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UNITED STATES DISTRICT COURT		
NORTHERN DISTRICT OF CALIFORNIA		
SAN JOSE DIVISION		
VIA TECHNOLOGIES, INC., a California corporation, VIA TECHNOLOGIES, INC., a	Case No. 5:14-cv-03586-BLF	
Taiwan corporation, and VIA LABS, INC., a Taiwan corporation	THIRD AMENDED COMPLAINT FOR DAMAGES AND INJUNCTIVE RELIEF	
Plaintiffs,	DAMAGES AND INJUNCTIVE RELIEF	
VS.	DEMAND FOR JURY TRIAL	
ASUS COMPUTER INTERNATIONAL a	DEMAND FOR JURY IRIAL	
California corporation, ASUSTEK		
ASMEDIA TECHNOLOGY INC., a Taiwan		
Defendants.		
THIRD AMENDI	ED COMPLAINT	
	a Taiwan corporation, and VIA LABS, INC., a Taiwan corporation UNITED STATES I NORTHERN DISTRI SAN JOSE VIA TECHNOLOGIES, INC., a California corporation, VIA TECHNOLOGIES, INC., a Taiwan corporation, and VIA LABS, INC., a Taiwan corporation Plaintiffs, vs. ASUS COMPUTER INTERNATIONAL, a California corporation, ASUSTEK COMPUTER INC., a Taiwan corporation, and ASMEDIA TECHNOLOGY INC., a Taiwan corporation, Defendants.	

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Plaintiffs VIA Technologies, Inc., a California corporation ("VIA-US"), VIA Technologies, Inc., a Taiwan corporation ("VIA-TW"), and VIA Labs, Inc., a Taiwan corporation ("VLI") (collectively, "Plaintiffs" or "VIA") allege for their Complaint against ASUS Computer International, a California corporation ("ACI"), ASUSTeK Computer Inc., a Taiwan corporation ("ASUS-TW"), and ASMedia Technology Inc., a Taiwan corporation ("ASM") (collectively, "Defendants"), as follows:

1. VIA brings this action to put an end to Defendants' continuing willful infringement and wrongful misappropriation through commercial exploitation of some of VIA's most valued intellectual property relating to USB technology, which Defendants improperly acquired through a carefully-orchestrated scheme. Defendants' exploitation of VIA's intellectual property has included the marketing and selling in the United States of products infringing VIA's patents and/or created using trade secrets stolen from VIA.

In 2012, VIA's internal investigation and criminal investigations by prosecutors
in Taiwan uncovered a surreptitious scheme hatched by Defendants in at least as early as 2007 to
copy VIA's notable success in the development of USB technology, and boost their own
flagging sales at VIA's expense, by inducing various VIA employees – including a then-VIA
Vice-President, Chewei Lin – to steal VIA's highly confidential and proprietary trade secret
information relating to USB controller chip technology, and defect to high-level positions with
the Defendants.

20 3. As a result of this mass theft and defection, ASM went from being a digital photo 21 frame manufacturer with no USB-related products to a mass producer of complex USB 3.0 and 22 other high speed input-output (I/O) chips. This change in ASM's product focus also coincided 23 with a marked improvement in its financial situation whereby it went from having less than 24 US\$10M in sales and reporting net operating losses for at least three consecutive years starting in 25 2007, to more than doubling its sales and reporting positive net operating income starting in 2010, including through ASM's direct marketing and sales of the accused products to customers 26 27 in the United States.

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4. ASUS-TW, which has been the single largest customer for ASM's USB host

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controller products,¹ has also benefitted directly from this illegal scheme by incorporating the USB 3.0 products that ASM created using trade secrets stolen from VIA and that infringe VIA's patents into its own products, including but not limited to motherboards (such as P8P67 PRO (REV 3.1) (New B3 Revision) and Z9PE-D8 WS),² add-in expansion cards (such as the ASUS PCIE USB3),³ desktop computers, and laptop computers, and thereby dramatically increased its own sales, including sales made in the United States through ACI, which also doubled in the same timeframe. In addition, as the majority shareholder of ASM, ASUS-TW also generates tremendous profits from ASM's increased sales.

ACI is a wholly owned subsidiary of ASUS-TW.⁴ The main business activities of 9 5. ACI are "selling 3C [Computer, Communications, Consumer electronics] products in North 10 America."5 On information and belief, ACI has marketed and sold in California and the rest of 11 the United States ASUS-branded products incorporating ASM chips which infringe VIA's 12 13 patents and which were made using VIA's misappropriated trade secrets. ACI had knowledge 14 that the products it marketed and sold infringe VIA's patents and were made using VIA's 15 misappropriated trade secrets, not least because ASM's Chairman, Jerry Shen, is also an officer and director of ASUS-TW and a director of ACI.⁶ 16

6. By misappropriating and infringing VIA's intellectual property, Defendants have willfully and maliciously violated VIA's rights in its trade secrets and patents, and should be enjoined.

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- ¹ See http://www.taipeitimes.com/News/biz/archives/2012/12/13/2003550001 ("The USB 3.0 controller chips and controller chips for USB 3.0-enabled devices accounted for nearly 60 percent of ASMedia's revenue ... mainly attributable to major customer Asustek Computer Inc").
 ² See http://www.asus.com/Motherboards/P8P67 PRO REV 31/
 - ³ See http://www.asus.com/Motherboards/PCIE_USB3/

 26 See http://www.asus.com/Monerobards/PCHL_03B3/
 ⁴ 2013 Annual Report of ASUSTeK Computer Inc. (ASUS-TW) ("2013 Annual Report"), available at http://asus.todayir.com.tw/attachment/201501051048074_en.pdf, at 55.
 ⁵ 2013 Annual Report at 115, 223.

28 6 2013 Annual Report at 21-22.

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1	THE PARTIES
2	7. Plaintiff VIA-US is a California corporation with its principal place of business at
3	940 Mission Court, Fremont, California 94539.
4	8. Plaintiff VIA-TW is a Taiwan corporation with its principal place of business at
5	8F, No. 533, Zhongzheng Rd., Xindian District, New Taipei City 231, Taiwan.
6	9. Plaintiff VLI is a Taiwan corporation with its principal place of business at 7F,
7	No. 529-1, Zhongzheng Rd, Xindian District, New Taipei City 231, Taiwan.
8	10. Defendant ASM is a Taiwan corporation with its principal place of business at 6F,
9	No. 115, Minquan Rd., Xindian District, New Taipei City 231, Taiwan. On information and
10	belief, ASUS-TW and its affiliates owned over 90% of the shares of ASM at the beginning of the
11	timeframe relevant to this Complaint, and continue to own a majority of the shares of ASM
12	today. ⁷ Also on information and belief, ASM does substantial business on an ongoing basis in
13	the United States, including in California and in this District, through distributors but also
14	directly on its own. For example, on information and belief, ASM directly supplies its accused
15	products to customers based in this District such as Seagate, SIIG, Inc. and Super Talent
16	Technology.
17	11. Defendant ASUS-TW is a Taiwan corporation with its principal place of business
18	at No. 15, Li-Te Road, Beitou District, Taipei City, Taiwan. On information and belief, ASUS-
19	TW does substantial business on an ongoing basis in the United States, including in California
20	and in this District, through its wholly owned subsidiary and exclusive North American sales and
21	marketing agent, ACI, which is also a named defendant in this action.
22	12. Defendant ACI is a California corporation with its principal place of business at
23	800 Corporate Way, Fremont, California 94539. ACI can be served through its registered agent,
24	C T Corporation System, 818 West Seventh Street, Los Angeles, California 90017. On
25	information and belief, ACI is the wholly owned subsidiary and exclusive North American sales
26	and marketing agent for ASUS-TW, which is also a named defendant in this action.
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28	⁷ 2013 Annual Report at 55.

RUSS, AUGUST & KABAT

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13. Plaintiffs are informed and believe and thereon allege that at all pertinent times herein mentioned, Defendants, and each of them, were the agents, servants, employees, representatives and/or alter egos of their Co-Defendants and, in doing the things hereinafter alleged, were acting within the course and scope of such agency and with the permission and consent of their Co-Defendants. Defendants, and each of them, had and have actual or constructive knowledge of the events, transactions and occurrences alleged herein, and either knew or should have known of the conduct of their Co-Defendants and cooperated in, benefited from and/or ratified such conduct.

