

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE

BANERTEK LLC,

Plaintiff,

v.

ABB, INC.,

Defendant.

C.A. No. 17-1460-RGA

DEMAND FOR JURY TRIAL

SECOND AMENDED COMPLAINT FOR PATENT INFRINGEMENT

Plaintiff Banertek LLC (“Banertek”) demands a jury trial and complains against Defendant ABB, Inc. (“ABB”), and states as follows:

THE PARTIES

1. Banertek is a corporation organized and existing under the laws of the State of Texas, conducting business in this judicial district.

2. On information and belief, ABB is a corporation organized under the laws of the State of North Carolina with its principal place of business located at 12040 Regency Parkway, Cary, North Carolina 27518, and conducts business in this judicial district.

JURISDICTION AND VENUE

3. This action arises under the patent laws of the United States of America, Title 35 of the United States Code. This Court has jurisdiction of this action under 28 U.S.C. §§ 1331 and 1338(a).

4. Banertek is informed and believes, and based thereon alleges, that ABB is doing business and committing acts of infringement of the patent identified below in this judicial district, and is subject to personal jurisdiction in this judicial district.

5. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391 and 1400(b).

THE PATENT

6. On January 4, 2005, U.S. Patent No. 6,839,731 B2 (“the ‘731 Patent”) was duly and legally issued to Vigilos, Inc., naming Bruce Alexander, David Antal, Matthew Litke, Christopher Schebel, and Paul Thompson as the inventors. The ‘731 Patent claims an invention entitled “System and Method For Providing Data Communication In a Device Network”. On March 28, 2014, Vigilos, Inc. assigned all right, title and interest in and to the ‘731 Patent to Olivistar LLC and on June 10, 2016, Olivistar LLC assigned all right, title and interest in and to the ‘731 Patent to Banertek LLC. A copy of the ‘731 Patent is attached to this Complaint as Exhibit 1.

7. The ‘731 Patent is directed to a novel system and method for data communication in a device network in a distributed control system. The network is comprised of a central communication device, a number of premises-server computing devices, and a number of client computing devices, wherein the client computing device communicates with the central communication device to request access to device data from the premises-server computing devices. Once access rights are established, the client computing device communicates directly with specific premises-server computing devices having the requested data. A command application resident on each resident-premises computing device administers the flow of data between the computing devices.

8. For example, the distributed control system can be one provided by a company such as ABB that includes one or more premises-server computing devices (e.g., the WirelessHart Gateway) in communication with a number of input and/or output devices (e.g., the

end WirelessHart devices), a central communication device (e.g., the main server computer having all the database collected), and at least one client computing device (e.g., any computer device with software installed in it for remote monitoring/control purpose) in communication with the central communication device. The premises-server computing devices and client computing devices can be handheld or desktop devices onto which software has been downloaded and which transforms such devices into the claimed premises-server computing devices and client computing devices, respectively, that enable parties to communicate with one another based on common identification attributes specified by such parties. Without the software, the devices could not be transformed into and constitute the respective computing devices that are part of the network claimed in the '731 Patent.

9. Claim 1 of the '731 Patent is directed to a system that includes at least one or more premises-server computing devices, a central communication device, and at least one client computing device in communication with the central communication device. The system employs a method for processing device data communicated between the different devices comprising: transmitting an access request to the central communication device from the client computing device, the access request including one or more identification attributes corresponding to the client computing device; obtaining from the central communication device a listing of available premises-server computing devices that the client computing device is authorized to communicate with based at least in part on the identification attributes; transmitting a communication request to communicate with at least one of the premises-server computing devices; establishing a direct connection with a proxy application in each of the one or more premises-server computing device for which the communication request is successful; and obtaining device information from each proxy application associated with the one or more

premises-server computing devices, the device information corresponding to a current input and/or output state.

10. Claim 2 of the '731 Patent is directed to the same method as Claims 1, 2 and 15 with the added requirement that transmitting an access request includes transmitting information to authenticate an individual user.

11. Claim 15 of the '731 Patent is directed to a system that includes one or more premises-server computing devices in communication with a number of input and/or output devices, a central communication device and at least one client computing device in communication with the central communication device, and a method for processing device data, the method comprising: obtaining an access request from a client computing device, the access request including one or more identification attributes corresponding to the client device; generating a list of premises-server computing devices available for communication with the client device, the list of premises-server computing devices corresponding to a set of premises-server computing devices the client device obtains access to based upon a processing of the one or more identification attributes; and transmitting the list of premises-server computing devices available for communication with the client device, wherein the client device cannot directly access the premises-server computing devices prior to obtaining the list of premises-server computing devices available for communication.

ABB'S INFRINGING SYSTEM AND METHOD

12. Without authority from Baneritek, ABB makes, uses (including by having its employees test), markets and sells or otherwise provides WirelessHart distributed control systems for providing data communication in a device network. For example, ABB provides

distributed control systems such as its ABB Ability™ System 800xA WirelessHART (“the Accused ABB Instrumentality”) that include one or more premises-server computing devices (e.g., the WirelessHart Gateway) in communication with a number of input and/or output devices (e.g., the end WirelessHart devices), a central communication device (e.g., the main server computer having all the databases), and at least one client computing device (e.g., any computer device with the software installed in it for remote monitoring/control purpose) in communication with the central communication device, a method for processing device data, wherein the premises-server computing devices and client computing devices can be handheld or desktop devices onto which software has been downloaded and which transforms such devices into the claimed premises-server computing devices and client computing devices, respectively, that enable parties to communicate with one another based on common identification attributes specified by such parties. Information regarding the Accused Instrumentality can be found on ABB’s website at <http://new.abb.com/control-systems/system-800xa/800xa-dcs/fieldbus-protocols/wireless/wireless-hart>. Additional details regarding the Accused Instrumentality can be found on ABB’s website at <https://www.retinaenergy.com.tr/abb-icss-dcs>. Copies of screen shots from the aforementioned webpages are attached hereto as Exhibits 2 and 3, respectively.

13. Additional information regarding components used in the Accused Instrumentality can be found on ABB’s website at:

<http://new.abb.com/products/measurement-products/temperature/process-industry-head-thermometers/tsp300-w-wirelesshart-temperature-sensor>;

<http://new.abb.com/products/measurement-products/temperature/field-mount-temperature-transmitters/ttf300-w>;

<http://new.abb.com/products/measurement-products/wireless-products-and-solutions/wireless-instruments-networks-and-integration-solutions/wirelesshartpressuretransmitter>;

<http://new.abb.com/products/measurement-products/device-management-fieldbus-and-wireless/wimon-vibration-sensor>; and

<http://search-ext.abb.com/library/Download.aspx?DocumentID=DS/WirelessHART/COMPONENTS-EN&LanguageCode=en&DocumentPartId=&Action=Launch>.

14. The Accused ABB Instrumentality is “designed for process automation and [i]s focused on robustness and security” using the “industry-standard protocol for communicating with intelligent field instruments.” See <http://new.abb.com/products/measurement-products/wireless-products-and-solutions/highlights/wirelesshart-information-and-faqs>.

15. “ABB is a pioneering technology leader that works closely with utility, industry, transport and infrastructure customers in roughly 100 countries. With more than four decades at the forefront of digital technologies, we are a leader in digitally connected and enabled industrial equipment and systems with an installed base of more than 70,000 control systems connecting 70 million devices.” See <http://new.abb.com/about/abb-in-brief>.

16. ABB provides instructions and support explaining how to use the Accused ABB Instrumentality. See <http://new.abb.com/products/measurement-products/wireless-products-and-solutions/highlights/wirelesshart-information-and-faqs>. These instructions teach and suggest to use the Accused ABB Instrumentality in a way that infringes at least Claims 1, 2 and 15 of the ‘731 Patent.

COUNT I
DIRECT INFRINGEMENT

17. Banertek repeats and incorporates herein the entirety of the allegations contained in paragraphs 1 through 16 above.

18. As a result of making, using (including having its employees internally test and use the Accused ABB Instrumentality including its components, as alleged below), marketing, and providing its Accused ABB Instrumentality, ABB has directly infringed at least Claims 1, 2 and 15 of the '731 Patent literally and/or under the doctrine of equivalents. As set forth *supra*, the Accused ABB Instrumentality is specifically designed to perform each and every step set forth in at least Claims 1, 2 and 15 of the '731 Patent and each use of the Accused ABB Instrumentality will result in infringement of at least Claims 1, 2 and 15 of the '731 Patent. Claim Charts showing how all of the elements of the claims asserted to be infringed are present in ABB's accused distributed control systems are attached hereto as Exhibit 4.

19. Upon information and belief, ABB directly infringed at least Claims 1, 2 and 15 of the '731 Patent when it internally tested the Accused ABB Instrumentality, which is programmed to operate on a client computing device, e.g., a handheld or desktop device. Upon information and belief, ABB employees and/or individuals under ABB's control downloaded software onto a ABB employee's handheld or desktop device, to test the operation of the Accused Instrumentality and its various functions, in the manner set forth in the '731 Patent and described in detail in paragraphs 7 through 16 above. Banertek therefore alleges that ABB directly infringed at least Claims 1, 2 and 15 of the '731 Patent by using the Accused ABB Instrumentality to perform the systems and methods claimed by the '731 Patent.

20. Upon information and belief, ABB also directly infringed at least Claims 1, 2 and 15 of the '731 Patent when its employees use the Accused ABB Instrumentality, which is programmed to operate on a client computing device, e.g., a handheld or desktop. Upon information and belief, ABB employees and/or individuals under ABB's control downloaded software onto an ABB employee's handheld or desktop device to use the functionality of the

Accused ABB Instrumentality, in the manner set forth in the '731 Patent and described in detail in paragraphs 7 through 16 above. Banertek therefore alleges that ABB directly infringed at least Claims 1, 2 and 15 of the '731 Patent by using the Accused ABB Instrumentality to perform the systems and methods claimed by the '731 Patent.

21. Since at least the date that this Complaint was filed, ABB has willfully infringed at least Claims 1, 2 and 15 of the '731 Patent by directly infringing the patent with knowledge of the patent and in spite of an objectively high likelihood that its actions constituted infringement of the '731 Patent.

22. Banertek has suffered damages as a result of ABB's direct infringement of the '731 Patent.

COUNT II
INDIRECT INFRINGEMENT

23. Banertek repeats and incorporates herein the entirety of the allegations contained in paragraphs 1 through 22 above.

24. The Accused ABB Instrumentality is particularly adapted for use in a manner that infringes at least Claims 1, 2 and 15 of the '731 Patent. Specifically, as alleged *supra*, The Accused ABB Instrumentality is designed to facilitate mobile communications between users based on common identification attributes specified by such parties .

25. ABB has been aware of the '731 Patent since at least the filing date of this Complaint, and upon information and belief was aware, or should have been aware, since at least such date that the use of its Accused ABB Instrumentality constitutes direct infringement of the '731 Patent.

26. In spite of its knowledge of the '731 Patent, ABB has continued to offer its Accused ABB Instrumentality to its customers and has continued to instruct them on how to use

the Accused ABB Instrumentality in a manner that infringes at least Claims 1, 2 and 15 of the '731 Patent, intending that its customers use such instrumentality.

27. Upon information and belief, at least one of ABB's customers have used the Accused ABB Instrumentality in a manner that infringes at least Claims 1, 2 and 15 of the '731 Patent since ABB became aware of the '731 Patent.

28. ABB indirectly infringes at least Claims 1, 2 and 15 of the '731 Patent by inducing others to use its Accused ABB Instrumentality in a manner that directly infringes the asserted claims. ABB provides its Accused ABB Instrumentality to the public and encourages and instructs them on how to use it, including by encouraging and instructing the use of each of the features claimed by the '731 Patent. Due to ABB's encouragement and instruction, ABB customers that use the Accused ABB Instrumentality directly infringe at least Claims 1, 2 and 15 of the '731 Patent by performing each element set forth in the '731 Patent and described in detail in paragraphs 7 through 16 above. ABB has induced these infringing uses with full knowledge of the '731 Patent and with full knowledge that the use of its Accused ABB Instrumentality as directed constitutes infringement of the '731 Patent.

29. ABB indirectly infringes at least Claims 1, 2 and 15 of the '731 Patent by contributorily infringing the patent through its provision of the Accused ABB Instrumentality. ABB customers that use the Accused ABB Instrumentality directly infringe the '731 Patent by performing each element set forth in at least Claims 1, 2 and 15 of the '731 Patent and described in detail in paragraphs 7 through 16 above. Since at least the filing date of this Complaint, ABB has known that the use of the Accused ABB Instrumentality on handheld or desktop devices infringes at least Claims 1, 2 and 15 of the '731 Patent, that the combination of the software for the Accused ABB Instrumentality as used on handheld or desktop devices was patented and

infringed the '731 Patent, and that such combination of components has no substantial non-infringing use.

30. Banertek has suffered damages as a result of ABB's indirect infringement of the '731 Patent.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff Banertek prays for judgment against Defendant ABB all the counts and for the following relief:

- A. Declaration that Banertek is the owner of the right to sue and to recover for infringement of the '731 Patent being asserted in this action;
- B. Declaration that ABB has directly infringed, actively induced the infringement of, and/or contributorily infringed the '731 Patent;
- C. Declaration that ABB and its customers are jointly or severally responsible for the damages from infringement of the '731 Patent through the use of the Accused ABB Instrumentality;
- D. Declaration that ABB is responsible jointly or severally with its customers for the damages caused by the infringement of the '731 Patent through the use of the Accused ABB Instrumentality by ABB's customers;
- E. An accounting for damages under 35 U.S.C. §284 for infringement of the '731 Patent by ABB, and the award of damages so ascertained to Banertek together with interest as provided by law;
- F. Award of Banertek's costs and expenses;
- G. Award of Banertek's attorney fees; and
- H. Such other and further relief as this Court may deem proper, just and equitable.

DEMAND FOR JURY TRIAL

Plaintiff Banertek demands a trial by jury of all issues properly triable by jury in this action.

December 13, 2017

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*Attorney for Plaintiff
Banertek LLC*

EXHIBIT 1

(12) **United States Patent**
Alexander et al.

(10) **Patent No.:** **US 6,839,731 B2**
(45) **Date of Patent:** **Jan. 4, 2005**

(54) **SYSTEM AND METHOD FOR PROVIDING DATA COMMUNICATION IN A DEVICE NETWORK**

4,998,279 A 3/1991 Weiss
5,097,505 A 3/1992 Weiss

(List continued on next page.)

(75) Inventors: **Bruce Alexander**, Poulsbo, WA (US);
David Antal, Silverdale, WA (US);
Matthew Litke, Seattle, WA (US);
Christoph Schebel, Suquamish, WA (US);
Paul Thompson, Poulsbo, WA (US)

FOREIGN PATENT DOCUMENTS

EP 0804031 A 10/1997
WO WO 97/07486 A 2/1997
WO WO 01/28251 4/2001

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(73) Assignee: **Vigilos, Inc.**, Seattle, WA (US)

EyeCast.com Introduces SchoolCast services for School Safety Officials and Law Enforcement Agencies, Press Release dated Apr. 28, 1999.

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

EyeCast.com Adds 360-degree Continuous Pan Rotation Cameras to It's[sic] EyeView Service, Press Release dated Mar. 15, 1999.

(21) Appl. No.: **10/443,120**

Screen Printing from www.remotecams.com, "Take a Step Into the Future . . .," printed Sep. 17, 1999.

(22) Filed: **May 20, 2003**

EyeCast.com, "EyeCast secures deals . . .," *Washington Business Journal*, Aug. 13-19, 1999, Tech Section, p. 16.

(65) **Prior Publication Data**

EyeCast.com Announces EyeView Control, Press Release dated Oct. 12, 1998.

US 2004/0068657 A1 Apr. 8, 2004

(List continued on next page.)

Related U.S. Application Data

(60) Provisional application No. 60/382,035, filed on May 20, 2002.

Primary Examiner—Frantz B. Jean

(51) **Int. Cl.**⁷ **G06F 15/16**

(74) *Attorney, Agent, or Firm*—Christensen O'Connor Johnson Kindness PLLC

(52) **U.S. Cl.** **709/201; 709/203; 709/219; 709/227; 713/176**

(57) **ABSTRACT**

(58) **Field of Search** **709/201, 203, 709/219, 227; 713/176**

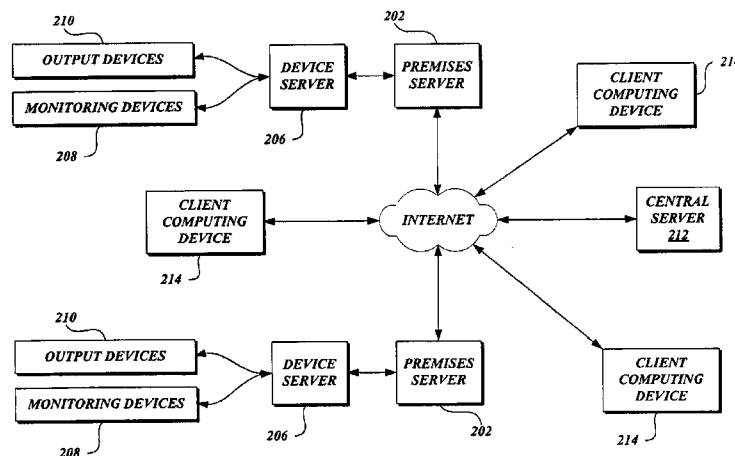
A system and method for facilitating data communications in a device network are provided. An integrated information system includes a number of premises-server computing devices, a central communication device, and a number of client computing devices. Each client computing device communicates with the central communication device to request access to device data from the premises-server computing devices. Once access rights are established, the client computing devices communicate directly with specific premises-server computing devices. A command application resident on each premises-server computing device, referred to as the command proxy, administers the direct flow of data between the computing devices.

(56) **References Cited**

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4,837,568 A 6/1989 Snaper
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43 Claims, 9 Drawing Sheets



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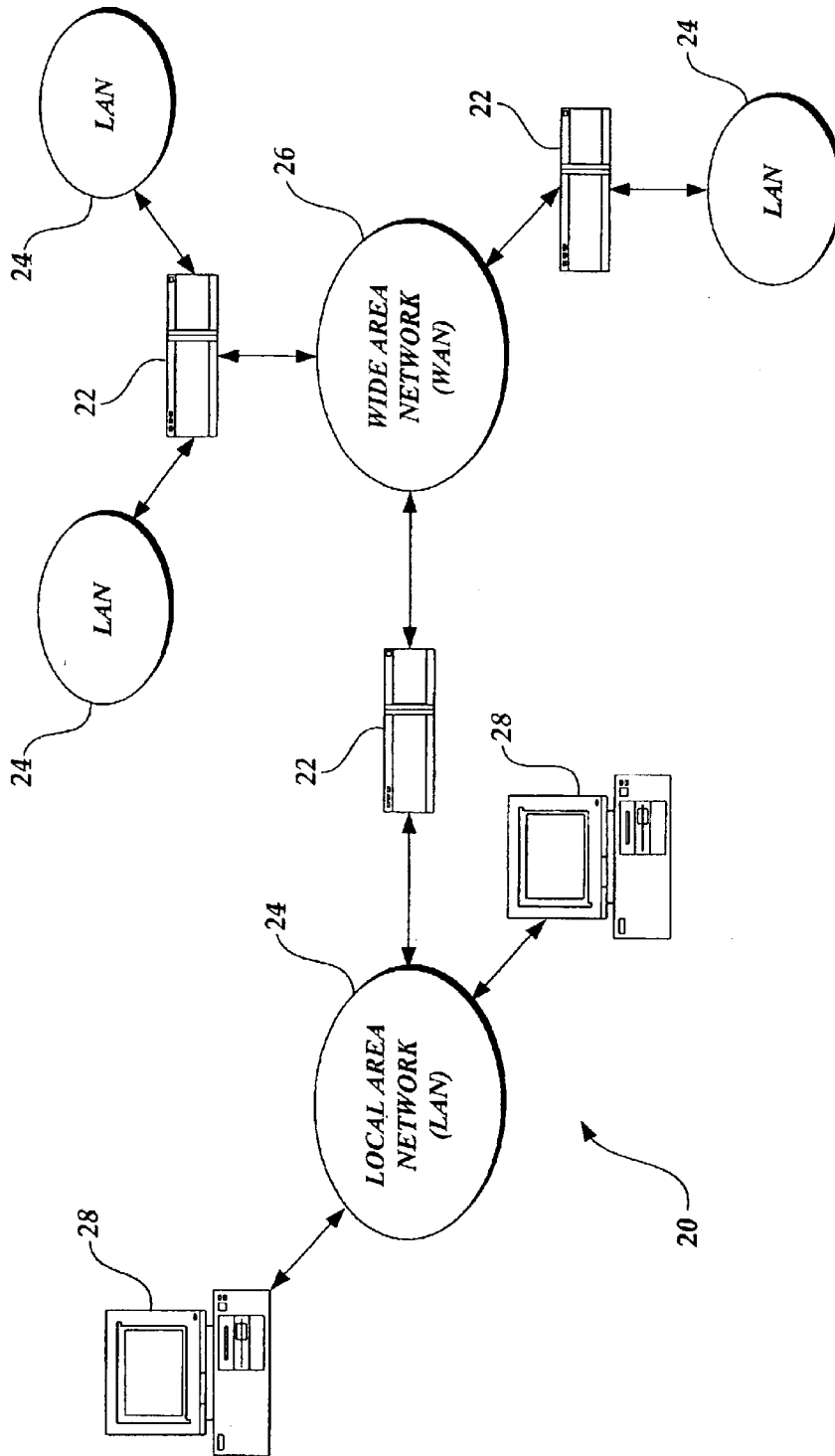


Fig. 1.

