## IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS WACO DIVISION

AMERICAN PATENTS LLC,

Plaintiff,

v.

CONTINENTAL AG, CONTINENTAL AUTOMOTIVE GMBH, CONTINENTAL AUTOMOTIVE HOLDING NETHERLANDS B.V., AND CONTINENTAL AUTOMOTIVE SYSTEMS, INC., CIVIL ACTION NO. 6:21-cv-635

ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT

JURY TRIAL DEMANDED

Defendant.

# **ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT**

Plaintiff American Patents LLC ("American Patents" or "Plaintiff") files this original complaint against Defendants Continental AG, Continental Automotive GmbH (together "Continental"), Continental Automotive Holding Netherlands B.V. and Continental Automotive Systems, Inc., alleging, based on its own knowledge as to itself and its own actions and based on information and belief as to all other matters, as follows:

## PARTIES

1. American Patents is a limited liability company formed under the laws of the State of Texas, with its principal place of business at 2325 Oak Alley, Tyler, Texas, 75703.

2. Continental AG is a corporation duly organized and existing under the laws of Germany having a place of business at Vahrenwalder Strasse 9, 30165 Hanover, Germany.

3. Continental Automotive GmbH is a company duly organized and existing under the laws of Germany having a place of business at Vahrenwalder Strasse 9, 30165 Hanover, Germany.

#### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 2 of 66

4. Continental Automotive Holding Netherlands B.V. is a company duly organized and existing under the laws of the Netherlands having a place of business at Bassin 100-106, Maastricht, Limburg, 6211AK, Netherlands.

5. Continental Automotive Systems, Inc. is a corporation duly organized and existing under the laws of Delaware. Continental Automotive Systems, Inc. may be served through its registered agent C T Corporation Sysytem, 1999 Bryan Street, Suite 900, Dallas, TX 75201.

6. The Defendants identified in paragraphs 2-5 above (collectively, "Continental") are an interrelated group of companies which together comprise one of the world's largest manufacturers and providers of telematics and vehicle networking services and devices, including the Continental brand.

7. The Continental defendants named above and their affiliates are part of the same corporate structure and distribution chain for the making, importing, offering to sell, selling, and using of the accused devices in the United States, including in the State of Texas generally and this judicial district in particular.

8. The Continental defendants named above and their affiliates share the same management, common ownership, advertising platforms, facilities, distribution chains and platforms, and accused product lines and products involving related technologies.

9. Thus, the Continental defendants named above and their affiliates operate as a unitary business venture and are jointly and severally liable for the acts of patent infringement alleged herein.

#### JURISDICTION AND VENUE

10. This is an action for infringement of United States patents arising under 35 U.S.C. §§ 271, 281, and 284–85, among others. This Court has subject matter jurisdiction of the action under 28 U.S.C. § 1331 and § 1338(a).

11. This Court has personal jurisdiction over Continental pursuant to due process and/or the Texas Long Arm Statute because, *inter alia*, (i) Continental has done and continues to do business in Texas; (ii) Continental has committed and continues to commit acts of patent infringement in the State of Texas, including making, using, offering to sell, and/or selling accused products in Texas, and/or importing accused products into Texas, including by Internet sales and sales via retail and wholesale stores, inducing others to commit acts of patent infringement in Texas, and/or committing a least a portion of any other infringements alleged herein; and (iii) Continental Automotive Systems, Inc. is registered to do business in Texas. In the alternative, Continental AG and Continental Automotive GmbH are subject to this Court's specific personal jurisdiction consistent with the principles of due process and the Federal Long Arm Statute of Fed. R. Civ. P. 4(k)(2) because: (1) each has substantial contacts with the United States and committed and/or induced acts of patent infringement in the United States; and (2) neither is subject to jurisdiction in any state's courts of general jurisdiction.

12. Venue is proper as to Continental AG, which is organized under the laws of Germany. 28 U.S.C. § 1391(c)(3) provides that "a defendant not resident in the United States may be sued in any judicial district, and the joinder of such a defendant shall be disregarded in determining where the action may be brought with respect to other defendants."

13. Venue is proper as to Continental Automotive GmbH, which is organized under the laws of Germany. 28 U.S.C. § 1391(c)(3) provides that "a defendant not resident in the

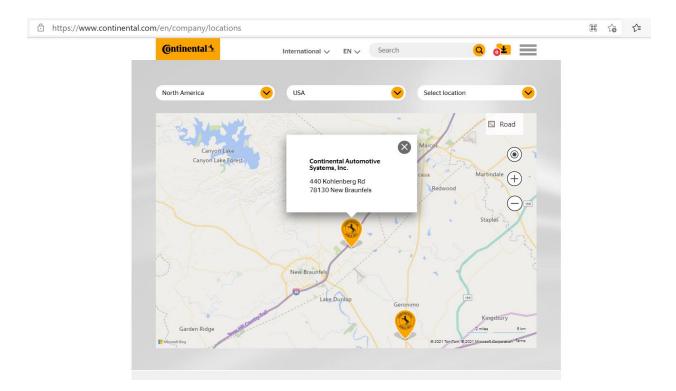
#### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 4 of 66

United States may be sued in any judicial district, and the joinder of such a defendant shall be disregarded in determining where the action may be brought with respect to other defendants."

14. Venue is proper as to Continental Automotive Holding Netherlands B.V., which is organized under the laws of the Netherlands. 28 U.S.C. § 1391(c)(3) provides that "a defendant not resident in the United States may be sued in any judicial district, and the joinder of such a defendant shall be disregarded in determining where the action may be brought with respect to other defendants."

15. Venue is proper in this district as to Continental Automotive Systems, Inc. pursuant to 28 U.S.C. § 1400(b). Venue is further proper because Continental Automotive Systems, Inc. has committed and continues to commit acts of patent infringement in this district, including making, using, offering to sell, and/or selling accused products in this district, and/or importing accused products into this district, including by Internet sales and sales via retail and wholesale stores, inducing others to commit acts of patent infringement in Texas, and/or committing at least a portion of any other infringements alleged herein in this district. Continental Automotive Systems, Inc. has regular and established places of business in this district, including at least at 440 Kohlenberg Rd, New Braunfels, TX 78130 and at 3740 North Austin Street, Seguin, TX 78155.