9 14. On information and belief, ACI is ASUS-TW's alter ego. ASUS-TW is and 10 always has been the 100% shareholder of ACI and has kept ACI grossly undercapitalized at all times relevant to this Complaint, with ACI consistently reporting a negative net worth and only 11 12 around US\$500,000 or less in capital since at least 2007, while having annual sales ranging from 13 around US\$400 million up to over US\$2 billion during the same timeframe. Stated another way, since 2007, ACI's annual sales – and attendant potential liability arising therefrom – have been 14 15 between 800 and 4000 times its capital. Additionally, ASUS-TW has had numerous key officers and directors in common with ACI at all times relevant to this Complaint. For example, long-16 17 time Chairman of ASUS-TW, Jonney Shih, has also been a director of ACI since at least 2007. 18 Jerry Shen, who has been an ASUS-TW director since at least 2007, the President and/or CEO of 19 ASUS-TW since at least 2008, and is currently the General Manager of the ASUS-TW motherboard business unit, has also been a director of ACI since at least 2007.⁸ Jackie Hsu, who 20 21 is ASUS-TW's Corporate Vice President and General Manager of Worldwide Sales was also President of ACI from 2006 to 2009 and continues to be a director of ACI through the present.⁹ 22 Eric Chen is a Corporate Vice President and director of ASUS-TW as well as an ACI director.¹⁰ 23 24 Further, Ivan Ho has been CEO of ACI since at least 2006, and was also a director of ASUS-TW 25

 ⁹ See https://www.linkedin.com/pub/jackie-hsu/8/b90/b46
 ¹⁰ 2013 Annual Report at 21-22; http://press.asus.com/PressReleases/p/ASUS-ZenWatch-Announced-at-IFA-2014#.VVpl2xe-uQo.

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^{26 &}lt;sup>8</sup> 2013 Annual Report at 21-22, 24-25, 128. As noted below, Jerry Shen is also the Chairman of ASM.

until at least 2010.¹¹

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15. On information and belief, ACI is also ASUS-TW's agent. On information and belief, ACI exclusively represents its parent company, ASUS-TW, in marketing and selling its products to third persons in the United States. ASUS-TW also affirmatively holds ACI out to such third person customers as "ASUS-USA" and/or its United States "headquarters," "branch" or "facility," including in multiple places on its corporate website, www.asus.com. Further, ASUS-TW not only has all of the aforementioned directors and officers in common with ACI, it has repeatedly admitted in its general purpose consolidated financial statements that it controls ACI and specifically "has the power to govern [ACI's] financial and operating policies."¹² Indeed, ASUS-TW has routinely demonstrated such control by assuming ACI's defense in United States litigations.¹³

On information and belief, ASM is also ASUS-TW's agent. On information and 12 16. 13 belief, ASUS-TW has owned a controlling interest in ASM at all times relevant to this Complaint. Also on information and belief, as ASUS-TW's subsidiary, ASM not only designs 14 15 and manufactures high-speed I/O products, including USB 3.0 products for ASUS-TW's use, it also markets and sells such products to third persons in the United States for ASUS-TW's 16 17 financial benefit. Additionally, ASUS-TW has had numerous key officers and directors in 18 common with ASM at all times relevant to this Complaint. For example, upon leaving VIA, 19 Chewei Lin became President, CEO, and Director of ASM, and also served as Vice President 20

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- 22 ¹¹ See, e.g., 2008 Annual Report of ASUSTeK Computer Inc. (ASUS-TW) ("2008 Annual Report"), *available at*
- http://www.corpasia.net/taiwan/2357/annual/2008/EN/2008%20ASUS%20Annual%20report%2
 820090630%29Final.pdf, at 16-17; http://www.asus.com/us/News/shi27hHtZWpPf7wC/.
- ¹² See, e.g., ASUSTEK COMPUTER INC. AND SUBSIDIARIES Consolidated Financial Statements and Review Report of Independent Accountants – September 30, 2013 and 2012, *available at* http://www.mzcan.com/taiwan/2357/financial/62/EN/2013O3-
- 26 financial%20report%28consolidated%29Eng.pdf.pdf ("2012-2013 Consolidated Financials"), at 15-16.
- 27
 ¹³ See Decl. of Christine Starkie In Support Of ASUS Computer International's Mot. To Set Aside Entry of Default (Doc. 35-1) at ¶ 4 (attesting that it is "the custom and practice" for ASUS-TW to "handle the defense of ACI").

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and General Manager of the ASUS-TW motherboard business unit until 2012.14 Jerry Shen, 2 ASM's Chairman, has also been an ASUS-TW director since at least 2007, the President and/or 3 CEO of ASUS-TW since at least 2008, and is currently the General Manager of the ASUS-TW motherboard business unit.¹⁵ H.H. Cheng was both a director of ASM and General Manager of 4 the Desktop System Business Division and Digital Home System Business Division for ASUS-5 TW during the timeframe relevant to this Complaint.¹⁶ Further, ASUS-TW has repeatedly 6 admitted in its general purpose consolidated financial statements that it controls ASM and 7 specifically "has the power to govern [ASM's] financial and operating policies."17 On 8 9 information and belief, ASUS-TW demonstrated such control just last year when it issued public 10 statements to the Taiwanese Stock Exchange to the effect that it would investigate ASM and tighten internal controls over it in the wake of allegations that several ASUS-TW Vice Presidents had engaged in insider trading with respect to ASM stock.¹⁸ 12

17. On information and belief, ASM also has had key officers and directors in common with ACI during the timeframe relevant to this Complaint. For example, on information and belief, ASM Chairman, Jerry Shen, was and still is an ACI director.¹⁹

19 20 21 ¹⁴ See 2010 Annual Report of ASUSTeK Computer Inc. (ASUS-TW) ("2010 Annual Report"), available at http://asus.todavir.com.tw/attachment/201404011708324 en.pdf, at 19-20, 199; 22 http://www.usb.org/press/presskit/ASMedia HostController May2011.pdf; http://www.asus.com/News/eBmtlB3WXTWFvcdj/; http://www.usb.org/press/USB-23 IF Press Releases/SuperSpeed USB Consumer Cert FINAL 2 .pdf. 24 ¹⁵ 2013 Annual Report at 21-22, 24-25. ¹⁶ 2008 Annual Report at 233; http://www.cyberlink.com/jpn/press_room/view_1982.html; 25 http://techcrunch.com/2009/02/16/asus-eee-top-pc-runs-it-some-touchscreen-opera/. ¹⁷ See, e.g., 2012-2013 Consolidated Financials at 15-17. 26 ¹⁸ See, e.g., http://www.taipeitimes.com/News/biz/print/2014/07/17/2003595259; http://www.wantchinatimes.com/news-subclass-27 cnt.aspx?id=20140717000132&cid=1102&MainCatID=0 ¹⁹ 2013 Annual Report at 21-22. 28 6

THIRD AMENDED COMPLAINT

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The following chart depicts the key officers and directors shared by Defendants 18. 1 2 during the relevant timeframe: 3 NAME ASM ACI **ASUS-TW** 4 Jonney Shih Chairman Director 5 Jerry Shen Chairman President/CEO, Director 6 Director, and current 7 General Manager of 8 motherboard business 9 unit 10 President Jackie Hsu Corporate Vice 11 President and General 12 Manager of 13 Worldwide Sales 14 Eric Chen Corporate Vice Director 15 President and Director 16 Chewei Lin President, CEO, and Vice President and 17 (formerly of VIA) Director General Manager of 18 motherboard business 19 unit until 2012 20 Ivan Ho CEO Director 21 General Manager of 22 the Desktop System 23 H.H. Cheng **Business Division and** Director 24 Digital Home System 25 **Business Division** 26 JURISDICTION 27 19. This is an action for injunctive relief and to recover damages arising under the 28 patent laws of the United States, 35 U.S.C. § 1, et seq., including § 271, and the Defend Trade THIRD AMENDED COMPLAINT

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Secrets Act, 18 U.S.C. §§ 1836 *et seq*. This Court has original jurisdiction over the subject matter under 28 U.S.C. §§ 1331 and 1338(a) and (b) and supplemental pendent jurisdiction over Plaintiffs' state-law cause of action under 28 U.S.C. § 1367.

20. This Court has general jurisdiction over ACI because ACI is incorporated under California law and has its principal place of business in this District.

