

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

REALTIME ADAPTIVE STREAMING LLC,

Plaintiff,

v.

AVAYA INC.

Defendant.

Case

No. 18CV1046

JURY TRIAL DEMANDED

COMPLAINT FOR PATENT INFRINGEMENT

This is an action for patent infringement arising under the Patent Laws of the United States of America, 35 U.S.C. § 1 *et seq.* in which Plaintiff Realtime Adaptive Streaming LLC (“Plaintiff” or “Realtime”) makes the following allegations against Defendant Avaya Inc. (“Defendant” or “Avaya”).

PARTIES

1. Realtime is a Texas limited liability company. Realtime has a place of business at 1828 E.S.E. Loop 323, Tyler, Texas 75701. Realtime has researched and developed specific solutions for data compression. As recognition of its innovations rooted in this technological field, Realtime holds multiple United States patents and pending patent applications.

2. On information and belief, Defendant Avaya is a Delaware corporation with its principal place of business in Santa Clara, California. Avaya has regular and established places of business in this District, including, e.g., at 8744 Lucent Boulevard, Highlands Ranch, CO 80129 and 12121 Grant Street, Thornton, CO 80241. Avaya offers its products and/or services, including those accused herein of infringement, to customers and potential customers located in Colorado and in this District. Avaya may be served with process through its registered agent for service at The Corporation Company, 7700

E. Arapahoe Rd. Suite 220, Centennial, CO 80112-1268.

JURISDICTION AND VENUE

3. This action arises under the patent laws of the United States, Title 35 of the United States Code. This Court has original subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1338(a).

4. This Court has personal jurisdiction over Defendant Avaya in this action because Avaya has committed acts within the District of Colorado giving rise to this action and has established minimum contacts with this forum such that the exercise of jurisdiction over Avaya would not offend traditional notions of fair play and substantial justice. Defendant Avaya has committed and continues to commit acts of infringement in this District by, among other things, offering to sell and selling products and/or services that infringe the asserted patents.

5. Venue is proper in this district, e.g., under 28 U.S.C. § 1400(b). Avaya is registered to do business in Colorado, and upon information and belief, Avaya has transacted business in the District of Colorado and has committed acts of direct and indirect infringement in the District of Colorado. Avaya has regular and established places of business in this District, as set forth above.

THE PATENTS-IN-SUIT

6. This action arises under 35 U.S.C. § 271 for Avaya's infringement of Realtime's United States Patent Nos. 7,386,046 (the "'046 patent"), 8,934,535 (the "'535 patent"), and 9,769,477 (the "'477 patent") (the "Patents-In-Suit").

7. The '046 patent, titled "Bandwidth Sensitive Data Compression and Decompression," was duly and properly issued by the United States Patent and Trademark Office ("USPTO") on June 10, 2008. A copy of the '046 patent is attached hereto as Exhibit A. Realtime is the owner and assignee of the '046 patent and holds the

right to sue for and recover all damages for infringement thereof, including past infringement.

8. The '535 patent, titled "Systems and methods for video and audio data storage and distribution," was duly and properly issued by the USPTO on January 13, 2015. A copy of the '535 patent is attached hereto as Exhibit B. Realtime is the owner and assignee of the '535 patent and holds the right to sue for and recover all damages for infringement thereof, including past infringement.

9. The '477 patent, titled "Video data compression systems," was duly and properly issued by the USPTO on September 19, 2017. A copy of the '477 patent is attached hereto as Exhibit C. Realtime is the owner and assignee of the '477 patent and holds the right to sue for and recover all damages for infringement thereof, including past infringement.

COUNT I

INFRINGEMENT OF U.S. PATENT NO. 7,386,046

10. Plaintiff re-alleges and incorporates by reference the foregoing paragraphs, as if fully set forth herein.

11. On information and belief, Avaya has made, used, offered for sale, sold and/or imported into the United States Avaya products that infringe the '046 patent, and continues to do so. By way of illustrative example, these infringing products include, without limitation, Avaya's streaming products/services e.g., Avaya Video Collaboration Solution, H100 Series Video Collaboration Stations, Avaya Scopia Streaming & Recording e.g., Avaya Scopia XT5000 Room System, Avaya Scopia XT7100 Room System, Avaya Equinox Conferencing, and all versions and variations

thereof since the issuance of the '046 patent (“Accused Instrumentalities”).

12. On information and belief, Avaya has directly infringed and continues to infringe the '046 patent, for example, through its sale, offer for sale, importation, use and testing of the Accused Instrumentalities, which practices the system claimed by Claim 40 of the '046 patent, namely, a system, comprising: a data compression system for compressing and decompressing data input; a plurality of compression routines selectively utilized by the data compression system, wherein a first one of the plurality of compression routines includes a first compression algorithm and a second one of the plurality of compression routines includes a second compression algorithm; and a controller for tracking throughput and generating a control signal to select a compression routine based on the throughput, wherein said tracking throughput comprises tracking a number of pending access requests to a storage device; and wherein when the controller determines that the throughput falls below a predetermined throughput threshold, the controller commands the data compression engine to use one of the plurality of compression routines to provide a faster rate of compression so as to increase the throughput. Upon information and belief, Avaya uses the Accused Instrumentalities to practice infringing methods for its own internal non-testing business purposes, while testing the Accused Instrumentalities, and while providing technical support and repair services for the Accused Instrumentalities to Avaya's customers.

13. For example, the Accused Instrumentalities utilize H.264 video compression standard, as well as Avaya's HTTP Live Streaming (HLS) technology.