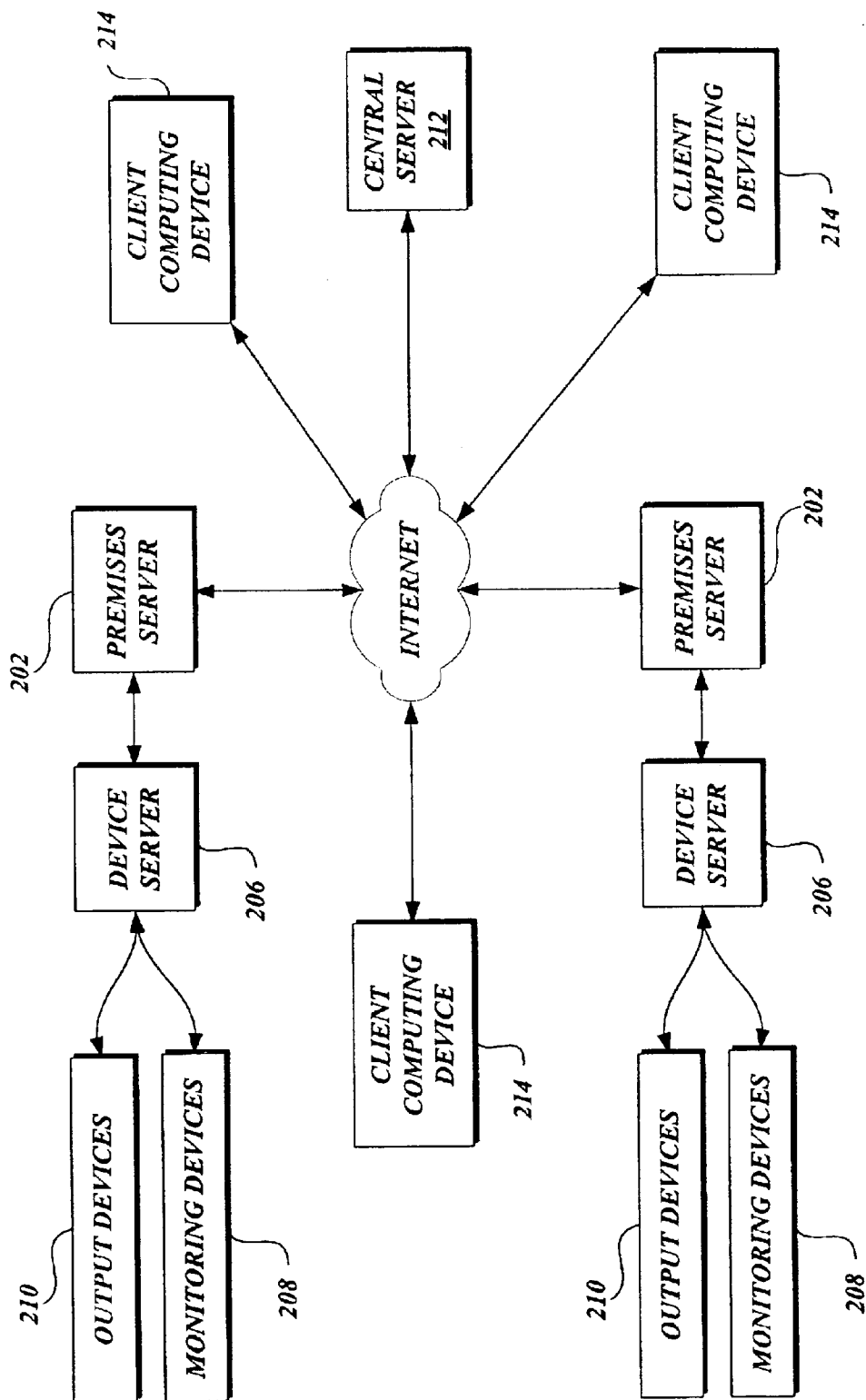


Fig. 2.

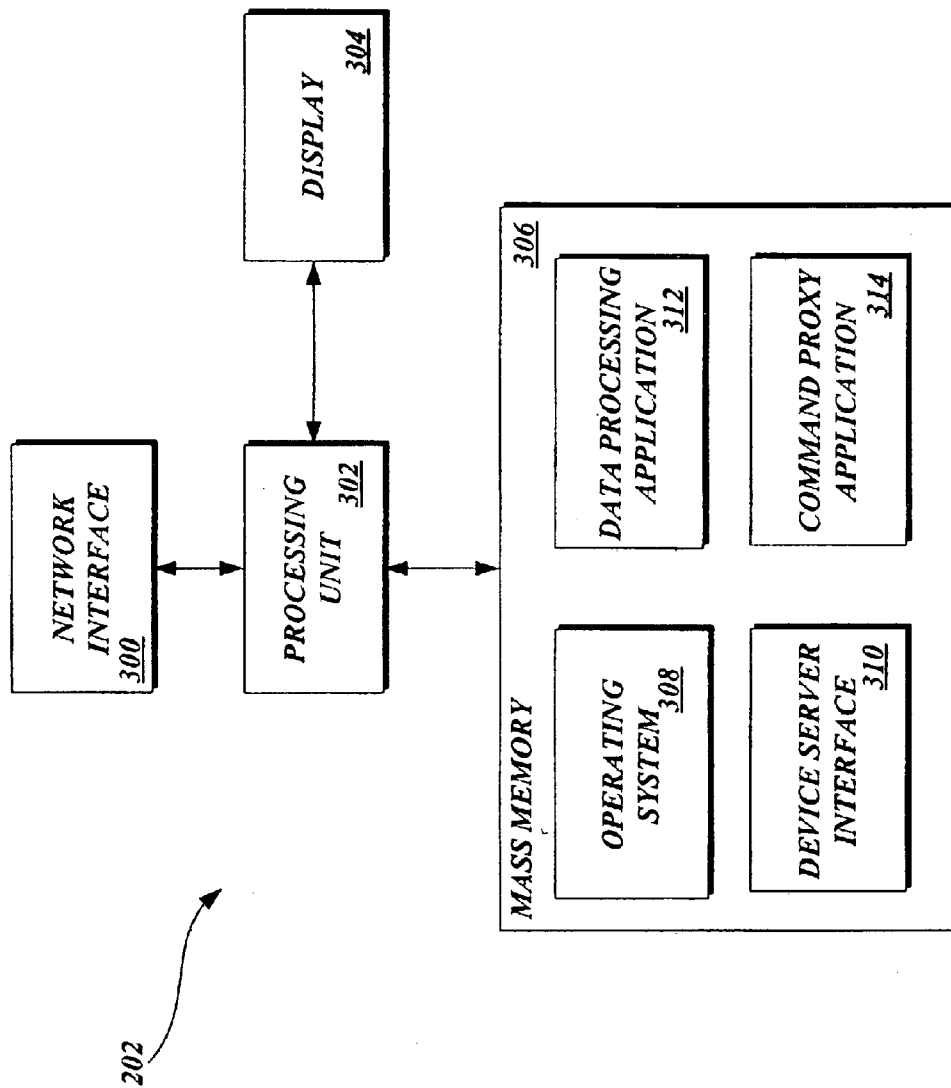


Fig. 3.

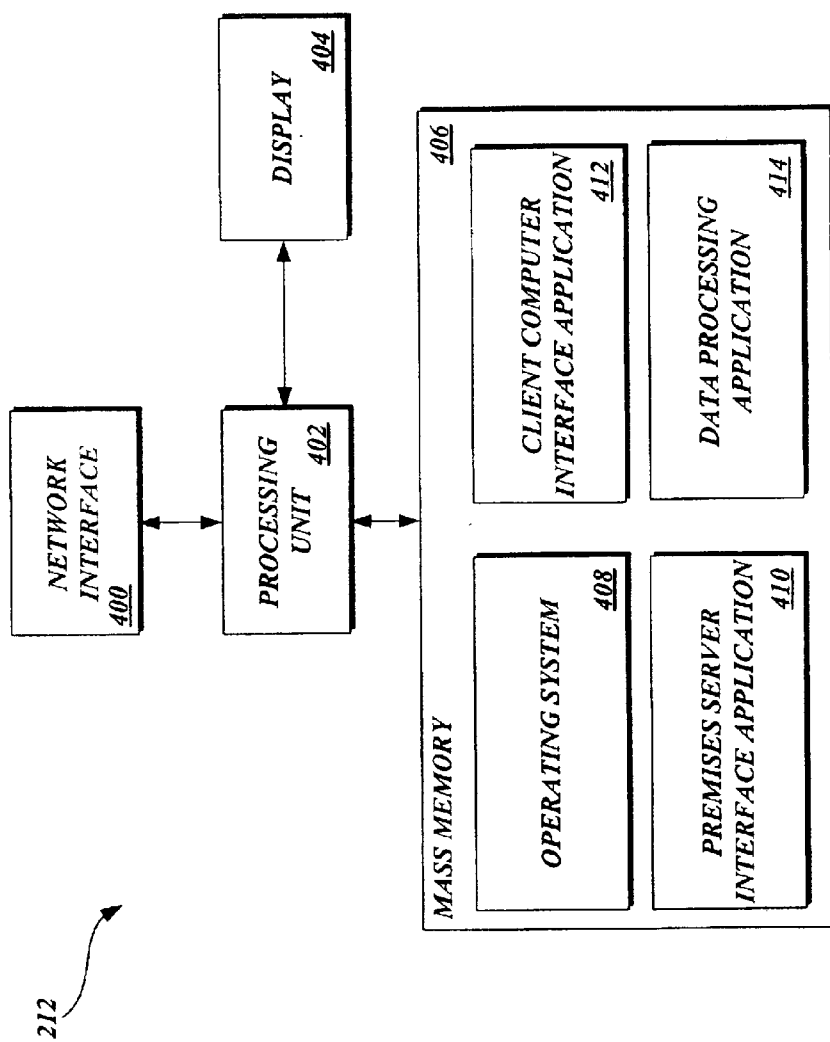


Fig. 4.

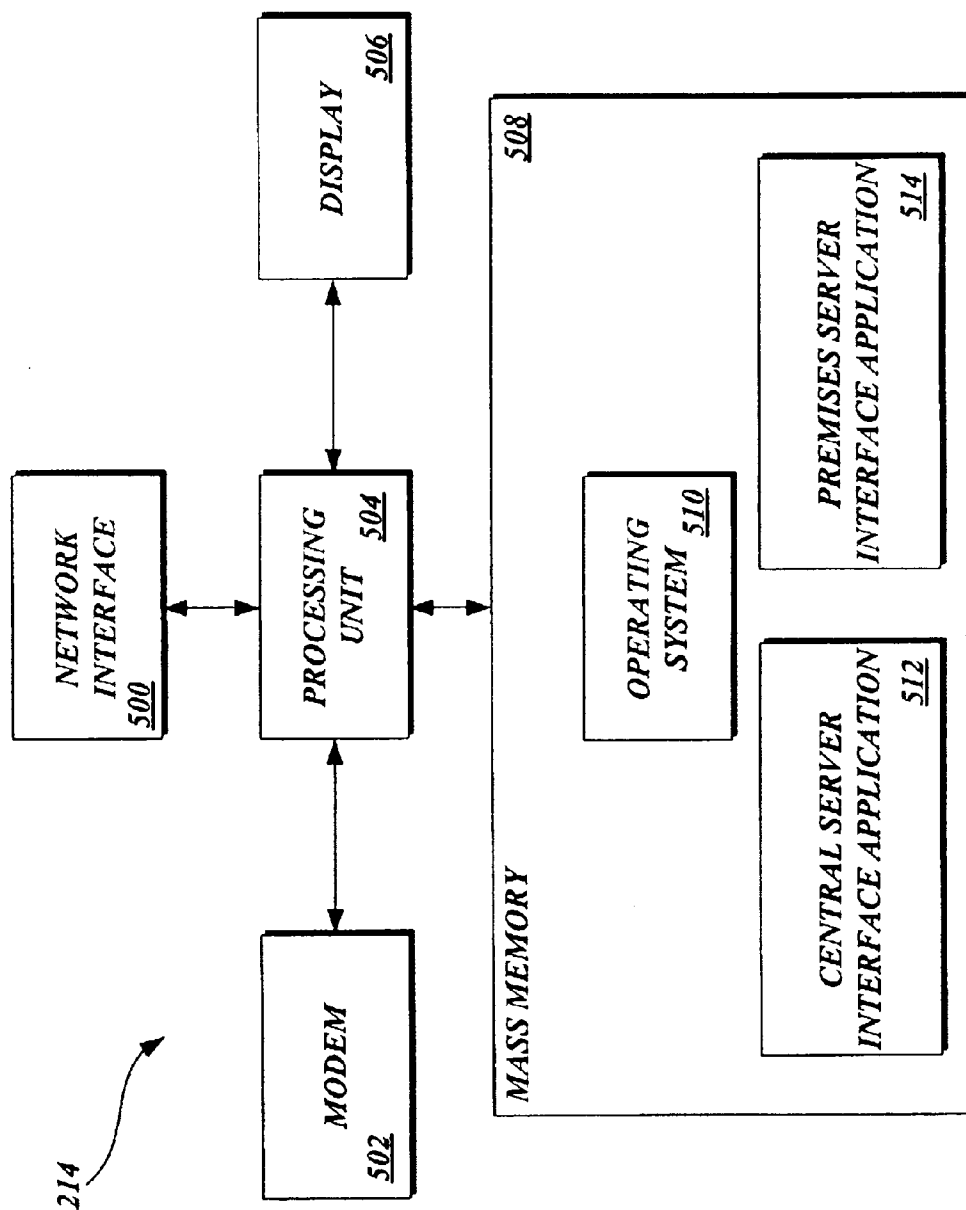


Fig. 5.

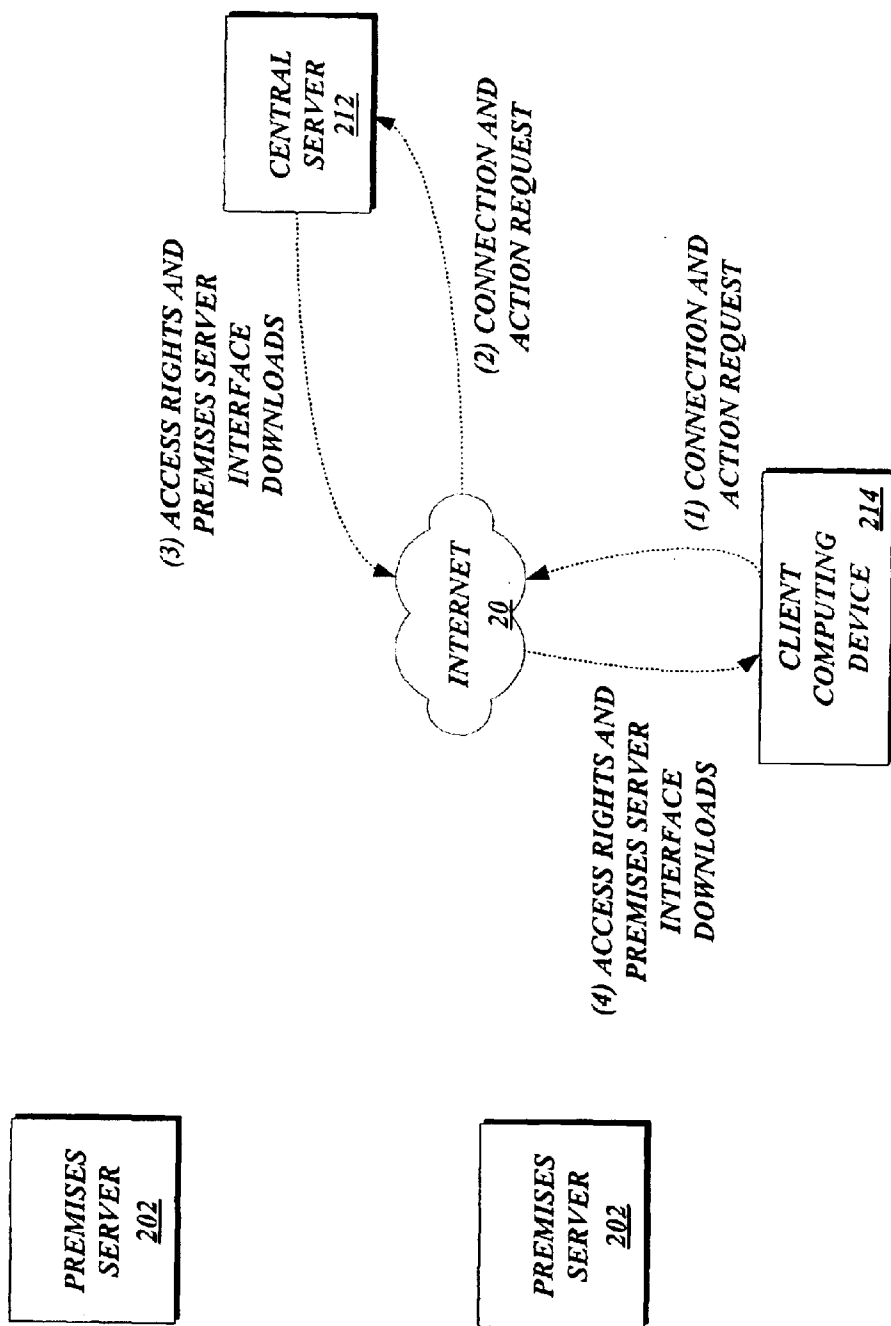


Fig. 6.

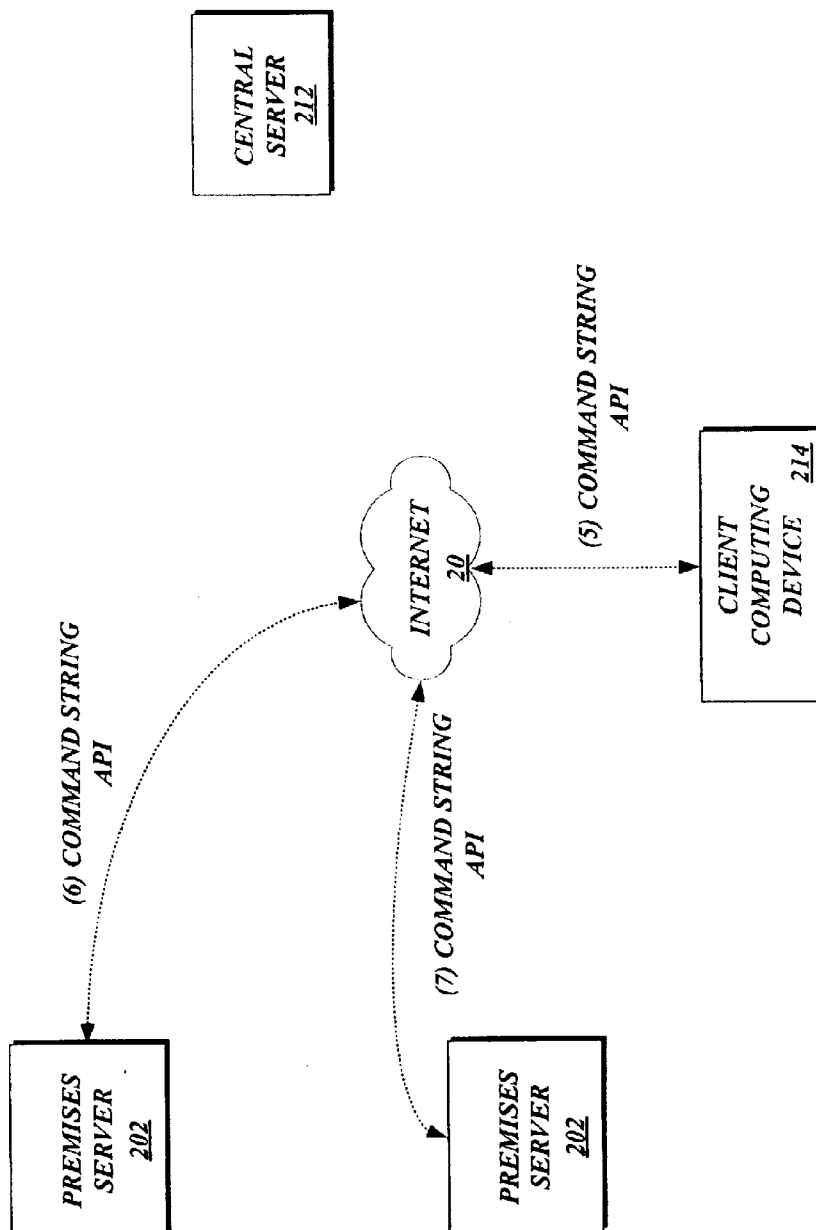


Fig. 7.