6 21. This Court has personal jurisdiction over ASUS-TW and ASM because, on information and belief, ASUS-TW and ASM have conducted and continue to conduct a 7 8 substantial amount of business in this District, have committed and continue to commit acts of 9 patent infringement in this District, and/or have harmed and continue to harm Plaintiffs in this 10 District, by, among other things, using, selling, offering for sale, and/or importing infringing products and services in this District. In particular, Defendants have purposefully availed 11 themselves of the benefits of California's laws and of the privilege of conducting business in 12 13 California by directing into California high-speed I/O chips, including USB 3.0 chips, including but not limited to USB 3.0 host, device, hub, and bridge controller chips, and products containing 14 15 USB 3.0 chips, including but not limited to motherboards, disk drive enclosures, and computers, embodying, or created using, VIA's patented technology and/or misappropriated trade secrets. 16 17 On information and belief, ASM and ASUS-TW are continuing to import to, and market and sell 18 in, California and elsewhere in the United States, products and services that embody Plaintiffs' 19 patented technology and trade secrets, or that were made using VIA's patented or trade secret 20 designs, either directly, or through others such as ACI. As a result of Defendants' intentional conduct directed toward California, Plaintiffs have suffered injury in California and elsewhere in 21 the United States. 22

22. Furthermore, ASUS-TW has purposefully availed itself of the benefits of
24 California's laws and of the privilege of conducting business in California by initiating numerous
25 lawsuits in this District as a plaintiff in its own name, including but not limited to *Asustek*26 *Computer, Inc. et al v. International Business Machines Corporation*, Case No. 3:2008-cv27 01168; *Asustek Computer, Inc. et al v. Ricoh Company, Ltd*, Case No. 3:2007-cv-01942; *ASUS*28 *Computer International et al v. ExoTablet LTD*, Case No. 4:2014-cv-01743; *Asustek Computer*

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Inc. et al v. Round Rock Research, LLC et al, Case No. 4:2011-cv-06636; Asustek Computer Inc. 2 et al v. AFTG-TG LLC et al, Case No. 5:2011-cv-00192; Asustek Computer Inc. v. Technology 3 Properties Limited et al, Case No. 5:2008-cv-00884; Asustek Computer Inc. et al v. Helferich 4 Patent Licensing, L.L.C., Case No. 3:2008-cv-04493; ASUSTeK Computer Inc. et al v. Silicon 5 Integrated Systems Corp (Taiwan), Case No. 4:2013-cv-05882; and Asus Computer International 6 et al v. Nusser, Case No. 4:2010-cv-04270.

23. Venue is proper in this District under 28 U.S.C. §§ 1391(b)-(d) and 1400(b) because, among other reasons, Defendants are subject to personal jurisdiction in this District, and have committed and continue to commit acts of patent infringement and trade secret misappropriation in this District. On information and belief, for example, Defendants have used, sold, offered for sale, and/or imported products or services embodying VIA's patented technology and/or misappropriated trade secrets to customers who reside in, or may be found in, this District.

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COMMON FACTUAL ALLEGATIONS

A. VIA IS A WORLDWIDE LEADER IN CHIPSET SOLUTIONS AND ONE OF THE **OLDEST AND MOST PROMINENT COMPANIES OF ITS KIND.**

24. VIA was founded in 1987 in Fremont, California, in this District. To this day, a subsidiary of VIA continues to employ more than 100 engineers in Fremont. In 1992, VIA moved its headquarters to Taipei, Taiwan. VIA has a long history in the electronics industry as the leading developer and manufacturer of integrated chipsets for PCs and peripherals.

21 25. As the world's largest independent manufacturer of motherboard chipsets, VIA 22 has long recognized the potential in USB as an extremely efficient and universal means of data 23 transfer. This action concerns VIA's USB controller chip technology, which includes analog 24 design schematics for the high speed I/O modules that are used in hub controller chips, host 25 controller chips, device controller chips, and bridge controller chips to implement the USB 3.0 high-speed serial transfer protocol, such as PCI-e (Peripheral Component Interconnect Express), 26 27 SATA (Serial Advanced Technology Attachment), and SSC (Spread Spectrum Clock Generator), 28 and related legacy technologies for USB 1.0/1.1/2.0 (collectively, "USB Technology").

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26. In as early as May 1997, VIA first incorporated USB 1.0 technology into its award-winning core logic chipsets, starting with the VT82C586B chipset. In or around March 2001, VIA released its first chipset with USB 1.1 support -i.e., the VT82C686A. Both of these products were released by VIA long before the proliferation of USB-enabled PC peripherals in the market.

27. In or around November 2001, with the introduction of USB 2.0 and the VIA Vectro VT6202 4-port host controller, VIA became one of the first companies to satisfy the requirements for higher bandwidth peripheral devices.

9 28. By developing and supplying both integrated and discrete solutions for PCs and 10 peripheral devices, VIA was a leader in driving the industry transition to the enhanced USB 2.0 standard.

29. Much of the design work on VIA's USB 1.1 and 2.0 products was done by VIA engineers working in Fremont, many of whom, including Steven Swei, are believed to continue to reside in this District.

15 30. USB 3.0 is the third major version of the Universal Serial Bus (USB) standard for computer connectivity. The USB 3.0 specification was developed by Hewlett-Packard Company, 16 17 Intel Corporation, Microsoft Corporation, ST-NXP Wireless and Texas Instruments and debuted at the first SuperSpeed USB Developers Conference in San Jose, California, in this District.²⁰ 18 19 Among other improvements, USB 3.0 adds a new transfer mode called "SuperSpeed" (SS), 20 capable of transferring data at up to 5 Gbit/s (625 MB/s), which is more than ten times as fast as 21 the 480 Mbit/s (60 MB/s) high speed of USB 2.0. Because every iteration of USB products 22 builds upon and has to be backwards compatible with prior iterations, VIA's legacy USB 23 Technology, including its PCI-e, SATA, SSC, and legacy USB 2.0 technology, is essential to its 24 later USB 3.0 and USB 3.1 products. Similarly, ASM's USB 3.0 and other high-speed I/O 25 products are built upon the legacy USB Technology that was stolen from VIA.

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- VIA has channeled a tremendous amount of resources into research and 31.
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²⁰ See http://www.usb.org/press/USB-IF_Press_Releases/2008_11_17_USB_IF.pdf

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development and has actively pursued power efficiency across its entire silicon portfolio. As a result, VIA has developed a complete line of VIA Vectro USB 2.0 controllers, integrating advanced power management features into a low power package.

32. In recognition of its contribution to power efficiency, VIA was awarded the world's first Low Power certification by the USB Implementers Forum.

33. VIA's significant investments in research and development have also garnered VIA over 2,200 issued patents and patent applications in the United States alone, including the patents at issue here.

34. The trade secret components of VIA's USB Technology are not accessible to the 10 public or any third party through any public channels, and VIA has never publicly disclosed this information to the public. These trade secrets are core, critical components of VIA's product offerings, the culmination of well over a decade of VIA's proprietary research and development, 13 and have brought significant economic benefit to VIA.

35. VIA has at all relevant times taken extensive steps to protect the trade secrets 14 15 relating to its USB Technology, including not only contractual measures, but also technological and procedural measures. VIA requires all employees to sign non-disclosure agreements, and 16 17 limits access to its trade secrets only to those employees who need it in order to perform their job 18 functions. To the extent that VIA's licensees, vendors, joint venturers, or customers need access 19 to VIA's trade secrets, they are likewise required to execute non-disclosure agreements. All 20 integrated circuit (IC) design at VIA is done on secure workstations without Internet access that 21 can only be accessed by first logging into the company intranet. Even then, each designer is only 22 given access to the specific folders on these workstations that he or she needs in order to work on his or her assigned projects. Normally, designers have no authority to download schematics from 23 24 these secured workstations, and can only obtain approval to do so from high-level executives.

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B. **DEFENDANTS METHODICALLY ORCHESTRATED THE MISAPPROPRIATION OF** VIA'S TRADE SECRETS AND WILLFULLY INFRINGED VIA'S PATENTS.

27 36. In October 2007, a former Vice President of VIA, Chewei Lin, left VIA to 28 become President, CEO, and Director of ASM. Dozens of other VIA employees, including

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senior product managers and R&D engineers such as Chi Chang, who is now head of research and development at ASM, also joined ASM at around the same time. On information and belief, the majority of current ASM analog designers, production control staff, and product managers are from VIA.

37. When these numerous employees departed VIA in 2007, VIA did not know in every case where they were going to work next.

38. Each employee departing VIA signed an agreement whereby the employee represented that he or she had returned all company information and documents, and agreed to continue to comply with non-disclosure agreements (including the two-year non-competition clause therein), keep confidential information confidential, and cease use of any "inventions, creations or works and concepts so accrued during the period of employment," including, specifically, patents, trade secrets and "integrated circuit layout." At the time, VIA reasonably believed, in reliance on the provisions of the agreement, that its former employees would not use or disclose VIA's trade secret information to their future employers, whether ASM or otherwise.

