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8
9 **UNITED STATES DISTRICT COURT**
10 **NORTHERN DISTRICT OF CALIFORNIA**
11 **SAN FRANCISCO DIVISION**

12 YANBIN YU and ZHONGXUAN
ZHANG,
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14 Plaintiffs,
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16 v.
APPLE INC., a California Corporation,
17
18 Defendant.

Case No. 3:18-cv-06181-JD

FIRST AMENDED COMPLAINT FOR
PATENT INFRINGEMENT

DEMAND FOR JURY TRIAL

Judge: Hon. James Donato
Trial Date: July 13, 2020

1 **FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT**

2 Plaintiffs Yanbin Yu (“Yu”) and Zhongxuan Zhang (“Zhang”) (collectively “Plaintiffs”)
3 hereby file this First Amended Complaint (“FAC”) against Apple Inc. (“Apple” or “Defendant”)
4 seeking damages for Apple’s direct and indirect willful infringement of U.S. Patent No.
5 6,611,289 (the “’289 Patent”), and allege as follows:

6 **THE PARTIES**

7 1. Yu is an individual and a resident of the State of California who resides in
8 Fremont, California.

9 2. Zhang is an individual and a resident of the State of California who resides in San
10 Diego, California.

11 3. Apple is a California corporation having its headquarters and principal place of
12 business located at One Apple Park Way, Cupertino, California, 95014.

13 **JURISDICTION AND VENUE**

14 4. This Court has original subject matter jurisdiction over this action pursuant to 28
15 U.S.C. §§ 1331 and 1338(a) because this action arises under the patent laws of the United States,
16 35 U.S.C. §§ 1 et seq.

17 5. This Court can exercise personal jurisdiction over Apple because Apple’s
18 headquarters and principal place of business are located in this District, and therefore Apple’s
19 affiliations with this District are so substantial as to render it essentially at home in this District.
20 Additionally, this Court can exercise personal jurisdiction over Apple in this action because
21 Apple has committed acts of infringement and/or inducement of infringement in this District,
22 because Plaintiffs’ claims arise out of and relate to Apple’s acts of infringement and/or
23 inducement of infringement in this District, and because the exercise of jurisdiction by this Court
24 over Apple in this action would be reasonable. Accordingly, Apple has minimum contacts with
25 this District such that the maintenance of this action within this District would not offend
26 traditional notions of fair play and substantial justice.

1 large registration errors between the images generated by the multiple image sensors. The '289
2 Patent, on the other hand, avoided the need for such a complex lens arrangement by making the
3 image sensors “closely positioned with respect to a common plane.” In other words, the
4 unconventional approach of the '289 Patent provided a digital camera architecture that was less
5 complex than prior designs, while at the same time achieving improved image quality.

6 11. The '289 Patent covers only the specific configurations and types of image
7 sensors recited in the claims. It does not cover camera architectures that utilize only a single lens
8 or a single image sensor, camera architectures that do not include either at least one image sensor
9 that is “sensitive to a full region of visible color spectrum” (claims 1 and 6) or at least four
10 sensors that are “monochromatic and identical in resolution” (claim 26), or camera architectures
11 that rely on complex lens arrangements to direct color components to the respective image
12 sensors instead of utilizing image sensors that are “closely positioned with respect to [or in] a
13 common plane.” And, the '289 Patent covers only the specific types of image processing recited
14 in the claims, specifically “producing a resultant digital image from said first digital image
15 enhanced with said second digital image” (claim 1), “producing a color image of said imaging
16 target from said four digital images” (claim 6), or “producing a color image from said three
17 scalar digital images processed in conjunction with said gray digital image” (claim 26). None of
18 the '289 Patent claims cover image enhancement that utilizes multiple images taken from the
19 same image sensor, even on cameras that include multiple lenses and multiple sensors. For
20 example, many digital cameras – including those that include multiple lenses and multiple
21 sensors – capture high dynamic range (“HDR”) photographs by taking multiple pictures at
22 different exposures in rapid succession using the same image sensor. While this approach to
23 capturing HDR photographs involves enhancing a first digital image with a second digital image,
24 it is not covered by the '289 Patent claims because the images are not captured by first and
25 second image sensors. Nor do any of the '289 Patent claims cover combining, side-by-side,
26 images captured from multiple image sensors to create a single panoramic image, since that form
27 of image processing does not involve enhancing one digital image with a second digital image.
28

1 Thus, the breadth of the '289 Patent claims is limited to very specific camera architectures, and
2 to very specific forms of image processing that are performed using those architectures.

3 12. The problems described in the '289 Patent specification as being solved by the
4 claimed invention make clear that the focus of the claims is on improving the functionality of
5 digital cameras, and not merely on using a digital camera as a conduit for performing image
6 processing in the abstract. A first problem identified in the specification was that digital cameras
7 that existed at the time had limited resolutions compared with film cameras:

8 Nevertheless, there are many cases in which digital cameras simply could
9 not be used due to the limited resolutions from today's digital cameras.
10 Film-based photographs have immeasurably higher resolutions than digital
cameras. The comparison magnitude may be somewhere millions of pixels
versus tens thousands of pixels in the digital cameras.

11 ('289 Patent at 1:40-45). The specification also explains that simply using image sensors having
12 a larger number of pixels was not, at that time, a viable solution to the problem of low image
13 resolution because the costs would be prohibitive:

14 Although, it is theoretically possible to design a photosensitive chip with
15 multimillion of pixels, the cost of such chip would be a forbidden number
and may consequently drag the digital cameras out of the consumer
16 market.

17 ('289 Patent at 1:46-49). The specification further explains that:

18 To have color images with higher resolutions, the number of photocells in
19 a sensor must be increased. The actual design and manufacturing cost for a
higher resolution sensor, however, would be evaluated at many
20 magnitudes of the lower resolution sensors.

21 ('289 Patent at 1:66-2:3). Consequently, the specification concludes that "there is a great need
22 for a generic solution that makes digital cameras capable of producing high resolution images
23 without enormously incurring the cost of photosensitive chips with multimillion photocells."

24 This is a first problem that was solved by the invention of the '289 Patent.

25 13. A second problem described in the '289 Patent specification as being solved by
26 the claimed invention was the low dynamic range of images captured by digital cameras
27 compared with film cameras:

28 A second noticeable quality between digital cameras and film-based
cameras is the dynamic range. Films have the necessary chemical

1 pigments to make colors much more vivid and more adaptive to light
2 conditions than current digital cameras can do. This is largely due to the
3 limited pixel depth the current digital cameras could produce and the
4 limited sensitivity of the photocells in the image sensor.

5 ('289 Patent at 2:8-14). Consequently, "[t]here is thus a further need for digital cameras that
6 produce better colors and details in a greater range." ('289 Patent at 2:15-16). The invention of
7 the '289 Patent also solved this second problem associated with prior digital cameras.

8 14. Additionally, the '289 patent noted that it is always desirable to improve image
9 quality in digital cameras, stating that:

10 There are many other quality factors that limit the popularity of digital
11 cameras although it is well understood that the digital cameras are the
12 much preferred image acquisition means. Solutions that fundamentally
13 improve the image qualities without incurring substantial cost are always
14 welcome and being seriously and continuously sought.

