

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF TEXAS
MARSHALL DIVISION**

KARAMELION LLC,

Plaintiff,

v.

INGERSOLL-RAND COMPANY,

Defendant.

CASE NO. 2:18-cv-442

JURY TRIAL DEMANDED

PATENT CASE

**ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT
AGAINST INGERSOLL-RAND COMPANY**

Plaintiff Karamelion LLC, files this Original Complaint for Patent Infringement against Ingersoll-Rand Company, and would respectfully show the Court as follows:

I. THE PARTIES

1. Plaintiff Karamelion LLC (“Karamelion” or “Plaintiff”) is a Texas limited liability company with its principal place of business at 5570 FM 423, Suite 250 #2022, Frisco, TX 75034.

2. On information and belief, Defendant Ingersoll-Rand Company (“Defendant”) is a company organized and existing under the laws of New Jersey, with a place of business at 6505 Windcrest Dr, Plano, TX 75024.

II. JURISDICTION AND VENUE

3. This action arises under the patent laws of the United States, Title 35 of the United States Code. This Court has subject matter jurisdiction of such action under 28 U.S.C. §§ 1331 and 1338(a).

4. On information and belief, Defendant is subject to this Court’s specific and general personal jurisdiction, pursuant to due process and the Texas Long-Arm Statute, due at

least to its business in this forum, including at least a portion of the infringements alleged herein. Furthermore, Defendant is subject to this Court's specific and general personal jurisdiction because it has a place of business at 6505 Windcrest Dr, Plano, TX 75024.

5. Without limitation, on information and belief, within this state, Defendant has used the patented inventions thereby committing, and continuing to commit, acts of patent infringement alleged herein. In addition, on information and belief, Defendant has derived revenues from its infringing acts occurring within the Eastern District of Texas and Texas. Further, on information and belief, Defendant is subject to the Court's general jurisdiction, including from regularly doing or soliciting business, engaging in other persistent courses of conduct, and deriving substantial revenue from goods and services provided to persons or entities in Eastern District of Texas and Texas. Further, on information and belief, Defendant is subject to the Court's personal jurisdiction at least due to its sale of products and/or services within the Eastern District of Texas. Defendant has committed such purposeful acts and/or transactions in the Eastern District of Texas such that it reasonably should know and expect that it could be haled into this Court as a consequence of such activity.

6. Venue is proper in this district under 28 U.S.C. § 1400(b). Defendant has a place of business at 6505 Windcrest Dr, Plano, TX 75024. On information and belief, from and within this District Defendant has also committed at least a portion of the infringements at issue in this case.

7. For these reasons, personal jurisdiction exists and venue is proper in this Court under 28 U.S.C. § 1400(b).

III. COUNT I
(PATENT INFRINGEMENT OF UNITED STATES PATENT NO. 6,275,166)

8. Plaintiff incorporates the above paragraphs herein by reference.

9. On August 14, 2001, United States Patent No. 6,275,166 (“the ‘166 Patent”) was duly and legally issued by the United States Patent and Trademark Office. The application leading to the ‘166 patent was filed on January 19, 1999. (Ex. A at cover). The ‘166 Patent is titled “RF Remote Appliance Control/Monitoring System.” A true and correct copy of the ‘166 Patent is attached hereto as Exhibit A and incorporated herein by reference.

10. Plaintiff is the assignee of all right, title and interest in the ‘166 patent, including all rights to enforce and prosecute actions for infringement and to collect damages for all relevant times against infringers of the ‘166 Patent. Accordingly, Plaintiff possesses the exclusive right and standing to prosecute the present action for infringement of the ‘166 Patent by Defendant.

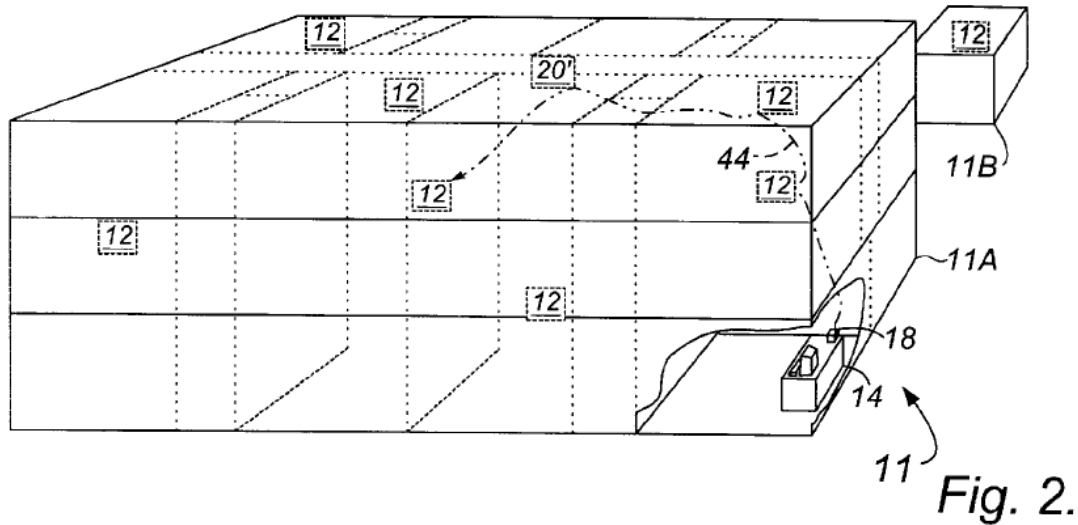
11. The invention in the ‘166 Patent relates to control and monitoring of distributed systems in buildings such as systems for controlling and monitoring heating, air conditioning, lighting, security, occupancy, and usage of distributed facilities. (Ex. A at col. 1:5-12). Control of such distributed systems in the prior art commonly used computer networks and business software. (*Id.* at col. 1:11-13). A major difficult with such systems was the expense of wiring inter-connections between elements of the system, particularly when there are additions or changes to be made in the system. (*Id.* at col. 1:14-18). Prior art attempts to reduce the expense of the systems included using efficient network products such as using a widely known Ethernet standard, using AC power wiring to transmit RF communications to remove controllers, and using a combination of wired and wireless communications. (*Id.* at col. 1:18-27).