For example, on October 31, 2007, former VIA Vice President, Chewei Lin, 15 39. signed an agreement stating that he planned to resign on this date from his position at VIA to go 16 17 work for an unidentified new employer, and agreeing not to solicit any VIA employees (except 18 for specifically listed exceptions) for a period of two years and to ensure that his new employer 19 would not hire any employee leaving from VIA regardless of whether the employee applied for 20 the job on his/her own initiative unless he/she had departed VIA at least six months ago or VIA 21 consented to the employment. On or about November 2, 2007, Chewei Lin joined ASM as its 22 President, CEO, and Director. Around the time Chewei Lin joined ASM, ASM induced Chewei 23 Lin to breach his agreement with VIA, resulting in numerous VIA employees joining ASM 24 during the prohibited two-year period in the agreement. These employees included Hung Chen, 25 Ray Cheng, Chifeng Lin, Jimmie Hu, Somi Weng, Laurence Mai, Dixon Lin, Sabrina Yen, YF Chang, Tagin Jiang, Stephen Chen, Lisa Su, Alan Lin, Kaddy Liang, and Jason Chen. 26

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40. As a result of ASM's mass raiding of VIA's employees, the former employees of VIA who joined ASM collectively had knowledge of substantially all of VIA's confidential trade

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secret information relating to USB Technology, including hub controller chips, host controller chips, device controller chips, and bridge controller chips.

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41. On information and belief, the ASM chips at issue first became available commercially in Taiwan and in the United States as of March or April 2011.²¹

42. VIA first began to suspect that ASM may have misappropriated its trade secrets in the fourth quarter of 2011, when VIA became aware of competitive market data and ASM issued public financial disclosures indicating a rapid increase in ASM's revenues derived from USB 3.0-related sales.²² Although VIA had been losing sales for USB 3.0-related technology prior to this time, VIA did not know to which competitor(s) it was losing sales. These disclosures revealed, for the first time, that ASM was the one taking VIA's USB 3.0-related sales. However, because there is more than one way to design ICs to implement USB 3.0 in compliance with the USB 3.0 industry-standard specification, this information alone did not show that ASM had taken and used VIA's technology in its USB 3.0 chips. No such determination could be made until after ASM's chips became commercially available and physical specimens could be obtained and studied.

43. Thus, at this point, VIA began to look for ASM chips on the open market. Doing 16 17 so was especially time-consuming and difficult because the chips in question are not sold as such 18 to end-users. Instead they are incorporated as components in devices sold by other manufacturers 19 who do not generally advertise the manufacturers of component chips in their devices, especially 20 if such component manufacturers are relatively unknown like ASM was at that time. As such, there was no way for VIA to know in advance whether a particular end-product contained an 21 22 ASM chip and the only means by which it could proceed was by buying a number of endproducts and tearing them down. Even after VIA located ASM chips through this trial and error, 23

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²¹ See http://www.usb.org/press/presskit/ASMedia HostController May2011.pdf;

- http://news.softpedia.com/news/ASMedia-Joins-Group-of-Companies-With-Certified-USB-3-0-Controllers-200956.shtml; http://www.pc-specs.com/mobo/Asus/Asus_M5A87/119 (Release Date April 1, 2011); http://www.amazon.com/Asus-M5A87-AM3-Amd-
- 27 DDR3/dp/B00PL4WLMK/ref=sr_1_12?ie=UTF8&qid=1424740273&sr=8-12&keywords=ASM1042.

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²² See, e.g., page 24 of ASM initial public offering memorandum, dated November 28, 2011.

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it took additional months to reverse-engineer such chips to reveal their design and structure.

44. As part of this lengthy process of hunting down ASM chips to determine whether or not any misappropriation had actually occurred, VIA purchased devices that potentially contained ASM chips from October 2011 to January 2012. VIA's efforts led to the isolation of ASM1042, ASM1051, and ASM1051E chips from other manufacturers' products that VIA purchased on the open market, and VIA submitted these for reverse engineering. The results of this reverse engineering confirmed that ASM's ASM1042, ASM1051, and ASM1051E chips have schematics that are substantially similar to the analog designs in VIA's chips.

9 45. Simultaneous with its reverse engineering efforts, VIA conducted an internal 10 investigation in April or May 2012, which revealed that former VIA employees who joined ASM had downloaded and printed numerous circuit diagrams for high speed controller ICs relating to VIA's USB Technology from VIA's workstations while still employed by VIA. 12

46. On August 21, 2012, VIA filed a criminal complaint with the Taipei District Prosecutor's Office charging ASM and its employees with stealing VIA's USB Technology and provided some of its reverse engineering results to the Taiwanese prosecutors.

47. Based on these results, the Taiwanese police raided ASM's offices on August 30, 16 17 2012 and again on April 16, 2013. During these raids, copies of VIA's confidential and 18 proprietary schematics—prominently displaying VIA markings—were discovered in ASM's 19 offices, along with copies of ASM's schematics for its ASM1042 and ASM1051 chips, which 20 the Taiwanese prosecutors reviewed and concluded were substantially similar to VIA's 21 schematics. As a result of the evidence uncovered only as a result of these official raids, VIA 22 learned that ASM had indeed misappropriated VIA's schematics and used them to design the 23 ASM1042 and ASM1051 chips.

24 48. On November 8, 2013, the Taipei District Prosecutor's Office concluded its 25 investigation and announced that it was proceeding with the criminal prosecution of ASM and four ASM employees, including former VIA employee, Chi Chang, the head of research and 26 27 development at ASM.

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49. On December 17, 2013, VIA filed a civil suit against ASUS-TW and ASM in the

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Taipei District Court seeking damages for the losses incurred as a result of their theft of VIA's USB Technology. Also named in the Taiwan suit are various ASM employees, including ASM Chairman, Jerry Shen, who is also CEO, director and current motherboard business unit General Manager for ASUS-TW and a director of ACI, and ASM President, CEO, and director, Chewei Lin, who was also the General Manager of the ASUS-TW motherboard business unit during the timeframe at issue in this Complaint.

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50. As part of the Taiwan suit, VIA is also requesting the court to enjoin ASM from producing and selling products developed using VIA's misappropriated trade secrets.

9 51. On information and belief, the wrongful acts of ASM described herein and 10 knowledge thereof should be imputed to ASUS-TW. ASUS-TW is not only admittedly the controlling shareholder of ASM and, as such, enjoys the power to govern ASM's financial and 11 operating policies, it has had and continues to have key directors and officers in common with 12 13 ASM and is chargeable with their cumulative knowledge of ASM's patent infringement and trade secret misappropriation. Additionally, as the largest single customer of ASM's USB 3.0 14 controller chips,²³ which it incorporates into motherboards, desktop computers, laptop 15 computers, and other products that it markets and sells in large volumes in this District, in 16 17 California, and throughout the United States through ACI, ASUS-TW derives substantial direct 18 economic benefits from ASM's misappropriation and is itself directly engaged in infringing 19 VIA's patents and misappropriating VIA's trade secrets by use in this District, in California, and 20 throughout the United States.

52. On information and belief, the wrongful acts of ASM and ASUS-TW described
herein and knowledge thereof should also be imputed to ACI. ACI is not only ASUS-TW's
wholly owned subsidiary, alter ego, and exclusive North American sales and marketing agent, it
has had and continues to have key directors and officers in common with both ASM and ASUSTW and is chargeable with their cumulative knowledge of ASM's and ASUS-TW's patent

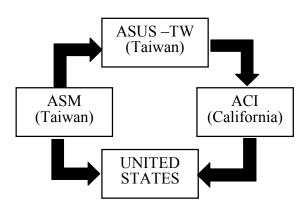
²³ See http://www.taipeitimes.com/News/biz/archives/2012/12/13/2003550001 ("The USB 3.0 controller chips and controller chips for USB 3.0-enabled devices accounted for nearly 60 percent of ASMedia's revenue ... mainly attributable to major customer Asustek Computer Inc").

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infringement and trade secret misappropriation. Additionally, by marketing and selling on behalf of ASUS-TW or its overseas affiliates large volumes of products incorporating ASM chips embodying VIA's patented technology and/or trade secrets, ACI is itself directly engaged in infringing VIA's patents and misappropriating VIA's trade secrets by use in this District, in California, and throughout the United States.