15 ('289 Patent at 2:17-22). The '289 Patent also addressed this broader issue by providing a camera
16 architecture that can produce digital images having improved qualities. These problems that are
17 described in the specification, and that were addressed by the '289 Patent, demonstrate that the
18 focus of the claimed invention is an improvement in the functionality of digital cameras.

19 15. The '289 Patent specification explains that it solved these technological problems
20 associated with prior digital cameras by providing a technological solution, specifically an
21 improved digital camera architecture:

22 The present invention has been made in consideration of the above
23 described problems and needs and has particular applications to digital
24 cameras that are demanded to produce digital images of high qualities.
25 According to one aspect of the present invention, an improved digital
26 camera uses four image sensors, each having its own lens, of which three
27 image sensors are made responsive to the three primary colors and the
28 fourth one made responsive to all intensity information.

29 ('289 Patent at 2:36-44). The '289 Patent explains that the improved digital architecture of this
30 embodiment can produce digital images having improved quality:

31 Using a set of digital image processes embedded in a digital signal
32 processing chip, images from the three color image sensors are processed
33 with reference to the image from the black-and-white image sensor and
34 subsequently produce high quality and film-like true color digital images.

1 ('289 Patent at 2:44-49). The '289 Patent also describes additional benefits associated with the
2 improved digital camera architecture of this embodiment:

3 With the unique configuration, there are many obvious benefits and
4 advantages. First, the resolutions of the image sensors are fully used.
5 Second each of the image sensors is only responsible for one color;
6 thereby the expensive process of coating a mosaic of selectively
7 transmissive filters superimposed in pixel-based registration on one image
8 sensor is eliminated and subsequently no micro-lenses process is needed.
9 Third, the image from the black-and-white image sensor captures all
10 information including details that the three color image sensors may have
11 missed. Further, because the resolutions of the image sensors are fully
12 used, for the same resolution of color images, the image sensors would
13 relatively have smaller number of pixels, which typically leads to high
14 yield, higher sensitivity, less cross-talking, and lower clocking rate.
15 Besides, the size of the image sensors could be smaller, resulting in
16 smaller optical lenses.

17 ('289 Patent at 2:50-65). More benefits associated with various embodiments of the improved
18 digital camera architecture of the '289 Patent are described in the specification:

19 As will be appreciated below, there are many other features in the present
20 invention including high sensitivities, high dynamic ranges, achievement
21 of true colors and increased SNR (signal-to-noise ratio). ('289 Patent at
22 7:3-7).

23 Further, with images of the same imaging target from the multiple sensors,
24 it is possible to enhance images, such as noise removal and color
25 correction at 820. More importantly, a true color image with true
26 resolutions is derived from the enhanced images at 830. ('289 Patent at
27 10:13-16).

28 In other words, the '289 Patent solved the problems of low image resolution, low dynamic range,
low signal-to-noise ratio ("SNR"), inaccurate color reproduction, and low image quality
associated with prior digital cameras by providing an improved camera architecture that could
produce high quality images while using both smaller image sensors (having higher yield, higher
sensitivity, less cross-talking, and lower clocking rate) and smaller optical lenses.

16. The description of the invention in the '289 Patent specification also makes clear
that the focus of the claims is on improving the functionality of digital cameras by providing a
camera architecture employing a specific configuration and type of image sensors. The
specification discloses several different embodiments of the claimed camera architecture, some

1 using four image sensors and others using two image sensors. For example, one embodiment,
2 which is depicted in Fig. 3, is described as including four image sensors of a specific type:

3 FIG. 3 shows a block diagram of an improved digital camera 300
4 employing multiple lens and sensors according to one embodiment of the
5 present invention. Fundamentally and distinctly different from existing
6 digital cameras, improved digital camera 300 uses four identical image
7 sensors 302, 404, 306, and 308. ('289 Patent at 4:62-67).

8 Each of image sensors 302, 304, 306, and 308 is integrated respectively
9 with a uniform transmissive filter, not shown explicitly in the figure,
10 referred to as a color filter herein. To be more specific, if output 318 of
11 image sensor 302 is designated for a red signal, the color filter is basically
12 a red filter only transmitting red portion of target 326. Similarly the color
13 filters for image sensors 304 and 306 are a green filter and a blue filter,
14 respectively. It should be pointed out that red, green and blue filters in the
15 present example are preferable, but may be integrated into a lens. That
16 means that lenses 310, 312 and 314 are colored accordingly according to
17 another embodiment. Further other choices of three primary colors will
18 work the same as more explained below. ('289 Patent at 5:14-27).

19 The fourth image sensor 308 is not specifically coated with a color filter.
20 According to one embodiment, fourth image sensor 308 is integrated with
21 filter 316 that is full transparent, allowing all components of visible light
22 to pass through. In other words, there may not need any filter in front of
23 image sensor 208 according to one aspect of the present invention.
24 Because some image sensors like CCD types tend to have high sensitivity
25 in red portion or beyond in the light spectrum, potentially decreasing
26 image quality. It is preferable to have a proper light (band) filter that
27 obstructs anything beyond the visible light spectrum (430 nm~680 nm).
28 ('289 Patent at 4:28-40).

18 The '289 Patent specification also describes other embodiments using specific configurations
19 and types of image sensors. For example, another embodiment employing four image sensors is
20 described as follows:

21 In the above description of FIG. 3, it is inherently implied that image
22 sensors 302, 304, 306 and 308 are identical. It is true when the primary
23 colors are red, green and blue. However, those skilled in the art will
24 understand that image sensors 302, 304, 306 and 308 being identical is not
25 the requirement to practice the present invention. For example, image
26 sensors 302, 304 and 306 are integrated with filters that may cause the
27 image sensors to produce images signals similar to YIQ signals used in
28 NTSC television system. In other words, if one of the three images from
image sensors 302, 304 and 306 produces a luminance signal representing
the light intensity of a color target 326 and the two images are the
chrominance images, the resolutions of the chrominance images can be
only one half of the luminance image, hence two of image sensors 302,
304 and 306 need to have one half of the resolutions of the third one. This
is taking the advantage of the color sensitivity in human color visions.
('289 Patent at 7:19-35).

1 Again, the focus of this four image sensor embodiment is on the types of image sensors used.
2 And, the '289 Patent also describes an embodiment employing two image sensors, again
3 focusing on the types of image sensors used:

4 Further it is also understood to those skilled in the art that the unique
5 configuration of multiple sensors and multi lenses disclosed herein may be
6 applied to black-and-white digital cameras in which there is only one
7 monochromatic image sensor sensing only the intensity of an imaging
8 target. Using an additional image sensor, such as image sensor 308 in FIG.
9 3 can help to modify image qualities of the original image from the
10 monochromatic image sensor. The following description is based on the
11 embodiment illustrated in FIG. 3, those skilled in the art can appreciate
12 that the description is equally applied to the black-and-white digital
13 cameras. ('289 Patent at 7:36-46).