12. However, these centralized wireless control systems for building appliances have not been widely used mainly because systems that have a sufficient communication ranges are normally subject to regulations and licensing requirements that are prohibitively expensive. (*Id.*

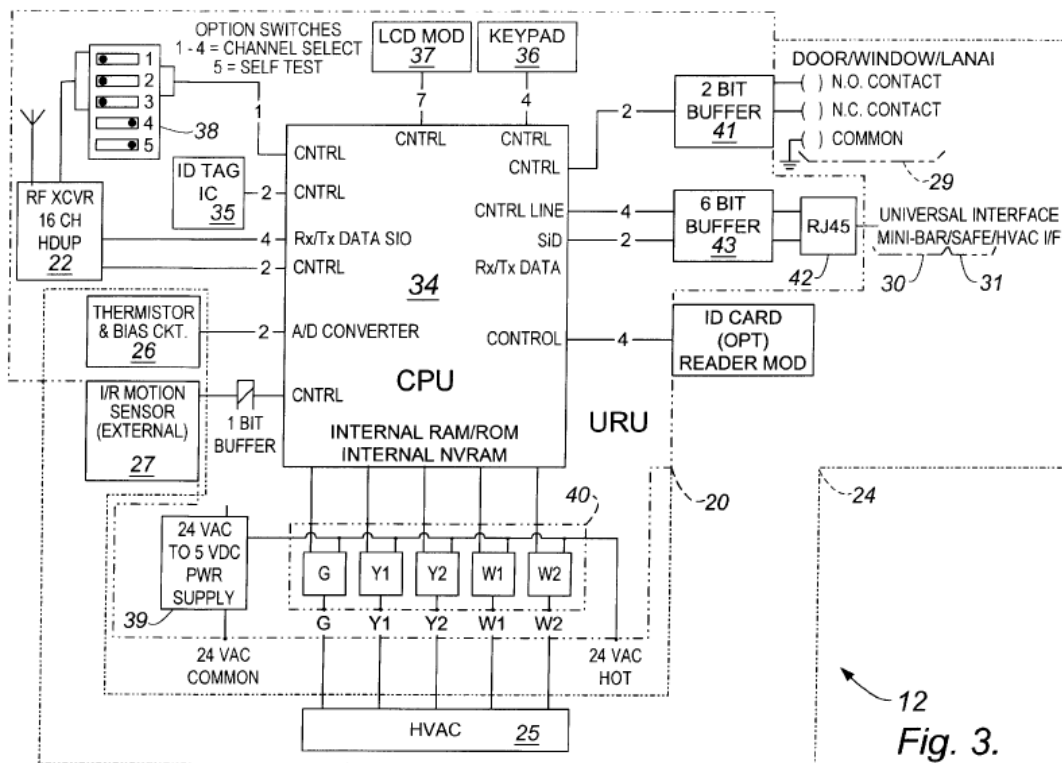
at col. 1:28-32). Also, systems that are powerful enough to be used in widely distributed installations are unnecessarily expensive to be used in smaller installations. (*Id.* at col. 1:32-34). With respect to wireless communication, there is limited availability of RF carrier frequencies, and potential interference with other nearby systems that might be operating in similar frequencies. (*Id.* at col. 1:34-37). Because of the continued deficiencies of the prior art solutions, there was a need for a wireless appliance control system that overcomes the disadvantages of the prior art solutions. (*Id.* at col. 1:38-39).

13. The inventors developed an invention that “meets this need by providing a wireless configuration that uses a distributed array of low power (short range) wireless controllers that are also functional as relay units for communicating with a headend control computer at long range.” (*Id.* at col. 1:42-46).

14. The ‘166 patent discloses exemplary embodiments of the claimed invention. The claimed invention is typically implemented in a building or location that has an appliance control/monitoring system. (*Id.* at col. 3:64 – col. 4:7). For example, the following figure is of a building (11) having a distributed array of appliance management stations (12) that wirelessly communicate with a headend control station (14) (*Id.* at col. 3:66 – col. 4:4):



The typical appliances connected to the appliance control/monitoring system are heating, ventilation and air conditioning units (HVAC), temperature sensors, motion detectors, and audio/video devices. (*Id.* at col. 1:5-9, col. 4:54-61). The appliances are interfaced with relay units that have appliance interface/controllers to communicate with the appliance and satellite radio transceivers. (*Id.* at col. 4:62-66). The satellite radio transceivers of the relay units are operable at low power and have a limited wireless communications range that reaches only a portion of the building or location. (*Id.* at col. 4:62-66). In order to for the relay units to communicate beyond their limited wireless range, they communicate by relaying transmissions using intermediate relay units to the intended destination. (*Id.* at col. 4:66 – col. 5:1). An exemplary simplified circuit block diagram of the appliance controller portion of the relay unit, including a satellite radio transceiver, is shown in Figure 3 of the ‘166 patent:



12
Fig. 3.

(Ex. A). The microprocessor (34) is connected between a satellite transceiver (22) and the appliance device (24). (*Id.* at col. 5:13-15).

15. The '188 patent includes a diagram of an exemplary command protocol (Fig. 4) and exemplary return protocol (Fig. 5):

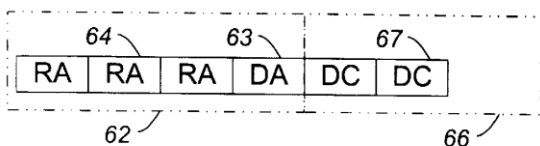


Fig. 4. 60

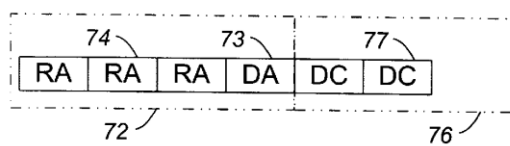
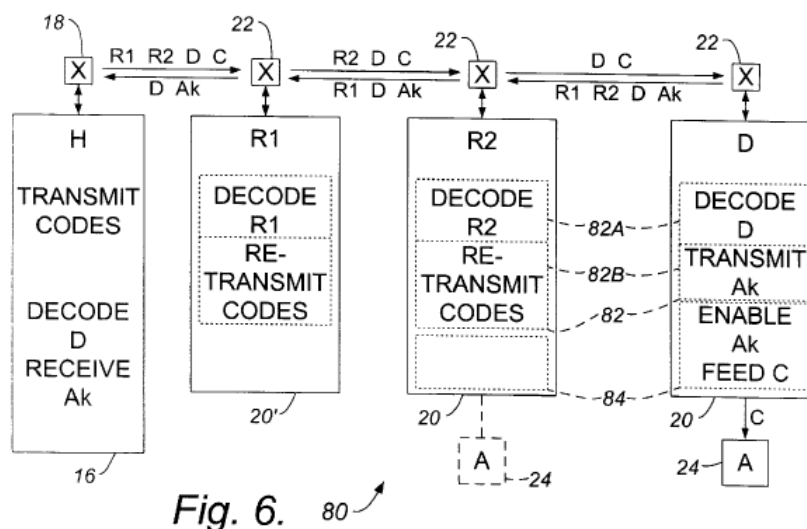


