counterclaims of Defendants Wockhardt Limited and Wockhardt USA, LLC at 3 (D.N.J. June 4, 2010); *Sanofi Aventis U.S. LLC v. Wockhardt*

*Limited.*, C.A. No. 10-1471, Answer and Counterclaims of Defendants Wockhardt Limited and Wockhardt USA LLC at 3-4 (D.N.J. Apr. 22, 2010); *Nautilus Neurosciences, Inc. v. Wockhardt USA LLC*, C.A. No. 11-1997, Defendants Wockhardt USA LLC's and Wockhardt Limited's Answer and Counterclaims at 4 (D.N.J. Apr. 29, 2011)).

24. For these reasons, and for other reasons that will be presented to the Court, if jurisdiction is challenged, the Court has personal jurisdiction over both Wockhardt USA LLC and Wockhardt Limited.

**FIRST CLAIM FOR RELIEF: '504 PATENT**

25. Plaintiffs reallege paragraphs 1–24, above, as if set forth specifically here.

26. The '504 patent (copy attached as Exhibit "A"), entitled "Compositions," was issued on February 3, 1998 to Astra Aktiebolag upon assignment from the inventors Per Lennart Lindberg and Sverker Von Unge. The patent was subsequently assigned to AstraZeneca AB. The '504 patent claims, *inter alia*, pharmaceutical formulations comprising alkaline salts of esomeprazole (including esomeprazole magnesium) and methods of using esomeprazole magnesium.

27. Plaintiff AstraZeneca AB has been and is still the owner of the '504 patent. The '504 patent will expire on February 3, 2015 and pediatric exclusivity relating to the '504 patent expires on August 3, 2015.

28. The Notice Letter notified Plaintiffs that Defendants submitted ANDA 205204 to the FDA under 21 U.S.C. § 355(j), seeking the FDA's approval to manufacture, use, offer to sell and sell the ANDA Products as generic versions of the NEXIUM® product.

29. In the Notice Letter, Defendants notified Plaintiffs that as part of their ANDA they had filed a certification of the type described in 21 U.S.C. § 355(j)(2)(A)(vii)(IV) (“Paragraph IV”) with respect to the ’504 patent. This statutory section requires, *inter alia*, certification by the ANDA applicant that the subject patent, here the ’504 patent, “is invalid or will not be infringed by the manufacture, use, or sale of the new drug for which the application is submitted . . . .” The statute (21 U.S.C. § 355(j)(2)(B)(iv)) also requires a Paragraph IV notice to “include a detailed statement of the factual and legal basis of the applicant’s opinion that the patent is not valid or will not be infringed.” The FDA Rules and Regulations (21 C.F.R. § 314.95(c)) specify, *inter alia*, that a Paragraph IV notification must include “[a] detailed statement of the factual and legal basis of applicant’s opinion that the patent is not valid, unenforceable, or will not be infringed.” The detailed statement is to include “(i) [f]or each claim of a patent alleged not to be infringed, a full and detailed explanation of why the claim is not infringed” and “(ii) [f]or each claim of a patent alleged to be invalid or unenforceable, a full and detailed explanation of the grounds supporting the allegation.”

30. On information and belief, at the time the Notice Letter was served, Defendants were aware of the statutory provisions and regulations referred to in paragraph 30, above.

31. The Notice Letter, which is required by statute and regulation to provide a full and detailed explanation regarding non-infringement (see paragraph 30, above), does not allege non-infringement of all claims of the ’504 patent.

32. The Notice Letter, which is required by statute and regulation to provide a full and detailed explanation regarding invalidity (see paragraph 30, above), alleges invalidity of all claims of the ’504 patent.



33. The Notice Letter, which is required by statute and regulation to provide a full and detailed explanation regarding unenforceability (see paragraph 30, above), does not allege unenforceability of the '504 patent.

34. Even where asserted, the Notice Letter does not provide the full and detailed statement of Defendants' factual and legal basis to support their non-infringement, invalidity and/or unenforceability allegations as to the '504 patent.

35. Accordingly, the Notice Letter fails to comply with the law, as specified in 21 U.S.C. § 355(j), and FDA rules and regulations, as specified in 21 C.F.R. § 314.95.

36. Defendants have infringed the '504 patent under 35 U.S.C. § 271(e)(2) by filing their ANDA seeking approval from the FDA to engage in the commercial manufacture, use or sale of a drug claimed in this patent, or the use of which is claimed in the this patent, prior to the expiration of the '504 patent.

37. On information and belief, the ANDA Products, if approved, will be administered to human patients in a therapeutically effective amount to inhibit gastric acid secretion and for the treatment of gastrointestinal inflammatory disease. On information and belief, this administration will occur at Defendants' active behest and with their intent, knowledge and encouragement. On information and belief, Defendants will actively encourage, aid and abet this administration with knowledge that it is in contravention of Plaintiffs' rights under the '504 patent.

38. On information and belief, the ANDA Products are a component of the formulation patented in the '504 patent, are a material for use in practicing the method patented in the '504 patent, constitute a material part of those inventions, are especially made or especially adapted for use in an infringement of the '504 patent, and are not a staple article or

commodity of commerce suitable for substantial noninfringing use. On information and belief, Defendants are aware that the ANDA Products are so made or so adapted. On information and belief, Defendants are aware that the ANDA Products, if approved, will be used in contravention of Plaintiffs' rights under the '504 patent.