14 Details of these embodiments described in the '289 Patent specification are incorporated into
15 various claims. For example, claim 1 recites first and second image sensors that must be "closely
16 positioned with respect to a common plane," and the second image sensor must be "sensitive to a
17 full region of visible color spectrum." Claim 2, which depends from claim 1, specifies that the
18 first image sensor must also be "sensitive to said full region of visible color spectrum." Claim 6
19 recites four image sensors that are "closely positioned with respect to a common plane," the first
20 three being "sensitive to three different regions of visible color spectrum," and the fourth being
21 "sensitive to a full region of said visible color spectrum." And, claim 26 similarly recites four
22 image sensors that are "closely positioned in a common plane with reference to an image target,"
23 and that are each "monochromatic and identical in resolution." Thus, the description in the '289
24 Patent specification of the image sensors used in various embodiments of the invention, and the
25 incorporation of those details into the claims, demonstrate that the focus of the invention claimed
26 in the '289 Patent is a camera architecture having specific configurations and types of image
27 sensors.

28 17. The prosecution history of the '289 Patent makes clear that the United States
Patent and Trademark Office ("USPTO") considered the claimed invention to include an
unconventional camera architecture. A copy of the '289 Patent file history is attached hereto as
Exhibit B. In particular, the patent examiner cited several prior art patents disclosing digital
cameras having multiple lenses and multiple image sensors against the '289 Patent. (1999-01-15

1 Detailed Action, pp. 2-4). Those prior art patents include U.S. Patent No. 4,506,294 (“Nagumo
2 Patent”), U.S. Patent No. 5,414,465 (“Kodama Patent”), and U.S. Patent No. 5,436,661
3 (“Yamamoto Patent”). (1999-01-15 Detailed Action, pp. 2-4). With respect to the Nagumo
4 Patent, the patent examiner stated:

5 Nagumo discloses a digital camera (solid state camera) with three image
6 sensors (1, 2, and 3) closely positioned with respect to a common plane
7 with reference to an image target, with lenses mounted in front of all
8 sensors. (See figure 7). The sensors are respectively responsive to three
9 prima[r]y colors and are thus coated with the three respective primary
10 color filters.

11 (1999-01-15 Detailed Action, pp. 2-3). Regarding the Kodama Patent, the examiner stated:

12 Kodama discloses a digital camera with three image sensors sensitive to
13 the three primary colors (red 3, green 4 and blue 5) closely positioned with
14 reference to an image target. (See figure 1.) Kodama also discloses analog
15 to digital circuitry (6, 7, 8) that digitizes the images produced by the
16 sensors of different intensities. Kodama furthermore discloses image
17 memory (frame memory 9, 10, 11) that stores the images in addition to
18 digital image processing circuitry (interpolation circuits 12, 13, 14).

19 (1999-01-15 Detailed Action, pp. 3-4). And, regarding the Yamamoto Patent, the examiner
20 stated:

21 Yamamoto, however, teaches the use of a fourth sensor that outputs a
22 green color image for use as a luminance signal. The examiner takes
23 Official Notice that it is well known in the art to substitute green color
24 images for gray intensity images with regards to obtaining luminance
25 signals. Thus, it would have been obvious to incorporate the fourth image
26 sensor of Yamamoto in the apparatus of Nagumo in order to produce a
27 gray (green) intensity image in order to improve the resolution and
28 dynamic range of a full color image signal.

Yamamoto, however, teaches the use of a fourth sensor that outputs a
second green color image sensor that is used to produce a luminance
image. The image produced from the fourth Sensor is used to enhance the
dynamic range of the mixed signal. (See column 10, lines 63-66.) Thus, it
would have been obvious to incorporate the fourth image sensor of
Yamamoto in the apparatus of Kodama in order to produce an additional
intensity image that combined with the other three intensity images
improves the resolution and dynamic range of a resulting full color image
signal.

1 (1999-01-15 Detailed Action, pp. 3-4). Nonetheless, despite the fact that digital cameras having
2 multiple lenses and multiple image sensors existed prior to the filing date of the '289 Patent, the
3 patent examiner found all of the asserted claims allowable over the prior art in the first Office
4 Action because of the types of sensors used. Specifically, the patent examiner stated that:

5 Claims 1,2 and 4-25 are allowed. The following is a statement of reasons
6 for the indication of allowable subject matter: Prior art discloses image
7 pickup devices comprised of up to four image sensors, but is silent on the
8 issue of one of said two or four image sensors being sensitive to a full
9 visible color spectrum in combination with other limitations within claims
10 1 and 6.

11 (1999-01-15 Detailed Action, p. 4). In other words, the asserted claims of the '289 Patent were
12 found allowable because they use different image sensors than those used in prior digital cameras
13 having multiple lenses and multiple image sensors. Thus, the prosecution history of the '289
14 Patent demonstrates that the USPTO considered its claimed camera architecture to be
15 unconventional.

16 **APPLE'S DUAL-LENS CAMERA PRODUCTS**

17 18. Apple makes, uses, sells, offers for sale, and/or imports into the United States and
18 this District products that incorporate the multi-lens camera technology claimed in the '289
19 Patent. These products include Apple's iPhone 7 Plus, iPhone 8 Plus, iPhone X, iPhone Xs,
20 iPhone Xs Max, and iPhone XR smartphones, and Apple's iPad Pro 11 and iPad Pro 12.9 (with
21 the Apple A12X Bionic SoC) tablets (collectively "Apple Accused Products").

22 19. On information and belief, Apple released its first smartphone, the first-generation
23 iPhone, on June 29, 2007. The first-generation iPhone included only a single-lens fixed-focus 2.0
24 megapixel camera on the back for taking digital photos.¹ Reviews of the first-generation iPhone
25 indicated that the original camera performed poorly in low light conditions² and was just

26 ¹ See <https://gizmodo.com/276116/apple-iphone-review>.

27 ² See <https://www.macworld.co.uk/review/iphone/iphone-uk-first-generation-review-2388/>.

28 (continued...)

1 “decent” otherwise.³ Moreover, the original camera provided no zoom or editing functionality.⁴
2 One online publication called the camera “a glossed-over implementation,”⁵ and another
3 described it as a “sub-par camera.”⁶ One review specifically noted that “the lens appears to be of
4 very poor quality and the photos we took weren’t very sharp (even in good light). In a darkened
5 room or at night, it’s simply hopeless.”⁷

6 20. At the time Apple released the original iPhone, the consumer mobile phone and
7 digital camera markets were highly competitive, and in order to remain competitive, Apple
8 began to aggressively seek ways to improve the performance of its digital cameras and address
9 the shortcomings identified in the press with respect to the original iPhone. Accordingly,
10 sometime around 2008 based upon Apple’s patent filings, Apple began to pursue the
11 development of a dual-lens camera which could improve image quality and support additional
12 features desired by consumers. In particular, on February 20, 2008, Apple employees filed a
13 patent application entitled “Electronic Device With Two Image Sensors,” which eventually
14 issued to Apple on February 14, 2012 as U.S. Patent No. 8,115,825 (the “Apple ’825 Patent”).
15 The Apple ’825 Patent is generally directed to “an electronic device for producing [an] image of
16 an object ...” including “a black-and-white camera having a first sensor area to receive luma data
17 pertaining to the object ...” and “a color camera having a second sensor area configured to
18 receive chroma data pertaining to the object.” “The first sensor area may correspond to a first
19 pixel array ...,” and “[t]he second sensor area may correspond to a second pixel array.” The
20 electronic device may also include “first logic configured to correlate pixels in the first pixel
21 array with locations on the second sensor array ...,” “second logic configured to interpolate the
22 chroma data to determine color data associated with the locations on the second sensor area ...,”

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24 ³ See http://usatoday30.usatoday.com/tech/columnist/edwardbaig/2007-06-26-iphone-review_N.htm.