Fig. 5. 70

(Ex. A). The exemplary command protocol includes an address section (62) that includes a destination address (63) and may include relay addresses (64) so that the message may be relayed to another device. (*Id.* at col. 7:40-43). Following the address section is a command section (66) that includes device commands (67) that are directed to particular appliance devices at the destination relay unit. (*Id.* at col. 7:43-47). The exemplary return protocol includes a

counterpart of the address section (72) that includes a destination address (73) and relay addresses (74). (*Id.* at col. 7:48-51). Following the address section of the return protocol is a feedback section (76) that include feedback elements (77) that are responsive to the appliance devices at the destination relay unit. (*Id.* at col. 7:51-55).

16. A pictorial diagram showing an exemplary process for using a portion of the system is shown in Figure 6 of the '166 patent:



(Ex. A). A transmitter in the headend computer (H) signals the addresses of relay units (20), with one of the addresses being the destination address (D), and the other addresses include a first and second relay address (R1, R2), and a control signal (C) for appliance (A) being interfaced to the destination relay unit (D). (*Id.* at col. 7:56-65). The first relay unit decodes the first relay address, and transmits the control signal, the second relay address and the destination address from the first relay unit; the same steps occur at the second relay unit but with respect to decoding the second relay address. (*Id.* at col. 7:65 – col. 8:1). The destination relay unit decodes the destination address and feeds the control signal to the appliance; then the destination unit transmits the destination address, the first and second relay addresses, and an acknowledgement signal (Ak). (*Id.* at col. 8:2-6). The second relay unit decodes the second

relay address, and then transmits the acknowledgement signal (Ak), the first relay address, and the destination address; the same steps occur at the first relay unit but with respect to decoding the first relay address. (*Id.* at col. 8:6-9). The headend computer decodes the destination address and receives the acknowledgement signal (Ak). (*Id.* at col. 8:9-11). The decoding and transmitting in the relay units are implemented by first and second instruction portions (82A, 82B), respectively, of the relay program (82). (*Id.* at col. 8:11-14). The feeding of the control signal by the relay unit to the appliance and generating the acknowledgement signal occurs in the appliance program (84). (*Id.* at col. 8:14-16). Both the relay program and appliance program are in the microcomputer memory of each relay unit. (*Id.* at col. 8:16-18).

17. As explained during the prosecution history, the prior art did not teach a relay unit being an appliance controller that communicated with a headend computer using at least two other relay units. The invention therefore overcame the prior art, which were excessively expensive, had insufficient bandwidth, were ineffective in serving multiple devices, were unreliable, and were difficult to use. (Ex. B at col. 1:43-51).

18. **Direct Infringement.** Upon information and belief, Defendant has been directly infringing at least claim 1 of the '166 patent in the Eastern District of Texas and Texas, and elsewhere in the United States, by performing actions comprising making, using, selling, and offering for sale an appliance controller for a distributed appliance system having a headend computer, a multiplicity of appliances, and a plurality of relay units that satisfies the limitations of at least claim 1, including without limitation the Trane ComfortLink Control Panel, Nexia Home Bridge, Nexia Appliance Module, Z-Wave Repeater, Z-Wave Door/Window Sensor, Z-wave Light Control, Z-Wave LED Bulb, Z-Wave Strips, Z-Wave Door Tilt Sensor, Z-Wave

Smoke/Carbon Monoxide Alarm, Z-Wave Garage Door Controller and Z-Wave lock (“Accused Instrumentality”).

19. Accused Instrumentality provides an appliance controller (e.g., Trane ComfortLink Control Panel, Nexia Appliance Module, Z-Wave Repeater, Z-Wave Door/Window Sensor, Z-wave Light Control, Z-Wave LED Bulb, Z-Wave Strips, Z-Wave Door Tilt Sensor, Z-Wave Smoke/Carbon Monoxide Alarm, Z-Wave Garage Door Controller and Z-Wave lock) for a distributed appliance system (e.g., Z-Wave network) having a headend computer (e.g., primary controller, the Nexia Home Bridge), a multiplicity of appliances (e.g., appliances such as lights, switches, HVAC), and a plurality of relay units (e.g., repeaters), one of the relay units being the appliance controller (e.g., Z-wave node).

20. Each Accused Instrumentality is an appliance controller comprising a low power satellite radio transceiver (e.g., radio frequency transceivers within the various Z-Wave devices) having a range being less than a distance to at least some of the appliances.

Take control of your home’s heating, cooling and energy usage with the state-of-the-art ComfortLink™ XL850 Control. Designed especially for use with ComfortLink™ enabled and variable speed systems, this control comes equipped with a built-in Nexia™ Home Intelligence Bridge, you can remotely control and schedule the thermostat—and up to 200 additional Z-Wave products throughout your home—from any Internet-enabled smartphone, tablet or computer.



▶ Adjust heating or cooling temperatures from your smartphone before arriving home.



▶ Receive high- and low-limit temperature alerts via text and email if your heating and cooling system does not maintain the desired temperature settings.*



▶ Adjust heating and cooling temperatures remotely while on vacation or for a rental home as the seasons change.



▶ View system-generated usage charts so you can make temperature/schedule adjustments to manage energy consumption.

(https://www.trane.com/content/dam/Trane/residential/downloads/brochure/thermostats/62_7163-01_Web.pdf).



Z-WAVE
HOME AUTOMATION USING WIRELESS TECHNOLOGY

WHAT IS Z-WAVE?