53. The following diagram depicts the flow of products embodying VIA's patented technology and/or misappropriated trade secrets between Defendants and the United States:



<u>COUNT I</u>

INFRINGEMENT OF U.S. PATENT NO. 7,313,187

54. Plaintiffs incorporate Paragraphs 1-53 above as if fully set forth herein.

18 55. United States Patent No. 7,313,187 (the "187 patent"), entitled "High-speed
19 serial linking device with de-emphasis function and the method thereof," issued on December
20 25, 2007 from United States Patent Application No. 10/856,044, filed on May 28, 2004, which
21 claims priority to Taiwan application Serial No. 092120025, filed on July 22, 2003. VIA is the
22 assignee of the '187 patent. A true and correct copy of the '187 patent is attached as Exhibit A.

56. The sole inventor of the '187 patent is Chi Chang, who was Director and Head of
R&D at VIA and one of VIA's most prolific and significant inventors all the way up until
October 2007 when he defected from VIA to become ASM's head of research and development.
Even setting aside all of his foreign patents, Chi Chang is named as an inventor in a total of 28
United States patents while employed at VIA, 16 of which he applied for after the '187 patent.
From 2002 to 2006, Chi Chang also served on the committee that reviewed patents invented by

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VIA employees on a yearly basis in order to give out awards for the best inventors and inventions. Through this committee, Chi Chang himself received the "Most Productive Inventor" award in 2002 and the "Patent Award" in 2005. In addition to receiving awards for his patents, Chi Chang earned over US\$32,000 in bonuses from VIA for patent disclosures, applications, and issuances. Indeed, under VIA's patent bonus structure, Chi Chang stood to earn a bonus upon the issuance of the '187 patent just as he had earned bonuses for the issuances of the Taiwan patent to which the '187 patent claimed priority and its Chinese counterpart patent on October 11, 2004 and January 18, 2006, respectively. Thus, on information and belief, despite that the '187 patent application was technically still pending when he left VIA, Chi Chang was monitoring its status and knew the USPTO had issued an Office Action on July 3, 2007 stating that the '187 patent application was "in condition for allowance except for formal matters." These "formal matters" consisted solely of two minor wording corrections to two dependent claims and the Office Action indicated that all other claims had been allowed as is and that "[p]rosecution on the merits is closed in accordance with the practice under *Ex parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935)."

57. On information and belief, ASM therefore had knowledge through Chi Chang that the USPTO had allowed all of the claims of the '187 patent but for two dependent claims, and that the '187 patent would issue in its entirety pending two minor corrections to those dependent claims, by no later than October 2007, when Chi Chang became ASM's head of research and development and began producing USB 3.0 chips for ASM. Further, ASM's knowledge can be imputed to ASUS-TW as of October 2007 through the common officers and directors shared by ASM and ASUS-TW at that time, including, but not limited to, Chewei Lin, who also left VIA at around the same time as Chi Chang and served as both President, CEO, and Director of ASM and the General Manager of the ASUS-TW motherboard business unit, and Jerry Shen, who was both the Chairman of ASM and an ASUS-TW director.

26 58. ASM and ASUS-TW's knowledge can also be imputed to ACI as of October
27 2007 or shortly thereafter through the common officers and directors they shared with ACI,
28 including, but not limited to, Jerry Shen, who was the Chairman of ASM and a director of both

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ASUS-TW and ACI, Jonney Shih, who was both the Chairman of ASUS-TW and Director of ACI, Jackie Hsu, who was the President of ACI and subsequently became both director of ACI and Corporate Vice President and General Manager of Worldwide Sales for ASUS-TW, Eric Chen, who is a Corporate Vice President and director of ASUS-TW as well as an ACI director, and Ivan Ho who was both CEO of ACI and director of ASUS-TW.

59. At a bare minimum, Defendants have had knowledge of the '187 patent since at least the filing of the original complaint in this matter on August 7, 2014.

8 60. With the above-described knowledge of the '187 patent, Defendants directly 9 infringed and continue to directly infringe one or more claims of the '187 patent, in this judicial 10 District and elsewhere in the United States by, among other things, making, using, selling and/or offering for sale in the United States, and/or importing into the United States a high-speed serial 11 linking device with de-emphasis function, comprising: a parallel-to-serial unit which receives a 12 13 parallel data to serialize the parallel data into a serial data and a delayed serial data, wherein the delayed serial data is one serial bit time lag behind the serial data; a pre-driver which receives the 14 15 serial data and the delayed serial data to output a data differential pair according to the serial data and output a delayed-and-inverted differential pair according to the delayed serial data, wherein 16 17 the delayed-and-inverted differential pair is the inverse of and one serial bit time lag behind the 18 data differential pair; and an output driver unit which receives the data differential pair and the 19 delayed-and-inverted differential pair to output a de-emphasized transmission differential pair. 20 On information and belief, Defendants' infringing devices include the ASM1042, ASM1042A, 21 ASM1051, ASM1051E, ASM1051U, ASM1053, ASM1054, and ASM1074 ("Infringing 22 Chips"), as well as motherboards, add-in expansion cards, hard drive enclosures, computers, and other products containing the same. Because the Infringing Chips infringe the '187 patent by 23 24 their very nature and design, Defendants directly infringe the '187 patent by virtue of making, 25 using, selling, and/or offering for sale in the United States, and/or importing into the United 26 States, such chips or products incorporating such chips. Further, VIA has confirmed that, at least 27 as to the ASM1042, ASM1051, and ASM1051E chips, ASM substantially copied the analog 28 design schematics for VIA's chips that practice the '187 patent.

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61. With the above-described knowledge of the '187 patent, Defendants have induced 1 2 and continue to induce infringement of one or more claims of the '187 patent by others. On 3 information and belief, ASM marketed and supplied and continues to market and supply 4 Infringing Chips directly to United States-based customers such as Seagate, SIIG, Inc. and Super 5 Talent Technology knowing that such Infringing Chips (including the ASM1042, ASM1051, and 6 ASM1051E chips for which ASM substantially copied the analog design schematics for VIA's 7 chips) infringe the '187 patent by their very nature and design, and intending to encourage such 8 customers to import such Infringing Chips into the United States and/or to make, use, sell, and/or 9 offer for sale products incorporating such Infringing Chips in the United States. Additionally, on 10 information and belief, ASM also supplied and continues to supply Infringing Chips to ASUS-TW or its overseas affiliates, knowing that such Infringing Chips (including the ASM1042, 11 ASM1051, ASM1051E chips for which ASM substantially copied the analog design schematics 12 13 for VIA's chips) infringe the '187 patent by their very nature and design and intending to encourage ASUS-TW or its overseas affiliates to incorporate Infringing Chips into their own 14 products, and knowing that ASUS-TW or its overseas affiliates will then (1) transfer such 15 ASUS-branded products to ACI to be imported into the United States and/or used, sold, and/or 16 17 offered for sale in the United States, or (2) sell such products to third parties for further 18 incorporation into non-ASUS products, knowing that such third parties will import such products 19 into the United States and/or use, sell, and/or offer them for sale in the United States. 20 Additionally, on information and belief, ACI markets and sells products containing Infringing 21 Chips, either directly to end-users and/or indirectly through distributors/retailers, to end-users in 22 the United States, knowing that such Infringing Chips (including the ASM1042, ASM1051 and 23 ASM1051E chips for which ASM substantially copied the analog design schematics for VIA's 24 chips) infringe the '187 patent by their very nature and design and intending to encourage such 25 end-users to use such Infringing Chips in the United States. For example, on information and 26 belief, ASUS-TW and ACI encourage and facilitate end-users who purchase ASUS-TW's Z9PE-27 D8 WS motherboards in the United States in using the Infringing Chips incorporated therein by 28 promoting its "ultra-fast" Universal Serial Bus 3.0 (i.e., high-speed serial linking) functionality

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in their marketing materials²⁴ and preparing and providing to such end-users an official "user guide" that comprises step-by-step "instructions on how to install Asmedia ASM 104x USB 3.0 Host Controller Driver [*i.e.*, the software driver that enables the end-user's computer to interface with the Infringing Chip]."²⁵ ASM and ASUS-TW further encourage and facilitate end-users who purchase ASUS-TW's Z9PE-D8 WS motherboard in the United States in using the Infringing Chips incorporated therein by developing updates for the Asmedia ASM1042 USB 3.0 Host Controller Driver and the Asmedia ASM1042A USB 3.0 Host Controller Driver for different operating systems and making them available for download at www.asus.com, which is accessible to end-users in the United States and which end-users in the United States are encouraged to access for product support.²⁶ VIA currently seeks damages for induced infringement only from the August 7, 2014 filing date of the original complaint in this case. However, VIA expects that additional discovery, in combination with the above pleaded facts, may further support amendment of this pleading to support a claim for pre-filing induced infringement damages.