25 ⁴ See <https://gizmodo.com/276116/apple-iphone-review>.

26 ⁵ See <https://gizmodo.com/276116/apple-iphone-review>.

27 ⁶ See <https://www.macworld.co.uk/review/iphone/iphone-uk-first-generation-review-2388/>.

28 ⁷ See <https://www.macworld.co.uk/review/iphone/iphone-uk-first-generation-review-2388/>.

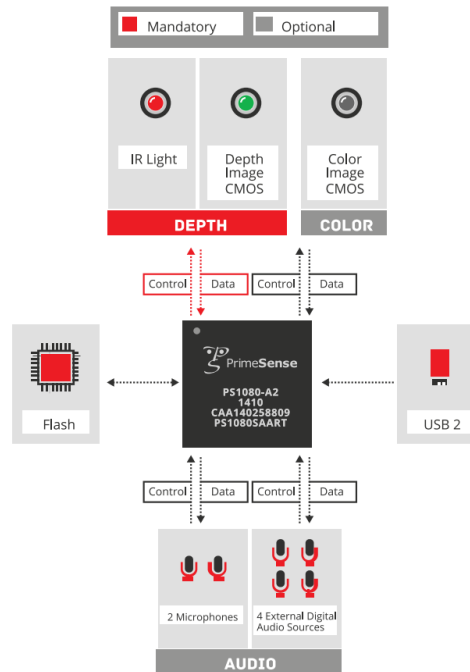
1 and “third logic configured to adjust the color data utilizing the luma data based on the
2 correlation between the locations on the second sensor area and the pixels in the first pixel array
3 to produce image data for the image of the object. The same group of Apple employees also filed
4 a continuation application on December 20, 2011 claiming priority to the original application
5 from which the Apple ’825 Patent issued. The continuation application ultimately issued to
6 Apple on March 25, 2014, as U.S. Patent No. 8,681,250 (the “Apple ’250 Patent”).

7 21. On information and belief, on November 24, 2013, Apple significantly expanded
8 its in-house camera and imaging technology expertise, particularly with respect to dual-lens
9 designs, by purchasing Israel-based 3D imaging technology company PrimeSense for
10 approximately \$360 million.⁸ PrimeSense was founded in 2005, more than five years after
11 Plaintiffs filed their application for the ’289 Patent, and had gained notoriety for providing dual-
12 lens camera technology for use in 3D imaging that used a first “depth image CMOS” image
13 sensor to detect coded IR light reflected off a surface, a second “color image CMOS” image
14 sensor to detect a color image, and a custom system-on-a-chip (“SoC”) to control the operation
15 of the CMOS image sensors and to execute the algorithms for performing the 3D imaging.⁹
16 PrimeSense’s dual-camera system is shown in the following image:

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27 ⁸ See <http://allthingsd.com/20131124/apple-confirms-acquisition-of-3d-sensor-startup-primesense/>.

28 ⁹ See <http://www.i3du.gr/pdf/primesense.pdf>.

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PrimeSense claimed that their “3D sensing technology gives digital devices the ability to observe a scene in three dimensions. It translates these observations into a synchronized image stream (depth and color) – just like humans do.”¹⁰ PrimeSense further claimed that their Light Coding technology enabled 3D depth sensing by “coding the scene with near-IR light, which is invisible to the human eye. The solution then uses a standard off-the-shelf CMOS image sensor to read the coded light back from the scene. This is the process that enables depth acquisition and what makes PrimeSense solutions so accurate.”¹¹ The SoC is used to “execute sophisticated parallel computational algorithms to decipher the received light coding infrared patterns, in order to produce a VGA size depth image of a scene. With a USB interface used to pass all data to the host, the SoC has minimal CPU requirements as all depth acquisition algorithms run on the SoC itself.”¹² Thus, PrimeSense provided Apple with the CMOS sensor technology, integrated circuit

¹⁰ See <http://www.i3du.gr/pdf/primesense.pdf>.

¹¹ See <http://www.i3du.gr/pdf/primesense.pdf>.

¹² See <http://www.i3du.gr/pdf/primesense.pdf>.

1 technology, and imaging algorithms to greatly enhance the performance of the digital cameras in
2 its smartphones and other products.

3 22. About a year after Apple's acquisition of PrimeSense, an online article dated
4 November 18, 2014 stated it was rumored at the time that Apple was "working on major camera
5 improvements" involving "some kind of weird two-lens system where the back camera uses two
6 lenses and it somehow takes it up to DSLR quality imagery."¹³ The article suggested that this
7 rumored improvement "might be the biggest camera jump ever."¹⁴

8 23. On information and belief, on April 14, 2015, Apple further expanded its camera
9 and imaging capabilities by purchasing yet another Israel-based dual-lens camera technology
10 company, LinX, for a reported \$25 million.¹⁵ Online publications described LinX as "a leader
11 and a pioneer in the development of multi-aperture imaging technologies ...,"¹⁶ such as the multi-
12 aperture cameras shown in the following figures:¹⁷



13 See <https://www.macrumors.com/2014/11/18/apple-biggest-camera-jump-ever/>.

14 See <https://www.macrumors.com/2014/11/18/apple-biggest-camera-jump-ever/>.

15 See <https://www.timesofisrael.com/apple-buys-photo-tech-firm-linx-for-its-third-israel-acquisition/>.

16 See <https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension>.

17 See <https://www.macrumors.com/2015/04/14/apple-acquires-linx-imaging/>.

(continued...)

1 By June 2014, the company reported that it had “successfully developed miniature multi-aperture
2 cameras designed for mobile devices.”¹⁸ According to reports, “[t]he camera modules are nearly
3 half the height of a standard mobile camera and are capable of creating stunning color images
4 and high accuracy depth maps.”¹⁹ Moreover, LinX claimed to have “solved all problems
5 associated with combining multiple images captured from different points in space such as
6 registration errors and occlusion related artifacts which are seen on competing technologies ...,”
7 thus providing images that “are artifact-free, even when objects appear at very short range.”²⁰
8 Specifically, LinX claimed that “[d]uring the registration process between the images, the LinX
9 software extracts very accurate depth information for each pixel and creates a depth map. The
10 software creates true depth information on high contrast objects and on near flat surfaces, such as
11 walls, which are traditionally considered difficult for passive stereo systems. The accuracy and
12 resolution of details in distance maps created the opportunity to use the suggested algorithms for
13 3D reconstruction.”²¹ The purported improvements provided by LinX technology over
14 traditional smartphone photography include reduced height (achieved by replacing one large
15 sensor with two smaller ones), improved sensitivity to light by using a monochrome sensor,
16 dramatically lower noise levels, improved effective camera resolution, improved low-light
17 performance and image quality, fast exposure to assure crisp images without motion blur, and
18 high-quality distance mapping.²² Moreover, applications can use LinX technology to provide
19 functionalities such as automatic background removal, refocusing, improved autofocus,

21 ¹⁸ See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
22 [Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

23 ¹⁹ See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
24 [Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

25 ²⁰ See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
26 [Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

27 ²¹ See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
28 [Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

²² See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
[Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

(continued...)