Z-Wave is the next-generation wireless ecosystem that lets all your home electronics talk to each other, and to you, via remote control. Z-Wave uses simple, reliable **low-power radio waves** that easily travel through walls, floors, and cabinets. Its functionality can be added to almost any electronic device in your house, even devices that you wouldn't ordinarily think of as "smart", such as appliances, window shades, thermostats, and home lighting.

Z-Wave unifies all your home electronics into an integrated wireless network, with no complicated programming and no new cables to run. Any Z-Wave enabled device can be effortlessly added to this network, and many non-Z-Wave devices can be made compatible by simply plugging them into a Z-Wave accessory module. In seconds, your devices get joined to the network and can communicate wirelessly with other Z-Wave modules and controllers, providing you with a smart home you will truly enjoy.

Z-Wave lets you control these devices in ways that give you complete control of your home even when you're not there. You can control your Z-Wave smart home remotely from anywhere in the world via smart phone, tablet, or PC.

(<http://www.zwaveproducts.com/learn/Z-Wave>).



Z-Wave Alliance Recommendation ZAD12837-1

Z-Wave Transceivers – Specification of Spectrum Related Components

(2014)

Scope

This Recommendation provides guidelines pertaining to spectrum usage of the short range narrowband digital radiocommunication transceivers complying with ITU-T Recommendation G.9959. ITU-T Recommendation G.9959 contains the system architecture, physical layer (PHY) and medium access control layer (MAC) specifications for G.9959 compliant transceivers.

References

[1] Recommendation ITU-T G.9959, *Short range narrowband digital radiocommunication transceivers – PHY & MAC layer specifications*

Definitions

This Recommendation uses the following definitions:

Channel: a transmission path between nodes. One channel is considered to be one transmission path. Logically a channel is an instance of the communications medium used for the purpose of passing data between two or more nodes.

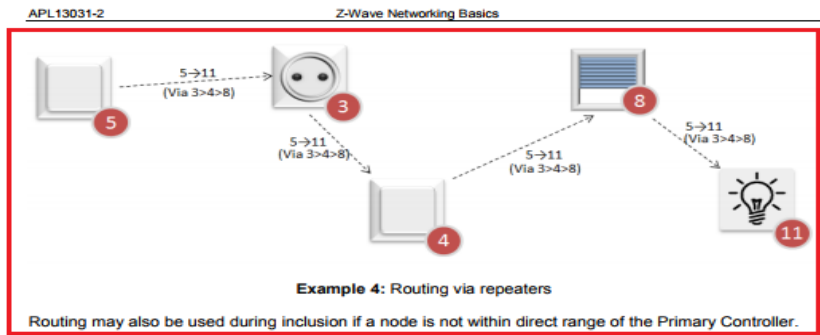
Node: any network device that contains a G.9959 transceiver. In the context of this Recommendation, use of the term 'node' without a qualifier means 'G.9959 node'.

(<https://z-wavealliance.org/wp-content/uploads/2015/02/ZAD12837-1.pdf>).

The Z-Wave Protocol handles transmissions to destinations all over the network. If necessary, other nodes are used as repeaters. This is called routing.

During bootstrapping, the Primary Controller asks the new node to discover its neighbors. Thanks to the neighbor nodes information, the Primary Controller builds a network map and knows the different possible routes to reach a node.

When using repeaters, the Sending node includes the route information in the frame. Each repeater parses the routing information and forwards the frame accordingly.



<http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>

ComfortLink™ XL850 Control

Connects with Nexia™ Home Intelligence



https://www.trane.com/content/dam/Trane/residential/downloads/brochure/thermostats/62_7163-01_Web.pdf

Nexia Home Starter Bundle



Our home starter bundle makes it easier than ever to tap into the convenience of home automation. The Nexia Home Bridge serves as the hub for the Nexia system, using Z-wave technology to communicate wirelessly with products throughout the home. The appliance module automates lamps, fans, small TVs, and other small home appliances. Even more, the appliance module extends the range of the Bridge to reach even the farthest corner of your favorite room. Together, these two products build a simple, mobile, and scalable foundation for home automation.

- The Nexia Bridge allows you secure home management through your online Nexia account and app.
- The Nexia Bridge connects to your router to allow you to control your home through a securely encrypted broadband Internet connection.
- It communicates wirelessly with many other Nexia-compatible Z-Wave products, allows

<https://www.nexiahome.com/certified-products/nexia-home-starter-bundle>).

TECH SPECS

- Model: SK101
- Weight: 14.2 ounces
- Dimensions 1.4 x 4 x 4.8 inches
- Compatibility: Most Z-wave devices
- Range: 60-100 feet
- Environment: Only use indoors
- Communications: 908.42 Mhz (Z-Wave® RF)
- Power: 5v-1amp (Class 2 power supply included)
- Capacity: 230 Z-wave devices
- Warranty: 1 year limited
- Battery: One 9v battery can be used during enrollment to take bridge to installed products (Ex: lock, thermostat)
- Appliance Module: This bundle includes an appliance module so you can control lights or small appliances. It also strengthens the range of your network.

<https://www.nexiahome.com/certified-products/nexia-home-starter-bundle>).

Appliance Module/ Z-Wave Repeater



Control your lights and small appliances while extending your Z-wave signal with the Schlage Home Appliance Module. Turn on or off lamps, fans, small appliances, and more from your smartphone, tablet, or computer with Nexia. This appliance module is perfect if you want to control lighting without messing with wiring – just plug it in.

- Works with all types of lighting (Incandescent, CFL, LED, etc) and small appliances
- Reduce energy use and save money by turning lights and small appliances off
- Set Nexia to automatically trigger "lights on" with a Schlage Home Keypad Lock when you enter your code at the door
- Acts as a Z-Wave repeater, expanding the reach of Nexia in your home by extending its range up to 50-100 additional feet
- A Z-Wave®-certified product

<https://www.nexiahome.com/certified-products/schlage-home-appliance-module>).

Z-Wave Door and Window Sensor



Monitor activities at your home with this Z-Wave Door and Window Sensor. Choose to receive mobile or email alerts when a door or window is opened. Use the Door/Window sensor to trigger video recording or turn on the lights. View the status of the sensor from your smartphone, tablet, or computer.