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 5 of 66

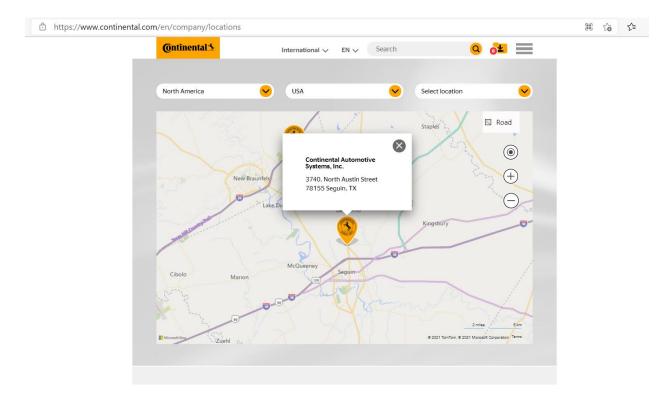


(Source: https://www.continental.com/en/company/locations)

Comal CAD Property Search Map Search									
Property	Expo	rt Results	New Search						
Click the "Details" or "Map" link to view more information about the property or click the checkbox next to each property and click "View Selected on Map" to view the properties or a single map.									
Property Address  Legal Description									
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(Source: Comal County Appraisal District Website)

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 6 of 66



(Source: https://www.continental.com/en/company/locations)



(Source: screenshot from Google Street View)

#### **BACKGROUND**

16. The patents-in-suit generally pertain to communications networks and other technology used in "smart" devices such as smartphones, smart TVs, and smart appliances. The technology disclosed by the patents was developed by personnel at Georgia Institute of Technology ("Georgia Tech").

17. Georgia Tech is a leading public research university located in Atlanta, Georgia. Founded in 1885, Georgia Tech is often ranked as one of the top ten public universities in the United States. The patents from Georgia Tech ("the Mody patents") were developed by a professor and a graduate student in Georgia Tech's Electrical and Computer Engineering department. The undergraduate and graduate programs of this department are often ranked in the top five of their respective categories.

18. The Mody patents are related to Multi-Input, Multi-Output (MIMO) technology. The inventors of the Mody patents were at the forefront of MIMO, developing, disclosing, and patenting a solution for achieving both time and frequency synchronization in MIMO systems. The Mody patents (or the applications leading to them) have been cited during patent prosecution hundreds of times, by numerous leading companies in the computing and communications industries, including AMD, Alcatel Lucent, Altair, AT&T, Atheros, Blackberry, Broadcom, Comcast, Ericsson, Facebook, HP, Hitachi, Huawei, Infineon, Intel, Interdigital, IBM, Kyocera, Marvell, Matsushita, Mediatek, Motorola, NEC, Nokia, Nortel Networks, NXP, Panasonic, Philips, Qualcomm, Realtek, Samsung, Sanyo, Sharp, Sony, STMicroelectronics, Texas Instruments, and Toshiba.

### COUNT I

## **INFRINGEMENT OF U.S. PATENT NO. 7,088,782**

19. On August 8, 2006, United States Patent No. 7,088,782 ("the '782 Patent") was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Time And Frequency Synchronization In Multi-Input, Multi-Output (MIMO) Systems."

20. American Patents is the owner of the '782 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '782 Patent against infringers, and to collect damages for all relevant times.

21. Continental used products and/or systems including, for example, its Continental Premium Telematics Solutions and Continental V2X Communication families of products, that include LTE and/or 802.11n or above capabilities ("accused products"):



Home > Vehicle Networking > Premium Telematics

(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/Connectivity-Telematics/Telematics/Premium-Telematics)

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 9 of 66



 Solutions for Passenger Cars
 Choose Vehicle Type

 Autonomous Mobility
 Safety
 Vehicle Networking
 Information Management
 Rubber & Plastics Solutions
 Technology Trends
 Services

Home > Vehicle Networking > 5G Connectivity Solutions > V2X Communication

(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/5G-Connectivity-Solutions/V2X-Communication)

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 10 of 66

V2X Communication

Overview Hybrid V2X Platform Cellula

Cellular V2X Communication



Cellular V2X (Vehicle to Everything) communication has strong potential to become a key enables for automated driving and intelligent mobility. C V2X allows to communicate via the LTE network using the 3GPP Release 14, and in future, the 5G mobile network. Cellular V2X is also designed to directly connect vehicles with each other as well as with the infrastructure and further road users. Even in areas without mobile network coverage, C-V2X communication allows an exchange of time sensitive and safety critical information, for example about warnings of potentially hazardous situations.

(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> Networking/5G-Connectivity-Solutions/V2X-Communication)

22. By doing so, Continental has directly infringed (literally and/or under the doctrine of equivalents) at least Claim 30 of the '782 Patent. Continental's infringement in this regard is ongoing.

23. Continental has infringed the '782 Patent by using the accused products and thereby practicing a method for synchronizing a Multi-Input Multi-Output (MIMO) Orthogonal Frequency Division Multiplexing (OFDM) system in time and frequency domains. For example, the accused products support the LTE standard and MIMO technology. According to the LTE standards, the physical layer performs various functions which include modulation and demodulation of physical channels as well as time and frequency synchronization.

Premium Telematics

Overview Specification Benefits Contact U



#### **Premium Telematics Solutions**

More than 20 years of telematics experience enable us to develop innovative products with outstanding features and performance.

Our latest 4G and future 4.5G and 5G products offer a wide range of attractive features to keep the vehicle up-to-date and the driver connected to what matters to him.

Developing our own network access devices (NADs) and Telematics software framework, our Telematics Control Units (TCUs) are highly customizable and ready-to-use in different regions.

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 11 of 66

(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/Connectivity-Telematics/Telematics/Premium-Telematics)

V2X Communication

Overview Hybrid V2X Platform Cellular V2X C

Cellular V2X Communication



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(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/5G-Connectivity-Solutions/V2X-Communication)

# 5.2 Overview of L1 functions

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing
- Transmit Diversity (TX diversity)
- Beamforming
- RF processing.

(Source:

https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150

<u>000p.pdf</u>)

# Synchronization Signals (PSS and SSS)

In LTE, there are two downlink synchronization signals which are used by the UE to obtain the cell identity and frame timing.

Primary synchronization signal (PSS)

Secondary synchronization signal (SSS)

The division into two signals is aimed to reduce the complexity of the cell search process.