1 augmented reality, 3D object modeling, distance and sizing of objects, and biometric 3D face
2 recognition.²³

3 24. About two years after publication of that article, on September 7, 2016, Apple
4 introduced the iPhone 7 Plus, its first smartphone incorporating a dual-lens camera.²⁴ Pre-orders
5 for the iPhone 7 Plus began on September 9, 2016, and general availability in the United States
6 began on September 16, 2016.²⁵ According to Apple’s website, the iPhone 7 Plus includes rear-
7 facing “Dual 12 MP wide-angle and telephoto cameras ...,” with the wide-angle lens having a
8 f/1.8 aperture and the telephoto lens having a f/2.8 aperture.²⁶ The rear-facing dual-lens camera
9 of the iPhone 7 Plus appears to closely resemble the camera technology it acquired through its
10 purchase of LinX in 2014.

11 25. The rear-facing dual-lens camera configuration of the iPhone 7 Plus provides “2x
12 optical zoom” as well as “digital zoom up to 10x.”²⁷ The standard iPhone 7, in contrast, which
13 includes only a single camera, provides no optical zoom capabilities, and supports digital zoom
14 only up to 5x.²⁸ Furthermore, the iPhone 7 Plus introduced two new features unique to dual-lens
15 cameras. The first is improved digital zoom that smoothly and transparently transitions between
16 the wide and telephoto lenses by fusing images from both lenses, thereby improving image
17 quality.²⁹ The second new feature is a “portrait mode” that creates a bokeh effect by capturing
18 separate images of the same scene using the wide and telephoto lenses, determining the depth of
19 the objects in the scene using a technique called disparity mapping, and blurring the distant
20

21 ²³ See [https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension)
22 [Mobile-Photography-New-Dimension](https://www.businesswire.com/news/home/20140605005713/en/LinX-Imaging-Takes-Mobile-Photography-New-Dimension).

23 ²⁴ See <http://www.iphonemods.com/2016/09/iphone-7-release-date-availability.html>.

24 ²⁵ See <http://www.iphonemods.com/2016/09/iphone-7-release-date-availability.html>.

25 ²⁶ See https://support.apple.com/kb/SP744?locale=en_US.

26 ²⁷ See https://support.apple.com/kb/SP744?locale=en_US.

27 ²⁸ See https://support.apple.com/kb/SP744?locale=en_US.

28 ²⁹ See <https://forums.developer.apple.com/thread/63347>.

(continued...)

1 object while keeping the close objects in focus.³⁰ Portrait mode requires use of both the wide and
2 telephoto lenses to create the disparity mapping, and therefore portrait mode is not available on
3 Apple's single-lens camera phones.³¹

4 26. In order to enable the iPhone 7 Plus to perform the complex computations
5 necessary to take advantage of the dual-lens camera introduced on the iPhone 7 Plus, Apple
6 designed and incorporated into the phone a powerful new 64-bit ARM-based system on a chip
7 (SoC) called the A10 Fusion.³² The A10 Fusion SoC includes an image signal processor ("ISP")
8 that was described by an Apple executive as providing twice the throughput of the ISP in
9 Apple's prior-generation SoC, the A9, and capable of performing 100 billion operations in 25
10 milliseconds.³³ This Apple executive referred to the ISP in the A10 Fusion SoC as a
11 "supercomputer for photos."³⁴ The ISP in the A10 Fusion SoC performs the processing for
12 implementing the new "portrait mode" that was introduced with the iPhone 7 Plus.

13 27. On September 12, 2017, Apple introduced the successor to the iPhone 7 Plus, the
14 iPhone 8 Plus, which became generally available in the United States on September 22, 2017.³⁵
15 The iPhone 8 Plus retains the rear-facing dual-lens camera of the iPhone 7 Plus, and is capable of
16 performing the improved digital zoom and the "portrait mode" functionality of the iPhone 7 Plus,
17 but it also introduced a new feature called "portrait lighting."³⁶ This new feature utilizes the
18 same depth measurements obtained from the disparity mapping generated from the wide and
19 telephoto lenses and is used in "portrait mode" to apply professional lighting effects to
20

21 ³⁰ See [https://appleinsider.com/articles/16/09/23/apples-iphone-7-camera-delivers-nice-slice-](https://appleinsider.com/articles/16/09/23/apples-iphone-7-camera-delivers-nice-slice-of-enhancements-but-iphone-7-plus-takes-the-cake)
22 [of-enhancements-but-iphone-7-plus-takes-the-cake.](https://appleinsider.com/articles/16/09/23/apples-iphone-7-camera-delivers-nice-slice-of-enhancements-but-iphone-7-plus-takes-the-cake)

23 ³¹ See <https://developer.apple.com/videos/play/wwdc2017/507/>.

24 ³² See <https://www.apple.com/iphone-7/specs/>.

25 ³³ See https://www.eetimes.com/document.asp?doc_id=1330418.

26 ³⁴ See https://www.eetimes.com/document.asp?doc_id=1330418.

27 ³⁵ See <http://www.iphonhacks.com/2017/09/iphone-8-release-date-price-availability.html>.

28 ³⁶ See <https://www.apple.com/iphone-8/specs/>.

(continued...)

1 photographs.³⁷ Specifically, using machine learning, software uses the depth mapping to separate
2 the subject from background objects, identifies features in the subject such as people’s faces, and
3 applies lighting effects such as glow or shadows.³⁸ The iPhone 8 Plus included five different
4 effects for “portrait lighting,” which it called “natural light,” “studio light,” “contour light,”
5 “stage light,” and “stage light mono.”³⁹ To implement these features, the iPhone 8 Plus
6 incorporated an even more powerful 64-bit ARM-based SoC than the one found in the iPhone 7
7 Plus.⁴⁰ This new SoC, called the A11 Bionic, includes a new ISP that supports computational
8 photography functions such as the lighting estimation, wide color capture, and advanced pixel
9 processing used to implement the new “portrait lighting” feature.⁴¹

10 28. On September 12, 2017, alongside the iPhone 8 Plus, Apple also introduced the
11 iPhone X, which became generally available in the United States on November 3, 2017.⁴² The
12 iPhone X includes the rear-facing dual-lens camera of the iPhone 8 Plus, and is capable of
13 performing all of the dual-lens camera functions available on that phone such as improved digital
14 zoom, “portrait mode,” and “portrait lighting.”⁴³ Additionally, the iPhone X also incorporates an
15 additional dual-lens camera, called the TrueDepth camera, on the front of the phone.⁴⁴ The front-
16 facing TrueDepth camera includes a first 7MP camera having a f/2.2 aperture, and a second
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20 ³⁷ See <https://www.macrumors.com/2018/02/22/apple-portrait-lighting-behind-the-scenes/>.

21 ³⁸ See <https://www.macrumors.com/2018/02/22/apple-portrait-lighting-behind-the-scenes/>.

22 ³⁹ See <https://support.apple.com/en-us/HT208118>.

23 ⁴⁰ See <https://appleinsider.com/articles/17/09/23/inside-iphone-8-apples-a11-bionic-introduces-5-new-custom-silicon-engines>.

24 ⁴¹ See <https://www.apple.com/newsroom/2017/09/iphone-8-and-iphone-8-plus-a-new-generation-of-iphone/>.

25 ⁴² See <http://www.iphonhacks.com/2017/09/iphone-x-release-date-price-availability.html>.