Media Handling

- Audio, video, H.239 content support
- Video resolution: up to 1080p30
- Bandwidth: 256 Kbps to 2 Mbps
- Format: MP4
- Audio codecs: G.711, AAC-LC
- Video codecs: H.264
- H.239 content: H.263, H.264
- Protocols: HTTP Live Streaming (HLS), Advanced Systems Format (ASF), Microsoft Media Server (MMS)

See e.g., Avaya Scopia Streaming & Recording. HLS “protocol specification does not limit the encoder selection. However, the current Apple implementation should interoperate with encoders that produce MPEG-2 Transport Streams containing H.264 video and AAC audio (HE-AAC or AAC-LC).” *See, e.g.*, <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>. As another example, HLS specification also states: “HTTP Live Streaming supports switching between streams dynamically if the available bandwidth changes. The client software uses heuristics to determine appropriate times to switch between the alternates. Currently, these heuristics are based on recent trends in measured network throughput.” <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/UsingHTTPLiveStreaming/UsingHTTPLiveStreaming.html>. Moreover, “The current implementation of the client observes the effective bandwidth while playing a stream. If a higher-quality stream is available and the bandwidth appears sufficient to support it, the client switches to a higher quality. If a lower-quality stream is available and the current bandwidth appears insufficient to support the current stream, the client switches to a lower

quality.” <https://developer.Apple.com/library/content/documentation/NetworkingInterne/t/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>.

14. The Accused Instrumentalities include a data compression system for compressing and decompressing data input. For example, Avaya’s streaming products/services utilizes H.264 compression standard. As another example, “[T]he Avaya Scopia XT5000 provides exceptional video, audio, and data quality along with ease-of-use designed to make users successful. The XT5000 incorporates dual 1080p 60fps live video and content, HD audio, simultaneous H.264 High Profile and Scalable Video Coding (SVC), and multi-party calling.” *See e.g.*, <https://www.avaya.com/en/documents/scopia-xt5000-room-system-uc7415.pdf?t=0>

15. The Accused Instrumentalities include a plurality of compression routines selectively utilized by the data compression system, wherein a first one of the plurality of compression routines includes a first compression algorithm and a second one of the plurality of compression routines includes a second compression algorithm. For example, the Accused Instrumentalities utilize H.264, which include, e.g., Context-Adaptive Variable Length Coding (“CAVLC”) entropy encoder and Context-Adaptive Binary Arithmetic Coding (“CABAC”) entropy encoder. H.264 provides for multiple different ranges of parameters (e.g., bitrate, resolution parameters, etc.), each included in the “profiles” and “levels” defined by the H.264 standard. *See* http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:

4. H.264 profiles and levels

The joint group involved in defining H.264 focused on creating a simple and clean solution, limiting options and features to a minimum. An important aspect of the standard, as with other video standards, is providing the capabilities in profiles (sets of algorithmic features) and levels (performance classes) that optimally support popular productions and common formats.

H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what feature set the encoder may use and limits the decoder implementation complexity.

Network cameras and video encoders will most likely use a profile called the baseline profile, which is intended primarily for applications with limited computing resources. The baseline profile is the most suitable given the available performance in a real-time encoder that is embedded in a network video product. The profile also enables low latency, which is an important requirement of surveillance video and also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements. Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.

See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) Toggle additional details
	Luma samples/s	Macroblocks/s	Luma samples	Macroblocks	Baseline, Extended and Main Profiles	High Profile	High 10 Profile	
1	380,160	1,485	25,344	99	64	80	192	176x144@15.0 (4)
1b	380,160	1,485	25,344	99	128	160	384	176x144@15.0 (4)
1.1	768,000	3,000	101,376	396	192	240	576	352x288@7.5 (2)
1.2	1,536,000	6,000	101,376	396	384	480	1,152	352x288@15.2 (6)
1.3	3,041,280	11,880	101,376	396	768	960	2,304	352x288@30.0 (6)
2	3,041,280	11,880	101,376	396	2,000	2,500	6,000	352x288@30.0 (6)
2.1	5,068,800	19,800	202,752	792	4,000	5,000	12,000	352x576@25.0 (6)
2.2	5,184,000	20,250	414,720	1,620	4,000	5,000	12,000	720x576@12.5 (5)
3	10,368,000	40,500	414,720	1,620	10,000	12,500	30,000	720x576@25.0 (5)
3.1	27,648,000	108,000	921,600	3,600	14,000	17,500	42,000	1,280x720@30.0 (5)
3.2	55,296,000	216,000	1,310,720	5,120	20,000	25,000	60,000	1,280x1,024@42.2 (4)
4	62,914,560	245,760	2,097,152	8,192	20,000	25,000	60,000	2,048x1,024@30.0 (4)
4.1	62,914,560	245,760	2,097,152	8,192	50,000	62,500	150,000	2,048x1,024@30.0 (4)
4.2	133,693,440	522,240	2,228,224	8,704	50,000	62,500	150,000	2,048x1,080@60.0 (4)
5	150,994,944	589,824	5,652,480	22,080	135,000	168,750	405,000	3,672x1,536@26.7 (5)
5.1	251,658,240	983,040	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@26.7 (5)
5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

16. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame), bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture or

D frames, or DC direct coded pictures which are used only in MPEG-1 video). See https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for descriptions of D frames). Thus, at least a portion of a video data block would also make up a GOP structure and could also contain I frames, P frames, B frames and/or D frames. The GOP structure also reflects the size of a video data block, and the GOP structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max video bitrate and resolution parameters) or even be considered as a parameter by itself.

17. Based on the bitrate and/or resolution parameter identified (e.g. bitrate, max video bitrate, resolution, GOP structure or frame type within a GOP structure), a H.264-compliant system such as the Accused Instrumentalities would determine which profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that parameter, then select between at least two asymmetric compressors. If baseline or extended is the corresponding profile, then the system will select a Context-Adaptive Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the corresponding profile, then the system will select a Context-Adaptive Binary Arithmetic Coding (“CABAC”) entropy encoder. See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>

	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at 7:

The following table summarizes the two major types of entropy coding: Variable Length Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers superior coding efficiency over VLC by adapting to the changing probability distribution of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of CABAC.