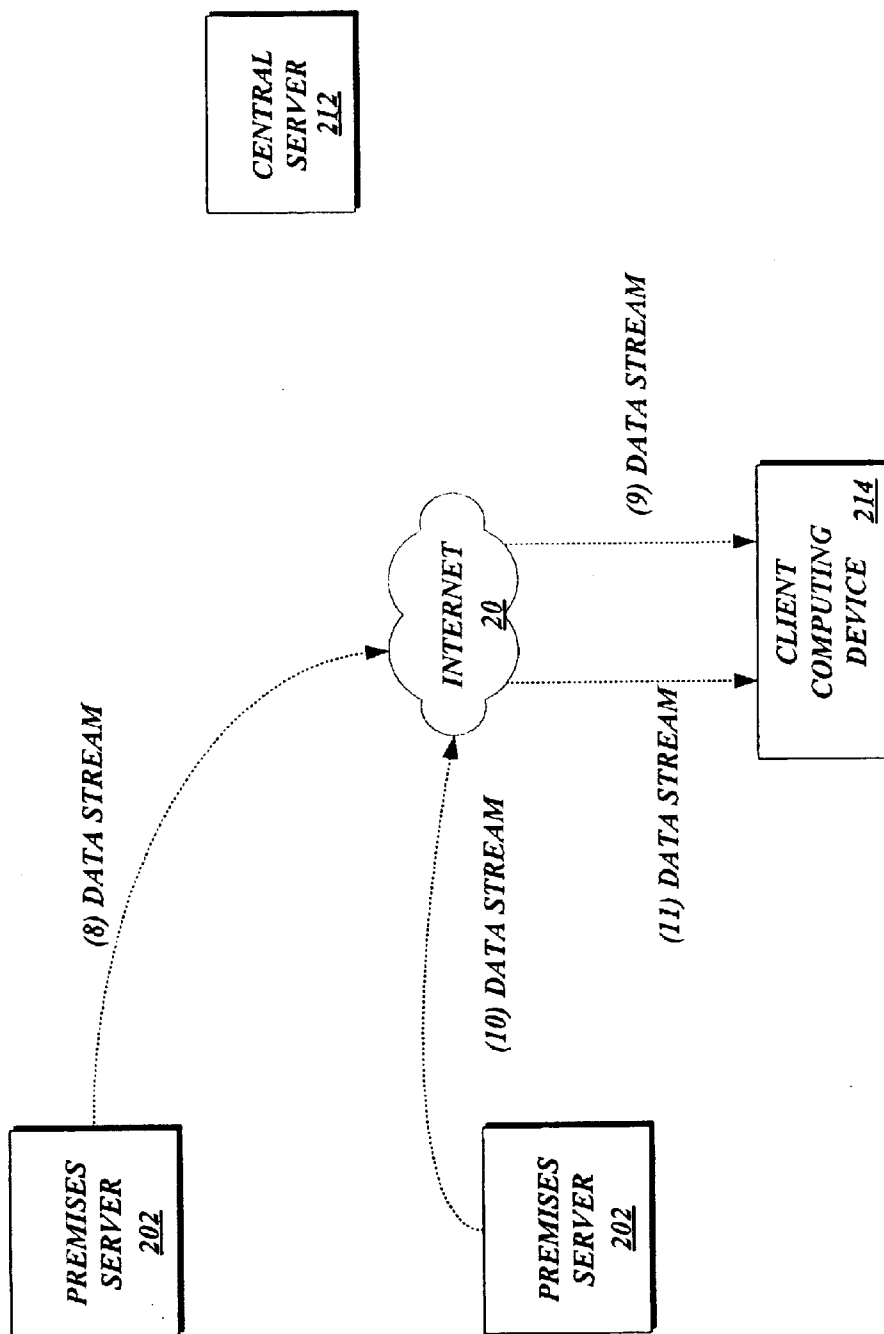


Fig. 8.

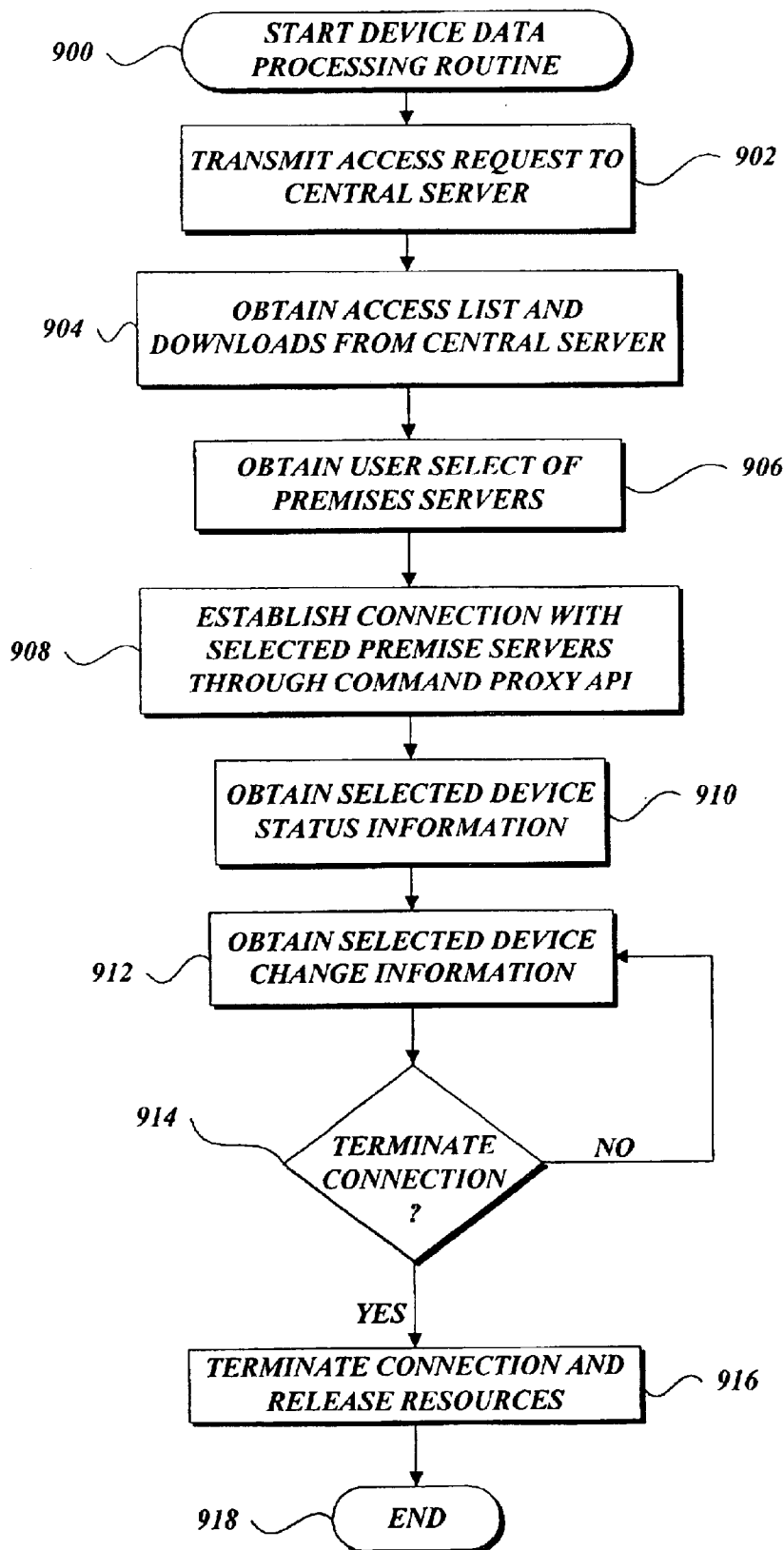


Fig.9.

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SYSTEM AND METHOD FOR PROVIDING DATA COMMUNICATION IN A DEVICE NETWORK

CROSS-REFERENCE TO RELATED APPLICATION

This application claims the benefit of U.S. Provisional Application No. 60/382,035, entitled SYSTEM AND METHOD FOR PROVIDING DATA COMMUNICATION IN A DEVICE NETWORK, and filed on May 20, 2002. U.S. Provisional Application No. 60/382,035 is incorporated by reference herein.

FIELD OF THE INVENTION

In general, the present invention relates to computer software, computer hardware, communication networks, and in particular, to a method and system for real-time data communication, including device condition and state, between networked computing devices.

BACKGROUND OF THE INVENTION

A number and variety of hardware and software devices may be utilized to capture, process, or transmit data within a device network. In a commercial environment a device network could include bar code readers, point-of-sale terminals, card scanners, cash registers, receipt printers, video cameras, and the like. In a medical, or patient-monitoring environment, the devices might include various devices used for monitoring vital signs and body functions such as blood pressure monitors, respiratory activity monitors, heart activity monitors (such as electrocardiogram devices), brain activity monitors (such as electroencephalography monitors), and various output device such as intravenous pumps, incubators, respirators, and the like. In a physical-security environment the devices utilized might include door and window contacts, card readers, video cameras, motion detectors, environmental sensors, metal detectors, scanning devices, electronic article surveillance tags, biometric devices, and the like. Accordingly, stated generally, the particular devices used in a device network will vary in accordance with the required function of the device network.

In a conventional security embodiment the controls for security, environmental, and access control devices are typically administered through hardware control panels. Control panels are used to physically activate, deactivate, or configure devices that are physically or logically connected to a panel. Control panels generally include some type of small format screen to display textual data reflective of a device's condition such as "armed" or "disarmed." A typical control panel has little memory and limited data processing or storage capacity. Further, the typical control panel is not well suited for data communication to remote monitoring terminals, such as the transmission of control commands from a remote monitoring terminal to a control panel. One approach to provide greater remote user functionality involves the use of a networked communications controller for facilitating communication to the devices. For example, devices such as video cameras can be connected to self-contained data collection/Web servers that operable to send collected data directly over a network without the assistance of an external device. Accordingly, information may be shared across a wide-area network ("WAN") with remote clients.

In one embodiment, a remote client may gain access to device data and control through the utilization of data access

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tools hosted by a network-based software application, generally referred to as a browser application. Generally described, browser applications require "handshake" or acknowledgment signals prior to an exchange of data. However, the handshaking steps consume available processing capacity and bandwidth that can constrain access to and flow of data. Additionally, data flow is typically initiated by the receiving computing device such that the receiving computing device must constantly poll another networked device for data to enable pseudo real-time communications. If the polling frequency is too large, time-sensitive data may become stale before it is received. If the polling frequency is too small, computing and communication resources are unnecessarily strained. Furthermore, as the data tools are designed for a generic network software application, some data tool features may not be supported various implementations of a network software application. Accordingly, the functionality for a class of users utilizing the particular hosting software application is diminished.

In another embodiment, a computing device may be loaded with a resident software application specifically constructed to communicate with the networked communications controller. Although the resident software application provides a user with a more permanent communications solution, conventional resident software applications can present security risks for unauthorized users accessing the computing device. In one aspect, the amount of data a user may be authorized to access may vary greatly. In another aspect, multiple users may be utilizing the same computing device, with each user having potentially varying authorization levels. Further, the utilization of resident software applications places the burden on each individual user to update the resident software application in the event modifications are made. Accordingly, resident software applications become deficient in addressing varying authorization rights and maintenance needs.

Thus, there is a need for a system and method for processing data communications in a networked environment.

SUMMARY OF THE INVENTION

A system and method for facilitating data communications in a device network are provided. The device network includes a number of physically distributed premises-based server computing devices in communication with a number of data generating input and/or output devices, a central communication device, and a number of client computing devices. Each client computing device communicates with the central communication device to request access to device data collected by the premises-based server computing devices. Once access rights are established, the client computing devices communicate directly with specific premises-server computing devices. A command application resident on each premises-based server computing device, referred to as the command proxy, administers the direct flow of data between the computing devices.

In accordance with an aspect of the present invention, a method for processing device data is provided. The method may be implemented in a system including one or more premises-based server computing devices in communication with a number of input and/or output devices, a central communication device, and at least one client computing device in communication with the central communication device. In accordance with the method, a client computing device transmits an access request to the central communication device. The access request includes one or more

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identification attributes corresponding to a client computing device. The client computing device obtains a listing of available premises-based server computing devices. The listing of available premises-based server computing devices corresponds to a set of premises-server computing devices a client computing device is authorized to access based at least in part on the identification attributes. The client computing device transmits a communication request to communicate with one or more premises-server computing devices and establishes a direct connection with a proxy application in each of the one or more premises-server computing devices for which the communication request is successful. The client computing device obtains device information from a proxy application associated with the premises-server computing device. The device information corresponds to a current device state. Additionally, the client computing device cannot establish a direct connection with a proxy application in each of the one or more premises-server computing devices prior to obtaining the listing of available premises-server computing devices.

In another aspect of the present invention, a method for processing device data is provided. The method may be implemented in a system including one or more premises-server computing devices in communication with a number of input and/or output devices, a central communication device and at least one client computing device in communication with the central communication device. In accordance with the method, a central communication device obtains an access request from a client computing device. The access request includes one or more identification attributes corresponding to the client device. The central communication device generates a list of premises-server computing devices available for communication with the client device. The list of premises-server computing devices corresponds to a set of premises-server computing devices the client device is authorized to access based upon a processing of the one or more identification attributes. The central communication device transmits the list of premises-server computing devices available for communication with the client device. The client device cannot directly access the premises-server computing device prior to obtaining the list of premises-server computing devices available for communication.

In accordance with a further aspect of the present invention, a method for processing device data is provided. The method may be implemented in a premises-server computing device in communication with a number of input and/or output devices, wherein the premises-server computing device includes a command proxy application for communicating with client computing devices. In accordance with the method, a premises-server computing device obtains a communication request from a client computing device and registers the client computing device to obtain device data from at least one input and/or output device. The premises-server computing device obtains device data from the at least one input and/or output device and transmits the device data to the client computing device. The transmission of the device data to the client computing device occurs without requiring a request from the client computing device.

In accordance with yet another aspect of the present invention, a system for processing device data is provided. The system includes at least one client computing device for transmitting access requests via a communication network. The access request includes one or more identification attributes corresponding to the client computing device. The system also includes a central communication device in

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communication with the client computing device via a communication network. The central communication device receives the access request and transmits a list of available premises-server computing devices. The list of available premises-server computing devices corresponds to a set of premises the client computing device is authorized to access. The system further includes one or more premises-server computing devices in communication with a number of input and/or output devices. The one or more premises-server computing devices includes a proxy application to communicate with the client computing device via a direct communication connection and to transmit device data to the client computing device via the direct communication connection. The client computing device cannot establish the direct communication connection with the premises-server computing device prior to obtaining the list of available premises-server computing devices from the central communication device.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing aspects and many of the attendant advantages of this invention will become more readily appreciated as the same become better understood by reference to the following detailed description, when taken in conjunction with the accompanying drawings, wherein:

FIG. 1 is a block diagram of an Internet environment;

FIG. 2 is a block diagram illustrative of an integrated information system including a number of premises servers, a central server and a number of client computing devices formed in accordance with the present invention;

FIG. 3 is a block diagram depicting an illustrative architecture for a premises server in accordance with the present invention;

FIG. 4 is a block diagram depicting an illustrative architecture for a central server in accordance with the present invention;

FIG. 5 is a block diagram depicting an illustrative architecture for client computing device in accordance with the present invention;

FIG. 6 is a block diagram of the integrated information system of FIG. 2 illustrating the connection of a client computing device to a premises server in accordance with the present invention;

FIG. 7 is a block diagram of the integrated information system of FIG. 2 illustrating the connection of a client computing device to a premises server in accordance with the present invention;

FIG. 8 is a block diagram of the integrated information system of FIG. 2 illustrating the connection of a client computing device to a premises server in accordance with the present invention; and

FIG. 9 is a flow diagram illustrative of device data monitoring routine implemented by a client computing device in accordance with the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

As described above, aspects of the present invention are embodied in a WWW or ("Web") site accessible via the Internet. As is well known to those skilled in the art, the term "Internet" refers to the collection of networks and routers that use the Transmission Control Protocol/Internet Protocol ("TCP/IP") to communicate with one another. A representative section of the Internet **20** is shown in FIG. 1, in which a plurality of local area networks ("LANs") **24** and a wide

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area network (“WAN”) **26** are interconnected by routers **22**. The routers **22** are special purpose computers used to interface one LAN or WAN to another. Communication links within the LANs may be twisted wire pair, or coaxial cable, while communication links between networks may utilize 58 Kbps analog telephone lines, 1 Mbps digital T-1 lines, 45 Mbps T-3 lines or other communications links known to those skilled in the art. Furthermore, computers **28** and other related electronic devices can be remotely connected to either the LANs **24** or the WAN **26** via a modem and temporary telephone or wireless link. It will be appreciated that the Internet **20** comprises a vast number of such interconnected networks, computers, and routers and that only a small, representative section of the Internet **20** is shown in FIG. 1. One skilled in the relevant art will appreciate that aspects of the present invention may be practiced on Internet networks, such as an Intranet.

The Internet has grown exponentially over the past decade and now links millions of computers worldwide. As the Internet has grown, so has the WWW. As is appreciated by those skilled in the art, the WWW is a vast collection of interconnected or “hypertext” documents written in HyperText Markup Language (“HTML”), or other markup languages, that are electronically stored at WWW sites throughout the Internet. A WWW site is a server connected to the Internet that has mass storage facilities for storing hypertext documents and that runs administrative software for handling requests for those stored hypertext documents. A hypertext document normally includes a number of hyperlinks, i.e., highlighted portions of text which link the document to another hypertext document possibly stored at a WWW site elsewhere on the Internet. Each hyperlink is associated with a Uniform Resource Locator (“URL”) that provides the exact location of the linked document on a server connected to the Internet and describes the document. Thus, whenever a hypertext document is retrieved from any WWW server, the document is considered to be retrieved from the WWW. As is known to those skilled in the art, a WWW server may also include facilities for storing and transmitting application programs, such as application programs written in the JAVA® programming language from Sun Microsystems, for execution on a remote computer. Likewise, a WWW server may also include facilities for executing scripts and other application programs on the WWW server itself.

A consumer or other remote consumer may retrieve hypertext documents from the WWW via a WWW browser application program. A WWW browser, such as Netscape’s NAVIGATOR® or Microsoft’s Internet Explorer, is a software application program for providing a graphical consumer interface to the WWW. Upon request from the consumer via the WWW browser, the WWW browser accesses and retrieves the desired hypertext document from the appropriate WWW server using the URL for the document and a protocol known as HyperText Transfer Protocol (“HTTP”). HTTP is a higher-level protocol than TCP/IP and is designed specifically for the requirements of the WWW. It is used on top of TCP/IP to transfer hypertext documents between servers and clients. The WWW browser may also retrieve application programs from the WWW server, such as JAVA applets, for execution on the client computer.

Referring now to FIG. 2, an actual embodiment of an integrated information system **200** in accordance with the present invention will be described. The integrated information system **200** is a subscriber-based system allowing monitoring devices (or a subset thereof) within one or more premises to be processed from a single, remote control

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location by way of a client computing device connected to a communication network. Additionally, the data from the monitoring devices may be processed at the remote control location according to one or more data rules. The control location may also customize output of the processed data dependent on an evaluation of the data rule pertaining to the device data. While the system of the present invention is utilized to integrate traditional security monitoring functions, it is also utilized to integrate any information input in a like manner.

With reference to FIG. 2, the integrated information system **200** includes a premises server **202** that functions as a communication gateway between various monitoring devices **208** and output devices **210** and the integrated information system **200**. The premises server **202** includes a resident application program, referred to as a command proxy **204**, that is operable to facilitate communication with external components of the integrated information system **200**. The function of the command proxy application **204** will be explained in greater detail below.

In an illustrative embodiment of the present invention, the premises server **202** communicates with one or more device servers **206** via a communication network, such as a subnet, to address individual monitoring devices **208** and/or output devices **210**. For example, the subnet may be a switched Ethernet network that runs through a high-speed switch. One or more device servers **206** may be configured in a distributed network to facilitate the sharing of computing resources, including processing, memory, and communication bandwidth. An illustrative device server network can be found in commonly assigned U.S. application Ser. No. 10/117,557 entitled SYSTEM AND METHOD FOR MANAGING A DEVICE NETWORK, which is hereby incorporated by reference. Alternatively, the premises server **202** may communicate directly with the monitoring devices **208** and output devices **210**.

In accordance with the present invention, the monitoring devices **208** can include any number of sensors, monitors, and control devices (both hardware and software) used for environmental monitoring, access control, biometric identification, facial recognition, and intrusion detection. Still further, the monitoring devices **208** can include devices used to monitor industrial processes, inventory control processes, financial transactions (including point-of-sale systems) and medical or patient monitoring. It will be apparent to one skilled in the relevant art that additional or alternative monitoring devices **208** may be practiced with the present invention.

The device servers **206** also communicate with one or more output devices **210**. In an illustrative embodiment, the output devices **210** can include audio speakers, display or other audio/visual displays. The output devices **210** may also include electrical or electro-mechanical devices that allow the system to perform actions. The output devices **210** can include computer system interfaces, point-of-sale terminals, cash registers, automatic teller machines, telephone interfaces, wireless interfaces, door and window locking mechanisms, aerosol sprayers, and the like. As will be readily understood by one skilled in the art, the type of output device is associated primarily with the type of action the integrated information system **200** produces. Accordingly, additional or alternative output devices **210** are considered to be within the scope of the present invention.

With continued reference to FIG. 2, the premises server **202** is in communication with a central server **212**. Generally described, the central server **212** manages the number of

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premises servers **202** connected to the integrated information system **200**. Additionally, the central server **212** manages access rights for external components attempting to access device data from the various premises servers **202**. In an illustrative embodiment of the present invention, the central server **212** may also be operable to obtain monitoring device data from the premises servers **202** and process the data according to a number of processing rules. A description for a system and method for processing monitoring device data may be found in commonly assigned U.S. application Ser. No. 09/825,506 entitled SYSTEM AND METHOD FOR IMPLEMENTING AN INTEGRATED INFORMATION PORTAL, the disclosure of which is hereby incorporated by reference.

With continued reference to FIG. 2, the integrated information system **200** also includes one or more client computing devices **214** operable to communicate with the premises servers **202** and the central server **212**. Each client computing device **214** corresponds to one or more authorized users having distinct access rights to the monitoring device data provided by the premises servers **202**. For example, the client computing device **214** can be associated with premises owners, security directors or administrators, on-site security guards, technicians, remote monitors (including certified and non-certified monitors), customer service representatives, emergency personnel, and others.