(Source: https://in.mathworks.com/help/lte/ug/synchronization-signals-pss-and-sss.html)

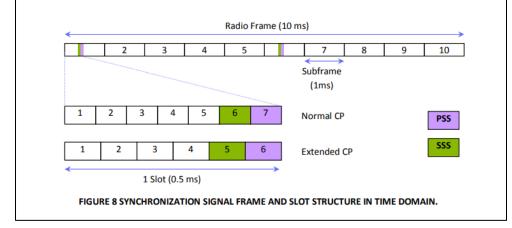
24. The methods practiced by Continental's use of the accused products include producing a frame of data comprising a training symbol that includes a synchronization component that aids in synchronization, a plurality of data symbols, and a plurality of cyclic prefixes. For example, the physical layer performs the modulation and demodulation of the physical channels. Further, it uses OFDM in the downlink physical channel. Hence, there would be OFDM modulators present in transmitter of the apparatus (mobile devices such as the accused products) for modulating the data signals. The physical layer transmits downlink frames that include data symbols, pilot symbols such as PSS, SSS, reference symbols and cyclic prefixes for each symbol.

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 13 of 66

Synchronization signals are transmitted twice per 10 ms radio frame. The PSS is located in the last OFDM symbol of the first and 11<sup>th</sup> slot of each radio frame which allows the UE to acquire the slot boundary timing independent of the type of cyclic prefix length. The PSS signal is the same for any given cell in every subframe in which it is transmitted (the PSS uses a sequence known as Zadoff-Chu).

The location of the SSS immediately precedes the PSS – in the before to last symbol of the first and 11<sup>th</sup> slot of each radio frame. The UE would be able to determine the CP length by checking the absolute position of the SSS. The UE would also be able to determine the position of the 10 ms frame boundary as the SSS signal alternates in a specific manner between two transmissions (the SSS uses a sequence known as M-sequences).

In the frequency domain, the PSS and SSS occupy the central six resource blocks, irrespective of the system channel bandwidth, which allows the UE to synchronize to the network without a priori knowledge of the allocated bandwidth. The synchronization sequences use 62 sub-carriers in total, with 31 sub-carriers mapped on each side of the DC sub-carrier which is not used. This leaves 5 sub-carriers at each extremity of the 6 central RBs unused.



(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-

%20Physical%20Layer.pdf)

#### 4.2.1 Multiple Access

The multiple access scheme for the LTE physical layer is based on Orthogonal Frequency Division Multiplexing (OFDM) with a cyclic prefix (CP) in the downlink, and on Single-Carrier Frequency Division Multiple Access (SC-FDMA) with a cyclic prefix in the uplink. To support transmission in paired and unpaired spectrum, two duplex modes

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

<u>000p.pdf</u>)

# 6.11.1 Primary synchronization signal

# 6.11.1.1 Sequence generation

The sequence d(n) used for the primary synchronization signal is generated from a frequency-domain Zadoff-Chu sequence according to

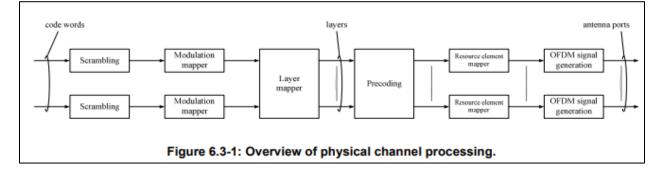
$$d_u(n) = \begin{cases} e^{-j\frac{\pi u n(n+1)}{63}} & n = 0, 1, ..., 30\\ e^{-j\frac{\pi u (n+1)(n+2)}{63}} & n = 31, 32, ..., 61 \end{cases}$$

where the Zadoff-Chu root sequence index u is given by Table 6.11.1.1-1.

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080

<u>600p.pdf</u>)



(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080

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# **Preamble and Pilot**

There are two different ways to transmit training symbols: preamble or pilot tones. Preambles entail sending a certain number of training symbols prior to the user data symbols. In the case of OFDM, one or two preamble OFDM symbols are typical. Pilot tones involve inserting a few known pilot symbols among the subcarriers. Channel estimation in MIMO-OFDM systems can be performed in a variety of ways, but it is typical to use the preamble for synchronization<sup>2</sup> and initial channel estimation, and the pilot tones for tracking the time-varying channel in order to maintain accurate channel estimates. In MIMO-OFDM, the received signal at each antenna is a superposition of the signals transmitted from the  $N_t$  transmit antennas. Thus, the training signals for each transmit antenna need to be transmitted without interfering with each other in order to accurately estimate the channel. Figure 5.18 shows three different patterns for MIMO-OFDM that avoid interfering with each other: independent, scattered, and orthogonal patterns [50].

(Source: Fundamentals of LTE, Ghosh et al.)

25. The methods practiced by Continental's use of the accused products include

transmitting the frame over a channel. The data frames having cyclic prefixes and other OFDM

symbols are transmitted over a channel (e.g. PDCCH). Alternatively, on request from an

accused product, an LTE base station can act as a transmitter and transmit the frame over a

channel.

# 4.2.1 Multiple Access

The multiple access scheme for the LTE physical layer is based on Orthogonal Frequency Division Multiplexing (OFDM) with a cyclic prefix (CP) in the downlink, and on Single-Carrier Frequency Division Multiple Access (SC-FDMA) with a cyclic prefix in the uplink. To support transmission in paired and unpaired spectrum, two duplex modes

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

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# 6.7 Physical control format indicator channel

The physical control format indicator channel carries information about the number of OFDM symbols used for transmission of PDCCHs in a subframe. The set of OFDM symbols possible to use for PDCCH in a subframe is given by Table 6.7-1.

(Source:

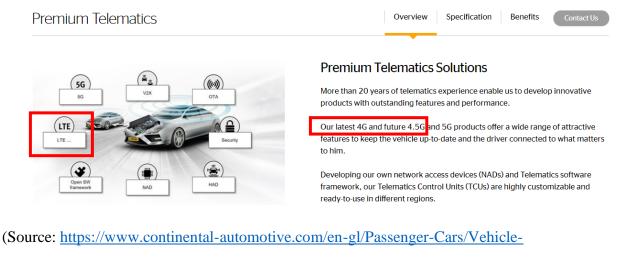
https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/11.05.00\_60/ts\_136211v110

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26. The methods practiced by Continental's use of the accused products include

receiving the transmitted frame. For example, the receiving antennas of the accused products

can receive the transmitted frames for further processing.