H.264 Entropy Coding – Comparison of Approaches

Characteristics	Variable Length Coding (VLC)	Context Adaptive Binary Arithmetic Coding (CABAC)
• Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
• Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
• Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
• Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine the correct decoder for the corresponding encoder. As shown below, if the flag = 0, then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC must have been selected as the encoder. See https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items (Rec. ITU-T H.264 (04/2013)) at 80:

entropy_coding_mode_flag selects the entropy decoding method to be applied for the syntax elements for which two descriptors appear in the syntax tables as follows:

- If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table is applied (CABAC, see clause 9.3).

18. After its selection, the asymmetric compressor (CAVLC or CABAC) will compress the video data to provide various compressed data blocks, which can be organized in a GOP structure (see above). See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>:

Entropy Coding

For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic coding (CABAC) which are complex techniques to losslessly compress syntax elements in the video stream knowing the probabilities of syntax elements in a given context. The use of CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total processing power to be accomplished.

See <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf> at 13:

Typical compression ratios to maintain excellent quality are:

- 10:1 for general images using JPEG
- 30:1 for general video using H.263 and MPEG-2
- 60:1 for general video using H.264 and WMV9

See http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at 2:

Most visual communication systems today use Baseline Profile. Baseline is the simplest H.264 profile and defines, for example, zigzag scanning of the picture and using 4:2:0 (YUV video formats) chrominance sampling. In Baseline Profile, the picture is split in blocks consisting of 4x4 pixels, and each block is processed separately. Another important element of the Baseline Profile is the use of Universal Variable Length Coding (UVLC) and Context Adaptive Variable Length Coding (CAVLC) entropy coding techniques.

The Extended and Main Profiles includes the functionality of the Baseline Profile and add improvements to the predictions algorithms. Since transmitting every single frame (think 30 frames per second for good quality video) is not feasible if you are trying to reduce the bit rate 1000-2000 times, temporal and motion prediction are heavily used in H.264, and allow transmitting only the difference between one frame and the previous frames. The result is spectacular efficiency gain, especially for scenes with little change and motion.

The High Profile is the most powerful profile in H.264, and it allows most efficient coding of video. For example, large coding gain achieved through the use of Context Adaptive Binary Arithmetic Coding (CABAC) encoding which is more efficient than the UVLC/CAVLC used in Baseline Profile.

The High Profile also uses adaptive transform that decides on the fly if 4x4 or 8x8-pixel blocks should be used. For example, 4x4 blocks are used for the parts of the picture that are dense with detail, while parts that have little detail are transformed using 8x8 blocks.

19. The Accused Instrumentalities includes a controller for tracking throughput and generating a control signal to select a compression routine based on the throughput, wherein said tracking throughput comprises tracking a number of pending access requests to a storage device, and a controller where, when the controller determines that the throughput falls below a predetermined throughput threshold, the controller commands the data compression engine to use one of the plurality of compression routines to provide a faster rate of compression so as to increase the

throughput. For example, “HTTP Live Streaming supports switching between streams dynamically if the available bandwidth changes. The client software uses heuristics to determine appropriate times to switch between the alternates. Currently, these heuristics are based on recent trends in measured network throughput.” <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/UsingHTTPLiveStreaming/UsingHTTPLiveStreaming.html>. “The current implementation of the client observes the effective bandwidth while playing a stream. If a higher-quality stream is available and the bandwidth appears sufficient to support it, the client switches to a higher quality. If a lower-quality stream is available and the current bandwidth appears insufficient to support the current stream, the client switches to a lower quality.” <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>. The controller in the Accused Instrumentalities decides which compression (e.g., CABAC, CAVLC, etc.) to use at a point in time based on parameters, for example, e.g., current or anticipated throughput. For example, when a low bandwidth is present, the Accused Instrumentalities select lower quality stream using a particular compression technique. As another example, when a high bandwidth is present, the Accused Instrumentalities select higher quality stream using another particular compression technique. For example, the Accused Instrumentalities’ use of HTTP Live Streaming is directed to this selection. As another example, the Accused Instrumentalities’ use of different “Profiles” of H.264 is directed to selecting lower quality stream using a particular compression technique (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and selecting higher quality stream using a higher compression technique (e.g., CABAC or CAVLC, etc.) for higher anticipated bandwidth situations.

20. On information and belief, Avaya also directly infringes and continues to infringe other claims of the ‘046 patent.

21. On information and belief, all of the Accused Instrumentalities perform the claimed methods in substantially the same way, e.g., in the manner specified in the H.264 standard.

22. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '046 patent.

23. On information and belief, Avaya has had knowledge of the '046 patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Avaya knew of the '046 patent and knew of its infringement, including by way of this lawsuit. By the time of trial, Avaya will have known and intended (since receiving such notice) that its continued actions would actively induce and contribute to the infringement of the claims of the '046 patent.

24. Upon information and belief, Avaya's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and technical support to users of the Accused Instrumentalities, including, e.g., through training, demonstrations, brochures, installation and user guides, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe the '046 patent. For example, Avaya's streaming products/services utilizes H.264 compression standard. As another example, "[T]he Avaya Scopia XT5000 provides exceptional video, audio, and data quality along with ease-of-use designed to make users successful. The XT5000 incorporates dual 1080p 60fps live video and content, HD audio, simultaneous H.264 High Profile and Scalable Video Coding (SVC), and multi-party calling." *See e.g.*, <https://www.avaya.com/en/documents/scopia-xt5000-room-system-uc7415.pdf?t=0> Moreover, Avaya discloses the use on HTTP Live Streaming protocol in its product data sheets.