The client computing devices **214** can include personal computers, hand-held computing devices, wireless application protocol enabled wireless devices, cellular or digital telephones, digital pagers, and the like. Moreover, the central server **212** may communicate with these devices via the Internet **20** utilizing electronic messaging or Web access, via wireless transmissions utilizing the wireless application protocol, short message services, audio transmission, and the like. As will be readily understood by one skilled in the art, the specific implementation of the communication mediums may require additional or alternative components to be practiced. All are considered to be within the scope of practicing the present invention. Additionally, in an illustrative embodiment of the present invention, each client computing device **214** utilizes a software application provided by the central processing server **212** to communicate with the premises servers **202**, which will be explained in greater detail below. Although the premises server **202** and the central processing server **212** are referred to as "server" computing device, one skilled in the relevant art will appreciate that a variety of computing devices may provide the functionality associated with either premises server **202** or the central processing server **212**. For example, the functionality may be implemented in a peer-to-peer network environment.

FIG. 3 is a block diagram depicting an illustrative architecture for a premises server **202**. Those of ordinary skill in the art will appreciate that the premises server **202** include many more components than those shown in FIG. 3. However, it is not necessary that all of these generally conventional components be shown in order to disclose an illustrative embodiment for practicing the present invention. As shown in FIG. 3, the premises server **202** includes a network interface **300** for connecting directly to a LAN or a WAN, or for connecting remotely to a LAN or WAN. Those of ordinary skill in the art will appreciate that the network interface **300** includes the necessary circuitry for such a connection, and is also constructed for use with the TCP/IP protocol, the particular network configuration of the LAN or WAN it is connecting to, and a particular type of coupling medium. The premises server **202** may also be equipped

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with a modem for connecting to the Internet through a point-to-point protocol ("PPP") connection or a serial line Internet protocol ("SLIP") connection as known to those skilled in the art.

The premises server **202** each includes a processing unit **302**, an optional display **304**, and a mass memory **306**, all connected via a communication bus, or other communication device. The mass memory **306** generally comprises a RAM, ROM, and a permanent mass storage device, such as a hard disk drive, tape drive, optical drive, floppy disk drive, or combination thereof. The mass memory **306** stores an operating system **308** for controlling the operation of the premises server **202**. It will be appreciated that this component may comprise a general-purpose server operating system as is known to those skilled in the art, such as UNIX, LINUX™, or Microsoft WINDOWS NT®.

The mass memory **306** also stores program code and data for interfacing with various connected monitoring devices **208** and output devices **210**, for processing the monitoring device data and for transmitting the data to client computing devices **214** and/or a central server **212**. More specifically, mass memory stores a device interface application **310** in accordance with the present invention to obtain monitoring device data. The device interface application **310** comprises computer-executable instructions which, when executed by the premises server **202** obtains and transmits device data as will be explained below in greater detail. The mass memory **306** also stores a data processing application **312** for processing incoming and outgoing data. One skilled in the relevant art will appreciate that processing data can include encryption, compression, transformation, and the like. The mass memory **306** also stores a data messaging and delivery application known as a command proxy application **314** application. The command proxy application **314** is operable to manage data communications between the client computers **314**/central server **212** and the premises server **212**. It will be appreciated that these components may be stored on a computer-readable medium and loaded into the memory of the premises server using a drive mechanism associated with the computer-readable medium, such as a floppy, CD-ROM, DVD-ROM drive, or network drive **300**.

FIG. 4 is a block diagram depicting an illustrative architecture for a central server **212** in accordance with the present invention. Those of ordinary skill in the art will appreciate that the central server **212** includes many more components than those shown in FIG. 4. However, it is not necessary that all of these generally conventional components be shown in order to disclose an illustrative embodiment for practicing the present invention. As shown in FIG. 4, the central server **212** includes a network interface **400** for connecting directly to a LAN or a WAN, or for connecting remotely to a LAN or WAN. Those of ordinary skill in the art will appreciate that the network interface **400** includes the necessary circuitry for such a connection, and is also constructed for use with the TCP/IP protocol, the particular network configuration of the LAN or WAN it is connecting to, and a particular type of coupling medium. The central server **212** may also be equipped with a modem for connecting to the Internet **20**.

The central server **212** also includes a processing unit **402**, an optional display **404** and a mass memory **406**, all connected via a communication bus, or other communication device. The mass memory **406** generally comprises a RAM, ROM, and a permanent mass storage device, such as a hard disk drive, tape drive, optical drive, floppy disk drive, or combination thereof. The mass memory **406** stores an operating system **408** for controlling the operation of the central

server **212**. It will be appreciated that this component may comprise a general-purpose server operating system as is known to those skilled in the art, such as UNIX, LINUX™, or Microsoft WINDOWS NT®.

The mass memory **406** also stores program code and data for interfacing with the premises servers **202** and the client computing devices **214** and for processing various data requests. More specifically, the mass memory **406** stores a premises server interface application **410** in accordance with the present invention for communicating with the premises server **202**. The premises interface application **410** comprises computer-executable instructions, which, when executed by the central server **212**, interfaces with the premises server **202** as will be explained below in greater detail. The mass memory **406** also stores a client computer interface application **412** for communicating with client computing devices **214** to obtain data requests and transmit communication software. The operation of the client computer interface application **412** will be described in greater detail below. The mass memory **406** also stores a data processing application **414** for processing client computing device **214** data requests in accordance with the present invention. It will be appreciated that these components may be stored on a computer-readable medium and loaded into the memory of the central server using a drive mechanism associated with the computer-readable medium, such as a floppy, CD-ROM, DVD-ROM drive, or network drive **400**.

FIG. **5** is a block diagram depicting an illustrative architecture for a client computing device **214** utilized by authorized users to request and gain access to premises servers **202** in accordance with the present invention. Those of ordinary skill in the art will appreciate that the client computing device **214** includes many more components than those shown in FIG. **5**. However, it is not necessary that all of these generally conventional components be shown in order to disclose an illustrative embodiment for practicing the present invention. As shown in FIG. **5**, the client computing device **214** includes a network interface **500** for connecting directly to a LAN or a WAN, or for connecting remotely to a LAN or WAN. Those of ordinary skill in the art will appreciate that the network interface **500** includes the necessary circuitry for such a connection, and is also constructed for use with the TCP/IP protocol, the particular network configuration of the LAN or WAN it is connecting to, and a particular type of coupling medium. The client computing device **214** may also be equipped with a modem **502** for connecting to the Internet **20** through a PPP or SLIP connection as known to those skilled in the art.

The client computing device **214** also includes a processing unit **504**, a display **506**, and a mass memory **508**, all connected via a communication bus, or other communication device. The mass memory **508** generally comprises a RAM, ROM, and a permanent mass storage device, such as a hard disk drive, tape drive, optical drive, floppy disk drive, or combination thereof. The mass memory **508** stores an operating system **510** for controlling the operation of the computing device. It will be appreciated that this component may comprise a general-purpose operating system as is known to those skilled in the art, such as UNIX, LINUX™, or Microsoft WINDOWS NT®. The mass memory **508** also includes a central server interface application **512** operable to communicate with the central server **212**. In an illustrative embodiment of the present invention, the central server interface application **512** includes a WWW browser application. The mass memory **508** further stores a premises server interface application **514** operable to interface with one or more premises servers **202**. It will be appreciated that

these components may be stored on a computer-readable medium and loaded into the memory of the central server using a drive mechanism associated with the computer-readable medium, such as a floppy, CD-ROM, DVD-ROM drive, or network drive **500**.

Generally described, the present invention provides a distributed network environment for processing monitoring device **208** and output device **210** data. In an actual embodiment of the present invention, the central server **212** manages client computer request connection requests and provides requesting client computers access to one or more of the premises servers **212**. Upon establishing access rights to a premises server **202**, the client computer device **214** establishes a direct communication connection to targeted premises servers via the command proxy application **314**. Through the direct communication connection, the command proxy application **314** and the client computing device **214** can transmit data as it is modified.

FIGS. **6-8** are illustrative of various integrated information system component interactions to process client computing device connection requests in accordance with the present invention. With reference to FIG. **6**, a client computing device **214** initiates a communication request by transmitting an access request to the central server **212**. In an illustrative embodiment of the present invention, the connection request is transmitted by the central server interface application **512** (FIG. **5**), utilizing a WWW browser application. The access request can include information indicative of the access rights of a user associated with the client computing device **214** and of the version and resources of the premises server interface application **514** stored on the client computing device.

Upon receipt of the access request, the central server **212** processes the request to identify which premises servers **202** the client computing device **214** may access. Additionally, the central server **212** may also determine whether the client computing device **214** requires an additional software upgrade or update for the premises server interface application **514**. The central server **212** transmits to the client computing device **214** a listing identifying which premises servers **202** are available for a data connection. Additionally, the central server **212** may transmit the premises server interface application **514** or updates to the current version of the premises server interface application **514** running on the client computing device **214**. In an illustrative embodiment of the present invention, the central server **212** may utilize a Web-based software system, such as Sun Microsystems's JAVA WEB START, that enables the central server **212** to distribute and update the premises server interface application **514** via a WWW connection.

With reference now to FIG. **7**, the client computer **214** obtains the access list and any software downloads/updates transmitted by the central server **212**. Depending on a user selection, the client computing device **214** then initiates a command proxy interface protocol with the selected command proxy applications **314** (FIG. **3**) on each selected premises server **202**. The designated premises server **202** responds to the command proxy interface protocol and registers the client computing device with the command proxy application **314** of the premise server. In an illustrative embodiment of the present invention, the command proxy interface protocol allows the client computing device **214** to register with the command proxy application to receive monitoring device data. Further, the command proxy interface protocol allows the client computing device **214** to select which monitoring device data is requested and how the monitoring device data should be delivered. In certain

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embodiments, the client computing device **214** maintains a persistent connection to the command proxy application, in others the connection may be timed, periodic, or transitory.

With reference now to FIG. **8**, upon registration by the client computing device **214**, the command proxy application **314** can detect various monitoring device data changes. In an illustrative embodiment of the present invention, the data changes can include the transmission of monitoring device data from the monitoring devices **208**. Additionally, the data changes can also include data or configuration changes submitted by a system administrator or other authorized user of the integrated information system **200**. The command proxy application **314** can then transmit the data changes to the client computing device **214** in accordance with the registration requirements of the client computing device. Accordingly, the command proxy application **314** may individually filter outgoing data for each client computing device **214** registered with the command proxy application. Further, in an actual embodiment of the present invention, the command proxy application may also be operable to associate various data changes with a selected device to determine whether to send the related data should also be transmitted to the client computing device. Thus, the client computing device **214** can effectuate the receipt of selective real-time data from a number of premises servers **202**.

FIG. **9** is a flow diagram illustrative of a device data monitoring routine **900** implemented by the client computing device **214** in accordance with the present invention. At block **902**, the central server interface application **512** of the client computing device **214** initiates an access request with the central server **212**. In an illustrative embodiment of the present invention, the central server interface application **512** may be a WWW browser-based application operable to communicate with the central server **212** via the WWW. At block **904**, the central server interface application **512** obtains an access list and any applicable downloads from the central server. As discussed above, in an illustrative embodiment of the present invention, the distribution of the access list and the applicable downloads may be accomplished via a distribution such as JAVA WEB START. However, one skilled in the relevant art will appreciate that alternative distribution systems may be also be utilized in accordance with the present invention.

At block **906**, the client computing device **214** obtains a selection of one or more premises servers **202** to monitor. At block **908**, the premises server interface application **514** connects to each designated premises server **202** and registers with the command proxy application **314** residing on the premises server **202**. In an illustrative embodiment of the present invention, the premises server interface application **514** may utilize a command proxy interface protocol to establish a connection with the command proxy application **314** and register data receipt preferences with the command proxy application **314**. The command proxy interface protocol allows the client computing device **214** to register for specific data change events (e.g., specify types of data, data from specific devices) that are of interest and specify value ranges that will require that the client computing device be notified. One skilled in the relevant art will appreciate that types of available data will depend on the available devices connected to a selected premises server **202** and/or the potential utilization of the devices (e.g., security monitoring, patient care, inventory monitoring, and the like). Further, the command proxy interface protocol allows a client computing device to prioritize data change types for immediate or delayed transmission. One skilled in the relevant art will

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appreciate, however, alternative or modified command proxy interface protocols may also be practiced with the present invention.

In an actual embodiment of the present invention, the client computing device **214** may utilize an IP address specified in the communication with the central server **202** to identify the appropriate premise server **202**. Accordingly, the first message sent by the client computing device **214** should include a command string identifying a particular premises server **202**.

At block **910**, the premises server interface application **514** obtains current device information from the premises server **202**. In an actual embodiment of the present invention, the premises server **202** initializes the data transmission by transmitting selected device status information to the client computing device **214**. At block **912**, the premises server interface application **512** obtains changed data from the command proxy application **314**. In an illustrative embodiment of the present invention, the command proxy application **314** for each premises server **202** can maintain a table corresponding to all data objects, such as a monitoring device **208** in its control, and the potential attribute values for the data objects. Further, the command proxy application **314** identifies the client computing devices **214** that have registered for notification for each data object attribute value. Accordingly, as data changes are received, the data processing application **312** can identify which client computing devices **214** are to be contacted by evaluating the relevant data managed by the command proxy application table.

At decision block **914**, a test is conducted to determine whether the user has indicated a desire to terminate the connection. If the user does not wish to terminate the connection, the routine **900** returns to block **910**. Alternatively, if the user wishes to terminate the connection, the client computing device **214** releases the connection at block **916**. At block **918**, the routine **900** terminates.

In an actual embodiment of the present invention, the monitoring device data can be categorized and processed as asset data, resource data, or device data. Asset data is obtained from a monitoring device corresponding to an identifiable object that is not capable of independent action. For example, asset data includes data obtained from a bar code or transponder identifying a particular object, such as a computer, in a particular location. Resource data is obtained from a monitoring device corresponding to an identifiable object that is capable of independent action. For example, resource data includes data from a magnetic card reader that identifies a particular person who has entered the premises. Event data is obtained from a monitoring device corresponding to an on/off state that is not correlated to an identifiable object. Event data is a default category for all of the monitoring devices. An illustrative system and method for processing asset data, resource data, and device data can be found in commonly assigned U.S. application Ser. No. 09/825,506, which has been incorporated by referenced. As will be readily understood by one skilled in the relevant art, alternative data categorizations are considered to be within the scope of the present invention.

The present invention provides a method for obtaining real-time device data over a network connection from a hardware and software monitoring devices. The invention describes an architecture that can be flexibly used to operate within or outside of a browser application. The system provides the ability to use application features regardless of whether they are supported by the browser applications or operating system in use on a client computing device.

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While illustrative embodiments of the invention have been illustrated and described, it will be appreciated that various changes can be made therein without departing from the spirit and scope of the invention.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. In a system including one or more premises-server computing devices in communication with a number of input and/or output devices, a central communication device, and at least one client computing device in communication with the central communication device, a method for processing device data, the method comprising:

transmitting an access request to the central communication device, the access request including one or more identification attributes corresponding to a client computing device;

obtaining a listing of available premises-server computing devices, the listing of available premises-server computing devices corresponding to a set of premises-server computing devices a client computing device is authorized to access based at least in part on the identification attributes;

transmitting a communication request to communicate with one or more premises-server computing devices; establishing a direct connection with a proxy application in each of the one or more premises-server computing devices for which the communication request is successful; and

obtaining device information from each proxy application associated with the one or more premises-server computing device, the device information corresponding to a current input and/or output device state;

wherein establishing a direct connection with a proxy application in each of the one or more premises-server computing devices cannot occur prior to obtaining the listing of available premises-server computing devices.

2. The method as recited in claim 1, wherein transmitting an access request includes transmitting information used to authenticate an individual user.

3. The method as recited in claim 1, wherein transmitting an access request includes:

accessing a network-based Web site corresponding to the central communication device;

providing the one or more identification attributes; and submitting the access request via the network-based Web site.

4. The method as recited in claim 1, wherein obtaining a listing of available premises-server computing devices includes obtaining a listing of available input and/or output devices connected to each of the available premises-server computing devices.

5. The method as recited in claim 4, wherein transmitting a communication request to communicate with one or more premises-server computing devices includes transmitting a selection to receive data from one or more available devices.

6. The method as recited in claim 5, wherein transmitting a communication request includes transmitting information used for the delivery of input and/or output device data.

7. The method as recited in claim 1, wherein transmitting a communication request to communicate with one or more premises-server computing devices includes initiating a command proxy protocol registering a client computing device with the proxy application of the premises-server computing device.

8. The method as recited in claim 1, wherein the direct connection with the proxy application is a persistent connection.

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9. The method as recited in claim 1 further comprising obtaining updated input and/or output device data from the proxy application, wherein the updated device data is obtained without subsequent request from the client computing device.

10. The method as recited in claim 1 further comprising obtaining software updates from the central communication device upon transmitting an access request to the central communication device.

11. The method as recited in claim 1, wherein establishing a direct connection with a proxy application includes establishing a direct connection with a proxy application in a private network.

12. The method as recited in claim 1, wherein obtaining device information from each proxy application in the one or more premises-server computing device includes obtaining device information filtered in accordance with one or more criteria submitted to the proxy application.

13. A computer-readable medium having computer-executable instructions for performing the method recited in claim 1.

14. A computer system including a processor, a memory and an operating system, the computer system operable to perform the method recited in claim 1.

15. In a system including one or more premises-server computing devices in communication with a number of input and/or output devices, a central communication device and at least one client computing device in communication with the central communication device, a method for processing device data, the method comprising:

obtaining an access request from a client computing device, the access request including one or more identification attributes corresponding to the client device;

generating a list of premises-server computing devices available for communication with the client device, the list of premises-server computing devices corresponding to a set of premises-server computing devices the client device obtains access based upon a processing of the one or more identification attributes; and

transmitting the list of premises-server computing devices available for communication with the client device; wherein the client device cannot directly access the premises-server computing device prior to obtaining the list of premises-server computing devices available for communication.

16. The method as recited in claim 15, wherein obtaining an access request includes obtaining a user identification and password.

17. The method as recited in claim 15 further comprising: generating a network-based Web site in response to an initial access request from a client device; and obtaining a user input of the one or more identification attributes corresponding to the client device.

18. The method as recited in claim 15, wherein transmitting the list of premises-server computing devices available for communication with the client device includes transmitting an identification of one or more devices associated with each of the premises-server computing device available for communication.

19. The method as recited in claim 15, wherein transmitting the list of premises-server computing devices available for communication with the client device includes transmitting an IP address corresponding to each premises-server computing device, the IP address facilitating direct communication with a premises-server computing device.

20. The method as recited in claim 15 further comprising transmitting software updates with the transmission of the list of available premises-server computing devices.

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21. A computer-readable medium having computer-executable instructions for performing the method recited in claim 15.

22. A computer system having a processor, a memory and an operating system, the computer system operable to perform the method recited in claim 15.

23. In a premises-server computing device in communication with a number of input and/or output devices, wherein the premises-server computing device includes a command proxy application for communicating with client computing devices, a method for processing device data comprising:

- obtaining a communication request from a client computing device;
- registering the client computing device to obtain device data from at least one input and/or output device;
- obtaining device data from the at least one input and/or output device;
- transmitting the device data to the client computing device, wherein transmitting the device data to the client computing device occurs without requiring a request from the client computing device.

24. The method as recited in claim 23, wherein registering the client computing device includes registering interest in updates made to one or more records in a table used to collect attribute data associated with the input and/or devices.

25. The method as recited in claim 24, wherein the table of available input and/or output device data includes criteria for filtering the data to be received by the client computing device.

26. The method as recited in claim 25, wherein filtering the data from the input and/or output device includes a threshold of data values to be satisfied prior to transmitting the input and/or output device data to the client computing device.

27. The method as recited in claim 25, wherein filtering the input and/or output device data includes a range of data values that must be satisfied prior to transmitting the data from the input and/or output device to the client computing device.

28. A computer-readable medium having computer-executable instructions for performing the method recited in claim 25.

29. A computer system having a processor, a memory and an operating environment, the computer system operable to perform the method recited in claim 25.

30. The method as recited in claim 24, wherein the table of available device data includes instructions for processing the data from the input and/or device to be received by the client computing device.

31. The method as recited in claim 30, wherein processing the input/and or output device data includes prioritizing the data from the input and/or output device.

32. The method as recited in claim 30, wherein processing the data from the input/and or output device includes formatting.

33. The method as recited in claim 24, wherein the table of available input and/or output device data includes a schedule for transmitting data from the input and/or output device to the client computing device.

34. A system for processing the data from the input and/or output device, the system comprising:

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at least one client computing device for transmitting access requests via a communication network, the access requests includes one or more identification attributes corresponding to the client computing device;

a central communication device in communication with the client computing device via a communication network, wherein the central communication devices receives the access request and transmits a list of available premises-server computing devices, the list of available premises-server computing devices corresponding to a set of premises the client computing device is authorized to access; and

one or more premises-server computing devices in communication with a number of input and/or output devices, the one or more premises-server computing devices including a proxy application to communicate with the client computing device via a direct communication connection and to transmit device data to the client computing device via the direct communication connection;

wherein the client computing device cannot establish the direct communication connection with the premises-server computing device prior to obtaining the list of available premises-server computing devices from the central communication device.

35. The system as recited in claim 34, wherein the client computing device transmits the access request via a network-based Web site provided by the central communication device.