Networking/Connectivity-Telematics/Telematics/Premium-Telematics)

V2X Communication

Overview Hybrid V2X Platform Cellular V2X Communication

Contact



Cellular V2X (Vehicle to Everything) communication has strong potential to become a key enabler for autometed driving and intelligent mobility. CV2X allows to communicate via the LTE network using the 3GPP Release 14, and in future, the 5G mobile network. Cellular V2X is also designed to directly connect vehicles with each other as well as with the infrastructure and further road users. Even in areas without mobile network coverage, C-V2X communication allows an exchange of time sensitive and safety critical information, for example about warnings of potentially hazardous situations.

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 17 of 66

(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/5G-Connectivity-Solutions/V2X-Communication)

27. The methods practiced by Continental's use of the accused products include

demodulating the received frame. For example, according to the LTE standards, the physical

layer performs various functions which include modulation and demodulation of physical

channels. Hence, the received frame will be demodulated for further processing.

# 5.2 Overview of L1 functions

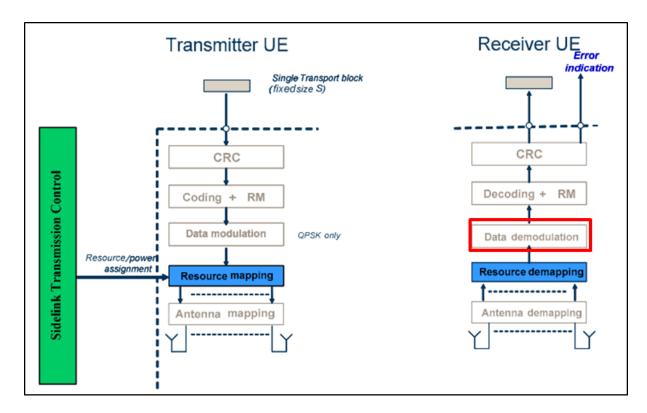
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- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation

#### (Source:

https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150

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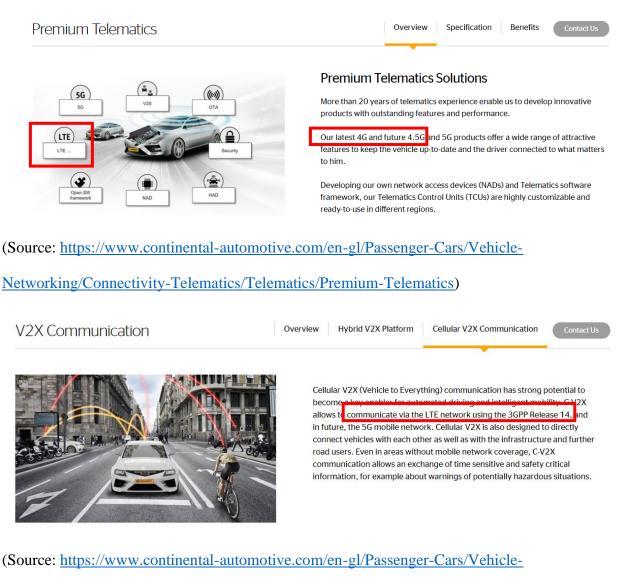


(Source:

https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150 000p.pdf)

28. The methods practiced by Continental's use of the accused products include synchronizing the received demodulated frame to the transmitted frame such that the data symbols are synchronized in the time domain and frequency domain. For example, according to the LTE standards, the physical layer performs various functions which include frequency and time synchronization. The procedure of achieving this time and frequency synchronizations is called 'Cell Search'.

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 19 of 66



Networking/5G-Connectivity-Solutions/V2X-Communication)

# 5.2 Overview of L1 functions

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- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing

### (Source:

https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150000p.p

### <u>df</u>)

# 4.1 Cell search

<u>Cell search is the procedure by which a UE acquires time and frequency synchronization with a cell</u> and detects the physical layer Cell ID of that cell. E-UTRA cell search supports a scalable overall transmission bandwidth corresponding to 6 resource blocks and upwards.

The following signals are transmitted in the downlink to facilitate cell search: the primary and secondary synchronization signals.

A UE may assume the antenna ports 0-3 and the antenna port for the primary/secondary synchronization signals of a serving cell are quasi co-located (as defined in [3]) with respect to Doppler shift and average delay.

## (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136213/14.02.00\_60/ts\_136213v140200p.p

<u>df</u>)

29. The methods practiced by Continental's use of the accused products include

wherein the synchronizing in the time domain comprises coarse time synchronizing and fine time

synchronizing. For example, the physical layer performs time and frequency synchronization on

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 21 of 66

received frames using the cell search procedure. It uses primary and secondary synchronization signals for time and frequency synchronization. The time synchronization includes coarse and fine time synchronizations. The PSS and the SSS are and have been used for symbol timing and radio frame timing respectively providing coarse and fine timing synchronization.

The physical channels defined in the downlink are:

- · the Physical Downlink Shared Channel (PDSCH),
- · the Physical Multicast Channel (PMCH),
- · the Physical Downlink Control Channel (PDCCH),
- · the Relay Physical Downlink Control Channel (R-PDCCH),
- · the Physical Broadcast Channel (PBCH),
- · the Physical Control Format Indicator Channel (PCFICH)
- and the Physical Hybrid ARQ Indicator Channel (PHICH).

The physical channels defined in the uplink are:

- · the Physical Random Access Channel (PRACH),
- · the Physical Uplink Shared Channel (PUSCH),
- · and the Physical Uplink Control Channel (PUCCH).

In addition, signals are defined as reference signals, primary and secondary synchronization signals

The modulation schemes supported in the downlink and uplink are QPSK, 16QAM and 64QAM.

#### (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

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# 4.1 Cell search

Cell search is the procedure by which a UE acquires time and frequency synchronization with a cell and detects the physical layer Cell ID of that cell. E-UTRA cell search supports a scalable overall transmission bandwidth corresponding to 6 resource blocks and upwards.

The following signals are transmitted in the downlink to facilitate cell search: the primary and secondary synchronization signals.

A UE may assume the antenna ports 0-3 and the antenna port for the primary/secondary synchronization signals of a serving cell are quasi co-located (as defined in [3]) with respect to Doppler shift and average delay.