Media Handling

- Audio, video, H.239 content support
- Video resolution: up to 1080p30
- Bandwidth: 256 Kbps to 2 Mbps
- Format: MP4
- Audio codecs: G.711, AAC-LC
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- H.239 content: H.263, H.264
- Protocols: HTTP Live Streaming (HLS), Advanced Systems Format (ASF), Microsoft Media Server (MMS)

See e.g., Avaya Scopia Streaming & Recording. For similar reasons, Avaya also induces its customers to use the Accused Instrumentalities to infringe other claims of the '046 patent. Avaya specifically intended and was aware that these normal and customary activities would infringe the '046 patent. Avaya performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the '046 patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. For example, since filing of this action, Avaya knows that the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—in the Accused Instrumentalities infringes the patent but nevertheless continues to promote HTTP Live Streaming to customers. The only reasonable inference is that Avaya specifically intends the users to infringe the patent. On information and belief, Avaya engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Avaya has induced and continue to induce users of the Accused Instrumentalities to use the Accused Instrumentalities in their ordinary and customary way to infringe the '046 patent, knowing that such use constitutes infringement of the '046 patent. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, inducing infringement of the '046 patent, in violation of 35 U.S.C. § 271(b).

25. Avaya has also infringed, and continues to infringe, claims of the '046 patent by offering to commercially distribute, commercially distributing, making, and/or importing the Accused Instrumentalities, which are used in practicing the process, or using the systems, of the '046 patent, and constitute a material part of the invention. Avaya knows the components in the Accused Instrumentalities to be especially made or especially adapted for use in infringement of the '046 patent, not a staple article, and not a commodity of commerce suitable for substantial noninfringing use. For example, the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—infringes the patent, and as such, is especially adapted for use in infringement. Moreover, there is no substantial noninfringing use, as HTTP Live Streaming is directed to choosing different compression techniques based on current or anticipated throughput. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, contributorily infringing the '046 patent, in violation of 35 U.S.C. § 271(c).

26. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Avaya has injured Realtime and is liable to Realtime for infringement of the '046 patent pursuant to 35 U.S.C. § 271.

27. As a result of Avaya's infringement of the '046 patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Avaya's infringement, but in no event less than a reasonable royalty for the use made of the invention by Avaya, together with interest and costs as fixed by the Court.

COUNT II

INFRINGEMENT OF U.S. PATENT NO. 8,934,535

28. Plaintiff re-alleges and incorporates by reference the foregoing paragraphs, as if fully set forth herein.

29. On information and belief, Avaya has made, used, offered for sale, sold and/or imported into the United States Avaya products that infringe the '535 patent, and continues to do so. By way of illustrative example, these infringing products include, without limitation, Avaya's streaming products/services e.g., Avaya Video Collaboration Solution, H100 Series Video Collaboration Stations, Avaya Scopia Streaming & Recording e.g., Avaya Scopia XT5000 Room System, Avaya Scopia XT7100 Room System, Avaya Equinox Conferencing, and all versions and variations thereof since the issuance of the '535 patent ("Accused Instrumentalities").

30. On information and belief, Avaya has directly infringed and continues to infringe the '535 patent, for example, through its own use and testing of the Accused Instrumentalities, which when used, practices the method claimed by Claim 15 of the '535 patent, namely, a method, comprising: determining a parameter of at least a portion of a data block; selecting one or more asymmetric compressors from among a plurality of compressors based upon the determined parameter or attribute; compressing the at least the portion of the data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks; and storing at least a portion of the one or more compressed data blocks. Upon information and belief, Avaya uses the Accused Instrumentalities to practice infringing methods for its own internal non-testing business purposes, while testing the Accused Instrumentalities, and while providing technical support and repair services for the Accused Instrumentalities to Avaya's customers.

31. For example, the Accused Instrumentalities utilize H.264 video compression standard, as well as Avaya's HTTP Live Streaming (HLS) technology.

Media Handling

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See e.g., Avaya Scopia Streaming & Recording. HLS “protocol specification does not limit the encoder selection. However, the current Apple implementation should interoperate with encoders that produce MPEG-2 Transport Streams containing H.264 video and AAC audio (HE-AAC or AAC-LC).” *See, e.g.*, <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>. As another example, HLS specification also states: “HTTP Live Streaming supports switching between streams dynamically if the available bandwidth changes. The client software uses heuristics to determine appropriate times to switch between the alternates. Currently, these heuristics are based on recent trends in measured network throughput.” <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/UsingHTTPLiveStreaming/UsingHTTPLiveStreaming.html>. Moreover, “The current implementation of the client observes the effective bandwidth while playing a stream. If a higher-quality stream is available and the bandwidth appears sufficient to support it, the client switches to a higher quality. If a lower-quality stream is available and the current bandwidth appears insufficient to support the current stream, the client switches to a lower

quality.” <https://developer.Apple.com/library/content/documentation/NetworkingInterne/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>.

32. The Accused Instrumentalities determine a parameter of at least a portion of a video data block. As shown below, examples of such parameters include bitrate (or max video bitrate) and resolution parameters. Different parameters correspond with different end applications. H.264 provides for multiple different ranges of such parameters, each included in the “profiles” and “levels” defined by the H.264 standard. See http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:

4. H.264 profiles and levels

The joint group involved in defining H.264 focused on creating a simple and clean solution, limiting options and features to a minimum. An important aspect of the standard, as with other video standards, is providing the capabilities in profiles (sets of algorithmic features) and levels (performance classes) that optimally support popular productions and common formats.

H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what feature set the encoder may use and limits the decoder implementation complexity.

Network cameras and video encoders will most likely use a profile called the baseline profile, which is intended primarily for applications with limited computing resources. The baseline profile is the most suitable given the available performance in a real-time encoder that is embedded in a network video product. The profile also enables low latency, which is an important requirement of surveillance video and also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements. Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.