36. The system as recited in claim 34, wherein the central communication device transmits software application updates to the client computing device in response to an access request.

37. The system as recited in claim 34, wherein the proxy application in the premises-server computing device registers the client computing device to receive the data from the input/and or output device.

38. The system as recited in claim 37, wherein the registration includes an identification of device data to be received by the client computing device.

39. The system as recited in claim 37, wherein the registration includes instructions for filtering the device data prior to transmitting the device data to the client computing device.

40. The system as recited in claim 37, wherein the registration includes instructions for processing the device data prior to transmitting the device data to the client computing device.

41. The system as recited in claim 37, wherein the direct connection between the proxy application and the client computing device is persistent.

42. The system as recited in claim 37, wherein the proxy application transmits updated device data to the client computing device without requiring a request for updated device data from the client computing device.

43. The system as recited in claim 37 further comprising a device server in communication with the premises-based server computing device, wherein the device server communicates directly with the input and output devices.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,839,731 B2
 APPLICATION NO. : 10/443120
 DATED : January 4, 2005
 INVENTOR(S) : B. Alexander et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<u>COLUMN</u>	<u>LINE</u>	<u>ERROR</u>
13 (Claim 1, line 25)	31	“puting device,” should read --puting devices,--
14 (Claim 9, line 1)	1	“claim 1” should read --claim 1,--
14 (Claim 10, line 1)	6	“claim 1” should read --claim 1,--
14 (Claim 12, line 3)	15	“computing device” should read --computing devices--
14 (Claim 15, line 13)	36	“computing devices the” should read --computing devices to which--
14 (Claim 17, line 1)	48	“claim 15” should read --claim 15,--
14 (Claim 18, line 5)	57	“computing device” should read --computing devices--
14 (Claim 20, line 1)	65	“claim 15” should read --claim 15,--
15 (Claim 23, line 11)	17	“device;” should read --device; and--
15 (Claim 24, line 5)	27	“devices.” should read --output devices.--
15 (Claim 30, line 3)	50	“and/or device” should read --and/or output device--
15 (Claim 32, line 2)	56	“input/and or” should read --input and/or--
16 (Claim 34, line 5)	3	“includes” should read --including--

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Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<u>COLUMN</u>	<u>LINE</u>	<u>ERROR</u>
16 (Claim 34, line 10)	8	“communication device” should read --communication devices--
16 (Claim 37, line 4)	39	“input/and or” should read --input and/or--
16 (Claim 43, line 1)	58	“claim 37” should read --claim 37,--
16 (Claim 43, line 4)	61	“input and output” should read --input and/or output--

Signed and Sealed this

Twenty-fourth Day of October, 2006



JON W. DUDAS
Director of the United States Patent and Trademark Office

(12) **INTER PARTES REEXAMINATION CERTIFICATE (643rd)**

United States Patent

Alexander et al.

(10) **Number:** US 6,839,731 C1

(45) **Certificate Issued:** Jul. 19, 2013

(54) **SYSTEM AND METHOD FOR PROVIDING DATA COMMUNICATION IN A DEVICE NETWORK**

(75) Inventors: **Bruce Alexander**, Poulsbo, WA (US);
David Antal, Silverdale, WA (US);
Matthew Litke, Seattle, WA (US);
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Paul Thompson, Poulsbo, WA (US)

(73) Assignee: **Vigilos, LLC**, Tyler, TX (US)

Reexamination Request:

No. 95/002,402, Sep. 15, 2012

Reexamination Certificate for:

Patent No.: **6,839,731**
 Issued: **Jan. 4, 2005**
 Appl. No.: **10/443,120**
 Filed: **May 20, 2003**

Certificate of Correction issued Oct. 24, 2006

Related U.S. Application Data

(60) Provisional application No. 60/382,035, filed on May 20, 2002.

(51) **Int. Cl.**
G06F 15/16 (2006.01)

(52) **U.S. Cl.**
 USPC **709/201; 709/203; 709/219; 709/227; 713/176**

(58) **Field of Classification Search**
 None
 See application file for complete search history.

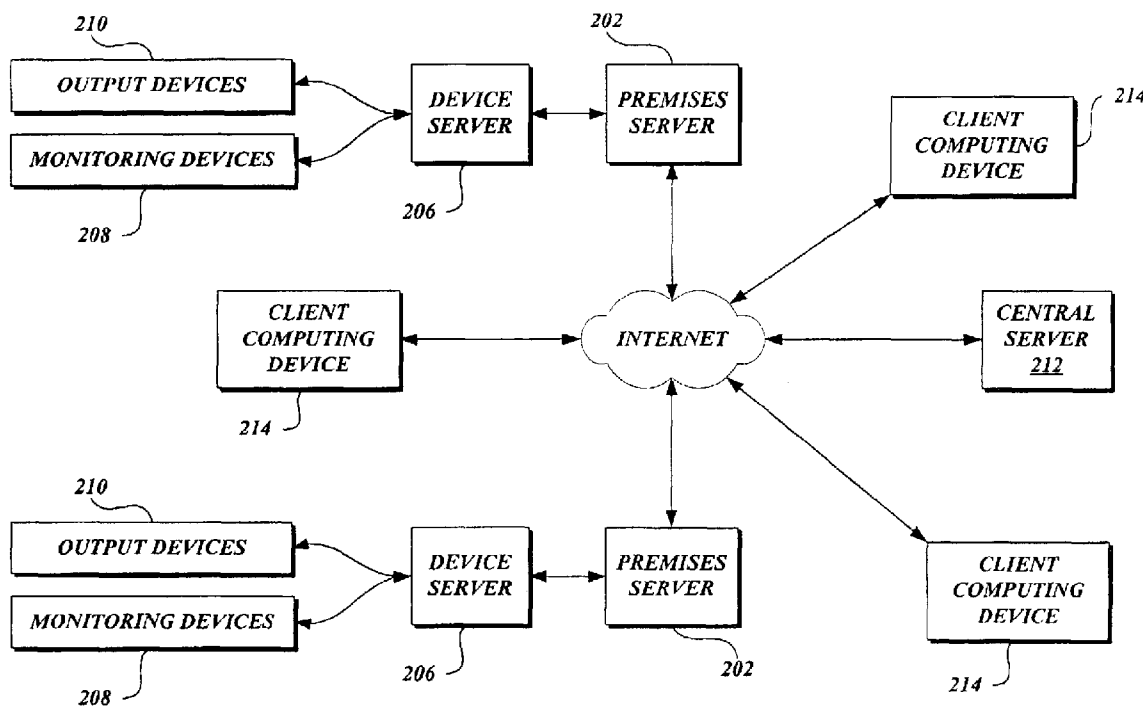
(56) **References Cited**

To view the complete listing of prior art documents cited during the proceeding for Reexamination Control Number 95/002,402, please refer to the USPTO's public Patent Application Information Retrieval (PAIR) system under the Display References tab.

Primary Examiner — Ryan Stiglic

(57) **ABSTRACT**

A system and method for facilitating data communications in a device network are provided. An integrated information system includes a number of premises-server computing devices, a central communication device, and a number of client computing devices. Each client computing device communicates with the central communication device to request access to device data from the premises-server computing devices. Once access rights are established, the client computing devices communicate directly with specific premises-server computing devices. A command application resident on each premises-server computing device, referred to as the command proxy, administers the direct flow of data between the computing devices.



US 6,839,731 C1

1

2

**INTER PARTES
REEXAMINATION CERTIFICATE
ISSUED UNDER 35 U.S.C. 316**

5

THE PATENT IS HEREBY AMENDED AS
INDICATED BELOW.

AS A RESULT OF REEXAMINATION, IT HAS BEEN
DETERMINED THAT:

10

Claim **23** is cancelled.

Claims **1-22** and **24-43** were not reexamined.

* * * * *

EXHIBIT 2



ABB Ability™ System 800xA WirelessHART solution

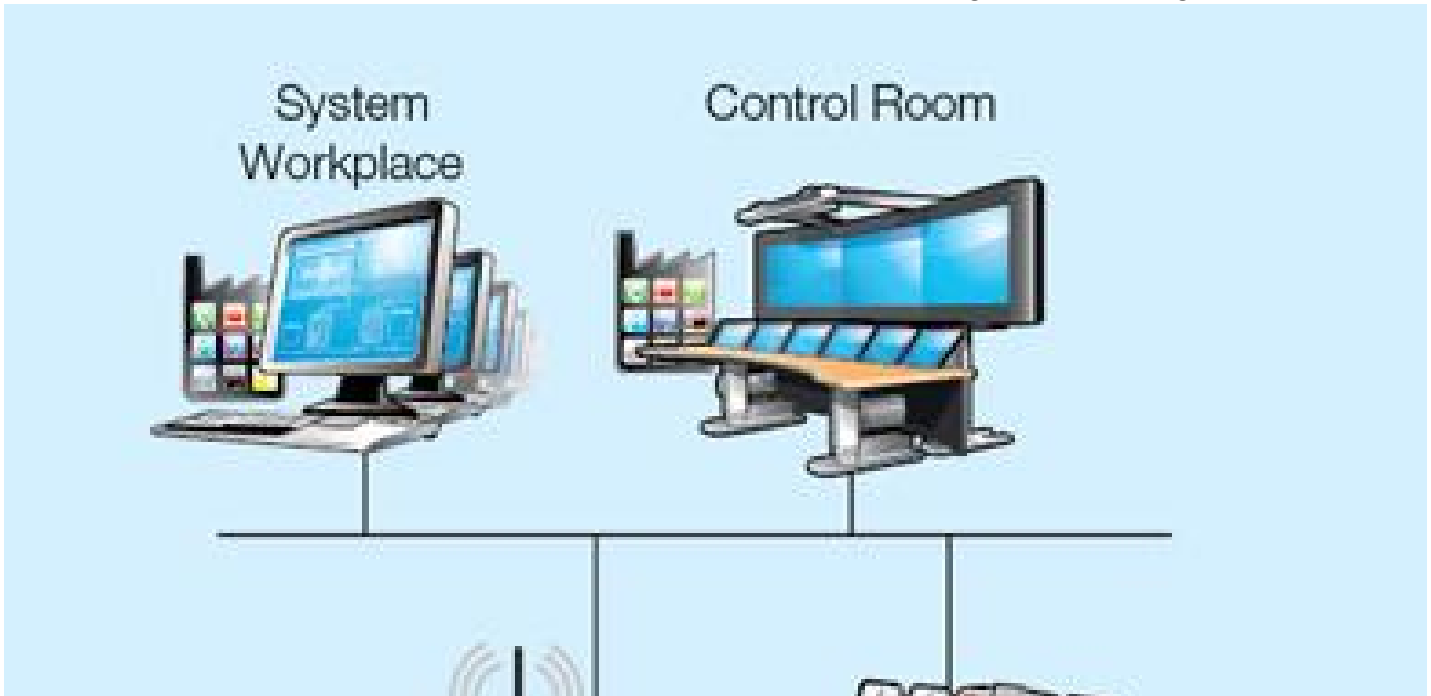
ABB Ability System 800xA device management for WirelessHART enables plant owners to fully utilize all benefits of wireless field instrumentation. The result is significant cost savings in both field installations and system integration.

Save time with centralized Device Management for WirelessHART devices. WirelessHART devices are commissioned, configured and monitored with the same configuration tools as wired HART devices. All device configuration is managed from a central location using System 800xA Engineering Workplace. No extra engineering tools or training is required to get started with WirelessHART.

Reduce maintenance cost with condition monitoring of HART device. Stranded device diagnostics can be subscribed to the system with wirelessHART adapter on existing HART devices. System 800xA Maintenance Workplace offers easy to use centralized device condition monitoring according to NAMUR NE107.

Achieve cost effective seamless AC 800M control applications. WirelessHART measurement can be included in seamless control applications in AC 800M with any other fieldbus protocols or I/O signals. AC 800M includes preconfigured read and write function blocks to read HART variables from the WirelessHART gateway.

Complete WirelessHART solutions from ABB. In addition to System 800xA WirelessHART integration ABB offers WirelessHART field instruments for temperature, pressure and vibration monitoring. ABB also provide project execution and system integration expertise.



System 800xA offers centralized device management and condition monitoring for WirelessHART devices

EXHIBIT 3

ABB ICSS 800xA DCS - Distributed Control System



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Application pictures

ABB System cabinets, FG (with yellow labels), Marshaling Cabinets (only black connectors) and some Screen view of the software.



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800xA Extended Automation platform, Symphony Plus control system, Freelance process control system for small to medium process applications, as well as its prior generation systems.

All ABB control systems offer a secure evolution path forward to next generation technologies to protect and enhance the control system investment over its lifetime.

ABB DCS 800xA Extended Operator Workplace (EOW) provides an adjustable operator environment that increases operator effectiveness and proactively reacts to plant conditions.



800xA Aspect Objects Technology

Integrate all business processes and resources into a single system by organizing information not into files and folders, but into aspects of objects - only a mouse click away.

A central problem in plant operations as well as asset lifecycle management is that you need a way to keep together, manage, and have access to information about all different aspects of a great number of plant and process entities. These entities, or real world objects, are of many different kinds. They can be physical process objects, like a valve or a motor, or more complex entities, like a reactor. They can be immaterial, like recipes, manufacturing orders, and customer accounts. Other examples are products, raw material, production batches, etc.

In a system that integrates automation, information, and collaborative business processes across the enterprise, each of these real world objects needs to be described from several different perspectives. Each perspective defines a piece of information, and a set of functions to create, access, and manipulate this information. We call this an aspect of the object.

Aspect Objects provide a solution that enables cooperation between many different applications, existing and new ones, from ABB, third parties and customers, to provide an integrated view. In this concept, rather than creating one single object or data model in the system to represent the real world object, each aspect is modeled separately. An Aspect Object is a container that includes these independent models. Aspects are implemented by software systems known as aspect systems, each of which stores, manages and presents its information in its own optimal way.

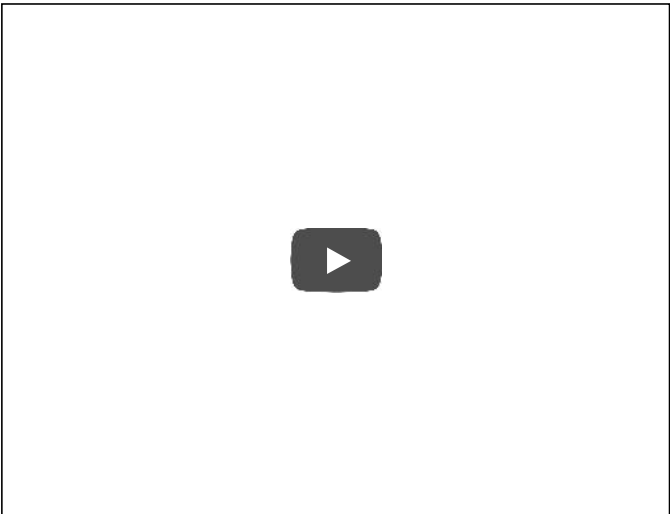
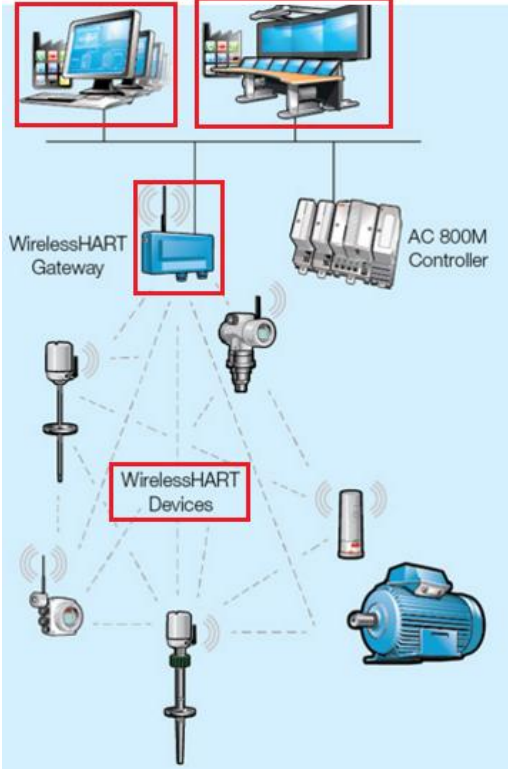


EXHIBIT 4

US6839731 vs. ABB WirelessHart Distributed Control System (The accused product)¹

CLAIM	ABB WirelessHart Distributed Control System (“The accused product”)
<p>1. In a system including one or more premises-server computing devices in communication with a number of input and/or output devices, a central communication device, and at least one client computing device in communication with the central communication device, a method for processing device data (i.e., the complete ABB WirelessHart Distributed Control System).</p>	<p>The accused product discloses a system including one or more premises-server computing devices (i.e., the WirelessHart Gateway etc.) in communication with a number of input and/or output devices (e.g., the end WirelessHart devices etc.), a central communication device (i.e., the main server computer having all the database collected), and at least one client computing device (i.e., any computer device with the software installed in it for remote monitoring/control purpose) in communication with the central communication device, a method for processing device data (i.e., the complete ABB WirelessHart Distributed Control System).</p> 

¹ This draft claim construction and proposed claim term meanings are purely illustrative. Banertek LLC expects that the proposed meanings of selected claim elements may be revised in the future and reserves all rights to make any changes as further analysis is completed.

<http://new.abb.com/products/measurement-products/wireless-products-and-solutions/highlights/wirelesschart-information-and-faqs>

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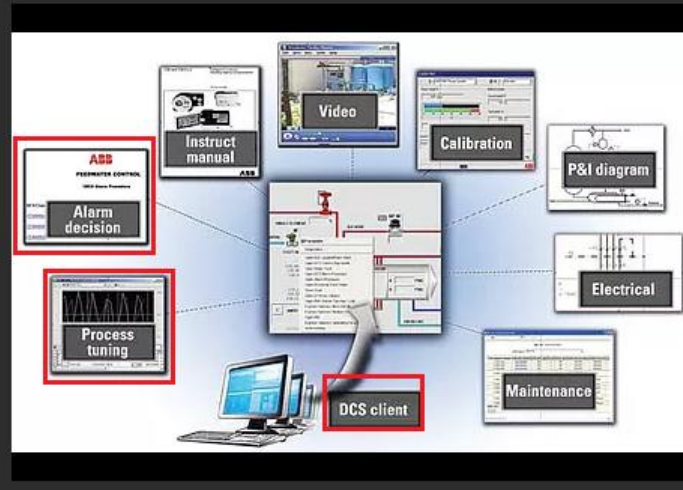
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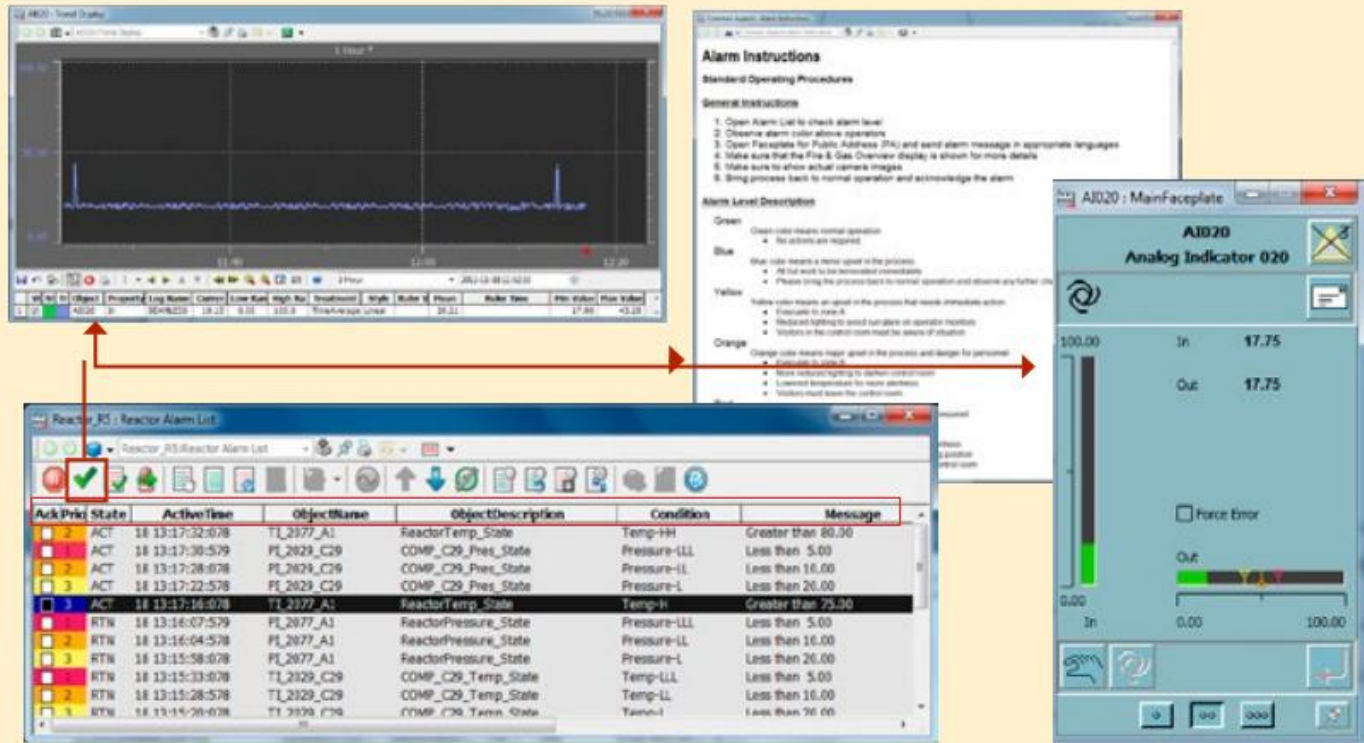
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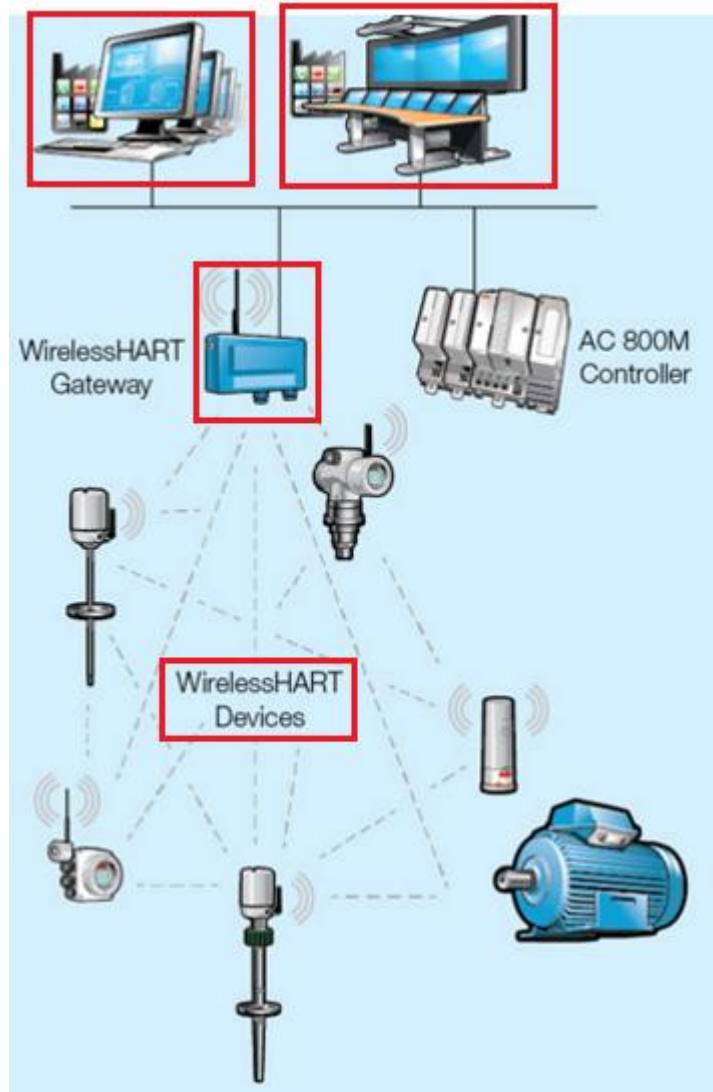