- Simple installation and set up—just stick on
- Incorporate into automations so the lights turn on where there is activity
- Set Nexia to record a short video when activity is present
- Set Nexia to notify you with a mobile or email alert when activity is detected in the home
- Check in to see if the doors and windows are closed at night
- Chose white or brown covers included in each package

*Requires the Nexia Bridge (BR100 or BR200) or Trane XL824/ 850 thermostat, American Standard Gold 824/ 850 thermostat or Trane ComfortLink II XL 1050 in order to communicate with your Nexia app.

<https://www.nexiahome.com/certified-products/schlage-home-appliance-module>).

Z-Wave Door and Window Sensor



Monitor activities at your home with this Z-Wave Door and Window Sensor. Choose to receive mobile or email alerts when a door or window is opened. Use the Door/Window sensor to trigger video recording or turn on the lights. View the status of the sensor from your smartphone, tablet, or computer.

- Simple installation and set up—just stick on
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<https://www.nexiahome.com/certified-products/schlage-home-door-and-window-sensor>).

TECH SPECS

- Model# RS100HC
- Battery: 3V Lithium CR123A. Battery Included. Expected life 2 years
- Range: 50-100 feet
- Installation: Attach with adhesive or screws
- Weight: 3.2 oz
- Compatibility: Most Z-wave systems
- Environment: Indoor only
- Dimensions: 3.5" x 1.75" x 1"
- Communications: 908.42 Mhz (Z-Wave® RF)
- Warranty: 1 year limited

(<https://www.nexiahome.com/certified-products/schlage-home-door-and-window-sensor>).

GE Z-Wave Wireless Lighting Control On/Off Switch



The GE Wireless Lighting Control On/Off Switch allows you to control any type of lighting in your home. As a replacement for your current light switch, this wireless control switch allows you to turn on/off functions hard-wired incandescent, LED, xenon, halogen and fluorescent lighting. You can control one room or your entire house. With Nexia™ Home Intelligence, you can monitor and control your lights even when you're not home—all from any Internet-enabled smart phone, tablet or computer.

- Provides Z-wave wireless and manual control
- LED indicator allows easy location of switch in dark room
- Requires in-wall installation with hard-wired connections
- Easily connects using existing wires

(<https://www.nexiahome.com/certified-products/ge-z-wave-wireless-lighting-control-onoff-switch>).

Linear Z-Wave LED Light Bulb



The Linear LED bulb is a fully-dimmable, instant-on, screw-in light bulb that provides pleasant soft-white illumination equivalent to a 60-watt incandescent bulb, yet uses much less energy.

The bulb offers an estimated 22-plus year life (3 hrs/day avg), and remote control through Nexia.

- Turn on, off, and dim the LED bulb via the Nexia web and mobile app
- Fully compatible with Nexia Automations
- Equivalent to 60 Watt
- 22.8 year life at 3 hrs per day average use
- Voice control possible with Amazon's Alexa or Google Home

 [Download The LED Light Bulb Manual](#)

(<https://www.nexiahome.com/certified-products/z-wave-led-light-bulb>).

Ecolink Garage Door Tilt Sensor



Affordable garage door tilt sensor communicates with the Nexia bridge and smartphone app to indicate door position (open or closed). Enhances home security and provides peace of mind that the door was not inadvertently left open, and can be monitored remotely from anywhere. Easily added to the inside of an overhead garage door in just a few minutes using mounting screws or double sided tape. Can be used with Nexia Automations to act as a trigger when the door is opened or closed (or in a certain state for a defined length of time) to send an alert to your smartphone or trigger another action.

- Communicates with Z-Wave gateway and smartphone app to indicate garage door position is open or closed
- Features Gold Plated tilt components for industry leading reliability

(<https://www.nexiahome.com/certified-products/ecolink-tilt-sensor>).

First Alert® 2-in-1 Z-Wave Smoke & Carbon Monoxide Alarm



One of the most trusted brands in home safety, First Alert, is now integrated with Nexia to help you ensure that your home and family are protected from fire, smoke and carbon monoxide even when you're not there.

- Receive a text or email alert when smoke or carbon monoxide is detected in your home
- Receive an alert when your battery is low
- Set up an automation so that lights turn on when the alarm is activated
- Equipped with a photoelectric smoke sensor to help reduce the likelihood of false alarms, such as those from cooking or shower steam
- Ability to mute unwanted alarms or test the alarm function with a simple button push
- Backed by a seven-year limited warranty

(<https://www.nexiahome.com/certified-products/smoke-carbon-monoxide-alarm>).

Linear® Z-Wave Garage Door Controller



Never again worry that you left the house without closing the garage door. With the Linear Z-Wave Garage Door Controller, you'll always know whether your home is secure. Conveniently monitor and operate your garage door from anywhere through the Nexia app.

- Simple, DIY installation
- Ability to open, close and monitor your garage door via the Nexia web and mobile app
- Receive alerts anytime your garage door is opened or closed
- Fully compatible with automations, including scheduled closing of your garage door
- Works with virtually all sectional automatic garage door openers; simply connects to the existing motorized opener
- Audible and visual notification prior to movement of the garage door
- Works in conjunction with your operator's built-in safety features
- Operates as a repeater in the Z-Wave mesh network

<https://www.nexiahome.com/certified-products/linear-z-wave-garage-door-controller>).

Schlage® Z-Wave Keypad Deadbolt



Secure your home with the Schlage Home Keypad Deadbolt. You no longer have to worry about losing keys, being locked out, or hiding a spare. You can control the security of your home even when you're not there—all from any Internet-enabled smart phone, tablet or computer with Nexia.

- Grade 2 ANSI/BHMA-certified
- Deadbolts with a 1-inch thick bolt for added security
- Epoxy coated buttons to prevent fading and wear
- Wireless installation in just minutes
- Guaranteed to fit existing pre-drilled standard doors

*Requires the Nexia Bridge (BR100 or BR200) or Trane XL824/850 thermostat, American Standard Gold 824/850 thermostat or Trane ComfortLink II XL 1050 in order to communicate with your Nexia app.

**Requires a paid Nexia Subscription. Link [here](#) for our subscription plans outlined.

<https://www.nexiahome.com/certified-products/schlage-home-keypad-deadbolt>).

21. Each Accused Instrumentality has an appliance interface for communicating with the at least one local appliance (e.g., an interface which connects and makes possible the transmission of a signal to the actual electrical appliance like light, switch, HVAC). For example, the Z-wave repeater communicates with the appliance plugged into the outlet, the Z-

wave communicates with the connected light, and ComfortLink communicates with the HVAC unit. (*Supra* ¶20).