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136213/14.02.00\_60/ts\_136213v140

<u>200p.pdf</u>)

In time- and frequency-synchronous multi-carrier transmission the receiver at the base station needs to detect the start position of an OFDM symbol or frame and to estimate the channel state information from some known pilot symbols inserted in each OFDM symbol. If the coherence time of the channel exceeds an OFDM symbol, the channel estimation can estimate the time variation as well. This strategy, which will be considered in the following, simplifies a burst receiver.

(Source: Multi-Carrier and Spread Spectrum Systems: From OFDM and MC-CDMA to

LTE and WiMAX, Fazel et. Al (2008))

# 4.2.4.2 Fine Symbol Timing

For fine time synchronization, several methods based on transmitted reference symbols can be used [14]. One straightforward solution applies the estimation of the channel impulse response. The received signal without noise  $r(t) = s(t) \otimes h(t)$  is the convolution of the transmit signal s(t) and the channel impulse response h(t). In the frequency domain after FFT processing we obtain R(f) = S(f)H(f). By transmitting special reference symbols (e.g. CAZAC sequences [63]), S(f) is a priori known by the receiver. Hence,

(Source: Multi-Carrier and Spread Spectrum Systems: From OFDM and MC-CDMA to

LTE and WiMAX, Fazel et. Al (2008))

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 23 of 66

If you go into a little bit further details, you would need a couple of additional steps as follows (step (1) and step (2)). To detect PSS and SSS, you need to get the data with a sequence of specific resource elements accurately. To accurately extract the data from a specific resource elements, you need to know the exact symbol boundary (starting sample and ending sample of an OFDM symbol). Once you detect the exact symbol boundary, you can detect the frequency offset (a kind of frequency error) to further compenstate the signal. In some sense, these two steps are more difficult than PSS, SSS detection. (1) Find Symbol Boundary – CP Correlation (2) Determine Frequency Offset (3) Detect PSS (Primary Sync Signal) (4) Detect SSS (Secondary Sync Signal)

(Source: <a href="http://www.sharetechnote.com/html/BasicProcedure\_LTE\_TimeSync.html">http://www.sharetechnote.com/html/BasicProcedure\_LTE\_TimeSync.html</a>)

Determining Frame Synchronization and Cell Identification

The cell search involves two steps:

- 1. Perform running correlation with three possible PSS and detect a peak in any of the three correlators. The position of the peak provides frame timing with an uncertainty of five subframes, as the PSS is present in both subframe 0 and subframe 5.
- Once a peak is detected, perform correlation with 31 possible SSS in subframes 0 and 5 to find one of 168 possible combinations of two SSS.

(Source: https://www.mathworks.com/company/newsletters/articles/understanding-and-

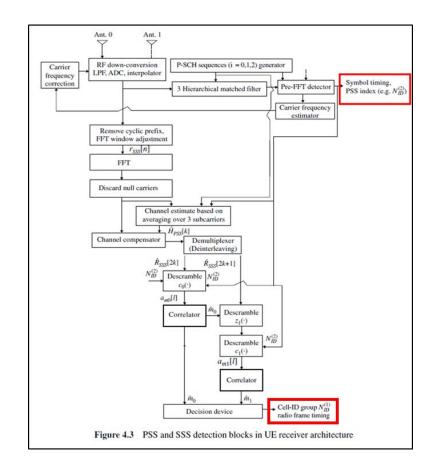
demodulating-lte-signals.html)

# 15.1.1 DISCOVERY SIGNAL AND ASSOCIATED MEASUREMENTS

The *discovery reference signal* (DRS), although described as a new signal, actually consists of a combination already existing signals, namely

- synchronization signal (PSS and SSS) to assist in obtaining the cell identity and coarse frequency and time synchronization;
- cell-specific reference signals (CRS) to assist in obtaining fine frequency and time synchronization;
- CSI reference signals (optional) useful in determining the transmission point identity within the cell.

(Source: 4G, LTE-Advanced Pro and The Road to 5G, Dahlman et al. (2016))



(Source: Mobile Terminal Receiver Design: LTE and LTE-Advanced, Das, Sajal Kumar

(2017))

Step1: Symbol Timing, Frequency Offset and Physical Layer ID Detection using PSS

In this stage, the symbol timing, frequency offset, and physical-layer ID are detected using PSS. As discussed above, the PSS occupies a bandwidth of  $62 \times 15$  kHz around the

(Source: Mobile Terminal Receiver Design: LTE and LTE-Advanced, Das, Sajal Kumar

(2017))

Step-2: Radio Frame Timing and Cell Group ID Detection using SSS

Next, the radio-frame timing and cell group ID are detected using SSS in the frequency domain. As the SSS detection is generally performed in the frequency domain, FFT is

#### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 25 of 66

(Source: Mobile Terminal Receiver Design: LTE and LTE-Advanced, Das, Sajal Kumar (2017))

30. Continental has had actual knowledge of the '782 Patent at least as of the date when it was notified of the filing of this action. By the time of trial, Continental will have known and intended (since receiving such notice) that its continued actions would infringe and actively induce and contribute to the infringement of one or more claims of the '782 Patent.

31. Continental has also indirectly and willfully infringed, and continues to indirectly and willfully infringe, the '782 Patent, as explained further below in the "Additional Allegations Regarding Infringement" section.

32. American Patents has been damaged as a result of the infringing conduct by Continental alleged above. Thus, Continental is liable to American Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

33. American Patents and/or its predecessors-in-interest have satisfied all statutory obligations required to collect pre-filing damages for the full period allowed by law for infringement of the '782 Patent.

#### COUNT II

#### **INFRINGEMENT OF U.S. PATENT NO. 7,310,304**

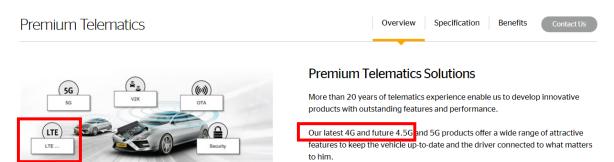
34. On December 18, 2007, United States Patent No. 7,310,304 ("the '304 Patent") was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Estimating Channel Parameters in Multi-Input, Multi-Output (MIMO) Systems."

35. American Patents is the owner of the '304 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '304 Patent against infringers, and to collect damages for all relevant times.