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transmitting an access request to the central communication device, the access request including one or more identification

The accused product discloses transmitting an access request to the central communication device (i.e., the main server computer having all the database collected), the access request including one or more identification attributes corresponding to a client computing device (e.g., the login name etc.).

attributes corresponding to a client computing device;



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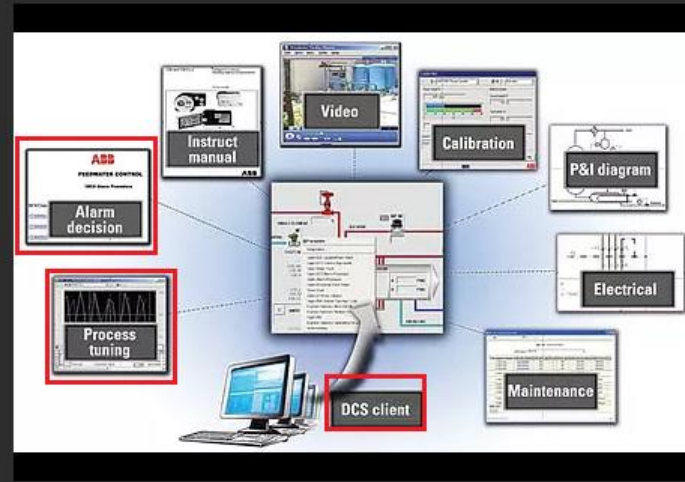
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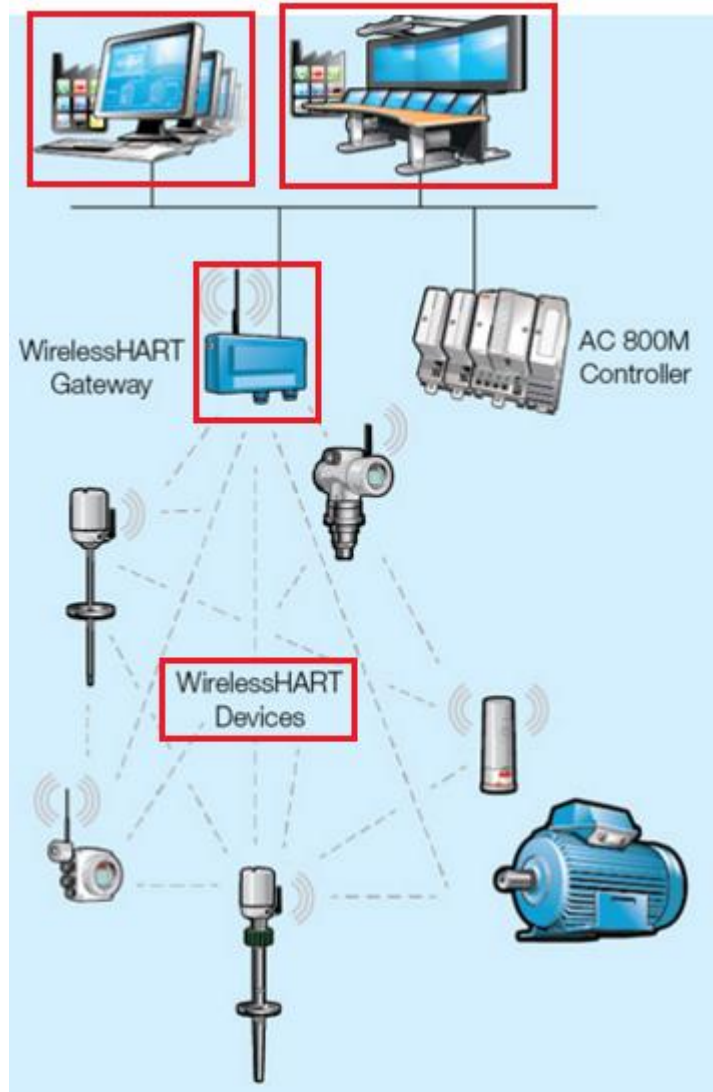
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	<p>ABB ICSS 800xA DCS - Distributed Control System</p> <p>Application pictures</p> <p>ABB System cabinets, FG (with yellow labels), Marshaling Cabinets (only black connectors) and some Screen view of the software.</p>   <p>The most popular DCS</p> <p>ARC Advisory Group says ABB is number one in distributed control systems</p> <p>ARC Advisory Group has released their Distributed Control Systems Worldwide Outlook report for 2013 in which ABB remains the leading choice of customers for control system services, hardware and software.</p> <p>"For years ABB has cultivated its capability to serve major projects as the Main Automation Contractor", said ARC Senior Analyst Harry Forbes. "The electric power, motor, and drive capabilities across ABB Group augment its process automation expertise, making the firm an attractive choice when capital projects require large or complex electric power systems, especially offshore and sub-sea systems." ABB is well prepared with a broad DCS portfolio including its flagship System</p> <p>800xA Extended Automation platform, Symphony Plus control system, Freelance process control system for small to medium process applications, as well as its prior generation systems.</p> <p>All ABB control systems offer a secure evolution path forward to next generation technologies to protect and enhance the control system investment over its lifetime.</p> <p>http://www.retinaenergy.com.tr/abb-icss-dcs</p>
<p>obtaining a listing of available premises-server computing devices, the listing of available premises-server computing</p>	<p>The accused product discloses obtaining a listing of available premises-server computing devices, the listing of available premises-server computing devices corresponding to a set of premises-server computing devices a client computing device is authorized to access based at least in part on the identification attributes.</p>

devices corresponding to a set of premises-server computing devices a client computing device is authorized to access based at least in part on the identification attributes;



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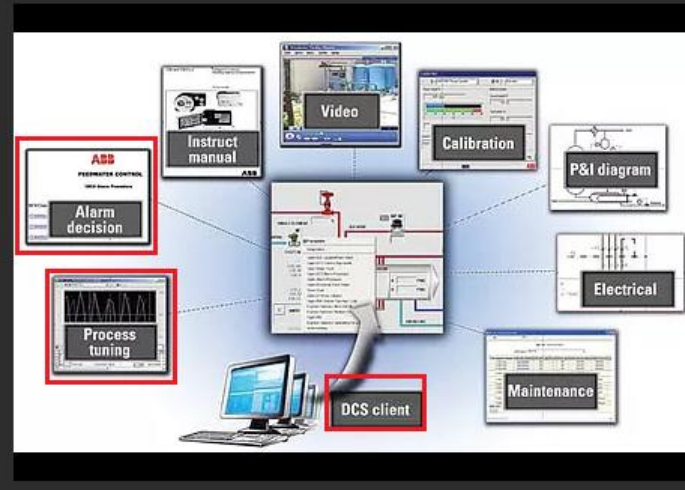
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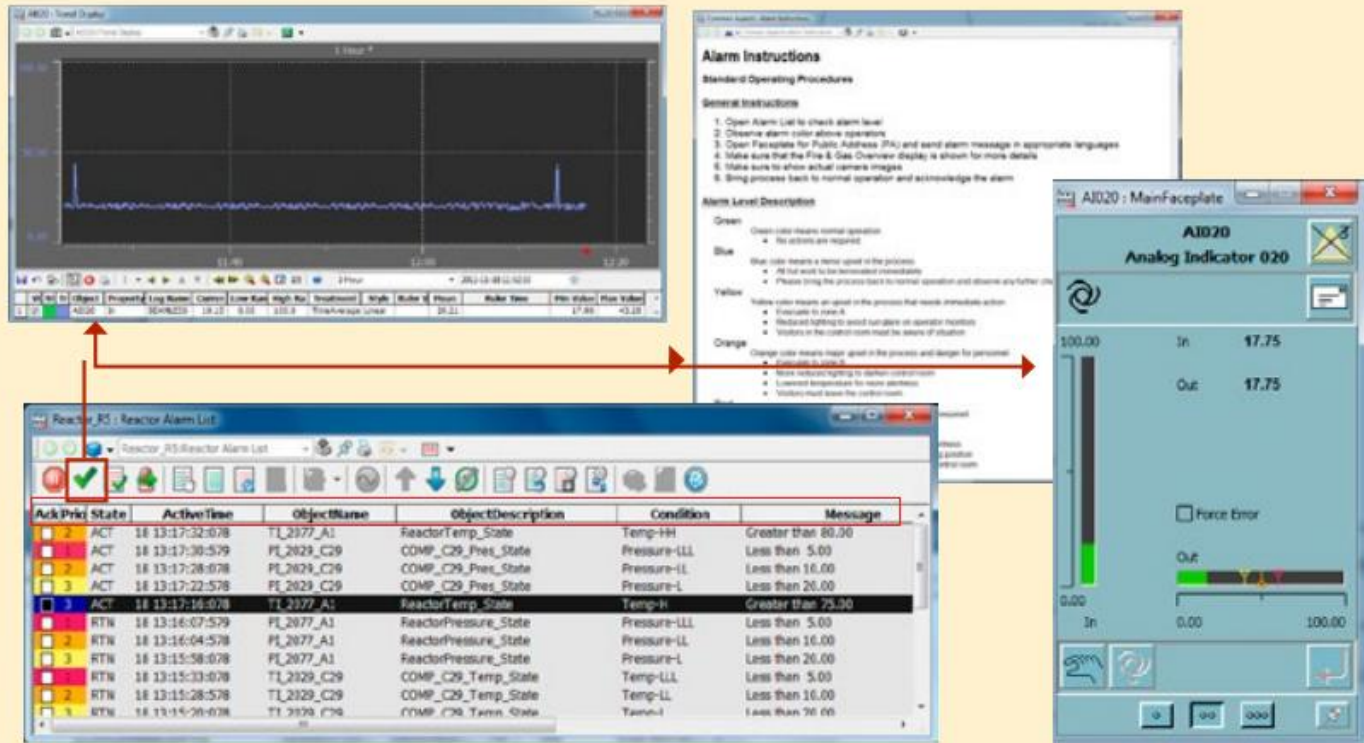
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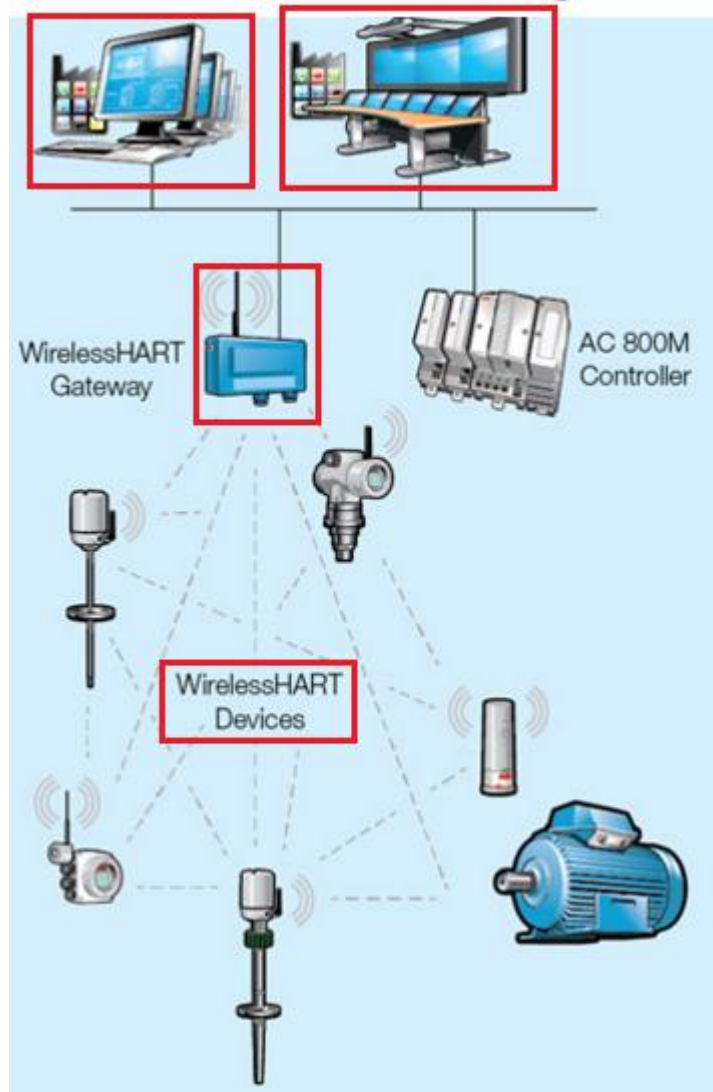
All ABB control systems offer a secure evolution path forward to next generation technologies to protect and enhance the control system investment over its lifetime.



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transmitting a communication request to communicate with one or more premises-server computing devices;

The accused product discloses transmitting a communication request to communicate with one or more premises-server computing devices.



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ABB ICSS 800xA DCS - Distributed Control System

Application pictures

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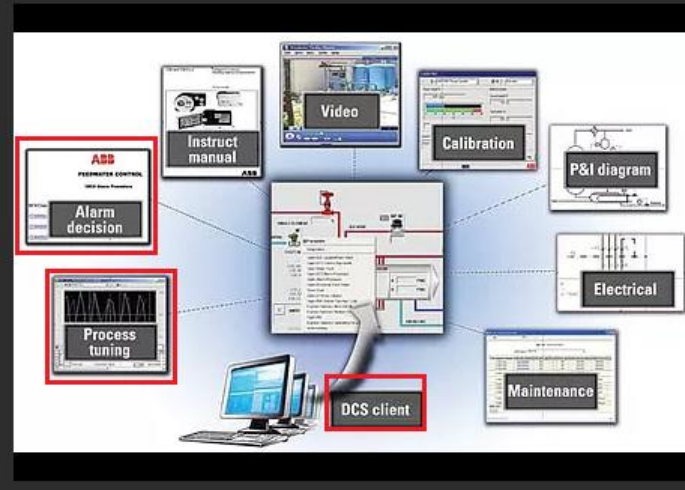
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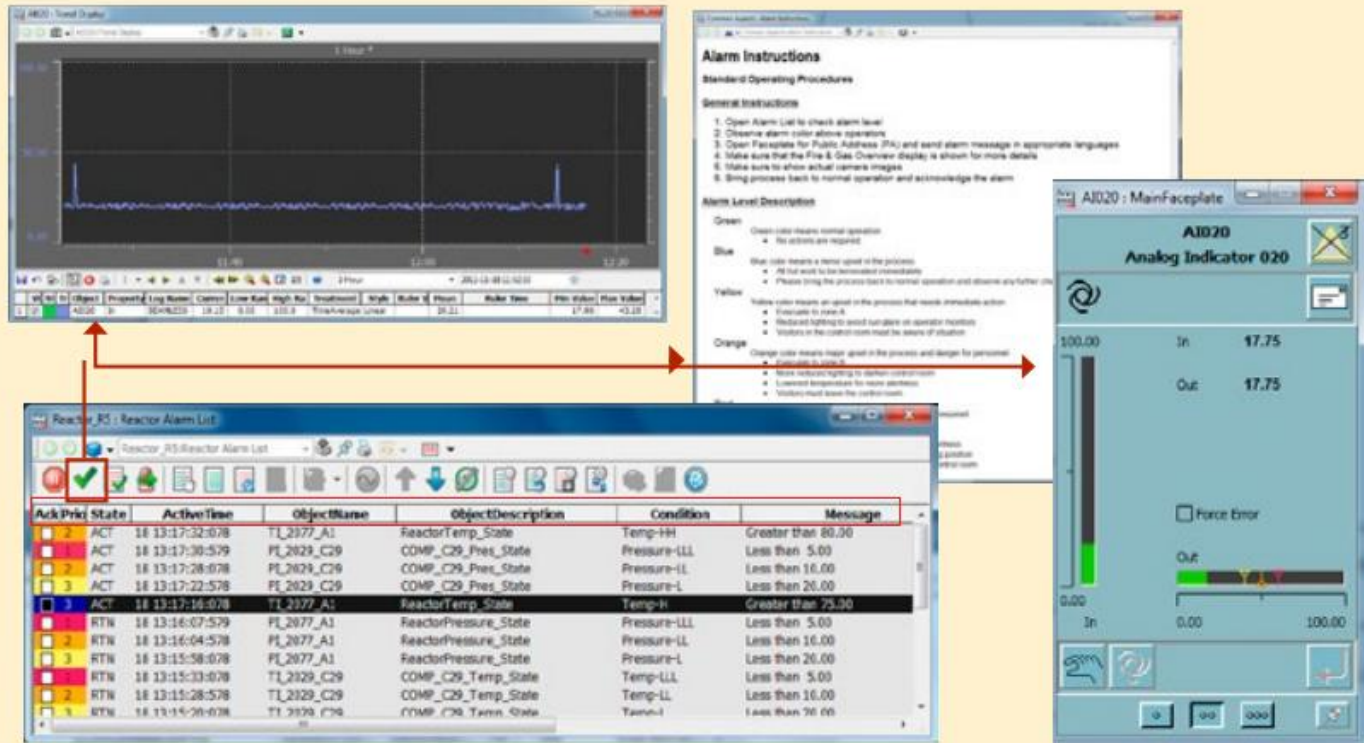
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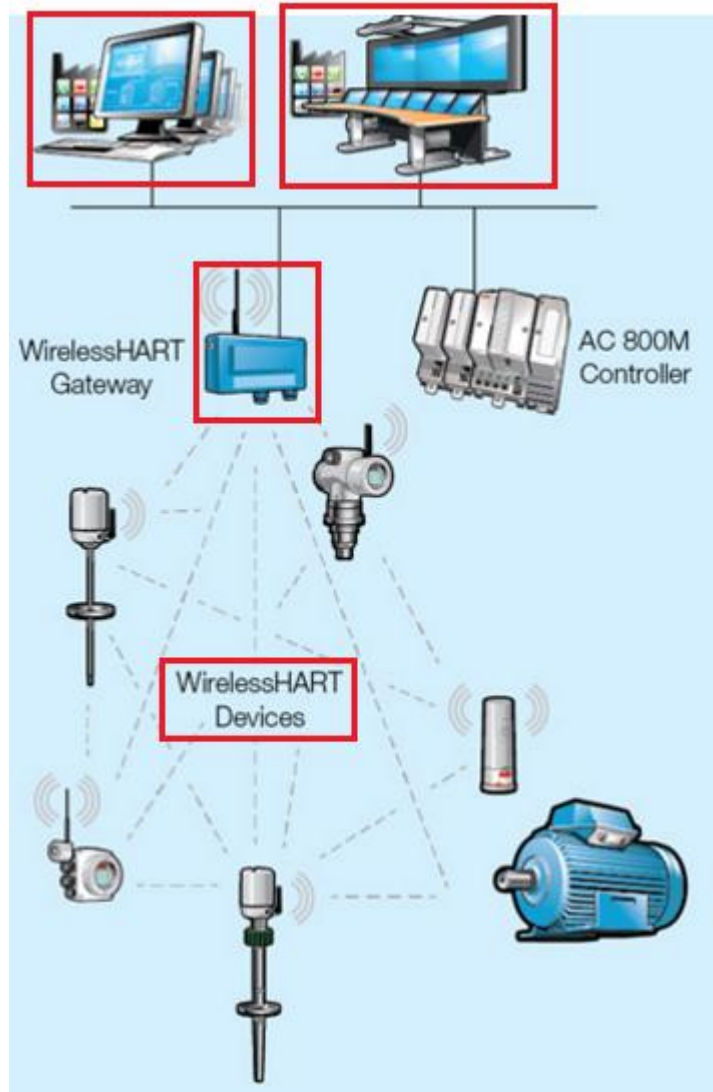