22. Each Accused Instrumentality has a microcomputer connected between the satellite radio transceiver (*e.g.*, Z-Wave transceiver) and the appliance interface and having first program instructions for controlling the satellite transceiver (*e.g.*, the microcontroller controls the transmission of signals from the transceiver to the other Z-Wave nodes in the network), and second program instructions for directing communication between the satellite transceiver and the appliance interface (*e.g.*, the microcontroller within the Z-Wave device enables the command received from the primary controller by the Z-Wave transceiver to be communicated to the appliance interface of the device so that the intended action can be executed such as switch on/off a light or plugged in device, control temperature, dim a light). (*Supra* ¶20; <https://standards.ieee.org/getieee802/download/802.15.4-2011.pdf>).

Take control of your home's heating, cooling and energy usage with the state-of-the-art ComfortLink™ XL850 Control. Designed especially for use with ComfortLink™ enabled and variable speed systems, this control comes equipped with a built-in Nexia™ Home Intelligence Bridge, you can remotely control and schedule the thermostat—and up to 200 additional Z-Wave products throughout your home—from any Internet-enabled smartphone, tablet or computer.



▶ Adjust heating or cooling temperatures from your smartphone before arriving home.



▶ Receive high- and low-limit temperature alerts via text and email if your heating and cooling system does not maintain the desired temperature settings.*



▶ Adjust heating and cooling temperatures remotely while on vacation or for a rental home as the seasons change.



▶ View system-generated usage charts so you can make temperature/schedule adjustments to manage energy consumption.

https://www.trane.com/content/dam/Trane/residential/downloads/brochure/thermostats/62_7163-01_Web.pdf.



Z-Wave's physical and media access layers (PHY/MAC) have been ratified by the International Telecommunication Union (ITU) as the international standard (G.9959). The Z-Wave Standard is administered by the Z-Wave Alliance which serves as the Standards Development Organization (SDO) for Z-Wave.

Together, Sigma Designs, the Z-Wave Alliance and the over 450 international companies that use Z-Wave technology in their products and services present the largest ecosystem of interoperable wireless control products in the world. The Z-Wave mesh communication protocol stack is embedded in the available chips and modules, and is accessed through a complete set of APIs. Z-Wave chips and modules provide Flash or OTP memory options for the manufacturer or OEM's application software.

For many products, the Z-Wave chip or module, with its on-board micro-controller, is all that is needed for a complete Z-Wave solution. For companies that choose chip-based over module-based solutions, a range of blueprints of the PCB

circuitry surrounding the Z-Wave Single Chip is offered, including antenna circuitry and filters. Sigma Designs also licenses reference designs, stack software and APIs to chip manufacturers that are interested in entering the wireless control space, providing Z-Wave porting services that assure quality and accelerate product development. Z-Wave's industry-leading device specifications are available royalty free, based on a RAND model. The Z-Wave certification program ensures interoperability between all products.

(<https://Z-Wavealliance.org/Z-Wave-oems-developers/>).

The Version Command Class, version 2 is extended to report the version of various firmware images such as a host processor firmware, etc. in addition to the firmware image running in the Z-Wave chip.

As an example, one may construct a product comprising a Z-Wave chip and a secondary host processor that maintains a security certificate. With Firmware Update Meta Data Command Class, version 3 the Z-Wave chip, the host processor and the security certificate may all be updated via individual firmware IDs. Version 2 of the Version Command Class (this Command Class) allows a controlling node to request the corresponding version information for each firmware ID.

Commands not mentioned here remain the same as specified for Version Command Class, version 1.

4.20.1 Version Report Command

This command is used to report the library type, protocol version and application version from a node.

Version 2 of this command renames the fields Application Version and Application Sub Version to Firmware 0 Version and Firmware 0 Sub Version. The use remains the same.

A node MUST advertise the version of all firmware images which can be updated via the Firmware Update Command Class.

A one-chip system MUST comply with the following:

- The Firmware 0 Version MUST reflect the complete firmware implementing the Z-Wave protocol stack as well as the Z-Wave application.

A multi-processor system MUST comply with the following:

- The Firmware 0 Version MUST reflect the firmware implementing the Z-Wave protocol stack and the inter-chip interface module that enables the Z-Wave application to run in the host processor. Another firmware number (e.g. Firmware 1) version MUST reflect the Z-Wave application that runs in the host processor. Any firmware number larger than 0 MAY be used for this purpose.

(http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13782-4%20Z-Wave%20Management%20Command%20Class%20Specification.pdf).

23. Each Accused Instrumentality provides first program instructions including detecting communications directed by the headend computer (e.g., primary controller, in this case ComfortLink control panel) relative to the same appliance controller (e.g., targeted Z-Wave

node), signaling receipt of the directed communications (*e.g.*, sending acknowledgement signal through the Z-Wave transceiver), and directing communications to the headend computer relative to the same appliance controller (*e.g.*, sending status of an appliance or signal from a connected sensor). For example, the ComfortLink control panel can send/receive messages to program various connected Z-Wave devices; the Smart Plug can receive communications to turn on or off appliances or can communicate regarding the status of the appliance; the Z-Wave switch can receive communications to program connected lighting sources or communicate regarding the status of the switch. (*Supra* ¶20; <https://standards.ieee.org/getieee802/download/802.15.4-2011.pdf>).

24. Each Accused Instrumentality has a second program instructions including detecting relay communications directed between the headend computer and a different relay unit, transmitting the relay communications, detecting a reply communication from the different relay unit, and transmitting the reply communication to the headend computer, wherein at least some of the relay units communicate with the headend computer by relay communications using at least two others of the relay units (*e.g.*, a Z-Wave node detects messages from primary controller and checks whether message is intended for itself, if not, then acting as a repeater, transmits it to next intended device in the route; the Z-Wave node detects messages from another Z-Wave node and forwards it to primary controller). The Accused Instrumentality works on Z-Wave technology which uses mesh network and would communicate with the headend computer by relay communications using at least two others of the relay units (*e.g.*, repeaters). (*Supra* ¶20; <https://standards.ieee.org/getieee802/download/802.15.4-2011.pdf>; <https://www.zwaveproducts.com/learn/ask-an-expert/glossary/mesh-network>;

<http://docslide.us/documents/Z-Wave-technical-basics-small.html>;

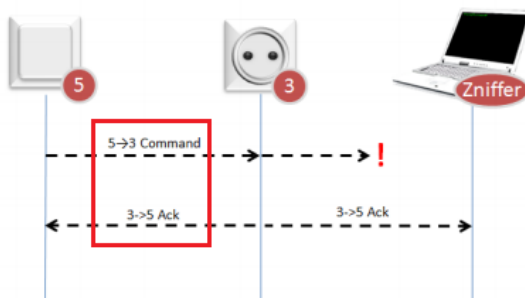
<http://www.zwaveproducts.com/learn/Z-Wave>).

