

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

CheckSum Ventures, LLC,

Plaintiff,

v.

Dell Inc.,

Defendant.

Case No. _____

Patent Case

Jury Trial Demanded

COMPLAINT FOR PATENT INFRINGEMENT

Plaintiff CheckSum Ventures LLC (“CheckSum”), through its attorney, complains of Dell, Inc. (“Dell”), and alleges the following:

PARTIES

1. Plaintiff CheckSum Ventures LLC is a corporation organized and existing under the laws of Michigan that maintains its principal place of business at 29108 Lorie Ln, Wixom, MI 48393.

2. Defendant Dell Inc. is a corporation organized and existing under the laws of Delaware that maintains its principal place of business at One Dell Way, Round Rock, TX 78662.

JURISDICTION

3. This is an action for patent infringement arising under the patent laws of the United States, Title 35 of the United States Code.

4. This Court has exclusive subject matter jurisdiction under 28 U.S.C. §§ 1331 and 1338(a).

5. This Court has personal jurisdiction over Dell because it has engaged in systematic and continuous business activities in this District. Specifically, Dell provides its full range of services to residents in this District. As described below, Dell has committed acts of patent infringement giving rise to this action within this District.

VENUE

6. Venue is proper in this District under 28 U.S.C. § 1400(b) because Dell has an established places of business in this district, including one located at 10 S Riverside Plaza, Chicago, IL 60606; and Dell has committed acts of patent infringement in this District. In addition, CheckSum has suffered harm in this district.

PATENT-IN-SUIT

7. CheckSum is the assignee of assignee of all right, title and interest in United States Patent No. 8,301,906 (the “’906 Patent” or the “Patent-in-Suit”), including all rights to enforce and prosecute actions for infringement and to collect damages for all relevant times against infringers of the Patent-in-Suit. Accordingly, CheckSum possesses the exclusive right and standing to prosecute the present action for infringement of the Patent-in-Suit by Dell.

The ’906 Patent

8. On October 30, 2012, the United States Patent and Trademark Office issued the ’906 Patent. The ’906 Patent is titled “Apparatus for Writing Information on a Data Content on a Storage Medium.” The application leading to the ’906 Patent was filed on July 27, 2007 and is a national stage entry and continuation of the PCT application PCT/EP2007/003658 filed on April 25, 2007. A true and correct copy of the ’906 Patent is attached hereto as Exhibit A and incorporated herein by reference.

9. The ’906 Patent is valid and enforceable.

10. The invention in the '906 Patent provides an apparatus for storing a checksum over each file that is recorded on an optical disc in a file system independent way. Ex. A, 2:37-38.

11. The inventors recognized that there was a need for storing data allowing users to verify an origin of the data and its integrity. Ex. A, 1:30-36.

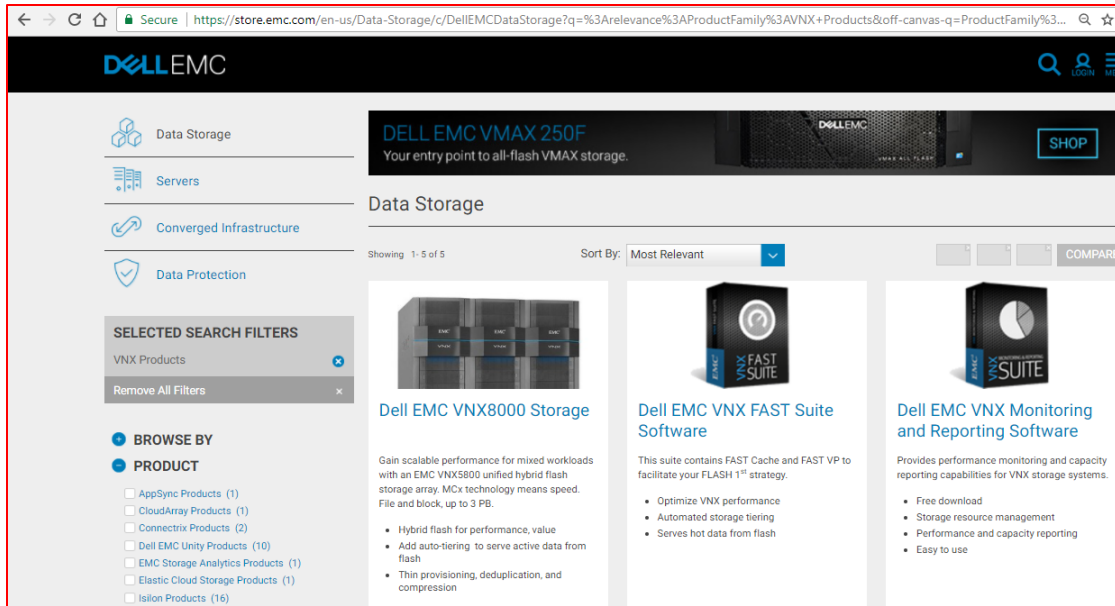
12. The '906 Patent describes a system that addresses a technical problem, ensuring the validity or consistency of data in a storage medium, Ex. A, 1:31-32, with a technical solution: storing a checksum over each file that is recorded on an optical disc in a file system independent manner. Ex. A, 2:37-38.