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establishing a direct connection with a proxy application in each of the one or more premises-server computing devices for which

The accused product discloses establishing a direct connection with a proxy application in each of the one or more premises-server computing devices for which the communication request is successful (e.g., a user logging in the ABB Industrial Networks monitoring website via the software).

the communication request is successful; and



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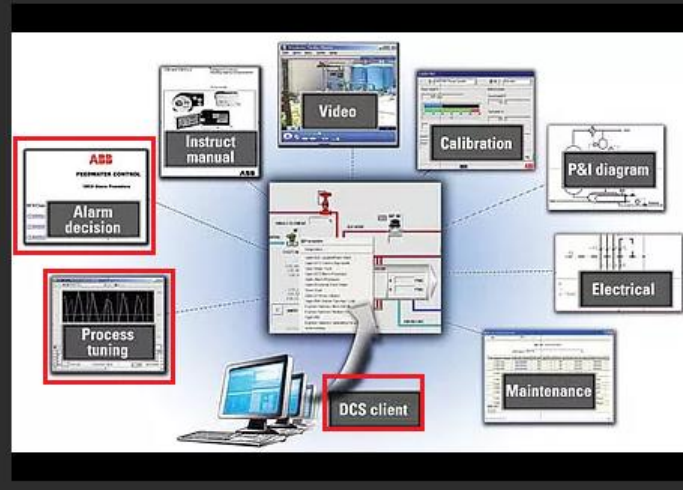
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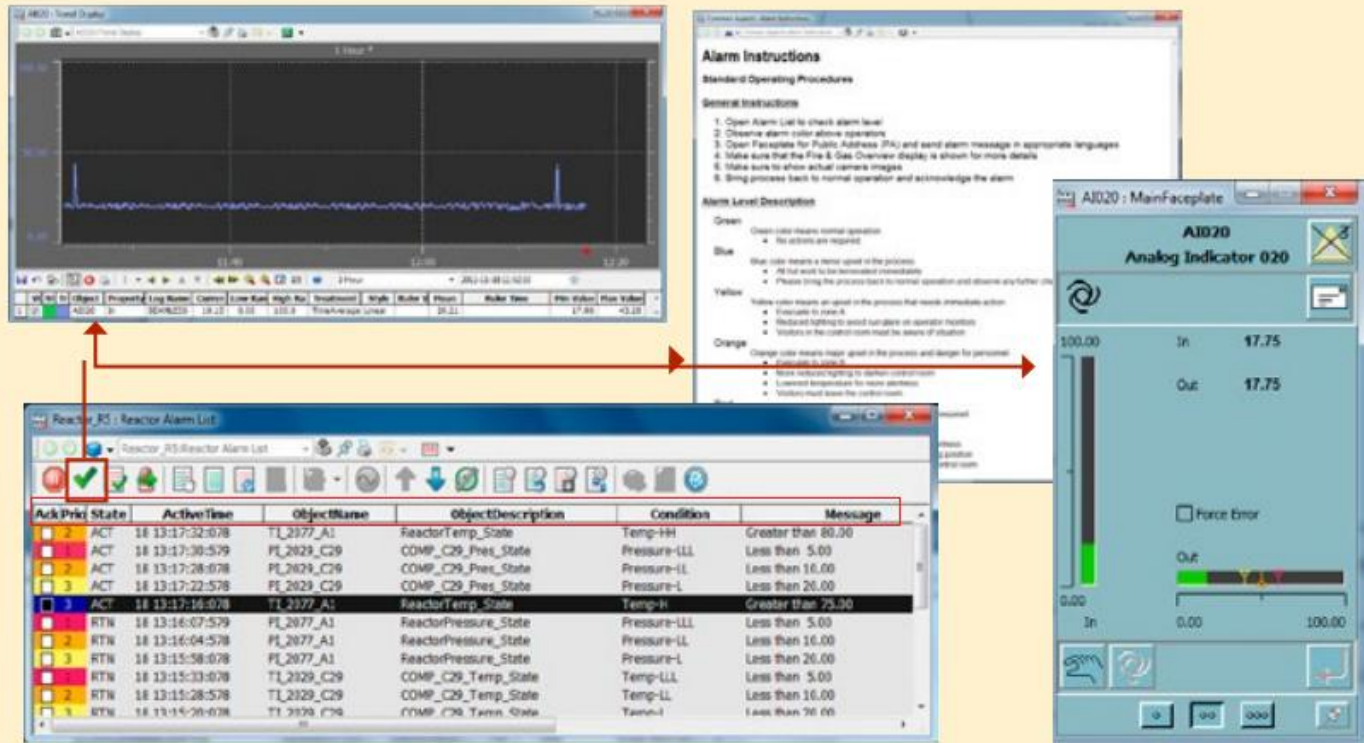
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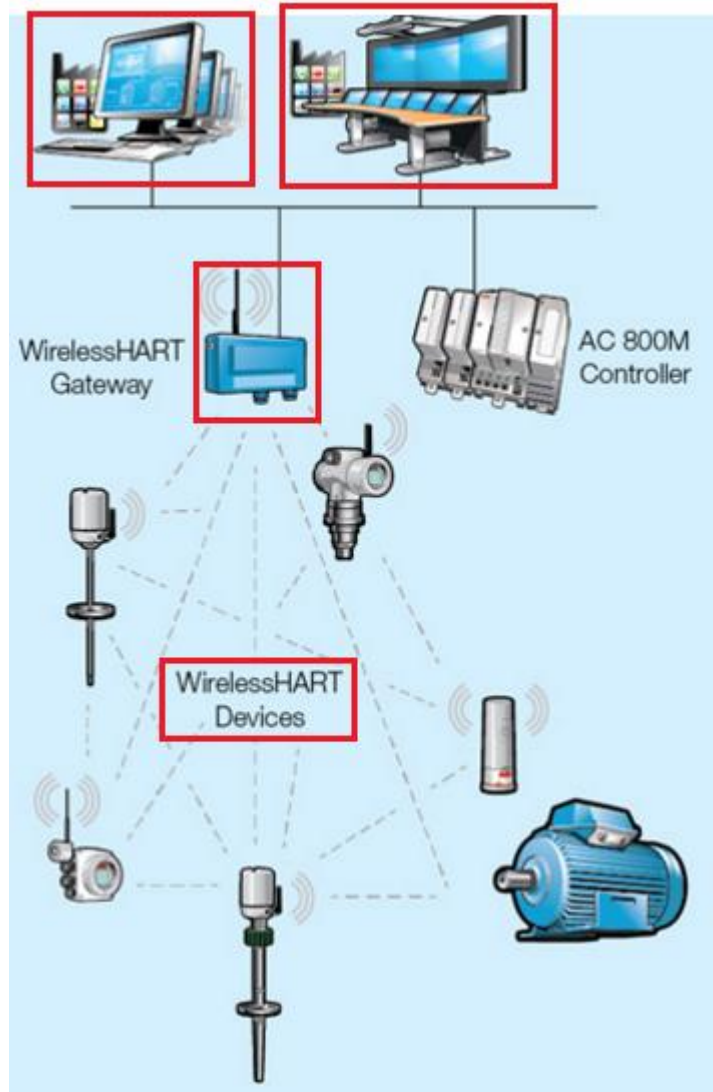


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obtaining device information from each proxy application associated with the one or more premises-server computing

The accused product discloses obtaining device information from each proxy application associated with the one or more premises-server computing device, the device information corresponding to a current input and/or output device state (e.g., the device condition as condition/message etc.).

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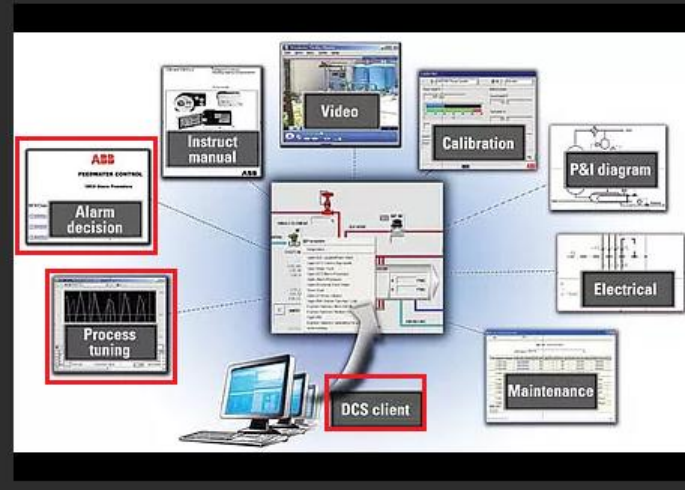
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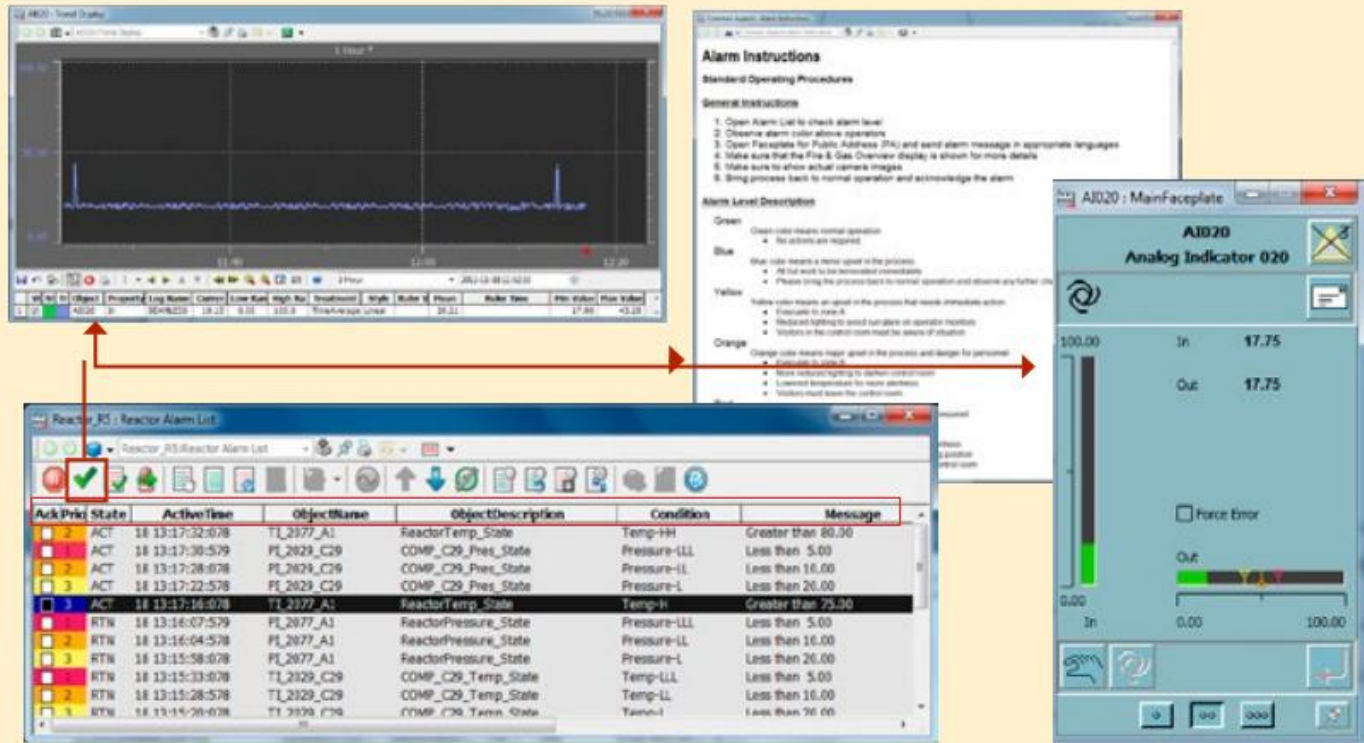
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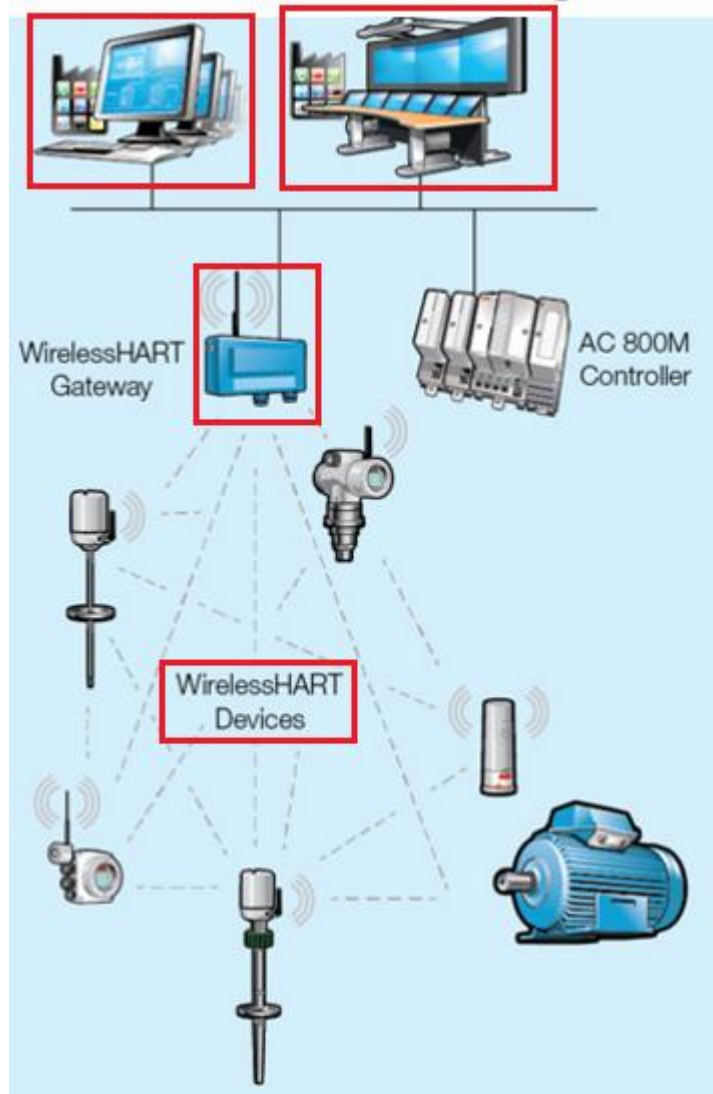


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wherein establishing a direct connection with a proxy application in each of the one or more premises-server computing

The accused product discloses establishing a direct connection with a proxy application (i.e., the software) in each of the one or more premises-server computing devices cannot occur prior to obtaining the listing of available premises-server computing devices.
 A user cannot communicate with the WirelessHart Gateways until they have logged in and obtained the listing of devices so that they can view the data available and control the devices by pressing the graphical interface icons presented to them for interaction.

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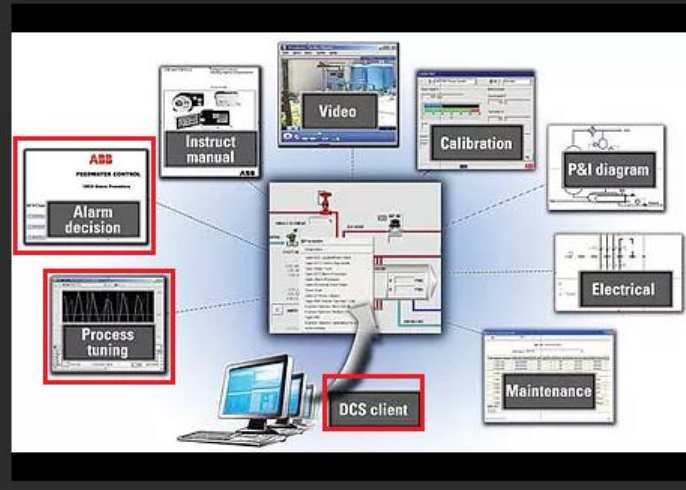
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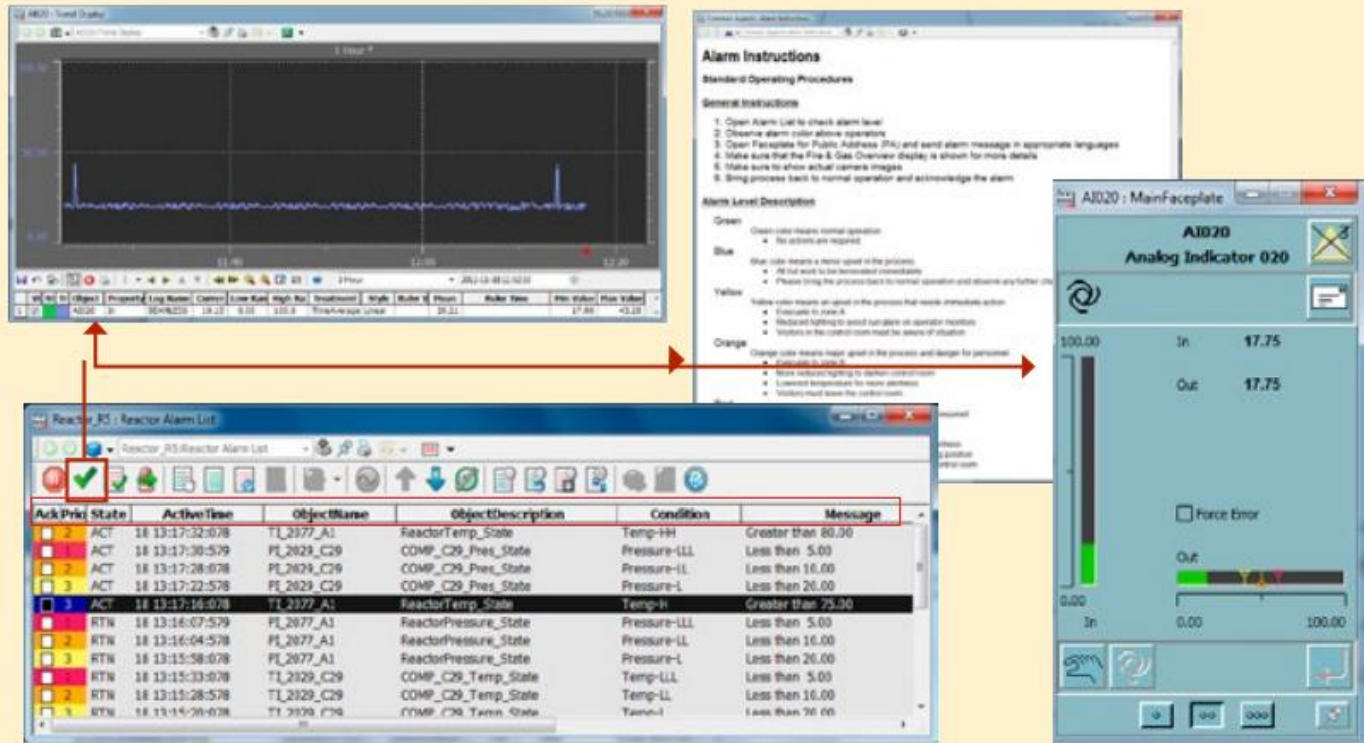
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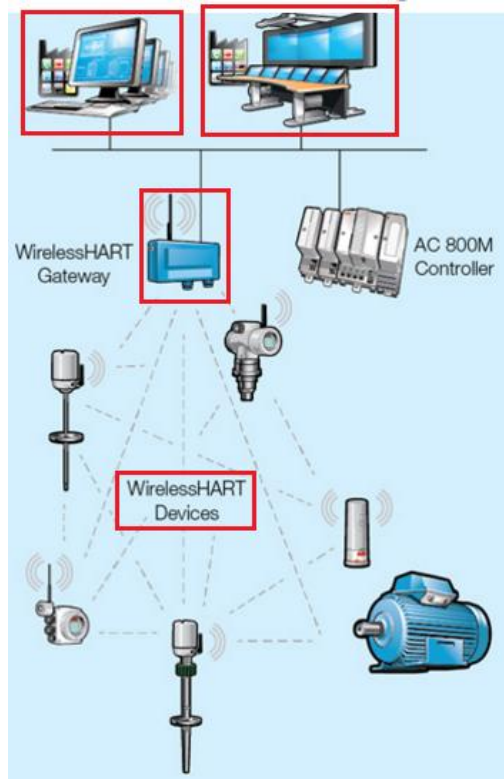
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2. The method as recited in claim 1, wherein transmitting an access request includes transmitting information used

The accused product discloses a method as recited in claim 1, wherein transmitting an access request includes transmitting information used to authenticate an individual user (e.g., login name to login on the website for control purpose).