See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) Toggle additional details
	Luma samples/s	Macroblocks/s	Luma samples	Macroblocks	Baseline, Extended and Main Profiles	High Profile	High 10 Profile	
1	380,160	1,485	25,344	99	64	80	192	176x144@15.0 (4)
1b	380,160	1,485	25,344	99	128	160	384	176x144@15.0 (4)
1.1	768,000	3,000	101,376	396	192	240	576	352x288@7.5 (2)
1.2	1,536,000	6,000	101,376	396	384	480	1,152	352x288@15.2 (6)
1.3	3,041,280	11,880	101,376	396	768	960	2,304	352x288@30.0 (6)
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3	10,368,000	40,500	414,720	1,620	10,000	12,500	30,000	720x576@25.0 (5)
3.1	27,648,000	108,000	921,600	3,600	14,000	17,500	42,000	1,280x720@30.0 (5)
3.2	55,296,000	216,000	1,310,720	5,120	20,000	25,000	60,000	1,280x1,024@42.2 (4)
4	62,914,560	245,760	2,097,152	8,192	20,000	25,000	60,000	2,048x1,024@30.0 (4)
4.1	62,914,560	245,760	2,097,152	8,192	50,000	62,500	150,000	2,048x1,024@30.0 (4)
4.2	133,693,440	522,240	2,228,224	8,704	50,000	62,500	150,000	2,048x1,080@60.0 (4)
5	150,994,944	589,824	5,652,480	22,080	135,000	168,750	405,000	3,672x1,536@26.7 (5)
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5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

33. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame), bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture or D frames, or DC direct coded pictures which are used only in MPEG-1 video). See https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for descriptions of D frames). Thus, at least a portion of a video data block would also make up a GOP structure and could also contain I frames, P frames, B frames and/or D frames. The GOP structure also reflects the size of a video data block, and the GOP structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max video bitrate and resolution parameters) or even be considered as a parameter by itself.

34. Based on the bitrate and/or resolution parameter identified (e.g. bitrate, max video bitrate, resolution, GOP structure or frame type within a GOP structure), any

H.264-compliant system such as the Accused Instrumentalities would determine which profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that parameter, then select between at least two asymmetric compressors. If baseline or extended is the corresponding profile, then the system will select a Context-Adaptive Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the corresponding profile, then the system will select a Context-Adaptive Binary Arithmetic Coding (“CABAC”) entropy encoder. Both encoders are asymmetric compressors because it takes a longer period of time for them to compress data than to decompress data.

See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>

	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at 7:

The following table summarizes the two major types of entropy coding: Variable Length Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers superior coding efficiency over VLC by adapting to the changing probability distribution of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of CABAC.

H.264 Entropy Coding – Comparison of Approaches

Characteristics	Variable Length Coding (VLC)	Context Adaptive Binary Arithmetic Coding(CABAC)
• Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
• Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
• Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
• Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine the correct decoder for the corresponding encoder. As shown below, if the flag = 0, then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC must have been selected as the encoder. See https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items (Rec. ITU-T H.264 (04/2013)) at 80:

entropy_coding_mode_flag selects the entropy decoding method to be applied for the syntax elements for which two descriptors appear in the syntax tables as follows:

- If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table is applied (CABAC, see clause 9.3).

35. The controller in the Accused Instrumentalities decides which compression (e.g., CABAC, CAVLC, etc.) to use at a point in time based on parameters, for example, e.g., current or anticipated throughput. For example, when a low bandwidth is present, the Accused Instrumentalities select lower quality stream using a particular compression technique. As another example, when a high bandwidth is present, the Accused Instrumentalities select higher quality stream using another particular

compression technique. For example, the Accused Instrumentalities' use of HTTP Live Streaming is directed to this selection. As another example, the Accused Instrumentalities' use of different "Profiles" of H.264 is directed to selecting lower quality stream using a particular compression technique (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and selecting higher quality stream using a higher compression technique (e.g., CABAC or CAVLC, etc.) for higher anticipated bandwidth situations.

36. The Accused Instrumentalities compress the at least the portion of the data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks, which can be organized in a GOP structure (see above). After its selection, the asymmetric compressor (CAVLC or CABAC) will compress the video data to provide various compressed data blocks, which can also be organized in a GOP structure. See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>:

Entropy Coding

For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic coding (CABAC) which are complex techniques to losslessly compress syntax elements in the video stream knowing the probabilities of syntax elements in a given context. The use of CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total processing power to be accomplished.

See <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf> at 13:

Typical compression ratios to maintain excellent quality are:

- 10:1 for general images using JPEG
- 30:1 for general video using H.263 and MPEG-2
- 60:1 for general video using H.264 and WMV9

See http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at 2:

Most visual communication systems today use Baseline Profile. Baseline is the simplest H.264 profile and defines, for example, zigzag scanning of the picture and using 4:2:0 (YUV video formats) chrominance sampling. In Baseline Profile, the picture is split in blocks consisting of 4x4 pixels, and each block is processed separately. Another important element of the Baseline Profile is the use of Universal Variable Length Coding (UVLC) and Context Adaptive Variable Length Coding (CAVLC) entropy coding techniques.

The Extended and Main Profiles includes the functionality of the Baseline Profile and add improvements to the predictions algorithms. Since transmitting every single frame (think 30 frames per second for good quality video) is not feasible if you are trying to reduce the bit rate 1000-2000 times, temporal and motion prediction are heavily used in H.264, and allow transmitting only the difference between one frame and the previous frames. The result is spectacular efficiency gain, especially for scenes with little change and motion.

The High Profile is the most powerful profile in H.264, and it allows most efficient coding of video. For example, large coding gain achieved through the use of Context Adaptive Binary Arithmetic Coding (CABAC) encoding which is more efficient than the UVLC/CAVLC used in Baseline Profile.

The High Profile also uses adaptive transform that decides on the fly if 4x4 or 8x8-pixel blocks should be used. For example, 4x4 blocks are used for the parts of the picture that are dense with detail, while parts that have little detail are transformed using 8x8 blocks.

37. On information and belief, the Accused Instrumentalities store at least a portion of the one or more compressed data blocks in buffers, hard disk, or other forms of memory/storage.

38. On information and belief, Avaya also directly infringes and continues to infringe other claims of the '535 patent.

39. On information and belief, all of the Accused Instrumentalities perform the claimed methods in substantially the same way, e.g., in the manner specified in the

H.264 standard.

40. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '535 patent.

41. On information and belief, Avaya has had knowledge of the '535 patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Avaya knew of the '535 patent and knew of its infringement, including by way of this lawsuit. By the time of trial, Avaya will have known and intended (since receiving such notice) that its continued actions would actively induce and contribute to the infringement of the claims of the '535 patent.

42. Upon information and belief, Avaya's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and technical support to users of the Accused Instrumentalities, including, e.g., through training, demonstrations, brochures, installation and user guides, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe the '535 patent by practicing a method, comprising: determining a parameter of at least a portion of a data block; selecting one or more asymmetric compressors from among a plurality of compressors based upon the determined parameter or attribute; compressing the at least the portion of the data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks; and storing at least a portion of the one or more compressed data blocks. For example, Avaya's streaming products/services utilizes H.264 compression standard. As another example, "[T]he Avaya Scopia XT5000 provides exceptional video, audio, and data quality along with ease-of-use designed to make users successful. The XT5000 incorporates dual 1080p 60fps live video and content, HD audio, simultaneous H.264 High Profile and Scalable Video Coding (SVC), and multi-party calling." *See e.g.*, <https://www.avaya.com/en/documents/scopia-xt5000-room-system->

[uc7415.pdf?t=0](#). Moreover, Avaya discloses the use on HTTP Live Streaming protocol in its product data sheets.

Media Handling

- Audio, video, H.239 content support
- Video resolution: up to 1080p30
- Bandwidth: 256 Kbps to 2 Mbps
- Format: MP4
- Audio codecs: G.711, AAC-LC
- Video codecs: H.264
- H.239 content: H.263, H.264
- Protocols: HTTP Live Streaming (HLS), Advanced Systems Format (ASF), Microsoft Media Server (MMS)

See e.g., Avaya Scopia Streaming & Recording. For similar reasons, Avaya also induces its customers to use the Accused Instrumentalities to infringe other claims of the ‘535 patent. Avaya specifically intended and was aware that these normal and customary activities would infringe the ‘535 patent. Avaya performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the ‘535 patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. For example, since filing of this action, Avaya knows that the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—in the Accused Instrumentalities infringes the patent but nevertheless continues to promote HTTP Live Streaming to customers. The only reasonable inference is that Avaya specifically intends the users to infringe the patent. On information and belief, Avaya engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Avaya has induced and continue to induce users of the Accused Instrumentalities to use the Accused Instrumentalities in their ordinary and customary way to infringe the ‘535 patent, knowing that such use constitutes infringement of the

‘535 patent. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, inducing infringement of the ‘535 patent, in violation of 35 U.S.C. § 271(b).

43. Avaya has also infringed, and continues to infringe, claims of the ‘535 patent by offering to commercially distribute, commercially distributing, making, and/or importing the Accused Instrumentalities, which are used in practicing the process, or using the systems, of the ‘535 patent, and constitute a material part of the invention. Avaya knows the components in the Accused Instrumentalities to be especially made or especially adapted for use in infringement of the ‘535 patent, not a staple article, and not a commodity of commerce suitable for substantial noninfringing use. For example, the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—infringes the patent, and as such, is especially adapted for use in infringement. Moreover, there is no substantial noninfringing use, as HTTP Live Streaming is directed to choosing different compression techniques based on current or anticipated throughput. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, contributorily infringing the ‘535 patent, in violation of 35 U.S.C. § 271(c).

44. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities’ compression features, Avaya has injured Realtime and is liable to Realtime for infringement of the ‘535 patent pursuant to 35 U.S.C. § 271.

45. As a result of Avaya’s infringement of the ‘535 patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Avaya’s infringement, but in no event less than a reasonable royalty for the use made of the invention by Avaya, together with interest and costs as fixed by the Court.

COUNT III

INFRINGEMENT OF U.S. PATENT NO. 9,769,477

46. Plaintiff re-alleges and incorporates by reference the foregoing paragraphs,

as if fully set forth herein.

47. On information and belief, Avaya has made, used, offered for sale, sold and/or imported into the United States Avaya products that infringe the '477 patent, and continues to do so. By way of illustrative example, these infringing products include, without limitation, Avaya's streaming products/services e.g., Avaya Video Collaboration Solution, H100 Series Video Collaboration Stations, Avaya Scopia Streaming & Recording e.g., Avaya Scopia XT5000 Room System, Avaya Scopia XT7100 Room System, Avaya Equinox Conferencing, and all versions and variations thereof since the issuance of the '477 patent ("Accused Instrumentalities").

48. On information and belief, Avaya has directly infringed and continues to infringe the '477 patent, for example, through its sale, offer for sale, importation, use and testing of the Accused Instrumentalities that practice Claim 1 of the '477 patent, namely, a system, comprising: a plurality of different asymmetric data compression encoders, wherein each asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to utilize one or more data compression algorithms, and wherein a first asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to compress data blocks containing video or image data at a higher data compression rate than a second asymmetric data compression encoder of the plurality of different asymmetric data compression encoders; and one or more processors configured to: determine one or more data parameters, at least one of the determined one or more data parameters relating to a throughput of a communications channel measured in bits per second; and select one or more asymmetric data compression encoders from among the plurality of different asymmetric data compression encoders based upon, at least in part, the determined one or more data parameters.

49. For example, the Accused Instrumentalities utilize H.264 video compression standard, as well as Avaya's HTTP Live Streaming (HLS) technology.