COUNT I: INFRINGEMENT OF THE '906 PATENT

13. CheckSum incorporates the above paragraphs herein by reference.

14. **Direct Infringement.** Dell has been and continues to directly infringe at least claim 1 of the '906 Patent in this District and elsewhere in the United States by providing products, for example, Dell's EMC VNX Storage Systems, which writes checksum information (e.g., a SHA-1 hash value) for stored content on that storage system ("Dell's EMC VNX System"). The checksum is calculated and written for at least the purposes of deduplication.

15. Dell's EMC VNX System satisfies claim element 1(p) because it has: "[a]n apparatus for writing checksum information on a data content on a storage medium." For example, Dell's EMC VNX System writes checksum information (e.g., a SHA-1 hash value) for stored content on that storage system:



Available at: <https://store.emc.com/en-us/Data-Storage/c/DellEMCDataStorage?q=%3Arelevance%3AProductFamily%3AVNX+Products&off-canvas-q=ProductFamily%3AVNX+Products&facetselected=true>; webpage attached hereto as Exhibit B.

Introduction to VNX data deduplication and compression

VNX systems are designed and built to handle the I/O demands of a large number of Flash drives. Performance-optimization features such as Multicore FAST Cache and Fully Automated Storage Tiering for Virtual Pools (FAST VP) move the busiest data onto the highest-performing drives, which helps to increase the system's IOPS-per-dollar.

The use of capacity efficiency features such as deduplication and compression play a large role in helping to lower the total cost of ownership. First, deduplication and compression both work to reduce the space requirements needed for datasets. Compounded efficiencies are attained when deduplication and compression are used with FAST VP and Multicore FAST Cache.

VNX File Deduplication and Compression achieve savings at the file level, while VNX Block Deduplication and VNX Block Compression achieve savings at the LUN level. All VNX deduplication and compression features discussed in this paper are available on the VNX2 Series (VNX5200, VNX5400, VNX5600, VNX5800, VNX7600, and VNX8000).

Available at: <https://www.emc.com/collateral/white-papers/h12209-vnx-deduplication-compression-wp.pdf> (p. 5); attached hereto as Exhibit C.

16. Dell's EMC VNX System satisfies claim element 1(a) because it has: "a provider for providing checksum information based on a data content." For example, Dell's EMC VNX System writes checksum information (e.g., a SHA-1 hash value) for stored content on that

storage system. The checksum is calculated and written for at least the purposes of deduplication and is based on the data content:

VNX Block Deduplication

VNX Block Deduplication is a software feature included with the VNX2 series. In general, deduplication is the process of identifying duplicate data contained within a set of block storage objects and consolidating it such that only one actual copy of the data is used by many sources. This feature can result in significant space savings depending on the nature of the data. In the VNX2 series, VNX Block Deduplication utilizes the fixed block deduplication method with a set size of 8 KB to remove redundant data from a dataset. Block Deduplication is run post-process on the selected dataset.

See Ex. C, p. 6.

Space reduction process

VNX File Deduplication and Compression has a flexible policy engine that specifies data for exclusion from processing and decides whether to deduplicate specific files based on their age. When enabled on a file system, VNX File Deduplication and Compression periodically scans the file system for files that match the policy criteria, and then compresses them.

VNX File Deduplication and Compression employs SHA-1 (Secure Hash Algorithm) for its file-level deduplication. SHA-1 can take a stream of data less than 264 bits in length and produce a 160-bit hash, which is designed to be unique to the original data stream. The likelihood of different files being assigned the same hash value is extremely low. Optionally, you can also employ a byte-by-byte comparison to confirm identical files detected by SHA-1 or disable file-level deduplication in general. If a user wanted to switch compression types for a specific file it would first have to be decompressed and then re-compressed with the preferred algorithm, default or deep compression.

Available at: <https://www.emc.com/collateral/hardware/white-papers/h8198-vnx-deduplication-compression-wp.pdf>, p. 7; attached hereto as Exhibit D.