<p>to authenticate an individual user.</p>	<div data-bbox="441 194 1890 1023"> <p>ABB ICSS 800xA DCS - Distributed Control System</p> <p>Application pictures</p> <p>ABB System cabinets, FG (with yellow labels), Marshaling Cabinets (only black connectors) and some Screen view of the software.</p> <p>The most popular DCS</p> <p>ARC Advisory Group says ABB is number one in distributed control systems</p> <p>ARC Advisory Group has released their Distributed Control Systems Worldwide Outlook report for 2013 in which ABB remains the leading choice of customers for control system services, hardware and software.</p> <p>"For years ABB has cultivated its capability to serve major projects as the Main Automation Contractor", said ARC Senior Analyst Harry Forbes. "The electric power, motor, and drive capabilities across ABB Group augment its process automation expertise, making the firm an attractive choice when capital projects require large or complex electric power systems, especially offshore and sub-sea systems." ABB is well prepared with a broad DCS portfolio including its flagship System</p> <p>800xA Extended Automation platform, Symphony Plus control system, Freelance process control system for small to medium process applications, as well as its prior generation systems.</p> <p>All ABB control systems offer a secure evolution path forward to next generation technologies to protect and enhance the control system investment over its lifetime.</p> <p>http://www.retinaenergy.com.tr/abb-icss-dcs</p> </div>
<p>15. In a system including one or more premises-server computing devices in communication with a number of input and/or output devices, a central</p>	<p>The accused product discloses a system including one or more premises-server computing devices (i.e., the Gateway) in communication with a number of input and/or output devices (e.g., the end sensors deployed), a central communication device (i.e., the server) and at least one client computing device (i.e., any computer device with the software installed in it for remote monitoring/control purpose) in communication with the central communication device, a method for processing device data..</p>

communication device and at least one client computing device in communication with the central communication device, a method for processing device data, the method comprising:



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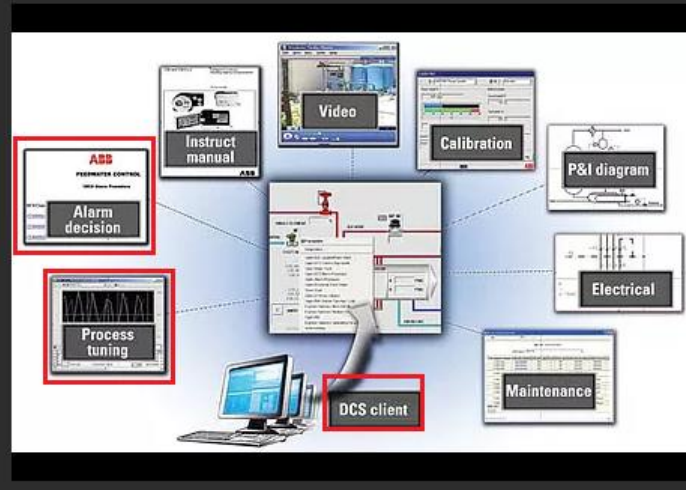
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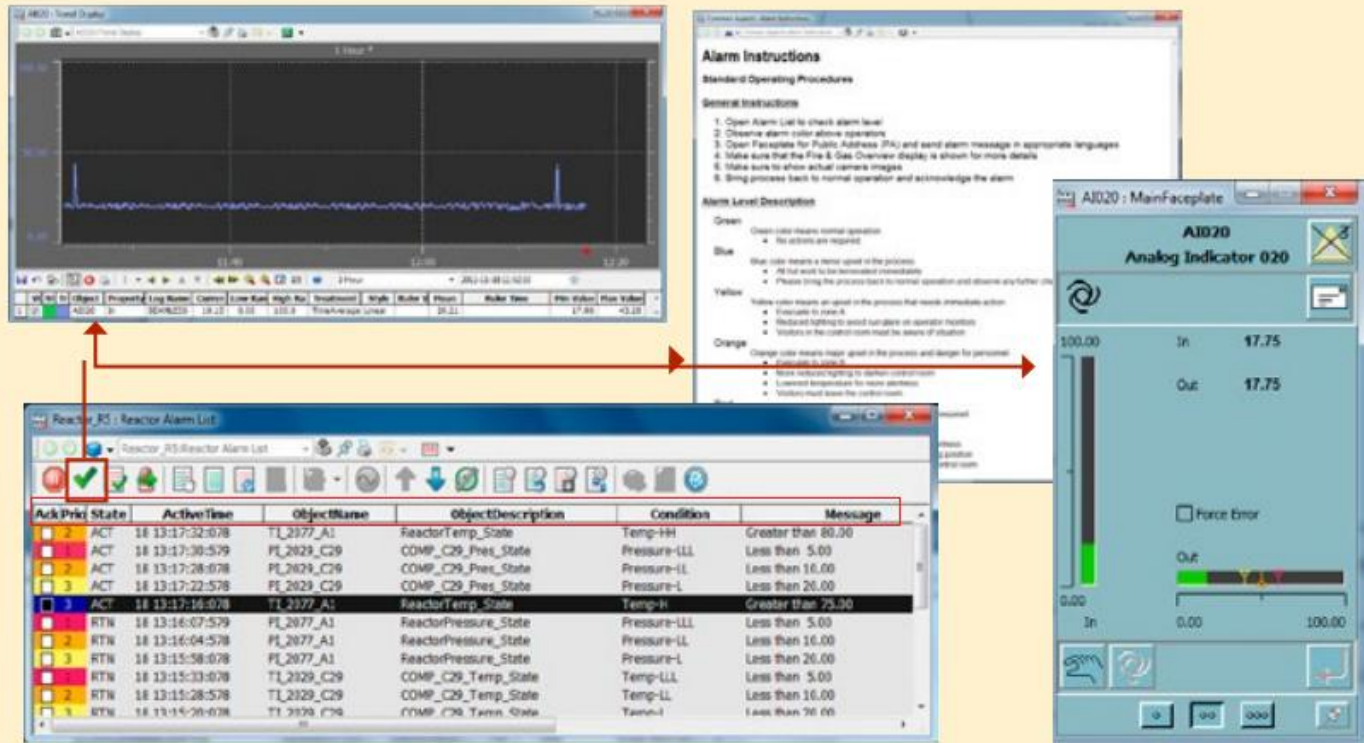
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obtaining an access request from a client computing device, the access request including one or more identification

The accused product discloses obtaining an access request from a client computing device, the access request including one or more identification attributes (e.g., login name etc.) corresponding to the client device.

<p>attributes corresponding to the client device;</p>	<div style="background-color: #333; color: #fff; padding: 10px;"> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="color: #e67e22;"> <h2>ABB ICSS 800xA DCS - Distributed Control System</h2> <h3>Application pictures</h3> <p style="color: #e67e22; font-size: small;">ABB System cabinets, FG (with yellow labels), Marshaling Cabinets (only black connectors) and some Screen view of the software.</p> </div> <div style="text-align: right;">  <p style="font-size: 2em; font-weight: bold; color: #ccc;">Power and productivity for a better world™</p>  </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;">  </div> <div style="width: 50%; padding-left: 10px;"> <h4 style="color: #e67e22;">The most popular DCS</h4> <p style="color: #e67e22; font-size: small;">ARC Advisory Group says ABB is number one in distributed control systems</p> <p style="font-size: x-small; color: #ccc;">ARC Advisory Group has released their Distributed Control Systems Worldwide Outlook report for 2013 in which ABB remains the leading choice of customers for control system services, hardware and software.</p> <p style="font-size: x-small; color: #ccc;">"For years ABB has cultivated its capability to serve major projects as the Main Automation Contractor", said ARC Senior Analyst Harry Forbes. "The electric power, motor, and drive capabilities across ABB Group augment its process automation expertise, making the firm an attractive choice when capital projects require large or complex electric power systems, especially offshore and sub-sea systems." ABB is well prepared with a broad DCS portfolio including its flagship System</p> <p style="font-size: x-small; color: #ccc;">800xA Extended Automation platform, Symphony Plus control system, Freelance process control system for small to medium process applications, as well as its prior generation systems.</p> <p style="font-size: x-small; color: #ccc;">All ABB control systems offer a secure evolution path forward to next generation technologies to protect and enhance the control system investment over its lifetime.</p> </div> </div> <p style="color: #e67e22; font-size: small; margin-top: 10px;">http://www.retinaenergy.com.tr/abb-icss-dcs</p> </div>
<p>generating a list of premises-server computing devices available for communication with the client device, the list of premises-server computing</p>	<p>The accused product discloses generating a list of premises-server computing devices available for communication with the client device, the list of premises-server computing devices corresponding to a set of premises-server computing devices the client device obtains access based upon a processing of the one or more identification attributes.</p>

devices corresponding to a set of premises-server computing devices the client device obtains access based upon a processing of the one or more identification attributes; and

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Application pictures

ABB System cabinets, FG (with yellow labels), Marshaling Cabinets (only black connectors) and some Screen view of the software.



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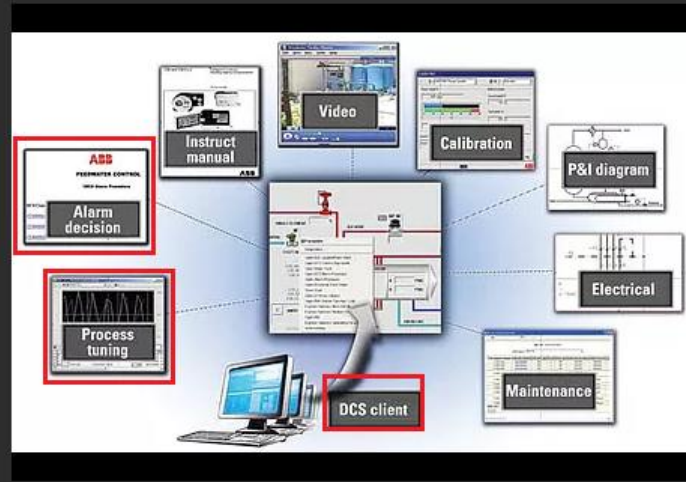
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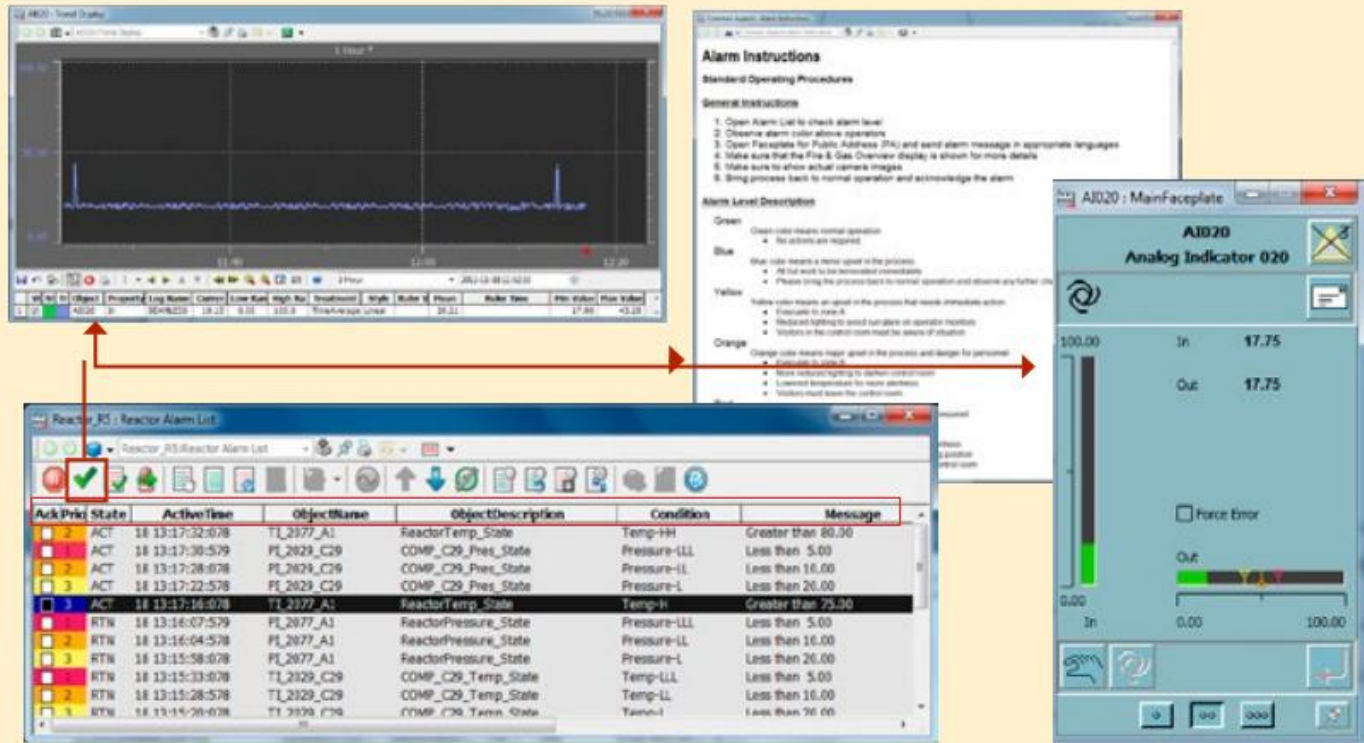
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transmitting the list of premises-server computing devices available for communication with the client device;

The accused product discloses transmitting the list of premises-server computing devices available for communication with the client device.

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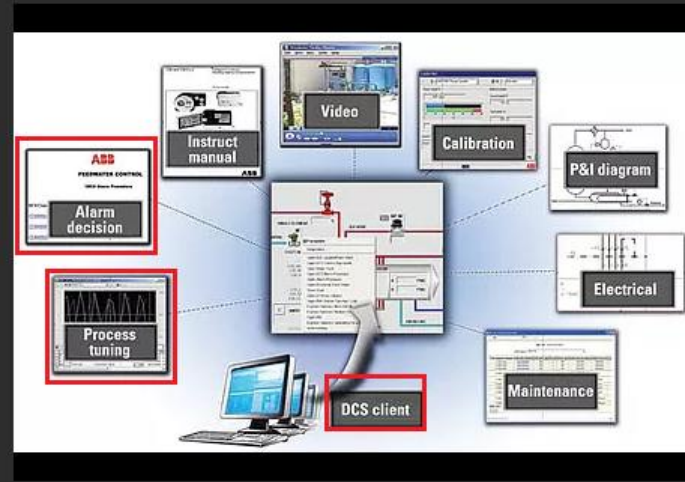
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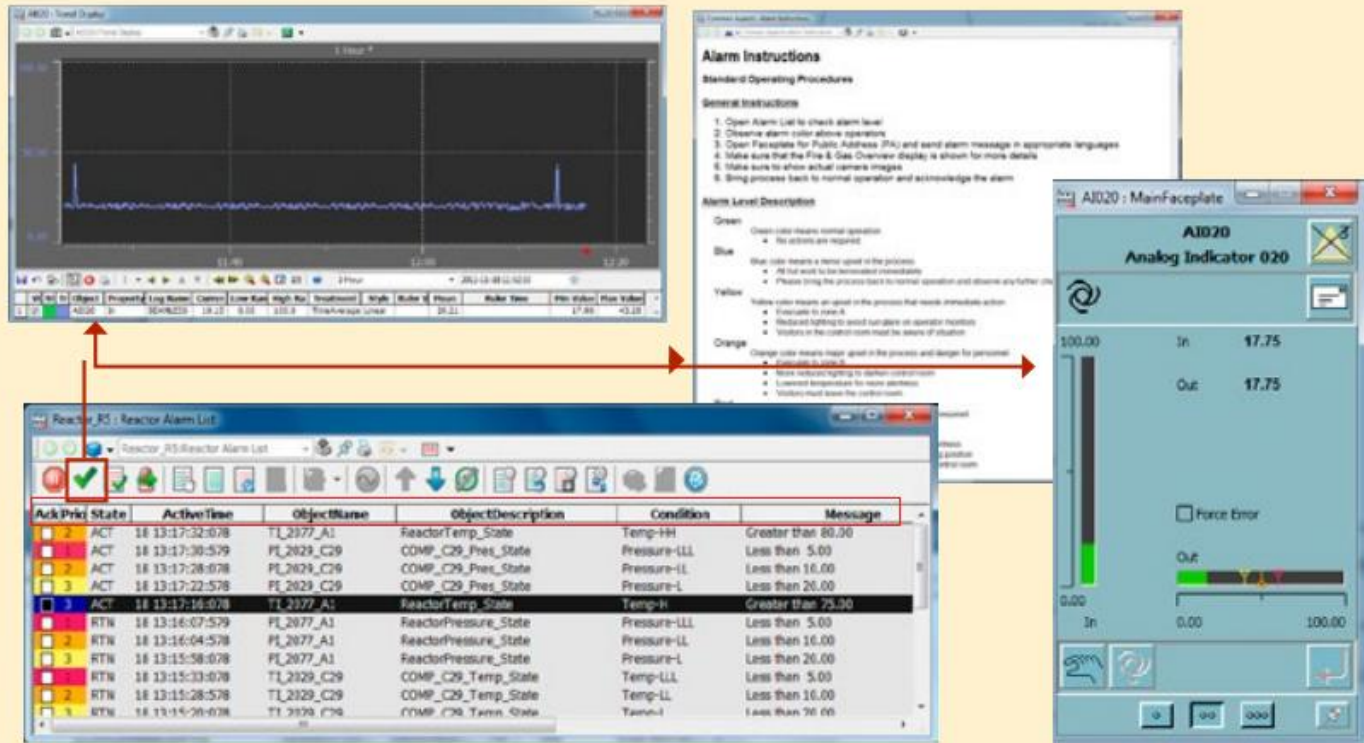
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wherein the client device cannot directly access the premises-server computing device prior to obtaining the list of premises-

The accused product discloses that the client device cannot directly access the premises-server computing device prior to obtaining the list of premises-server computing devices available for communication. The status of the gateways can be accessed only after obtaining their list on the client computing device.

server computing devices available for communication.

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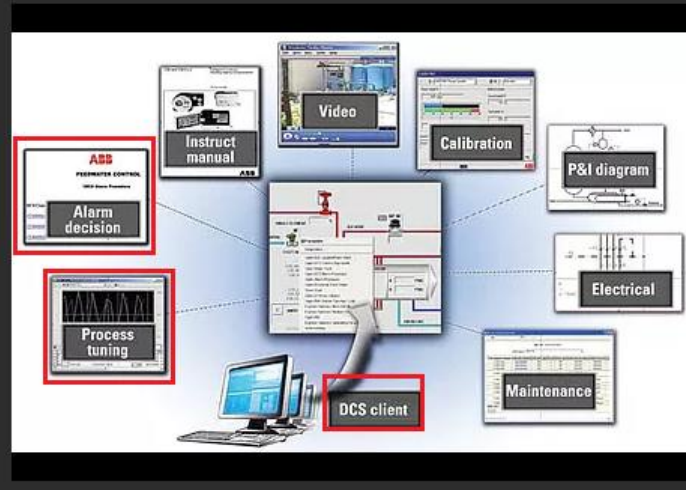
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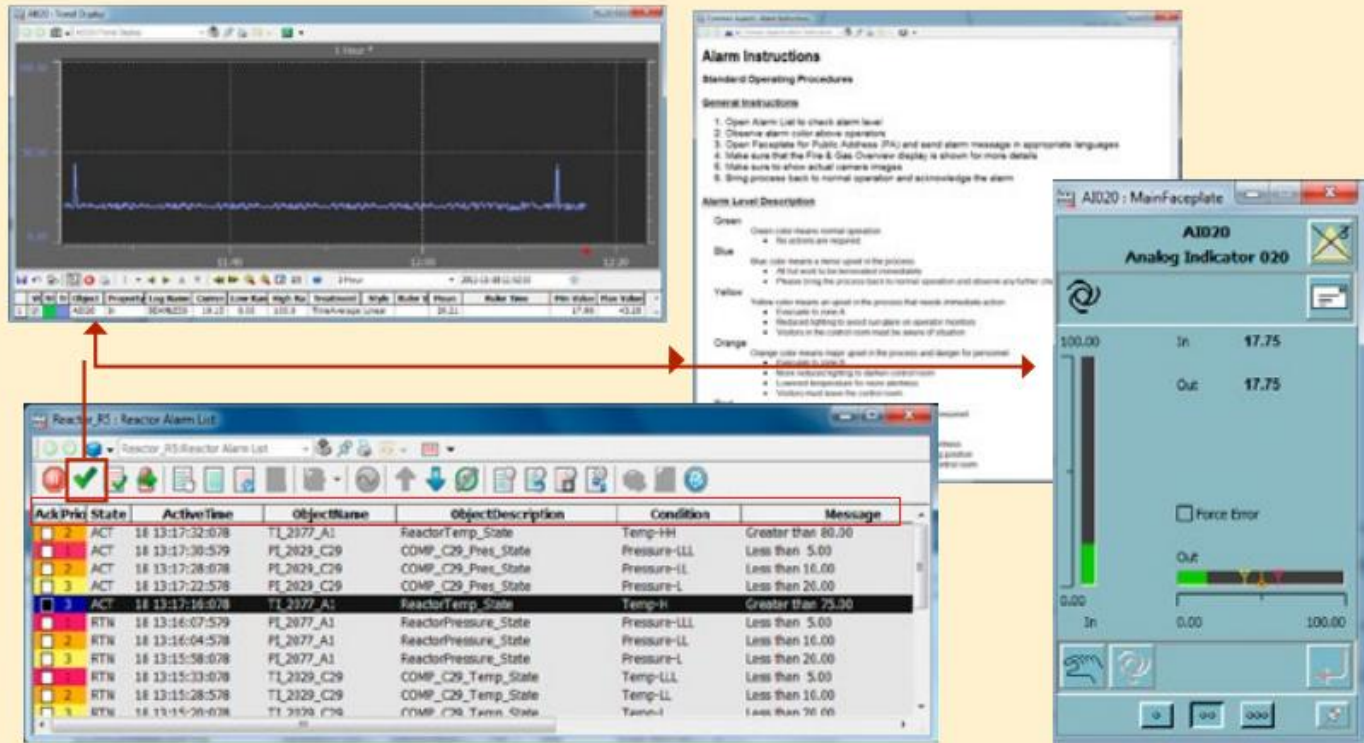
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