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See e.g., Avaya Scopia Streaming & Recording. HLS “protocol specification does not limit the encoder selection. However, the current Apple implementation should interoperate with encoders that produce MPEG-2 Transport Streams containing H.264 video and AAC audio (HE-AAC or AAC-LC).” *See, e.g.*, <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>. As another example, HLS specification also states: “HTTP Live Streaming supports switching between streams dynamically if the available bandwidth changes. The client software uses heuristics to determine appropriate times to switch between the alternates. Currently, these heuristics are based on recent trends in measured network throughput.” <https://developer.apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/UsingHTTPLiveStreaming/UsingHTTPLiveStreaming.html>. Moreover, “The current implementation of the client observes the effective bandwidth while playing a stream. If a higher-quality stream is available and the bandwidth appears sufficient to support it, the client switches to a higher quality. If a lower-quality stream is available and the current bandwidth appears insufficient to support the current stream, the client switches to a lower

quality.” <https://developer.Apple.com/library/content/documentation/NetworkingInternet/Conceptual/StreamingMediaGuide/FrequentlyAskedQuestions/FrequentlyAskedQuestions.html>.

50. The Accused Instrumentalities include a plurality of different asymmetric data compression encoders, wherein each asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to utilize one or more data compression algorithms, and wherein a first asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to compress data blocks containing video or image data at a higher data compression rate than a second asymmetric data compression encoder of the plurality of different asymmetric data compression encoders. H.264 provides for multiple different ranges of parameters (e.g., bitrate, max video bitrate, resolution parameters, etc.), each included in the “profiles” and “levels” defined by the H.264 standard.

See http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:

4. H.264 profiles and levels

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H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what feature set the encoder may use and limits the decoder implementation complexity.

Network cameras and video encoders will most likely use a profile called the baseline profile, which is intended primarily for applications with limited computing resources. The baseline profile is the most suitable given the available performance in a real-time encoder that is embedded in a network video product. The profile also enables low latency, which is an important requirement of surveillance video and also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements. Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.

See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) Toggle additional details
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5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

51. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame), bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture or D frames, or DC direct coded pictures which are used only in MPEG-1 video). See https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for descriptions of D frames). Thus, at least a portion of a video data block would also make up a GOP structure and could also contain I frames, P frames, B frames and/or D frames. The GOP structure also reflects the size of a video data block, and the GOP structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max video bitrate and resolution parameters) or even be considered as a parameter by itself.

52. The Accused Instrumentalities include one or more processors configured to: determine one or more data parameters, at least one of the determined one or more

data parameters relating to a throughput of a communications channel measured in bits per second; and select one or more asymmetric data compression encoders from among the plurality of different asymmetric data compression encoders based upon, at least in part, the determined one or more data parameters. For example, based on the bitrate and/or resolution parameter identified (e.g. bitrate, max video bitrate, resolution, GOP structure or frame type within a GOP structure), any H.264-compliant system such as the Accused Instrumentalities would determine which profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that parameter, then select between at least two asymmetric compressors. If baseline or extended is the corresponding profile, then the system will select a Context-Adaptive Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the corresponding profile, then the system will select a Context-Adaptive Binary Arithmetic Coding (“CABAC”) entropy encoder. Both encoders are asymmetric compressors because it takes a longer period of time for them to compress data than to decompress data.

See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>

	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at 7:

The following table summarizes the two major types of entropy coding: Variable Length Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers superior coding efficiency over VLC by adapting to the changing probability distribution of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of CABAC.

H.264 Entropy Coding – Comparison of Approaches

Characteristics	Variable Length Coding (VLC)	Context Adaptive Binary Arithmetic Coding(CABAC)
• Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
• Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
• Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
• Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

53. Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine the correct decoder for the corresponding encoder. As shown below, if the flag = 0, then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC must have been selected as the encoder. See https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items (Rec. ITU-T H.264 (04/2013)) at 80:

entropy_coding_mode_flag selects the entropy decoding method to be applied for the syntax elements for which two descriptors appear in the syntax tables as follows:

- If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table is applied (CABAC, see clause 9.3).

54. The processor in the Accused Instrumentalities decides which compression (e.g., CABAC, CAVLC, etc.) to use at a point in time based on parameters, for example, e.g., current or anticipated throughput. For example, when a low bandwidth is present, the Accused Instrumentalities select lower quality stream using a particular

compression technique. As another example, when a high bandwidth is present, the Accused Instrumentalities select higher quality stream using another particular compression technique. For example, the Accused Instrumentalities' use of HTTP Live Streaming is directed to this selection. As another example, the Accused Instrumentalities' use of different "Profiles" of H.264 is directed to selecting lower quality stream using a particular compression technique (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and selecting higher quality stream using a higher compression technique (e.g., CABAC or CAVLC, etc.) for higher anticipated bandwidth situations.

55. After its selection, the asymmetric compressor (CAVLC or CABAC) will compress the video data to provide various compressed data blocks, which can be organized in a GOP structure (see above).

See <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>:

Entropy Coding

For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic coding (CABAC) which are complex techniques to losslessly compress syntax elements in the video stream knowing the probabilities of syntax elements in a given context. The use of CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total processing power to be accomplished.

See <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf> at 13:

Typical compression ratios to maintain excellent quality are:

- 10:1 for general images using JPEG
- 30:1 for general video using H.263 and MPEG-2
- 60:1 for general video using H.264 and WMV9

See http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at 2:

Most visual communication systems today use Baseline Profile. Baseline is the simplest H.264 profile and defines, for example, zigzag scanning of the picture and using 4:2:0 (YUV video formats) chrominance sampling. In Baseline Profile, the picture is split in blocks consisting of 4x4 pixels, and each block is processed separately. Another important element of the Baseline Profile is the use of Universal Variable Length Coding (UVLC) and Context Adaptive Variable Length Coding (CAVLC) entropy coding techniques.

The Extended and Main Profiles includes the functionality of the Baseline Profile and add improvements to the predictions algorithms. Since transmitting every single frame (think 30 frames per second for good quality video) is not feasible if you are trying to reduce the bit rate 1000-2000 times, temporal and motion prediction are heavily used in H.264, and allow transmitting only the difference between one frame and the previous frames. The result is spectacular efficiency gain, especially for scenes with little change and motion.