17. Dell's EMC VNX System satisfies claim element 1(b) because it has: "a writer for writing the data content, the checksum information and control information on a physical or logical location of the checksum information on the storage medium, such that a baseline reader and an enhanced reader can read the data content, the enhanced reader can read and process the control information and the checksum information and the baseline reader ignores, skips or does

not read the checksum information.” For example, Dell’s EMC VNX System writes checksum information (e.g., a SHA-1 hash value) for stored content in a logical and/or physical location on that storage system.

18. Dell uses the stored checksum information at least in order to deduplicate the stored data. For example, when the same file is stored (or is being stored) in the storage system, Dell’s EMC VNX System calculates one or more hash values for the file’s contents. If the hash value is one that matches any of the hash values corresponding to files already stored on the storage, EMC VNX deletes (or does not store) the second copy of the file – it simply stores an indication pointing to the logical and/or physical location of the original file on the storage:

Deploying VNX Deduplication and Compression for File data

The VNX Operating Environment for File offers several convenient methods for managing deduplication. There are user-defined deduplication policies available in the Unisphere software as well as integrated options within VMware® vCenter and Windows Explorer. User-defined policy attributes identify which files to deduplicate and compress. Users can set these controls at the file-system or Data Mover level.

You have the ability to enable or disable CIFS compression by using the Microsoft Windows compression attribute. Enabling this feature allows the user to see compressed files displayed in a different color in Windows Explorer than non-compressed files.

Newly introduced in the VNX OE 7.1 is the option to select deep file compression. This compression method is optimized for space efficiency rather than speed. This alternate compression method is designed to produce up to 30% more space savings than the space savings produced by the fast (default) method, though sacrificing decompression speed and CPU utilization on the Data Mover to attain additional space savings. This alternate method is intended to be used on file systems with “cold data” that is infrequently accessed where space savings is much more important than file access speed. File archiving is a prime use case for deep compression. It is not recommended for compressing VMs on NFS file systems due to the increased decompression time.

See Ex. D, p. 11.

Show Deduplication Settings for: server_2	
Case Sensitive:	<input type="checkbox"/>
CIFS Compression Enabled:	<input checked="" type="checkbox"/>
Duplicate Detection Method:	<input checked="" type="radio"/> sha1 <input type="radio"/> byte <input type="radio"/> off
Access Time:	<input type="text" value="15"/> days (Default: 15)
Modification Time:	<input type="text" value="15"/> days (Default: 15)
Minimum Size:	<input type="text" value="24"/> KB (Default: 24)
Maximum Size:	<input type="text" value="8"/> TB (Default: 8 TB)
File Extensions Excluded:	<input type="text"/>
Minimum Scan Interval:	<input type="text" value="7"/> days (Default: 7)
SavVol High Water Mark:	<input type="text" value="90"/> % (Default: 90)
Backup Data High Water Mark:	<input type="text" value="90"/> % (Default: 90)
CPU % Low Water Mark:	<input type="text" value="40"/> % (Default: 40)
CPU % High Water Mark:	<input type="text" value="75"/> % (Default: 75)
<input type="button" value="OK"/> <input type="button" value="Apply"/> <input type="button" value="Cancel"/> <input type="button" value="Help"/>	

Figure 3. Deduplication Settings at the Data Mover level

See Ex. D, p. 12.

File System	Checkpoint Schedules	Quota Settings	Deduplication Settings
<p>i The default settings are configured for the most effective use of deduplication. details...</p>			
File System Name:		BranchCache	
Case Sensitive:		<input type="checkbox"/> (server_2 setting: false)	
Compression Method:		<input checked="" type="radio"/> fast <input type="radio"/> deep	
CIFS Compression Enabled:		<input checked="" type="checkbox"/> (server_2 setting: true)	
Duplicate Detection Method:		<input checked="" type="radio"/> sha1 <input type="radio"/> byte <input type="radio"/> off	
Access Time:		15 days (server_2 setting: 15)	
Modification Time:		15 days (server_2 setting: 15)	
Minimum Size:		24 KB (server_2 setting: 24)	
Maximum Size:		8 TB (server_2 setting: 8.0 TB)	
File Extensions Excluded:		(server_2 setting:)	
Minimum Scan Interval:		7 days (server_2 setting: 7)	
SavVol High Water Mark:		90 % (server_2 setting: 90)	
Backup Data High Water Mark:		90 % (server_2 setting: 90)	
Pathname Excluded:		(server_2 setting: N/A)	

Figure 4. Deduplication Settings tab at the file system level

See Ex. D, p. 13.