62. By engaging in the conduct described herein, Defendants have injured Plaintiffs and are thus liable for infringement of the '187 patent pursuant to 35 U.S.C. § 271.

63. Defendants have committed these acts of infringement without license or authorization.

64. As a result of Defendants' infringement of the '187 patent, VIA has suffered
monetary damages and is entitled to a money judgment in an amount adequate to compensate for
Defendants' infringement, but in no event less than a reasonable royalty for the use made of the
invention by Defendants, together with interest and costs as fixed by the Court.

23 65. Furthermore, because Defendants have committed and continue to commit these
24 acts of infringement willfully, wantonly, and deliberately despite having known since at least the

- 26 ²⁴ See https://www.asus.com/Motherboards/Z9PED8_WS/overview/
- ²⁵ See ASUS Z9PE-D8 WS User Manual (English), Version E8726 available at http://dlcdnet.asus.com/pub/ASUS/mb/LGA2011/Z9PE-D8-WS/Manual/e8726_z9ped8 ws.pdf? ga=1.249404551.1612177090.1431986604, at 6-30 to 6-32.
- 28 ²⁶ See http://www.asus.com/Motherboards/Z9PED8_WS/HelpDesk_Download/

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August 7, 2014 filing date of the original complaint in this case that their actions constitute infringement of the '187 patent, VIA seeks enhanced damages pursuant to 35 U.S.C. § 284 and a finding that this is an exceptional case within the meaning of 35 U.S.C. § 285, entitling VIA to its attorneys' fees and expenses. VIA currently seeks only post-filing damages for willful infringement, however, VIA expects that additional discovery, in combination with the above pleaded facts, may further support amendment of this pleading to support a claim for pre-filing willful infringement damages.

8 66. VIA has also suffered and will continue to suffer severe and irreparable harm 9 unless this Court issues a permanent injunction prohibiting Defendants, their agents, servants, 10 employees, representatives, and all others acting in active concert therewith from infringing the '187 patent. In particular, Defendants' disregard for VIA's property rights threatens VIA's 11 relationships with the actual and potential licensees of this intellectual property, inasmuch as 12 13 Defendants will derive a competitive advantage over any of VIA's current or future licensees by using VIA's patented technology without paying compensation for such use. Accordingly, unless 14 15 and until Defendants' continued acts of infringement are enjoined, VIA will suffer further irreparable harm for which there is no adequate remedy at law. 16

COUNT II

INFRINGEMENT OF U.S. PATENT NO. 8,476,747

67. Plaintiffs incorporate Paragraphs 1-66 above as if fully set forth herein.

20 68. United States Patent No. 8,476,747 (the "747 patent"), entitled "Leadframe,
21 leadframe type package and lead lane," issued on July 2, 2013 from United States Patent
22 Application No. 13/287,721, filed on November 2, 2011, which claims priority to United States
23 Patent Application No. 12/566,056, filed on September 24, 2009 (now United States Patent No.
24 8,084,848), which claims priority to Taiwan application Serial no. 98122240, filed on July 1,
25 2009. VIA is the assignee of the '747 patent, a true and correct copy of which is attached as
26 Exhibit B.

27 69. Defendants directly infringed and continue to directly infringe one or more claims
28 of the '747 patent, in this judicial District and elsewhere in the United States by, among other

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things, making, using, selling and/or offering for sale in the United States, and/or importing into the United States a leadframe, suitable for a leadframe type package and comprising: a chip base; and a plurality of leads, constituting a plurality of lead lanes, wherein one of the lead lanes comprises: a pair of first differential signal leads; a pair of second differential signal leads; a pair of third differential signal leads, wherein the pair of second differential signal leads is arranged between the pair of first differential signal leads and the pair of third differential signal leads; and a first power lead, arranged between the pair of first differential signal leads and the pair of second differential signal leads, wherein one of the pairs of differential signal leads has a halfduplex transmission mode and two of the other pairs of differential signal leads have a fullduplex transmission mode. On information and belief, Defendants' infringing devices include the ASM1053, ASM1153, and ASM1351 ("Infringing Chips"), as well as USB 3.x portable Hard Disk Drives, USB 3.x portable Optical Disk Drives, USB 3.x drive enclosures, motherboards, computers, and other products containing the same. Because the Infringing Chips infringe the '747 patent by their very nature and design, Defendants directly infringe the '747 patent by virtue of making, using, selling, and/or offering for sale in the United States, and/or importing into the United States, such chips or products incorporating such chips.

17 70. Defendants have had knowledge of the '747 patent since at least the filing of the
18 First Amended Complaint in this matter on March 10, 2015.

19 71. With the above-described knowledge of the '747 patent, Defendants have induced 20 and continue to induce infringement of one or more claims of the '747 patent by others. On 21 information and belief, ASM marketed and supplied and continues to market and supply 22 Infringing Chips to United States-based customers such as Seagate, SIIG, Inc. and Super Talent 23 Technology, knowing that such Infringing Chips infringe the '747 patent by their very nature and 24 design and intending to encourage such customers to import such Infringing Chips into the 25 United States and/or to make, use, sell, and/or offer for sale products incorporating such 26 Infringing Chips in the United States. Additionally, on information and belief, ASM also 27 supplied and continues to supply Infringing Chips to ASUS-TW or its overseas affiliates, 28 knowing that such Infringing Chips infringe the '747 patent by their very nature and design and

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intending to encourage ASUS-TW or its overseas affiliates to incorporate Infringing Chips into their own products, knowing that ASUS-TW or its overseas affiliates will then (1) transfer such ASUS-branded products to ACI to be imported into the United States and/or used, sold, and/or offered for sale in the United States, or (2) sell such products to third parties for further incorporation into non-ASUS products, knowing that such third parties will import such products into the United States and/or use, sell, and/or offer them for sale in the United States. Additionally, ACI sells products containing Infringing Chips, either directly and/or indirectly through distributors/retailers, to end-users in the United States, knowing that such Infringing Chips infringe the '747 patent by their very nature and design and intending to encourage such end-users to use such Infringing Chips in the United States. For example, ASM's ASM1351 Datasheet promotes the ASM1351's infringing characteristics with diagrams showing, inter alia, that it includes a quad-flat-no-leads package (*i.e.*, a leadframe type package) with a chip base and multiple leads constituting lead lanes that comprise a power lead and three pairs of differential signal leads wherein one pair has a half-duplex transmission mode and two of the other pairs have a full-duplex transmission mode and the power lead and pairs of differential signal leads are arranged in the manner taught by the '747 patent.

72. By engaging in the conduct described herein, Defendants have injured Plaintiffs and are thus liable for infringement of the '747 patent pursuant to 35 U.S.C. § 271.

19 73. Defendants have committed these acts of infringement without license or20 authorization.

74. As a result of Defendants' infringement of the '747 patent, VIA has suffered
monetary damages and is entitled to a money judgment in an amount adequate to compensate for
Defendants' infringement, but in no event less than a reasonable royalty for the use made of the
invention by Defendants, together with interest and costs as fixed by the Court.

75. VIA has also suffered and will continue to suffer severe and irreparable harm
unless this Court issues a permanent injunction prohibiting Defendants, their agents, servants,
employees, representatives, and all others acting in active concert therewith from infringing the
'747 patent. In particular, Defendants' disregard for VIA's property rights threatens VIA's

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relationships with the actual and potential licensees of this intellectual property, inasmuch as Defendants will derive a competitive advantage over any of VIA's current or future licensees by using VIA's patented technology without paying compensation for such use. Accordingly, unless and until Defendants' continued acts of infringement are enjoined, VIA will suffer further 4 irreparable harm for which there is no adequate remedy at law.

COUNT III

Trade Secret Misappropriation Under 18 U.S.C. §§ 1836 et seq.

76. Plaintiffs incorporate Paragraphs 1-75 above as if fully set forth herein.

VIA developed, is the owner of, and was, at all relevant times, in possession of 77. technical and operational trade secrets, namely, information relating to USB Technology. These trade secrets include, but are not limited to, chip design schematics.

78. VIA's USB Technology trade secrets relate to products used in, or intended for use in, interstate or foreign commerce.

79. VIA's USB Technology trade secrets are proprietary to VIA, not generally known 14 to another person who can obtain economic value from their disclosure or use, and VIA derives independent economic value from the fact that they are not so known because they enable VIA to 16 17 maintain a leadership position in its industry.