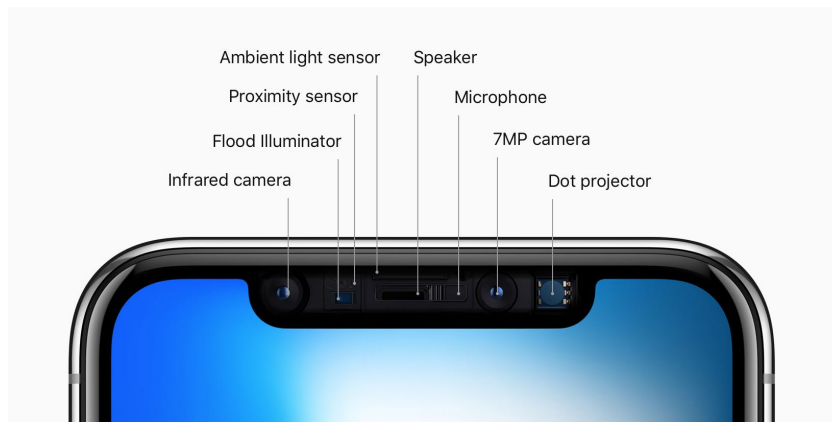
26 ⁴³ See https://support.apple.com/kb/SP770?locale=en_US.

27 ⁴⁴ See https://support.apple.com/kb/SP770?locale=en_US.

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(continued...)

1 infrared camera that can sense light emitted from a flood illuminator and/or dot projector and
 2 reflected back from the subject, as shown in the following image:⁴⁵



10
11 The TrueDepth camera, which appears to be an implementation of the technology Apple
 12 purchased from PrimeSense, projects an array of infrared dots (reported to be an array of 30,000
 13 dots) from the dot projector onto the subject (such as a user's face).⁴⁶ The infrared camera reads
 14 the dots as they are reflected back from the subject, and deformities are analyzed to generate a
 15 highly accurate depth map of the subject.⁴⁷ The flood illuminator can be used to generate
 16 additional infrared light when needed to ensure the system works in low light conditions.⁴⁸ The
 17 image information from the infrared camera is combined with the image from the 7MP camera to
 18 power features such as Apple's Face ID biometric authentication technology, which uses
 19 machine learning and sophisticated algorithms to generate a 3D model of a user's face that can
 20 be used to unlock the phone or provide other security features.⁴⁹ The depth mapping capabilities

21
22 ⁴⁵ See <https://www.theverge.com/circuitbreaker/2017/9/17/16315510/iphone-x-notch-kinect-apple-primesense-microsoft>.

23
24 ⁴⁶ See <https://www.extremetech.com/mobile/255771-apple-iphone-x-truedepth-camera-works>.

25
26 ⁴⁷ See <https://www.extremetech.com/mobile/255771-apple-iphone-x-truedepth-camera-works>.

27 ⁴⁸ See <https://www.apple.com/iphone-xs/face-id/>.

28 ⁴⁹ See <https://www.apple.com/iphone-xs/face-id/>.

(continued...)

1 of the TrueDepth camera also power additional features, such as the “portrait mode” and
2 “portrait lighting” features available on the iPhone 8, as well as other applications such as
3 augmented reality and machine vision.⁵⁰ To perform the processing required to implement these
4 features, the iPhone X retains the A11 Bionic SoC that powers the iPhone 8 Plus.⁵¹

5 29. On September 12, 2018, Apple introduced the iPhone Xs and the iPhone Xs Max,
6 both of which became generally available in the United States on September 21, 2018.⁵² The
7 iPhone Xs and iPhone Xs Max retain the same rear-facing dual camera and front-facing
8 TrueDepth camera from the iPhone X, as well as all of the associated functionality such as
9 improved digital zoom, “portrait mode,” “portrait lighting,” and Face ID,⁵³ but the iPhone Xs
10 and iPhone Xs Max incorporate a more powerful processor, the A12 Bionic 64-bit ARM-based
11 SoC.⁵⁴ This new processor, as with its A10 Fusion and A11 Bionic predecessors, incorporates an
12 ISP to process the data generated by the front- and rear-facing dual-lens cameras on the iPhone
13 Xs and iPhone Xs Max, and to implement the associated features.⁵⁵

14 30. Also on September 12, 2018, Apple introduced the iPhone XR, which became
15 generally available in the United States on September 21, 2018.⁵⁶ The iPhone XR uses the same
16 front-facing TrueDepth camera from the iPhone X, iPhone Xs, and iPhone Xs Max, and provides
17 all of the associated functionality, including improved “portrait mode,” “portrait lighting,” and
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21 ⁵⁰ See <https://www.theverge.com/circuitbreaker/2017/9/17/16315510/iphone-x-notch-kinect-apple-primesense-microsoft>.

22 ⁵¹ See https://support.apple.com/kb/SP770?locale=en_US.

23 ⁵² See <http://www.iphonhacks.com/2018/09/iphone-xs-max-release-date-price-availability.html>.

24 ⁵³ See <https://www.apple.com/iphone-xs/specs/>.

25 ⁵⁴ See <https://en.wikichip.org/wiki/apple/ax/a12>.

26 ⁵⁵ See <https://www.apple.com/iphone-xs/a12-bionic/>.

27 ⁵⁶ See <http://www.iphonhacks.com/iphone-xr>.

28 (continued...)

1 Face ID.⁵⁷ The iPhone XR incorporates the A12 Bionic 64-bit ARM-based SoC from the iPhone
2 Xs and iPhone Xs Max.⁵⁸

3 31. On October 30, 2018, Apple introduced the iPad Pro 11 and iPad Pro 12.9 (with
4 the Apple A12X Bionic SoC) (“Accused iPad Products”), which became generally available in
5 the United States on November 7, 2018.⁵⁹ The Accused iPad Products use the same front-facing
6 TrueDepth camera from the iPhone X, iPhone Xs, and iPhone Xs Max, and provide all of the
7 associated functionality, including improved “portrait mode,” “portrait lighting,” and Face ID.⁶⁰
8 The Accused iPad Products incorporate the A12 Bionic 64-bit ARM-based SoC from the iPhone
9 Xs, iPhone Xs Max, and iPhone XR.⁶¹

10 32. As detailed above, the Apple Accused Products all infringe at least claims 1, 2,
11 and 4 of the ’289 Patent:

Claim Element	Apple Accused Products
1. An improved digital camera comprising:	The Apple Accused Products are all digital cameras.
1[a] a first and a second image sensor closely positioned with respect to a common plane, said second image sensor sensitive to a full region of visible color spectrum;	The iPhone 7 Plus, iPhone 8 Plus, iPhone X, iPhone Xs, and iPhone Xs Max (“Apple Accused Rear Dual-Camera Products”) all include first and second image sensors closely positioned with respect to a common plane, the first sensor being located behind a wide-angle f/1.8 aperture lens, and the second sensor being located behind a telephoto f/2.8 aperture lens, both lenses being on the rear-side of the device. Both of the first and second image sensors behind the lenses on the rear-side of the device are sensitive to a full region of visible color spectrum. Additionally, the iPhone X, iPhone Xs, iPhone Xs Max, iPhone XR, and Accused iPad Products (“Apple Accused TrueDepth

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24 ⁵⁷ See <https://www.apple.com/iphone-xr/specs/>.