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 26 of 66

36. Continental made, had made, used, imported, provided, supplied, distributed, sold, and/or offered for sale products and/or systems including, for example, its Continental Premium Telematics Solutions and Continental V2X Communication families of products, that include LTE and/or 802.11n or above capabilities ("accused products"):

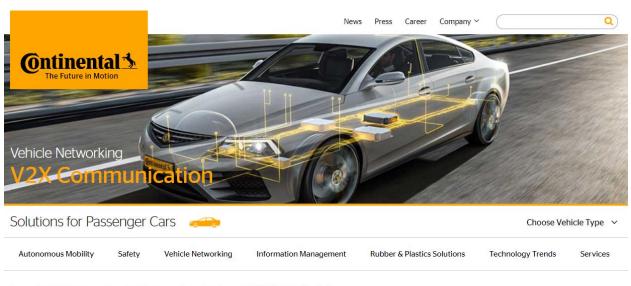




Developing our own network access devices (NADs) and Telematics software framework, our Telematics Control Units (TCUs) are highly customizable and ready-to-use in different regions.

(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> <u>Networking/Connectivity-Telematics/Telematics/Premium-Telematics</u>)

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 27 of 66



Home > Vehicle Networking > 5G Connectivity Solutions > V2X Communication

## (Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

# Networking/5G-Connectivity-Solutions/V2X-Communication)

V2X Communication	Overview	Hybrid V2X Platform	Cellular V2X Communication	Contact Us
V2A communication		54 S		



Cellular V2X (Vehicle to Everything) communication has strong potential to become a key enabler for submated driving and intelligent mobility. C V2X allows to communicate via the LTE network using the 3GPP Release 14, and in future, the 5G mobile network. Cellular V2X is also designed to directly connect vehicles with each other as well as with the infrastructure and further road users. Even in areas without mobile network coverage, C-V2X communication allows an exchange of time sensitive and safety critical information, for example about warnings of potentially hazardous situations.

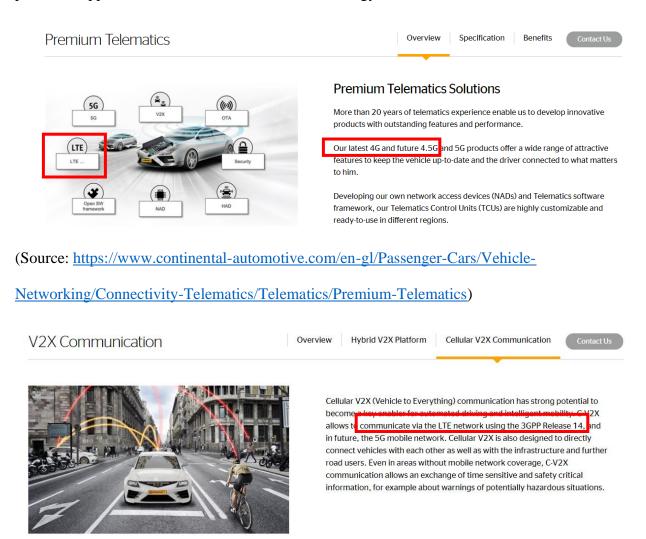
(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> Networking/5G-Connectivity-Solutions/V2X-Communication)

37. By doing so, Continental has directly infringed (literally and/or under the doctrine of equivalents) at least Claim 1 of the '304 Patent. Continental's infringement in this regard is ongoing.

38. Continental has infringed the '304 Patent by making, having made, using, importing, providing, supplying, distributing, selling or offering for sale products including an

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 28 of 66

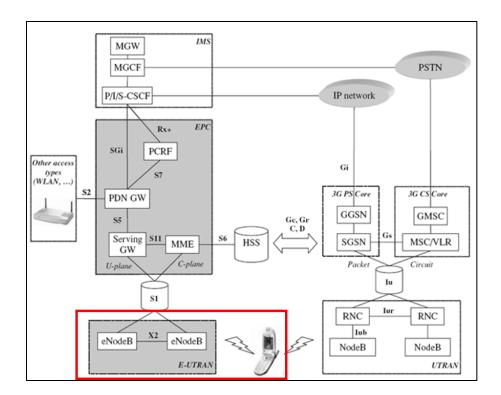
Orthogonal Frequency Division Multiplexing (OFDM) transmitter. For example, the accused products support LTE standards with MIMO technology.



(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/5G-Connectivity-Solutions/V2X-Communication)

#### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 29 of 66



(Source: <u>https://sites.google.com/site/lteencyclopedia/lte-network-infrastructure-and-</u> elements)

39. The accused products include an encoder configured to process data to be transmitted within an OFDM system, the encoder further configured to separate the data onto one or more transmit diversity branches (TDBs). Alternatively, on request from an accused product, an LTE base station includes a transmitter in an OFDM system with an encoder configured to process data to be transmitted within an OFDM system, the encoder further configured to separate the data onto one or more transmit diversity branches (TDBs). For example, according to the LTE standards, the physical layer performs FEC encoding on the transmitting data. Hence, there is an encoder block at the transmitter end; additionally, transmit diversity is performed at the transmitter end. The encoders output the data onto multiple transmit chains (i.e. transmit diversity branches) for further processing.

## 4.1.2 Service provided to higher layers

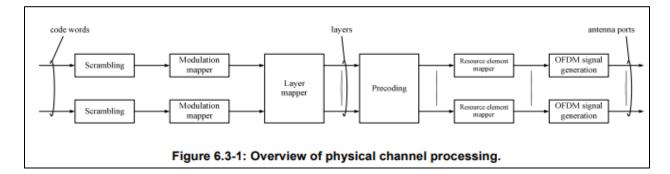
The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing
- Transmit Diversity (TX diversity)
- Beamforming
- RF processing. (Note: RF processing aspects are specified in the TS 36.100 series)

#### (Source:

#### https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

#### <u>000p.pdf</u>)



#### (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080 600p.pdf)

40. The accused products include one or more OFDM modulators, each OFDM modulator connected to a respective TDB, each OFDM modulator configured to produce a frame

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 31 of 66

including a plurality of data symbols, a training structure, and cyclic prefixes inserted among the data symbols. For example, the physical layer performs the modulation and demodulation of the physical channels. Further, it uses OFDM in the downlink physical channel. Hence, there would be OFDM modulators for modulating the data signals. The physical layer transmits frames of data on the downlink that include cyclic prefixes, training symbols and other data groups.