18 80. VIA has made, and continues to make efforts that are reasonable under the 19 circumstances to secure the secrecy of its USB Technology trade secrets by, among other things, 20 restricting access to the trade secret information to only those persons who need it, requiring all 21 persons who access the trade secrets to execute non-disclosure agreements, and developing and 22 storing the trade secrets only on secure, non-Internet-connected workstations, to which access 23 was and is granted on a project-by-project need to know basis, with user account-based 24 restrictions on downloading.

25 81. On information and belief, Defendants have misappropriated and continue to 26 misappropriate VIA's USB Technology trade secrets by use by marketing and selling throughout 27 the United States, including in California and this District, products that embody VIA's trade 28 secrets without VIA's consent while knowing or having reason to know that the trade secrets

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were acquired through improper means, under circumstances giving rise to a duty to maintain their secrecy or limit their use, and/or from persons who owed a duty to VIA to maintain their secrecy or limit their use.

82. On information and belief, ASUS-TW and ASM acquired VIA's trade secrets through improper means which include, without limitation, (a) inducing VIA employees to steal and disclose to ASM trade secrets in violation of the employees' non-disclosure agreements with VIA, and (b) receiving and using VIA's trade secrets for the benefit of ASM and ASUS-TW while knowing, or having reason to know, that they had been acquired by unlawful means, such as by breach of a contractual responsibility or fiduciary duty, or by corporate espionage.

83. On information and belief, Defendants thereafter used the trade secrets improperly acquired from VIA to design ICs, including the Infringing Chips, to develop, make, market and sell products and services for the California and United States markets, to establish a significant market presence in the California, United States, and world markets in short order, to price their products at a substantial discount to what would have been possible had Defendants incurred their own research and development and ramp up expenses, and to compete directly with VIA.

17 84. On information and belief, ASM misappropriated and continues to misappropriate 18 VIA's trade secrets by use by directly (as well as indirectly) marketing and selling in this 19 District, California, and the United States, USB 3.0 and other high speed input-output I/O chips 20 that embody the trade secrets that ASM and ASUS-TW improperly acquired from VIA. For 21 example, on information and belief, ASM directly markets and supplies its products to customers 22 based in this District such as Seagate, SIIG, Inc. and Super Talent Technology. ASM is thus 23 liable for misappropriating VIA's trade secrets by use through its own marketing and sale 24 activities in this District, California, and the United States.

85. Additionally, on information and belief, ASUS-TW misappropriated and
continues to misappropriate VIA's trade secrets by use by marketing and selling in this District,
California, and the United States, through its wholly owned subsidiary, alter ego, and agent, ACI,
which is based in this District, products embodying the trade secrets that ASM and ASUS-TW

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improperly acquired from VIA, including ASUS laptop computers supporting the USB 3.0 standard and containing USB 3.0 chips made by ASM.

86. On information and belief, ACI also misappropriated and continues to 4 misappropriate VIA's trade secrets by use by marketing and selling in this District, California, and the United States from within this District, products that embody the trade secrets that ASM 6 and ASUS-TW improperly acquired from VIA, including ASUS laptop computers supporting the USB 3.0 standard and containing USB 3.0 chips made by ASM. ACI engaged in these marketing and sale activities despite that it knew or should have known that such products were made using 9 improperly acquired trade secrets due at least to the numerous key officers and directors it shared with ASUS-TW and ASM during the timeframe relevant to this Complaint such as Jonney Shih, Jerry Shen, Jackie Hsu, Eric Chen, and Ivan Ho.

87. Defendants carried out their misappropriation in secret, relying on the fact that 12 13 there was no way to discern such misappropriation before the chips at issue were incorporated into commercially available end-products, and even then only with expensive, costly, and time-14 15 consuming investigation and analysis. Moreover, the improper acquisition of VIA's trade secrets was fraudulently concealed. In particular, each of the former VIA employees signed an 16 17 agreement when departing VIA agreeing, inter alia, that they had returned all company 18 information and would refrain from disclosing and using VIA's confidential information learned 19 during his or her employment at VIA. VIA reasonably relied on the terms of such agreements 20 and believed that its departing employees would comply with them. Because the former VIA 21 employees who defected to ASM signed these agreements and then secretly violated them for 22 Defendants' benefit, VIA was prevented by fraudulent concealment from discerning the true 23 facts behind ASM's commercialization of USB 3.0 technology.

24 88. Defendants' wrongful conduct in misappropriating VIA's trade secrets by use, 25 unless and until enjoined and restrained by this Court, will greatly and irreparably injure VIA's 26 business.

89. 27 VIA has no adequate remedy at law for its present and threatened future injuries. 28 This is particularly true because Defendants' use of VIA's trade secrets has allowed Defendants

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to penetrate a valuable market in an unnaturally short time, and to steal customers' business directly from VIA. VIA, therefore, is entitled to injunctive relief prohibiting Defendants from disclosing VIA's trade secrets, continuing to use VIA's trade secrets to manufacture products for importation to or for marketing and sale in or from the United States, and from marketing and selling products embodying VIA's trade secrets for importation into the United States and in or from the United States, and compelling Defendants to return all materials incorporating, disclosing, or derived from improperly acquired knowledge of such secrets that they could use to manufacture products for importation to or for marketing and sale in or from the United States.

90. VIA is also entitled to damages for the actual loss caused by Defendants' misappropriation of its trade secrets, and/or for any unjust enrichment Defendants have enjoyed 10 by such misappropriation. In the event that actual loss and unjust enrichment damages are not provable, VIA is entitled to receive a reasonable royalty for the use made of the trade secrets by 13 Defendants, together with interest and costs as fixed by the Court.

14 91. Defendants' misappropriation of VIA's trade secrets was willful and malicious. 18 U.S.C. § 1836 thus entitles VIA to an award of exemplary damages equal to twice its actual damages caused by the misappropriation, as well as VIA's reasonable attorneys fees and costs, 16 including reasonable expert witness fees.

COUNT IV

Trade Secret Misappropriation Under Cal. Civil Code §§ 3426 et seq.

92. Plaintiffs incorporate Paragraphs 1-91 above as if fully set forth herein.

21 93. VIA developed, is the owner of, and was, at all relevant times, in possession of 22 technical and operational trade secrets relating to USB Technology. These include, but are not limited to, chip design schematics. 23

24 94. VIA's USB Technology trade secrets are proprietary to VIA, not generally known 25 to the public or others who can obtain economic value from their disclosure or use, and VIA derives independent economic value from the fact that they are not generally known to the public 26 because they enable VIA to maintain a leadership position in its industry and to make and sell 27 28 high-performance motherboard chipsets to meet its customers' needs.

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95. VIA has made, and continues to make efforts that are reasonable under the circumstances to secure the secrecy of its trade secrets relating to its USB Technology by, among other things, restricting access to the trade secret information to only those persons who need it, requiring all persons who access the trade secrets to execute non-disclosure agreements, and developing and storing the trade secrets only on secure, non-Internet-connected workstations, to which access was granted on a project-by-project need to know basis, with user account-based restrictions on downloading.

96. On information and belief, Defendants have misappropriated and continue to misappropriate VIA's trade secrets by use by marketing and selling throughout the United States, including in California and this District, products that embody VIA's trade secrets without VIA's consent while knowing or having reason to know that the trade secrets were acquired through improper means, under circumstances giving rise to a duty to maintain their secrecy or limit their use, and/or from persons who owed a duty to VIA to maintain their secrecy or limit their use.

97. On information and belief, ASUS-TW and ASM acquired VIA's trade secrets through improper means which include, without limitation, (a) inducing VIA employees to steal and disclose to ASM trade secrets in violation of the employees' non-disclosure agreements with VIA, and (b) receiving and using VIA's trade secrets for the benefit of ASM and ASUS-TW while knowing, or having reason to know, that they had been acquired by unlawful means, such as by breach of a contractual responsibility or fiduciary duty, or by corporate espionage.

98. On information and belief, Defendants thereafter used the trade secrets
improperly acquired from VIA to design ICs, including the Infringing Chips, to develop, make,
market and sell products and services for the California and United States markets, to establish a
significant market presence in the California, United States, and world markets in short order, to
price their products at a substantial discount to what would have been possible had Defendants
incurred their own research and development and ramp up expenses, and to compete directly
with VIA.

27 99. On information and belief, ASM misappropriated and continues to misappropriate
28 VIA's trade secrets by use by directly (as well as indirectly) marketing and selling in this

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District, California, and the United States, USB 3.0 and other high speed input-output I/O chips that embody the trade secrets that ASM and ASUS-TW improperly acquired from VIA. For example, on information and belief, ASM directly markets and supplies its products to customers based in this District such as Seagate, SIIG, Inc. and Super Talent Technology. ASM is thus liable for misappropriating VIA's trade secrets by use through its own marketing and sale activities in this District, California, and the United States.

