

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF TEXAS
MARSHALL DIVISION**

ANUWAVE LLC

Plaintiff,

v.

**REGIONS FINANCIAL CORPORATION
and REGIONS BANK**

Defendant.

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CIVIL ACTION NO.

JURY TRIAL DEMANDED

COMPLAINT FOR INFRINGEMENT OF PATENT

COMES NOW, Plaintiff Anuwave, LLC (“Anuwave” or Plaintiff), through the undersigned attorneys, and respectfully alleges, states, and prays as follows:

NATURE OF THE ACTION

1. This is an action for patent infringement under the Patent Laws of the United States, Title 35 United States Code (“U.S.C.”) to prevent and enjoin defendants Regions Financial Corporation and Regions Bank (collectively “Defendants”) from infringing and profiting, in an illegal and unauthorized manner and without authorization and/or of the consent from Anuwave, from U.S. Patent No. 8,295,862 (the “862 patent”, attached hereto as Exhibit “A”) pursuant to 35 U.S.C. §271, and to recover damages, attorney’s fees, and costs.

THE PARTIES

2. Plaintiff Anuwave is a Texas entity with its principal place of business at 1333 McDermott Drive, Suite 150, Allen, TX 75013.

3. Upon information and belief, defendant Regions Financial Corporation is a corporation organized and existing under the laws of the State of Delaware, having a mailing address at PO Box 10247 Attn: Jackie Snow, Birmingham, AL 35202-0247. Upon information

and belief, Defendant may be served with process at CT Corporation System, 350 North St. Paul St., Dallas, TX 75201.

4. Upon information and belief, defendant Regions Bank is a corporation organized and existing under the laws of the State of Alabama, having a mailing address at PO Box 11007, Birmingham, AL 35288-0001. Upon information and belief, Defendant may be served with process at Corporation Service Company, 211 East 7th Street Suite 620, Austin, TX 78701.

JURISDICTION AND VENUE

5. The Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§1331 and 1338(a) because the action arises under the Patent Laws of the United States, 35 U.S.C. §§ 1 *et seq.*

6. This Court has personal jurisdiction over Defendant by virtue of its systematic and continuous contacts with this jurisdiction, as alleged herein, as well as because of the injury to Anuwave, and the cause of action Anuwave has risen, as alleged herein.

7. Defendant is subject to this Court's specific and general personal jurisdiction pursuant to due process and/or the Texas Long Arm Statute, due at least to its substantial business in this forum, including: (i) at least a portion of the infringements alleged herein; and (ii) regularly doing or soliciting business, engaging in other persistent courses of conduct, and/or deriving substantial revenue from goods and services provided to individuals in Texas and in this judicial district.

8. Defendant has conducted and does conduct business within the state of Texas, including the geographic region within the Eastern District of Texas, directly or through intermediaries, resellers or agents, or offers for sale, sells, advertises (including through the use of interactive web pages with promotional material) products or services, or uses services or

products in Texas, including this judicial district, that infringe the '862 patent.

9. Specifically, Defendant solicits business from and markets its services to consumers within Texas, including the geographic region within the Eastern District of Texas, by offering text banking services for said Texas consumers enabling them to communicate with other parties using said connection.

10. In addition to Defendant's continuously and systematically conducting business in Texas, the causes of action against Defendant are connected (but not limited) to Defendant's purposeful acts committed in the state of Texas, including the geographic region within the Eastern District of Texas, including Defendant's making, using, offering for sale, or selling text banking services which include features that fall within the scope of at least one claim of the '862 patent.

11. Venue lies in this judicial district pursuant to 28 U.S.C. §§1391 and 1400(b).

JOINDER

12. Defendants are properly joined under 35 U.S.C. §299(a)(1) because a right to relief is asserted against the parties jointly, severally, and in the alternative with respect to the same transactions, occurrences, or series of transactions or occurrences relating to the making, using, importing into the United States, offering to sell, and/or selling the same accused products. Specifically, as alleged in detail below, Defendants are alleged to infringe the '862 patent with respect to the same products.

13. Defendants are properly joined under 35 U.S.C. §299(a)(2). Questions of fact will arise that are common to all defendants, including for example, whether Defendants' products have features that meet the features of one or more claims of the '862 patent, and what

reasonable royalty will be adequate to compensate the owner of the '862 patent for their infringement.

14. Defendants use, make, sell, offer to sell and/or import products that, when used, infringe on the '862 patent.

15. At least one right to relief is asserted against these parties jointly, severally, or in the alternative with respect to or arising out of the same transaction, occurrence, or series of transactions or occurrences relating to the making, using, importing into the United States, offering to sell, or selling of the same accused product and/or process.

FACTUAL ALLEGATIONS

16. On October 23, 2012, the United States Patent and Trademark Office ("USPTO") duly and legally issued the '862 patent, entitled "Method and system to enable communication through SMS communication channel" after a full and fair examination. (Exhibit A).

17. Anuwave is presently the owner of the patent, having received all right, title and interest in and to the '862 patent from the previous assignee of record. Anuwave possesses all rights of recovery under the '862 patent, including the exclusive right to recover for past infringement.

18. The '862 patent contains two independent claims and five dependent claims. Defendant commercializes, inter alia, methods that perform all the steps recited in at least one claim of the '862 patent.

19. The invention claimed in the '862 patent comprises a method for enabling communication through SMS that lists all services at a terminal station available with an SMS gateway according to meta information found at the terminal station.