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers

#### (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

## <u>000p.pdf</u>)

# 4.2.1 Multiple Access

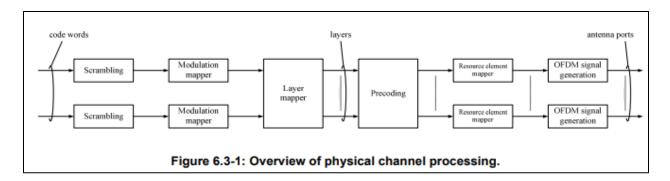
The multiple access scheme for the LTE physical layer is based on Orthogonal Frequency Division Multiplexing (OFDM) with a cyclic prefix (CP) in the downlink, and on Single-Carrier Frequency Division Multiple Access (SC-FDMA) with a cyclic prefix in the uplink. To support transmission in paired and unpaired spectrum, two duplex modes

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

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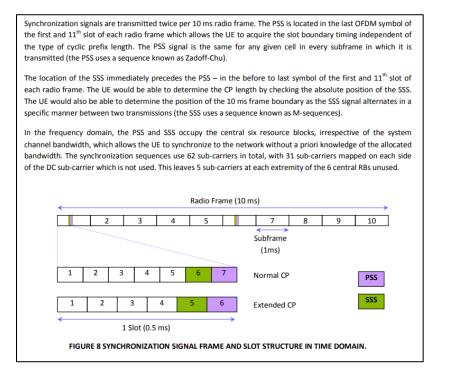
## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 32 of 66



#### (Source:

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#### <u>600p.pdf</u>)



(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-

### %20Physical%20Layer.pdf)

41. The accused products include one or more transmitting antennas in

communication with the one or more OFDM modulators, respectively, each transmitting antenna configured to transmit the respective frame over a channel. Alternatively, on request from an accused product, an LTE base station includes one or more transmitting antennas in

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 33 of 66

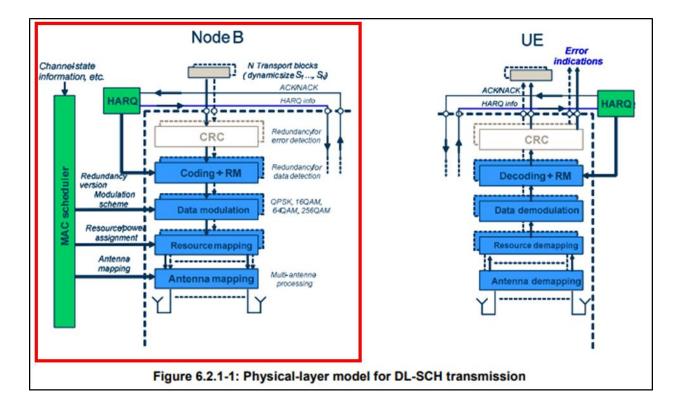
communication with the one or more OFDM modulators, respectively, each transmitting antenna configured to transmit the respective frame over a channel. The transmitting antennas in the base station are connected to the OFDM modulators to get the OFDM frames for further transmission.

"Synchronization" refers to the technique applied to ensure the radios in the target LTE basestation are operating within the performance parameters defined by the appropriate 3rd Generation Partners Project (3GPP) standard. Synchronization is achieved by delivering a specifically formatted clock signal or signals to the basestation's radio circuitry. These signals in turn are used to generate the modulation method's RF air interface frequency/phase components.

The RF or air interface requirements of LTE are determined by the 3GPP, a collaboration between groups of telecommunications associations, known as the Organizational Partners. The 3GPP's standardization encompasses radio, core network, and service architecture.

(Source: https://www.electronicdesign.com/communications/lte-requires-synchronization-and-





(Source :

https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150000p.p

<u>df</u>)

# 6.7 Physical control format indicator channel

The physical control format indicator channel carries information about the number of OFDM symbols used for transmission of PDCCHs in a subframe. The set of OFDM symbols possible to use for PDCCH in a subframe is given by Table 6.7-1.

# (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/11.05.00\_60/ts\_136211v110500p.p

<u>df</u>)

42. The accused products include wherein the training structure of each frame includes a predetermined signal transmission matrix at a respective sub-channel, each training structure adjusted to have a substantially constant amplitude in a time domain, and the cyclic prefixes are further inserted within the training symbol, and wherein the cyclic prefixes within the training symbol are longer than the cyclic prefixes among the data symbols, thereby countering an extended channel impulse response and improving synchronization performance. Alternatively, on request from an accused product, an LTE base station includes a transmitter in an Orthogonal Frequency Division Multiplexing (OFDM) system, the transmitter comprising one or more OFDM modulators configured to produce a frame including a plurality of data symbols, a training structure, and cyclic prefixes inserted among the data symbols; wherein the training structure of each frame includes a predetermined signal transmission matrix at a respective subchannel, each training structure adjusted to have a substantially constant amplitude in a time domain, and the cyclic prefixes are further inserted within the training symbol, and wherein the cyclic prefixes within the training symbol are longer than the cyclic prefixes among the data symbols, thereby countering an extended channel impulse response and improving

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 35 of 66

synchronization performance. For example, the physical layer performs precoding on both the downlink by generating a precoding matrix (i.e. signal transmission matrix) which is transmitted along with the data frames. Cyclic prefixes are added to the transmitting frames to help in frame synchronization at the receiver end. The evidence shows that a cell-specific reference signal acting as the training sequence are and have been used for channel estimation and are present in the first symbol of the slots in the frame. Also, the evidence shows that the cyclic prefix in the first symbol is longer than the cyclic prefix in the other data symbols. Thus, the cyclic prefix in the training strucuture reference signals are longer than the cyclic prefixes in the other data symbols. The primary synchronization signals and the cell specific reference signals are generated using Zadoff-Chu sequences which have a constant amplitude.

The scope of this specification is to establish the characteristics of the Layer-1 physical channels, generation of physical layer signals and modulation, and to specify:

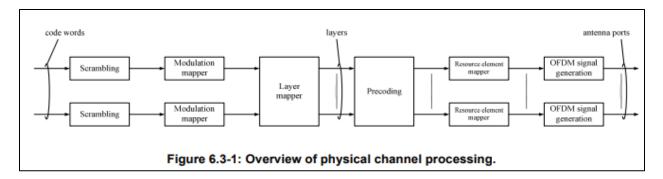
- Definition of the uplink and downlink physical channels;
- The structure of the physical channels, frame format, physical resource elements, etc.;
- Modulation mapping (BPSK, QPSK, etc);
- Physical shared channel in uplink and downlink;
- Reference signal in uplink and downlink;
- Random access channel;
- Primary and secondary synchronization signals;
- OFDM signal generation in downlink;
- SC-FDMA signal generation in uplink;
- Scrambling, modulation and up conversion;
- Uplink-downlink timing relation;
- Layer mapping and precoding in downlink and uplink.