100. Additionally, on information and belief, ASUS-TW misappropriated and continues to misappropriate VIA's trade secrets by use by marketing and selling in this District, California, and the United States, through its wholly owned subsidiary, alter ego, and agent, ACI, which is based in this District, products embodying the trade secrets that ASM and ASUS-TW improperly acquired from VIA, including ASUS laptop computers supporting the USB 3.0 standard and containing USB 3.0 chips made by ASM.

13 101. On information and belief, ACI also misappropriated and continues to misappropriate VIA's trade secrets by use by marketing and selling in this District, California, 14 15 and the United States from within this District, products that embody the trade secrets that ASM and ASUS-TW improperly acquired from VIA, including ASUS laptop computers supporting the 16 17 USB 3.0 standard and containing USB 3.0 chips made by ASM. ACI engaged in these marketing 18 and sale activities despite the fact that it knew or should have known that such products were 19 made using improperly acquired trade secrets due at least to the numerous key officers and 20 directors it shared with ASUS-TW and ASM during the timeframe relevant to this Complaint 21 such as Jonney Shih, Jerry Shen, Jackie Hsu, Eric Chen, and Ivan Ho.

102. Defendants carried out their misappropriation in secret, relying on the fact that there was no way to discern such misappropriation before the chips at issue were incorporated into commercially available end-products, and even then only with expensive, costly, and timeconsuming investigation and analysis. Moreover, the improper acquisition of VIA's trade secrets was fraudulently concealed. In particular, each of the former VIA employees signed an agreement when departing VIA agreeing, *inter alia*, that they had returned all company information and would refrain from disclosing and using VIA's confidential information learned

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during his or her employment at VIA. VIA reasonably relied on the terms of such agreements and believed that its departing employees would comply with them. Because the former VIA employees who defected to ASM signed these agreements and then secretly violated them for Defendants' benefit, VIA was prevented by fraudulent concealment from discerning the true facts behind ASM's commercialization of USB 3.0 technology.

103. Defendants' wrongful conduct in misappropriating VIA's trade secrets by use, unless and until enjoined and restrained by this Court, will greatly and irreparably injure VIA's business.

9 104. VIA has no adequate remedy at law for its present and threatened future injuries. 10 This is particularly true because Defendants' use of VIA's trade secrets has allowed Defendants to penetrate a valuable market in an unnaturally short time, and to steal customers' business directly from VIA. VIA, therefore, is entitled to injunctive relief prohibiting Defendants from 12 13 disclosing VIA's trade secrets, continuing to use VIA's trade secrets to manufacture products for importation to or for marketing and sale in or from the United States, and from marketing and 14 15 selling products embodying VIA's trade secrets for importation into the United States and in or from the United States, and compelling Defendants to return all materials incorporating, 16 17 disclosing, or derived from improperly acquired knowledge of such secrets that they could use to 18 manufacture products for importation to or for marketing and sale in or from the United States.

19 VIA is also entitled to damages for the actual loss caused by Defendants' 105. 20 misappropriation of its trade secrets, and/or for any unjust enrichment Defendants have enjoyed 21 by such misappropriation. In the event that actual loss and unjust enrichment damages are not 22 provable, VIA is entitled to receive a reasonable royalty for the use made of the trade secrets by 23 Defendants, together with interest and costs as fixed by the Court.

24 106. Defendants' misappropriation of VIA's trade secrets was willful and malicious. 25 California Civil Code Sections 3426.3(c) and 3426.4 thus entitle VIA to an award of exemplary 26 damages equal to twice its actual damages caused by the misappropriation, as well as VIA's 27 reasonable attorneys fees and costs, including reasonable expert witness fees.

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PRAYER FOR RELIEF

THIRD AMENDED COMPLAINT

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WHEREFORE, Plaintiffs pray that this Court grant them the following relief:

A. A judgment in favor of VIA that Defendants have infringed the '187 patent and '747 patent;

B. A permanent injunction enjoining Defendants and their officers, directors, agents, servants, affiliates, employees, divisions, branches, subsidiaries, parents, and all others acting in active concert therewith from infringement of the '187 patent and '747 patent, or such other equitable relief the Court determines is warranted;

C. Preliminary and permanent injunctive relief pursuant to which Defendants and their employees, or representatives, and all persons acting in concert or participating with them are commanded, enjoined, or restrained, directly or indirectly, by any means whatsoever, as follows:

i. From disclosing VIA's trade secrets;

ii. From using VIA's trade secrets to manufacture, offer to sell, or sell products or services incorporating, using, or made using VIA's trade secrets for importation to or in or from the United States;

16 iii. To immediately preserve and return to VIA (a) all trade secret information
17 improperly acquired from VIA that Defendants could or would use to manufacture, offer to sell,
18 or sell products or services for importation to or in or from the United States; (b) all materials (in
19 paper, electronic, or any other form) containing any, or derived from, such trade secret
20 information; and (c) all copies of such materials; and

iv. To turn over to the Court any proceeds they have received from the
misappropriation of VIA's trade secrets, to be held in constructive trust until the conclusion of
this litigation;

D. A judgment and order requiring Defendants to pay VIA its damages, costs, expenses, and prejudgment and post-judgment interest for Defendants' infringement of the '187 patent and '747 patent, as provided under 35 U.S.C. § 284; and requiring Defendants to pay VIA actual and unjust enrichment damages arising from Defendants' trade-secret misappropriation,

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1	along with prejudgment and post-judgment interest thereon or, alternatively, if such damages are				
2	unprovable, a reasonable royalty for Defendants' use of the misappropriated trade secrets;				
3	E. A judgment and order finding that this is an exceptional case within the meaning				
4	of 35 U.S.C. § 285 based on Defendants' willful infringement of the '187 patent and/or that				
5	Defendants have engaged in willful and malicious misappropriation of VIA's trade secrets u	ınder			
6	18 U.S.C. § 1836 and/or California Civil Code §§ 3426.3(c) and 3426.4, and awarding to VIA				
7	exemplary damages and its reasonable attorneys' fees and costs against Defendants;				
8	F. A judgment and order requiring Defendants to provide an accounting and to pay				
9	supplemental damages to VIA, including without limitation, pre- and post-judgment interest;				
10	G. Costs of court; and				
11	H. Any and all other relief to which VIA may be entitled.				
12	Respectfully submitted,				
13	DATED: February 7, 2017 RUSS, AUGUST & KABAT				
14	/s/ Irene Y. Lee				
15	Marc A. Fenster, SBN 181067				
16	Irene Y. Lee, SBN 213625 Benjamin T. Wang, SBN 228712				
17	Adam S. Hoffman, SBN 218740 Jeffrey Z.Y. Liao, SBN 288994				
18	Twelfth Floor 12424 Wilshire Boulevard				
19	Los Angeles, California 90025 Telephone: (310) 826-7474				
20	Facsimile: (310) 826-6991				
21	Attorneys for Plaintiffs VIA Technologies, Inc., a California				
22	corporation, VIA Technologies, Inc., a Taiw corporation, and VIA LABS, INC., a Taiwar				
23	corporation				
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	THIRD AMENDED COMPLAINT				

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1	1 DEMAND F	FOR JURY TRIAL			
2	2 Pursuant to Rule 38 of the Federal Rules	Pursuant to Rule 38 of the Federal Rules of Civil Procedure, Plaintiffs VIA Technologies,			
3	3 Inc., a California corporation, VIA Technologi	es, Inc., a Taiwan corporation, and VIA Labs,			
4	4 Inc., request a trial by jury of any issues so triabl	Inc., request a trial by jury of any issues so triable by right.			
5	5				
6	6 I	Respectfully submitted,			
7	7 DATED: February 7, 2017	RUSS, AUGUST & KABAT			
8	8				
9	9	/s/ Irene Y. Lee Larry C. Russ, SBN 82760			
10	0	Marc A. Fenster, SBN 181067 Irene Y. Lee, SBN 213625			
11	1	Benjamin T. Wang, SBN 228712			
12	2	Adam S. Hoffman, SBN 218740 Jean Y. Rhee, SBN 234916			
13	3	Twelfth Floor 12424 Wilshire Boulevard			
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15	5	Facsimile: (310) 826-6991			
16	6	Attorneys for Plaintiffs VIA Technologies, Inc., a California			
17	7	corporation, VIA Technologies, Inc., a Taiwan corporation, and VIA LABS, INC., a Taiwan corporation			
18	8	corporation			
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	THIRD AMENDED COMPLAINT				