20. The method allows a user to type in a desired parameter not listed at the terminal station using a network aware application. When the request is submitted to the SMS gateway, the gateway issues a response.

DEFENDANT'S PRODUCTS

21. Defendant offers text banking services, such as the “Regions Bank Text Banking System” (the “Accused Instrumentality”), that enable communication through an SMS channel, whereby any of Defendant’s customers can use their own SMS-enabled mobile device to quickly access basic account information using text commands. For example, the Accused Instrumentality enables users to receive bank services via SMS messages.

22. The Accused Instrumentality lists all services at a terminal station available with an SMS gateway according to meta information found at the terminal station. For example, the system shows all available services and their corresponding commands when a user of a mobile phone sends the text “INFO” to a certain SMS code. The SMS gateway further lists available services based upon the user’s accounts and preferences which are linked to their mobile number at registration.

23. The Accused Instrumentality includes, upon selecting a service, a network aware application configured to allow a user to type in a desired parameter. For example, a user can select the option to transfer funds by entering the corresponding “TRA” command followed by the transferred amount, the account from where the money will be transferred and the account to where the money will be transferred (i.e. desired parameters) in order to request the transfer of funds.

24. The Accused Instrumentality includes, upon user entering a desired parameter, submitting a request to the SMS gateway. For example, the Accused Instrumentality utilizes Common Short Codes to route messages from the user to the SMS messaging server.

25. The Accused Instrumentality includes the SMS gateway responding back with a response. Upon information and belief, in reference to paragraph 23, the SMS gateway shows whether the transfer was successful or not.

26. The Accused Instrumentality includes a desired parameter that is not listed at the terminal station. For example, in reference to paragraph 23, transferred amount, the account from where the money will be transferred and the account to where the money will be transferred entered by the user in combination with the "TRA" service command are not listed at the terminal station.

27. The elements described in paragraphs 21-26 are covered by at least claim 7 of the '862 patent. Thus, Defendant's use, manufacture, sale, and/or offer for sale of the Accused Product is enabled by the device described in the '862 patent.

INFRINGEMENT OF THE '862 PATENT

28. Plaintiff realleges and incorporates by reference the allegations set forth in paragraphs 1 to 27.

29. In violation of 35 U.S.C. § 271, Defendants are now, and have been directly infringing the '862 patent.

30. Defendants have had knowledge of infringement of the '862 patent at least as of the service of the present complaint.

31. Defendants have directly infringed and continue to directly infringe at least claim 7 of the '862 patent by making, using, importing, offering for sale, and/or selling the Accused

Instrumentality through its website without authority in the United States, and will continue to do so unless enjoined by this Court. As a direct and proximate result of Defendants' direct infringement of the '862 patent, Plaintiff has been and continues to be damaged.

32. By engaging in the conduct described herein, Defendants have injured Anuwave and is thus liable for infringement of the '862 patent, pursuant to 35 U.S.C. § 271.

33. Defendants have committed these acts of infringement without license or authorization.

34. As a result of Defendants' infringement of the '862 patent, Anuwave has suffered monetary damages and is entitled to a monetary judgment in an amount adequate to compensate for Defendants' past infringement, together with interests and costs.

35. Anuwave will continue to suffer damages in the future unless Defendants' infringing activities are enjoined by this Court. As such, Anuwave is entitled to compensation for any continuing and/or future infringement up until the date that Defendants are finally and permanently enjoined from further infringement.

DEMAND FOR JURY TRIAL

36. Anuwave demands a trial by jury of any and all causes of action.

PRAYER FOR RELIEF

WHEREFORE, Anuwave prays for the following relief:

a. That Defendants be adjudged to have directly infringed the '862 patent either literally or under the doctrine of equivalents;

b. That Defendants, their officers, directors, agents, servants, employees, attorneys, affiliates, divisions, branches, parents, and those persons in active concert or participation with any of them, be permanently restrained and enjoined from directly infringing the '862 patent;

c. An award of damages pursuant to 35 U.S.C. § 284 sufficient to compensate Anuwave for the Defendants' past infringement and any continuing or future infringement up until the date that Defendants are finally and permanently enjoined from further infringement, including compensatory damages;

d. An assessment of pre-judgment and post-judgment interest and costs against Defendants, together with an award of such interest and costs, in accordance with 35 U.S.C. §284;

e. That Defendants be directed to pay enhanced damages, including Anuwave's attorneys' fees incurred in connection with this lawsuit pursuant to 35 U.S.C. §285; and

f. That Anuwave have such other and further relief as this Court may deem just and proper.

Dated: March 28, 2016

Respectfully Submitted,

By: /s/Eugenio J. Torres-Oyola

Eugenio J. Torres-Oyola

USDC No. 215505

Ferraiuoli LLC

221 Plaza, 5th Floor

221 Ponce de León Avenue

San Juan, PR 00917

Telephone: (787) 766-7000

Facsimile: (787) 766-7001

Email: etorres@ferraiuoli.com

Jean G. Vidal Font

USDC No. 227811

Ferraiuoli LLC

221 Plaza, 5th Floor

221 Ponce de León Avenue

San Juan, PR 00917

Telephone: (787) 766-7000

Facsimile: (787) 766-7001

Email: jvidal@ferraiuoli.com

ATTORNEYS FOR PLAINTIFF

ANUWAVE, LLC