25 ⁵⁸ See <https://www.apple.com/iphone-xr/a12-bionic/>.

26 ⁵⁹ See <https://www.apple.com/newsroom/2018/10/new-ipad-pro-with-all-screen-design-is-most-advanced-powerful-ipad-ever/>.

27 ⁶⁰ See <https://www.apple.com/ipad-pro/specs/>.

28 ⁶¹ See <https://www.apple.com/ipad-pro/why-ipad/>.

Claim Element	Apple Accused Products
	Camera Products”) include first and second image sensors closely positioned with respect to a common plane, the first sensor being located behind an infrared lens, and the second sensor being located behind an f/2.2 aperture lens, both lenses being on the front-side of the device. Both of the first and second image sensors behind the lenses on the front-side of the device are sensitive to a full region of visible color spectrum.
1[b] two lenses, each being mounted in front of one of said two image sensors;	The Apple Accused Rear Dual-Camera Products all include a wide-angle f/1.8 aperture lens and a telephoto f/2.8 aperture lens on the rear-side of the device, each lens being mounted in front of an image sensor. Additionally, the Apple Accused TrueDepth Camera Products include an infrared lens and an f/2.2 aperture lens on the front-side of the device, each lens being mounted in front of an image sensor.
1[c] said first image sensor producing a first image and said second image sensor producing a second image;	The first and second image sensors located behind the wide-angle f/1.8 aperture lens and the telephoto f/2.8 aperture lens on the rear-side of the Apple Accused Rear Dual-Camera Products create first and second images, respectively. Additionally, the first and second image sensors located behind the infrared lens and the f/2.2 aperture lens on the front-side of the Apple Accused TrueDepth Camera Products create first and second images, respectively.
1[d] an analog-to-digital converting circuitry coupled to said first and said second image sensor and digitizing said first and said second intensity images to produce correspondingly a first digital image and a second digital image;	The first and second image sensors located behind the wide-angle f/1.8 aperture lens and the telephoto f/2.8 aperture lens on the rear-side of the Apple Accused Rear Dual-Camera Products each are coupled to analog-to-digital converting circuitry that digitizes the first and second images to produce first and second digital images, respectively. Additionally, the first and second image sensors located behind the infrared lens and the f/2.2 aperture lens on the front-side of the Apple Accused TrueDepth Camera Products each are coupled to analog-to-digital converting circuitry that digitizes the first and second images to produce first and second digital images, respectively.
1[e] an image memory, coupled to said analog-to-digital converting circuitry, for	An image memory coupled to the analog-to-digital converting circuitry in the Apple Accused Products stores the digital images.

Claim Element	Apple Accused Products
storing said first digital image and said second digital image; and	
<p>1[f] a digital image processor, coupled to said image memory and receiving said first digital image and said second digital image, producing a resultant digital image from said first digital image enhanced with said second digital image.</p>	<p>A digital image processor coupled to the image memory in the Apple Accused Products receives the first and second digital images, produces a resultant digital image from the first and second digital images, and produced a resultant digital image from the first digital image enhanced with the second digital image.</p> <p>In the iPhone 7 Plus, the digital image processor is located in the A10 Fusion SoC, and a resultant digital image is produced from the first digital image enhanced with the second digital image when using the improved digital zoom and portrait mode features.</p> <p>In the iPhone 8 Plus, the digital image processor is located in the A11 Bionic SoC, and a resultant digital image is produced from the first digital image enhanced with the second digital image when using the improved digital zoom, portrait mode, and portrait lighting features.</p> <p>In the iPhone X, the digital image processor is located in the A11 Bionic SoC, and a resultant digital image is produced from the first digital image enhanced with the second digital image when performing the improved digital zoom, portrait mode, portrait lighting, selfie portrait mode, selfie portrait lighting, and Face ID features.</p> <p>In the iPhone Xs, iPhone Xs Max, iPhone XR, and Accused iPad Products, the digital image processor is located in the A12 Bionic SoC, and a resultant digital image is produced from the first digital image enhanced with the second digital image when performing the improved digital zoom, portrait mode, portrait lighting, selfie portrait mode, selfie portrait lighting, and Face ID features.</p>
<p>2. The improved digital camera as recited in claim 1, wherein said first image sensor sensitive to said full region of visible color spectrum.</p>	<p>Both of the first and second image sensors behind the wide-angle f/1.8 aperture lens and the telephoto f/2.8 aperture lens on the rear-side of the Apple Accused Rear Dual-Camera Products are sensitive to a full region of visible color spectrum.</p> <p>Additionally, both of the first and second image sensors behind the infrared lens and the f/2.2 aperture lens on the front-side of the</p>

Claim Element	Apple Accused Products
	Apple Accused TrueDepth Camera Products are sensitive to a full region of visible color spectrum.
<p>4. The improved digital camera as recited in claim 1, wherein said analog-to-digital converting circuitry comprises two individual analog-to-digital converters, each integrated with one of said first and second image sensors so that said first and second digital images are digitized independently and in parallel to increase signal throughput rate.</p>	<p>The analog-to-digital circuitry coupled to the first image sensor located behind the wide-angle f/1.8 aperture lens on the rear-side of the Apple Accused Rear Dual-Camera Products comprises an individual analog-to-digital converter, and the analog-to-digital circuitry coupled to the second image sensor located behind the telephoto f/2.8 aperture lens on the rear-side of the Apple Accused Rear Dual-Camera Products comprises another individual analog-to-digital converter. The individual analog-to-digital converters digitize the first and second digital images independently and in parallel to increase signal throughput rate.</p> <p>Additionally, the analog-to-digital circuitry coupled to the first image sensor located behind the infrared lens on the front-side of the Apple Accused TrueDepth Camera Products comprises an individual analog-to-digital converter, and the analog-to-digital circuitry coupled to the second image sensor located behind the f/2.2 aperture lens on the front-side of the Apple Accused TrueDepth Camera Products comprises another individual analog-to-digital converter. The individual analog-to-digital converters digitize the first and second digital images independently and in parallel to increase signal throughput rate.</p>

APPLE'S KNOWLEDGE OF THE '289 PATENT

33. The Apple '825 Patent was filed in 2008, five years after the '289 Patent issued, and sought to claim many of the same features claimed in the '289 Patent. On March 22, 2011, the patent examiner issued an office action rejecting then-pending claims 1-3, 7, 10-14, 16, and 17 of the Apple '825 Patent as being anticipated under 35 U.S.C. § 102 by the '289 Patent, and rejecting the remaining pending claims as being obvious under 35 U.S.C. § 103(a) in view of the '289 Patent either by itself or in combination with other prior art references. Thus, Apple was made aware of the '289 Patent at that time. On June 20, 2011, Apple's patent attorney participated in a telephonic interview with the patent examiner during which "the cited

1 references and a proposed amendment were discussed.” Then, on June 21, 2011, Apple filed a
2 response to the office action that amended the claims by adding limitations to the independent
3 claims and making various additional amendments to several dependent claims, and that argued
4 the additional claim limitations are not disclosed in the ’289 Patent. On September 21, 2011, in
5 view of these additional claim limitations to overcome the ’289 Patent, the examiner issued a
6 notice of allowance for the Apple ’825 Patent.