Each frame carries a checksum. A Receiving node can verify the frame integrity thanks to this checksum. Invalid frames are discarded.

A Receiving node returns an Ack message in order to confirm that the frame has been received. If no Ack is received by the Sending node, it must assume that the transmission failed. The Sending node will then retransmit the same message until it gets feedback from the Receiving node. After three unsuccessful transmissions, the Sending node will consider the link to be down.

Ack messages are sent to confirm the frame integrity and do not imply that the Receiving node has understood or executed the command.

Local differences in wireless link quality may cause a Z-Wave network analyzer (known as a Zniffer) not to see the same transmissions as nodes participating in a transmission.



Example 3: Network analysis issues

(<http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>).

This command is used to set the network route to use when sending commands to the specified NodeID.

The use of this command is NOT RECOMMENDED.

7	6	5	4	3	2	1	0
COMMAND_CLASS = NETWORK_MANAGEMENT_INSTALLATION_MAINTENANCE							
COMMAND = PRIORITY_ROUTE_SET							
NodeID							
Repeater 1 [First repeater]							
Repeater 2							
Repeater 3							
Repeater 4 [Last repeater]							
Speed							

NodeID (1 byte)

This field is used to specify the destination NodeID for which a last working route MUST be set.

Repeater (4 bytes)

This field is used to specify repeaters for the route. Each byte represents a NodeID and the first field (Repeater 1) is the first repeater of the route.

The value 0x00 MUST indicate that the byte does not represent a repeater. If the route is shorter than four repeaters, unused repeaters fields MUST be set to 0x00. If Repeater 1 is set to 0x00, it means that the Last Working Route is direct (nodes are within direct reach).

(http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13784-4%20Z-Wave%20Network-Protocol%20Command%20Class%20Specification.pdf).

The Z-Wave Protocol handles transmissions to destinations all over the network. If necessary, other nodes are used as repeaters. This is called routing.

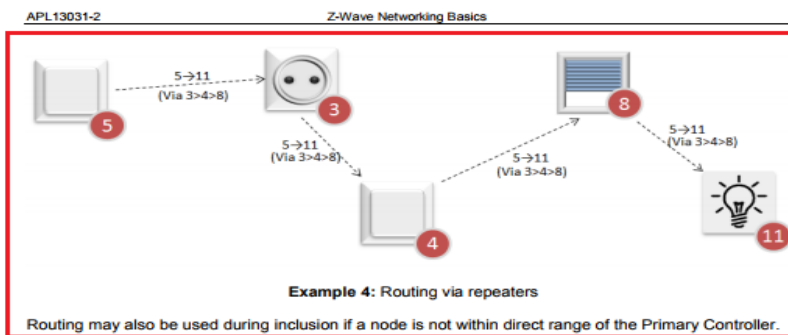
During bootstrapping, the Primary Controller asks the new node to discover its neighbors. Thanks to the neighbor nodes information, the Primary Controller builds a network map and knows the different possible routes to reach a node.

When using repeaters, the Sending node includes the route information in the frame. Each repeater parses the routing information and forwards the frame accordingly.

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(<http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>).

III. COUNT II **(PATENT INFRINGEMENT OF UNITED STATES PATENT NO. 6,873,245)**

25. Plaintiff incorporates the above paragraphs herein by reference.

26. On March 29, 2005, United States Patent No. 6,873,245 (“the ‘245 Patent”) was duly and legally issued by the United States Patent and Trademark Office. The application leading to the ‘245 patent was filed on August 14, 2001, and is a continuation-in-part of the application leading to the ‘166 Patent. (Ex. B at cover). The ‘245 Patent is titled “RF Remote

Appliance Control/Monitoring System.” A true and correct copy of the ‘245 Patent is attached hereto as Exhibit B and incorporated herein by reference.

27. Plaintiff is the assignee of all right, title and interest in the ‘245 patent, including all rights to enforce and prosecute actions for infringement and to collect damages for all relevant times against infringers of the ‘245 Patent. Accordingly, Plaintiff possesses the exclusive right and standing to prosecute the present action for infringement of the ‘245 Patent by Defendant.

28. Because the ‘245 patent is a continuation in part of the application leading to the ‘166 patent, the ‘245 patent has a substantially overlapping specification and the background regarding the ‘166 patent is equally applicable and is incorporated by reference with respect to the ‘245 patent. (*Supra* ¶¶11-17).

29. **Direct Infringement.** Upon information and belief, Defendant has been directly infringing at least claim 1 of the ‘245 patent in the Eastern District of Texas and Texas, and elsewhere in the United States, by performing actions comprising making, using, selling, and offering for sale an appliance controller for a distributed appliance systems having a multiplicity of appliances, and a plurality of relay units, that satisfies the limitations of at least claim 1, including without limitation the Nexia Home Bridge, Nexia Appliance Module, Z-Wave Repeater, Z-Wave Door/Window Sensor, Z-wave Light Control, Z-Wave LED Bulb, Z-Wave Strips, Z-Wave Door Tilt Sensor, Z-Wave Smoke/Carbon Monoxide Alarm, Z-Wave Garage Door Controller or Z-Wave lock (“Accused Instrumentality”).