39. The Notice Letter does not allege and does not address non-infringement of all claims of the '504 patent. By not addressing non-infringement of all claims of the '504 patent in its Notice Letter, Defendants admit that the ANDA Products meet all limitations of those non-addressed '504 patent claims.

40. On information and belief, the manufacture, use and sale of the ANDA Products infringe the '504 patent claims.

**SECOND CLAIM FOR RELIEF: '085 PATENT**

41. Plaintiffs incorporate by reference paragraphs 1-24 of this Complaint as if fully set forth herein.

42. On information and belief, Defendants submitted ANDA No. 205204 to the FDA under 21 U.S.C. § 355(j) in order to obtain approval to Defendants' ANDA Products in the United States before the expiration of the '085 patent.

43. By their ANDA Notice Letter, Defendants informed Plaintiffs that they had submitted to the FDA, pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV), a certification alleging that the '085 patent will not be infringed by the commercial manufacture, use, sale, offer for sale, or importation into the United States of the ANDA Products.

44. The Notice Letter does not allege and does not address the validity or enforceability of any claim of the '085 patent, which is presumed valid. By not addressing the

validity of any claim of the '085 patent in its Notice Letter, Defendants admit that all of the claims of the '085 patent are valid and enforceable.

45. Under 35 U.S.C. § 271(e)(2)(A), the submission by Defendants to the FDA of ANDA No. 205204 to obtain approval for the commercial manufacture, use, sale, offer for sale, or importation into the United States of the ANDA Products before the expiration of the '085 patent constitutes infringement of one or more claims of the '085 patent, either literally or under the doctrine of equivalents.

46. On information and belief, the ANDA Products, if approved by the FDA, will be prescribed and administered to human patients in a therapeutically effective amount to inhibit gastric acid secretion and for the treatment of gastrointestinal inflammatory disease. On information and belief, this administration will occur at Defendants' active behest and with their intent, knowledge, and encouragement. On information and belief, Defendants will actively encourage, aid and abet this administration with knowledge that it is in contravention of Plaintiffs' rights under the '085 patent.

47. Plaintiffs will be substantially and irreparably harmed by the infringing activities described above unless those activities are precluded by this Court. Plaintiffs have no adequate remedy at law.

### **THIRD CLAIM FOR RELIEF: '070 PATENT**

48. Plaintiffs incorporate by reference paragraphs 1-24 of this Complaint as if fully set forth herein.

49. On information and belief, Defendants submitted ANDA No. 205204 to the FDA under 21 U.S.C. § 355(j) in order to obtain approval to market the ANDA Products in the United States before the expiration of the '070 patent.

50. By their ANDA Notice Letter, Defendants informed Plaintiffs that they had submitted to the FDA, pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV), a certification alleging that the '070 patent will not be infringed by the commercial manufacture, use, sale, offer for sale, or importation into the United States of the ANDA Products.

51. The Notice Letter does not allege and does not address the validity or enforceability of any claim of the '070 patent, which is presumed valid. By not addressing the validity of any claim of the '070 patent in its Notice Letter, Defendants admit that all of the claims of the '070 patent are valid and enforceable.

52. Under 35 U.S.C. § 271(e)(2)(A), the submission by Defendants to the FDA of ANDA No. 205204 to obtain approval for the commercial manufacture, use, sale, offer for sale, or importation into the United States of the ANDA Products before the expiration of the '070 patent constitutes infringement of one or more claims of the '070 patent, either literally or under the doctrine of equivalents.

53. On information and belief, the ANDA Products, if approved by the FDA, will be prescribed and administered to human patients in a therapeutically effective amount to inhibit gastric acid secretion and for the treatment of gastrointestinal inflammatory disease. On information and belief, this administration will occur at Defendants' active behest and with their intent, knowledge, and encouragement. On information and belief, Defendants will actively encourage, aid and abet this administration with knowledge that it is in contravention of Plaintiffs' rights under the '070 patent.

54. Plaintiffs will be substantially and irreparably harmed by the infringing activities described above unless those activities are precluded by this Court. Plaintiffs have no adequate remedy at law.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs respectfully request the following relief:

- (a) A judgment declaring that the effective date of any approval of Defendants' ANDA No. 205204 under Section 505(j) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. § 355(j)) for drug product called "Esomeprazole Magnesium Delayed Release Oral Capsules, 20 mg, and 40 mg" be a date which is not earlier than the later of November 25, 2018, the expiration date of the last to expire of the patents-in-suit that is infringed, and the expiration of any exclusivity relating to the patent to which Plaintiffs are or will become entitled;
- (b) A judgment declaring that the '504, '085, and '070 patents remain valid, remain enforceable and have been infringed by Defendants;
- (c) A judgment declaring that Defendants have not complied with the requirements of 35 U.S.C. §§ 271(e)(2), 271(g), 21 U.S.C. § 355(j)(2)(A)(vii)(IV), 21 U.S.C. § 355(j)(2)(B)(iv), 21 C.F.R. § 314.94 and 21 U.S.C. § 314.95;
- (d) A permanent injunction against any infringement by Defendants of the '504, '085, and '070 patents;
- (e) A judgment that Defendants' infringement is willful;
- (f) A judgment that Defendants' conduct is exceptional;
- (g) An award of attorney fees in this action under 35 U.S.C. § 285;
- (h) Costs and expenses in this action; and
- (i) Such other relief as this Court may deem just and proper.

Dated: July 17, 2015.

Respectfully submitted,

/s/ John E. Flaherty

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