7 34. Apple further demonstrated its awareness of the ’289 Patent during prosecution of
8 U.S. Patent No. 8,681,250 (the “Apple ’250 Patent”), a continuation of the same application from
9 which the Apple ’825 Patent issued. The Apple ’250 Patent was filed on December 20, 2011 and
10 issued to Apple on March 25, 2014. When Apple filed the application that ultimately issued as
11 the Apple ’250 Patent, Apple simultaneously filed an information disclosure statement listing the
12 ’289 Patent as a reference “that may be material to examination of the above-identified patent
13 application”

14 35. Having had its ’825 Patent initially rejected by the USPTO in light of the ’289
15 Patent, and then having cited the ’289 Patent in the continuation application that ultimately
16 issued as the ’250 Patent, Apple was both aware of the claims of the ’289 Patent no later than
17 June 21, 2011, was aware of the significance of the ’289 Patent to products incorporating dual-
18 lens cameras and their uses, and was aware that the dual-lens technology it purchased at great
19 expense from PrimeSense and LinX was based on the technology found in the ’289 Patent.
20 Despite this awareness, Apple made no attempt to contact Plaintiffs or obtain a license for the
21 ’289 technology up to and including the filing of this lawsuit.

22 36. Apple’s conduct was deliberate and willful and subjects it to exemplary damages
23 under the patent laws. *Halo Electronics, Inc. v. Pulse Electronics, Inc., et al.*, 136 S. Ct. 1923,
24 1935-36 (2016).

COUNT I

(Direct Infringement of the '289 Patent pursuant to 35 U.S.C. § 271(a))

37. Plaintiffs incorporate Paragraphs 1 through 29 herein as set forth in full.

38. Apple has infringed and continues to infringe at least Claims 1, 2, and 4 of the '289 Patent in violation of 35 U.S.C. § 271(a).

39. Apple's infringement is based upon literal infringement or infringement under the doctrine of equivalents, or both.

40. Apple's acts of making, using, importing, selling, and/or offering for sale infringing products and services have been without the permission, consent, authorization, or license of Plaintiffs.

41. Apple's infringement includes the manufacture, use, sale, importation and/or offer for sale of Apple's products, including Apple's iPhone 7 Plus, iPhone 8 Plus, iPhone X, iPhone Xs, and iPhone Xs Max. The Apple Accused Products embody the patented invention of the '289 Patent.

42. Apple's infringement of the '289 Patent has injured and continues to injure Plaintiffs in an amount to be proven at trial.

43. Apple has been well aware of Plaintiffs' patents, including the '289 Patent, and has continued its infringing activity despite this knowledge.

44. Apple knew of the '289 Patent at least as early as March 2011 when the '289 patent was cited by the examiner during prosecution of Apple's '825 and '250 patents.

45. Despite the foregoing knowledge of the '289 Patent and the technology covered by this patent, and despite a high likelihood that its actions constituted infringement of this patent, Apple proceeded to and continued to infringe the '289 Patent. Apple made the deliberate decision to acquire and to continue to sell products and services that it knew infringed the '289 Patent.

46. Apple's infringement of the '289 Patent is egregious.

47. On information and belief, Apple has undertaken no efforts to design these products or services around the '289 Patent to avoid infringement despite Apple's knowledge

1 and understanding that its products and services infringe the '289 Patent. Thus, Apple's
2 infringement of the '289 Patent is willful and egregious, warranting enhancement of damages
3 under 35 U.S.C. § 284, and attorneys' fees and costs incurred under 35 U.S.C. § 285.

4 COUNT II

5 **(Indirect Infringement of the '289 Patent pursuant to 35 U.S.C. § 271(b))**

6 48. Plaintiffs incorporate Paragraphs 1 through 40 herein as set forth in full.

7 49. Apple has induced and continues to induce infringement of at least claims 1, 2,
8 and 4 of the '289 Patent under 35 U.S.C. § 271(b) by instructing, directing and/or requiring
9 others, including its customers, purchasers, users, and developers, to perform one or more of the
10 limitations of the claims, either literally or under the doctrine of equivalents, of the '289 Patent,
11 where all the limitations of the claims are performed by either Apple, its customers, purchasers,
12 users or developers, or some combination thereof. Apple knew or was willfully blind to the fact
13 that it was inducing others, including customers, purchasers, users or developers, to infringe by
14 practicing, either themselves or in conjunction with Apple, one or more claims of the '289
15 Patent, including at least Claims 1, 2, and 4.

16 50. Apple knowingly and actively aided and abetted the direct infringement of the
17 '289 Patent by instructing and encouraging its customers, purchasers, users and developers to use
18 the Apple Accused Products. Such instructions and encouragement include, but are not limited
19 to, advising third parties to use the Apple Accused Products in an infringing manner, providing a
20 mechanism through which third parties may infringe the '289 Patent, specifically through the use
21 of multiple lens cameras and multiple image sensors, and by advertising and promoting the use
22 of the Apple Accused Products in an infringing manner, and distributing guidelines and
23 instructions to third parties on how to use the Apple Accused Products in an infringing manner.

24 51. On information and belief, Apple has had knowledge and notice of the '289
25 Patent as early as March 2011, when the patent was cited in the prosecution history of Apple's
26 patent application in patent examiner's rejection noting that the '289 Patent read on Apple's
27 proposed patent claims. Apple's infringement is willful, egregious, deliberate and done in bad
28 faith entitling Plaintiffs to exemplary damages.

1 52. Plaintiffs have suffered damages because of Apple’s indirect infringement of the
2 ‘289 Patent.

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PRAYER FOR RELIEF

WHEREFORE, Plaintiffs pray for judgment and relief as follows:

- A. An entry of judgment holding that Apple has infringed and is infringing the '289 Patent, and has induced infringement and is inducing infringement of the '289 Patent;
- B. An award to Plaintiffs of such damages as it shall prove at trial against Apple that is adequate to fully compensate Plaintiffs for Apple's infringement of the '289 Patent, said damages to be no less than a reasonable royalty;
- C. A determination that Apple's infringement has been willful, wanton, deliberate and egregious and that the damages against it be increased up to treble on this basis or for any other basis within the Court's discretion;
- D. A finding that this case is "exceptional" and an award to Plaintiffs of their costs and reasonable attorneys' fees, as provided by 35 U.S.C. § 285;
- E. An accounting of all infringing sales and revenues, together with post judgment interest and prejudgment interest from the first date of infringement of the '289 Patent; and
- F. Such further and other relief as the Court may deem proper and just.

Respectfully submitted,

DATED: July 22, 2019

By /s/ Daniel Johnson, Jr.

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DEMAND FOR JURY TRIAL

Plaintiffs demand a jury trial on all issues so triable.

Respectfully submitted,

DATED: July 22, 2019

By /s/ Daniel Johnson, Jr.

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CERTIFICATE OF SERVICE

I, Robert G. Litts, hereby certify that on July 22, 2019, the foregoing document was filed with the Clerk of the U.S. District Court for the Northern District of California, using the court’s electronic filing system (“ECF”), in compliance with Civil L.R. 5-1. The ECF system serves a “Notice of Electronic Filing” to all parties and counsel who have appeared in this action, who have consented under Civil L.R. 5-1 to accept that Notice as service of this document.

/s/ Robert G. Litts
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