30. Each Accused Instrumentality provides an appliance controller (*e.g.*, a Z-Wave Controller, in this case Nexia Appliance Module, Z-Wave Repeater, Z-Wave Door/Window Sensor, Z-wave Light Control, Z-Wave LED Bulb, Z-Wave Strips, Z-Wave Door Tilt Sensor, Z-

Wave Smoke/Carbon Monoxide Alarm, Z-Wave Garage Door Controller or Z-Wave lock) for a distributed appliance system (*e.g.*, Z-Wave network) having a multiplicity of appliances (*e.g.*, appliances such as lights, plug-in appliance, HVAC), and a plurality of relay units (*e.g.*, repeaters), one of the relay units being the appliance controller (*e.g.*, a Z-wave Controller). (*Supra* ¶20; http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13782-4%20Z-Wave%20Management%20Command%20Class%20Specification.pdf; <http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>; https://www.trane.com/content/dam/Trane/residential/downloads/brochure/thermostats/62_7163-01_Web.pdf; <https://www.nexiahome.com/certified-products/nexia-home-starter-bundle>).

31. Each Accused Instrumentality has a low power satellite radio transceiver (*e.g.*, radio frequency transceivers within the various Z-Wave devices) having a range being less than a distance to at least some of the appliances. (*Supra* ¶20).

32. Each Accused Instrumentality has an appliance interface for communicating with the at least one local appliance (*e.g.*, an interface which connects and makes possible the transmission of signal to the actual electrical appliance like light, plug-in appliances, HVAC). (*Supra* ¶20).

33. Each Accused Instrumentality has a microcomputer (*e.g.*, microcontroller) connected between the satellite radio transceiver (*e.g.*, Z-Wave transceiver) and the appliance interface and having first program instructions for controlling the satellite transceiver (*e.g.*, the microcontroller controls the transmission of signals from the transceiver to the other Z-Wave nodes in the network) and second program instructions for directing communication between the satellite transceiver and the appliance interface (*e.g.*, the microcontroller within the Z-Wave

device enables the command received from the appliance interface to be communicated to the local appliance by the Z-Wave transceiver so that the intended action can be executed such as switch on/off a light, control temperature, turn off a plug). (*Supra* ¶¶20, 22; <https://Z-Wavealliance.org/Z-Wave-oems-developers/>; http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13782-4%20Z-Wave%20Management%20Command%20Class%20Specification.pdf; <http://www.rfwireless-world.com/Tutorials/Z-Wave-physical-layer.html>).

34. Each Accused Instrumentality has a first program instructions including detecting communications directed by another of the relay units (*e.g.*, another Z-Wave node acting as a repeater) relative to the same appliance controller (*e.g.*, targeted Z-Wave node), signaling receipt of the directed communications (sending acknowledgement signal through the Z-Wave transceiver), and directing communications to the other of the relay units relative to the same appliance controller (*e.g.*, sending status of an appliance or signal from a connected sensor). For example, the ComfortLink control panel can send/receive messages to program various connected Z-Wave devices through other Z-Wave devices in the network acting as repeaters; the Z-Wave repeater can receive communications to turn on or off appliances or can communicate regarding the status of the appliance; the Z-Wave lighting control can receive communications to program connected lighting sources or communicate regarding the status of the switch. (*Supra* ¶20; <http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>; http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13784-4%20Z-Wave%20Network-Protocol%20Command%20Class%20Specification.pdf).

35. Each Accused Instrumentality has a second program instructions including detecting relay communications directed between the another of the relay units and a different relay unit, transmitting the relay communications, detecting a reply communication from the different relay unit, and transmitting the reply communication to the other of the relay units, wherein at least some of the relay units communicate with others of the relay units by relay communications using at least two others of the relay units (*e.g.*, a Z-Wave node detects messages from primary controller and checks whether message is intended for itself, if not, then acting as a repeater, transmits it to next intended device in the route. Also, the Z-Wave node detects messages from another Z-Wave node and forwards it to primary controller. N number of nodes may be involved in the process acting as repeaters or relay units). The Accused Instrumentality work on Z-Wave technology which uses mesh network and would communicate with the other relay units by relay communications using at least two others of the relay units (*e.g.*, repeaters). (*Supra* ¶¶20, 24; <http://zwavepublic.com/sites/default/files/APL13031-2%20-%20Z-Wave%20Networking%20Basics.pdf>; http://zwavepublic.com/sites/default/files/command_class_specs_2017A/SDS13784-4%20Z-Wave%20Network-Protocol%20Command%20Class%20Specification.pdf; <https://www.zwaveproducts.com/learn/ask-an-expert/glossary/mesh-network>; <http://docslide.us/documents/Z-Wave-technical-basics-small.html>; <http://www.zwaveproducts.com/learn/Z-Wave>).

36. Plaintiff has been damaged as a result of Defendant's infringing conduct. Defendant is thus liable to Plaintiff for damages in an amount that adequately compensates Plaintiff for such Defendant's infringement of the '166 Patent and the '245 Patent, *i.e.*, in an amount that by law cannot be less than would constitute a reasonable royalty for the use of the

patented technology, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

37. On information and belief, Defendant will continue its infringement of one or more claims of the '166 Patent and the '245 Patent unless enjoined by the Court. Each and all of the Defendant's infringing conduct thus causes Plaintiff irreparable harm and will continue to cause such harm without the issuance of an injunction.

38. On information and belief, Defendant has had at least constructive notice of the '166 Patent and the '245 Patent by operation of law, and there are no marking requirements that have not been complied with.

IV. JURY DEMAND

Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial by jury of any issues so triable by right.

V. PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests that the Court find in its favor and against Defendant, and that the Court grant Plaintiff the following relief:

- a. Judgment that one or more claims of United States Patent No. 6,275,166 have been infringed, either literally and/or under the doctrine of equivalents, by Defendant;
- b. Judgment that one or more claims of United States Patent No. 6,873,245 have been infringed, either literally and/or under the doctrine of equivalents, by Defendant;
- c. Judgment that Defendant account for and pay to Plaintiff all damages to and costs incurred by Plaintiff because of Defendant's infringing activities and other conduct complained of herein;
- d. That Plaintiff be granted pre-judgment and post-judgment interest on the damages caused by Defendant's infringing activities and other conduct complained of herein;

- e. That Defendant be permanently enjoined from any further activity or conduct that infringes one or more claims of United States Patent No. 6,275,166; and
- f. That Defendant be permanently enjoined from any further activity or conduct that infringes one or more claims of United States Patent No. 6,873,245; and
- g. That Plaintiff be granted such other and further relief as the Court may deem just and proper under the circumstances.

Dated: October 26, 2018

Respectfully submitted,

/s/ David R. Bennett

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