The High Profile is the most powerful profile in H.264, and it allows most efficient coding of video. For example, large coding gain achieved through the use of Context Adaptive Binary Arithmetic Coding (CABAC) encoding which is more efficient than the UVLC/CAVLC used in Baseline Profile.

The High Profile also uses adaptive transform that decides on the fly if 4x4 or 8x8-pixel blocks should be used. For example, 4x4 blocks are used for the parts of the picture that are dense with detail, while parts that have little detail are transformed using 8x8 blocks.

56. On information and belief, Avaya also directly infringes and continues to infringe other claims of the '477 patent.

57. On information and belief, all of the Accused Instrumentalities perform the claimed methods in substantially the same way, e.g., in the manner specified in the H.264 standard.

58. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '477 patent.

59. On information and belief, Avaya has had knowledge of the '477 patent since at least the filing of this Complaint or shortly thereafter, and on information and

belief, Avaya knew of the '477 patent and knew of its infringement, including by way of this lawsuit. By the time of trial, Avaya will have known and intended (since receiving such notice) that its continued actions would actively induce and contribute to the infringement of the claims of the '477 patent.

60. Upon information and belief, Avaya's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and technical support to users of the Accused Instrumentalities, including, e.g., through training, demonstrations, brochures, installation and user guides, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe the '477 patent by using a system comprising: a plurality of different asymmetric data compression encoders, wherein each asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to utilize one or more data compression algorithms, and wherein a first asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to compress data blocks containing video or image data at a higher data compression rate than a second asymmetric data compression encoder of the plurality of different asymmetric data compression encoders; and one or more processors configured to: determine one or more data parameters, at least one of the determined one or more data parameters relating to a throughput of a communications channel measured in bits per second; and select one or more asymmetric data compression encoders from among the plurality of different asymmetric data compression encoders based upon, at least in part, the determined one or more data parameters. For example, Avaya's streaming products/services utilizes H.264 compression standard. As another example, "[T]he Avaya Scopia XT5000 provides exceptional video, audio, and data quality along with ease-of-use designed to make users successful. The XT5000 incorporates dual 1080p 60fps live video and content, HD audio, simultaneous H.264 High Profile and Scalable Video Coding (SVC), and multi-party

calling.” *See e.g.*, <https://www.avaya.com/en/documents/scopia-xt5000-room-system-uc7415.pdf?t=0>. Moreover, Avaya discloses the use on HTTP Live Streaming protocol in its product data sheets.

Media Handling

- Audio, video, H.239 content support
- Video resolution: up to 1080p30
- Bandwidth: 256 Kbps to 2 Mbps
- Format: MP4
- Audio codecs: G.711, AAC-LC
- Video codecs: H.264
- H.239 content: H.263, H.264
- Protocols: HTTP Live Streaming (HLS), Advanced Systems Format (ASF), Microsoft Media Server (MMS)

See e.g., Avaya Scopia Streaming & Recording. For similar reasons, Avaya also induces its customers to use the Accused Instrumentalities to infringe other claims of the ’477 patent. Avaya specifically intended and was aware that these normal and customary activities would infringe the ’477 patent. Avaya performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the ’477 patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. For example, since filing of this action, Avaya knows that the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—in the Accused Instrumentalities infringes the patent but nevertheless continues to promote HTTP Live Streaming to customers. The only reasonable inference is that Avaya specifically intends the users to infringe the patent. On information and belief, Avaya engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Avaya has induced and continue to induce users of the Accused Instrumentalities to use the Accused Instrumentalities in their ordinary and customary

way to infringe the '477 patent, knowing that such use constitutes infringement of the '477 patent. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, inducing infringement of the '477 patent, in violation of 35 U.S.C. § 271(b).

61. Avaya has also infringed, and continues to infringe, claims of the '477 patent by offering to commercially distribute, commercially distributing, making, and/or importing the Accused Instrumentalities, which are used in practicing the process, or using the systems, of the '477 patent, and constitute a material part of the invention. Avaya knows the components in the Accused Instrumentalities to be especially made or especially adapted for use in infringement of the '477 patent, not a staple article, and not a commodity of commerce suitable for substantial noninfringing use. For example, the ordinary way of using HTTP Live Streaming—which is directed to choosing different compression techniques based on current or anticipated throughput—infringes the patent, and as such, is especially adapted for use in infringement. Moreover, there is no substantial noninfringing use, as HTTP Live Streaming is directed to choosing different compression techniques based on current or anticipated throughput. Accordingly, Avaya has been (as of filing of the original complaint), and currently is, contributorily infringing the '477 patent, in violation of 35 U.S.C. § 271(c).

62. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Avaya has injured Realtime and is liable to Realtime for infringement of the '477 patent pursuant to 35 U.S.C. § 271.

63. As a result of Avaya's infringement of the '477 patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Avaya's infringement, but in no event less than a reasonable royalty for the use made of the invention by Avaya, together with interest and costs as fixed by the Court.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff Realtime respectfully requests that this Court enter:

- a. A judgment in favor of Plaintiff that Avaya has infringed, literally and/or under the doctrine of equivalents the '046, '535, and '477 patents (the "asserted patents");
- b. A judgment and order requiring Avaya to pay Plaintiff its damages, costs, expenses, and prejudgment and post-judgment interest for its infringement of the asserted patents, as provided under 35 U.S.C. § 284;
- c. A judgment and order requiring Avaya to provide an accounting and to pay supplemental damages to Realtime, including without limitation, prejudgment and post-judgment interest;
- d. A permanent injunction prohibiting Avaya from further acts of infringement of the asserted patents;
- e. A judgment and order finding that this is an exceptional case within the meaning of 35 U.S.C. § 285 and awarding to Plaintiff its reasonable attorneys' fees against Avaya; and
- f. Any and all other relief as the Court may deem appropriate and just under the circumstances.

DEMAND FOR JURY TRIAL

Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial by jury of any issues so triable by right.

Respectfully Submitted,

Dated: May 4, 2018

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