Viewing the deduplication state

After you enable deduplication on a file system, VNX File Deduplication and Compression periodically scans it and looks for files to deduplicate. You can use the CLI `fs_dedupe` command to query the state of the deduplication process for each file



system, or view the state in the Unisphere **File System Properties** window as shown in Figure 5

Deduplication:	
<input type="radio"/>	Off
<input checked="" type="radio"/>	On
<input type="radio"/>	Suspended
Status:	Idle (Waiting for next scan)
Statistics:	As of last file system scan (Tuesday, January 6, 2009 5:19:50 PM EST)
	Files scanned: 6,947
	Files deduped: 2,815 (41% of files scanned)
	Original data size: 851 MB (84% of current file system capacity)
	Space saved: 307 MB (36% of original data size)

Figure 5. VNX File Deduplication and Compression state in Unisphere

See Ex. D, pp. 13-14.

19. Dell's EMC VNX System also includes an enhanced reader that reads and processes the hash values such that, for example, the enhanced reader retrieves the original file even when the storage system queries the storage for the second file.

20. Dell's EMC VNX System also includes a Fast Cache and/or DRAM, into which the storage system stores data it recently retrieved. Whenever Dell's EMC VNX System performs a read operation, such as requesting a particular datum to be retrieved from the storage, the storage system first checks the Fast Cache and/or DRAM for the data. The storage system only checks for the datum if it is absent from the Fast Cache and/or DRAM. If it is absent from the Fast Cache and/or DRAM, it checks the main deduplicated storage for the requested data.

Thus, Dell's EMC VNX System also includes a baseline reader, including at least the reader operating on a FAST Cache and/or DRAM, which does not process the checksum information.

Reads

Incoming I/O from the host application is checked against the FAST Cache memory map to determine whether the I/O is for a chunk that is already in FAST Cache:

If the chunk is not in FAST Cache, the I/O request follows the same path it would follow if the storage system does not have FAST Cache.

However, if the data chunk is in FAST Cache, the policy engine redirects the I/O request to FAST Cache. If the host I/O request is for a read operation, and the target data is in the DRAM cache, the data is read from the DRAM cache. If the data is not in DRAM cache, the data is read from FAST Cache and placed in the DRAM cache as it would with reads from HDD.

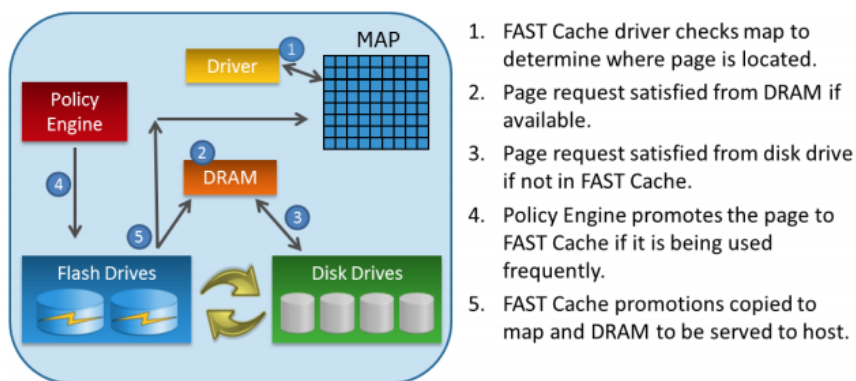


Figure 1: FAST Cache read operation

Available at: <https://www.emc.com/collateral/software/white-papers/h8046-clariion-celerra-unified-fast-cache-wp.pdf>, (p. 9); attached hereto as Exhibit E.

21. CheckSum is entitled to recover damages adequate to compensate it for such infringement in an amount no less than a reasonable royalty under 35 U.S.C. § 284.

JURY DEMAND

22. Under Rule 38(b) of the Federal Rules of Civil Procedure, CheckSum respectfully requests a trial by jury on all issues so triable.

PRAYER FOR RELIEF

WHEREFORE, CheckSum asks this Court to enter judgment against Dell, granting the following relief:

A. A declaration that Dell has infringed the Patent-in-Suit;

- B. An award of damages to compensate CheckSum for Dell's direct infringement of the Patent-in-Suit;
- C. An award of damages, including trebling of all damages, sufficient to remedy Dell's willful infringement of the Patent-in-Suit under 35 U.S.C. § 284;
- D. A declaration that this case is exceptional, and an award to CheckSum of reasonable attorneys' fees, expenses and costs under 35 U.S.C. § 285;
- E. An award of prejudgment and post-judgment interest; and
- F. Such other relief as this Court or jury may deem proper and just.

Respectfully submitted,

/s/ Isaac Rabicoff
Counsel for Plaintiff

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