#### (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100000p.p

<u>df</u>)

# Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 36 of 66



## (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080600p.p

<u>df</u>)

# 6.3.4 Precoding

The precoder takes as input a block of vectors  $x(i) = \begin{bmatrix} x^{(0)}(i) & \dots & x^{(\nu-1)}(i) \end{bmatrix}^T$ ,  $i = 0, 1, \dots, M_{\text{symb}}^{\text{layer}} - 1$  from the layer mapping and generates a block of vectors  $y(i) = \begin{bmatrix} \dots & y^{(p)}(i) & \dots \end{bmatrix}^T$ ,  $i = 0, 1, \dots, M_{\text{symb}}^{\text{ap}} - 1$  to be mapped onto resources on each of the antenna ports, where  $y^{(p)}(i)$  represents the signal for antenna port p.

(Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080600p.p

<u>df</u>)

# 6.3.4.2.1 Precoding without CDD

Without cyclic delay diversity (CDD), precoding for spatial multiplexing is defined by

$y^{(0)}(i)$		$x^{(0)}(i)$
:	= W(i)	:
$y^{(P-1)}(i)$		$x^{(v-1)}(i)$

where the precoding matrix W(i) is of size  $P \times v$  and  $i = 0, 1, ..., M_{symb}^{ap} - 1$ ,  $M_{symb}^{ap} = M_{symb}^{layer}$ .

For spatial multiplexing, the values of W(i) shall be selected among the precoder elements in the codebook configured in the eNodeB and the UE. The eNodeB can further confine the precoder selection in the UE to a subset of the elements in the codebook using codebook subset restrictions. The configured codebook shall be selected from Table 6.3.4.2.3-1 or 6.3.4.2.3-2.

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<u>df</u>)

## 6.3.4.2.2 Precoding for large delay CDD

For large-delay CDD, precoding for spatial multiplexing is defined by

$$\begin{bmatrix} y^{(0)}(i) \\ \vdots \\ y^{(P-1)}(i) \end{bmatrix} = W(i)D(i)U\begin{bmatrix} x^{(0)}(i) \\ \vdots \\ x^{(\nu-1)}(i) \end{bmatrix}$$

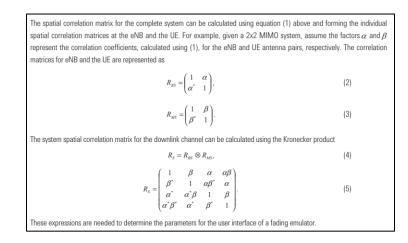
where the precoding matrix W(i) is of size  $P \times v$  and  $i = 0, 1, ..., M_{symb}^{ap} - 1, M_{symb}^{ap} = M_{symb}^{layer}$ . The diagonal size- $v \times v$  matrix D(i) supporting cyclic delay diversity and the size- $v \times v$  matrix U are both given by Table 6.3.4.2.2-1 for different numbers of layers v.

The values of the precoding matrix W(i) shall be selected among the precoder elements in the codebook configured in the eNodeB and the UE. The eNodeB can further confine the precoder selection in the UE to a subset of the elements in the codebook using codebook subset restriction. The configured codebook shall be selected from Table 6.3.4.2.3-1 or 6.3.4.2.3-2.

## (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080600p.p

<u>df</u>)



(Source: LTE and the Evolution to 4G Wireless: Design and Measurement Challenges, Wiley

(2013))

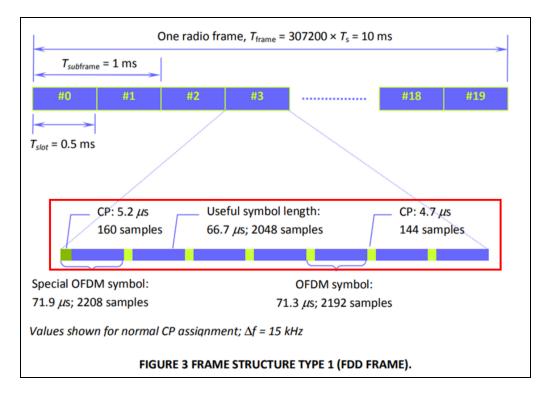
The useful symbol time is  $T_u = 2048 \cdot T_s \approx 66.7 \ \mu$ s. For the normal mode, the first symbol has a cyclic prefix of length  $T_{CP} = 160 \cdot T_s \approx 5.2 \ \mu$ s. The remaining six symbols have a cyclic prefix of length  $T_{CP} = 144 \cdot T_s \approx 4.7 \ \mu$ s. The reason for different CP length of the first symbol is to make the overall slot length in terms of time units divisible by 15360. For the extended mode, the cyclic prefix is  $T_{CP-e} = 512 \cdot T_s \approx 16.7 \ \mu$ s. The CP is longer than the typical delay spread of a few microseconds typically encountered in practice as shown in Figure 4. The normal cyclic prefix is used in urban cells and high data rate applications while the extended cyclic prefix is used in special cases like multi-cell broadcast and in very large cells (e.g. rural areas, low data rate applications).

(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-

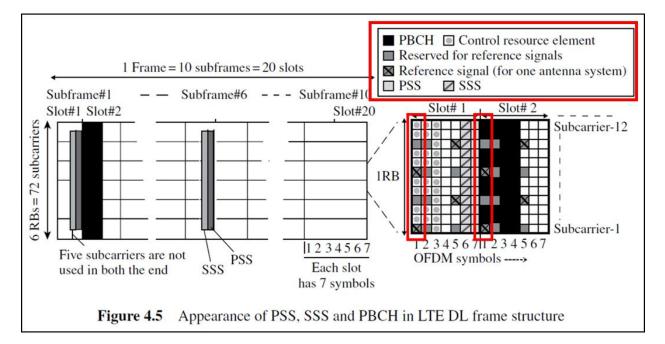
## %20Physical%20Layer.pdf)

According to Chapter 4, a subcarrier spacing  $\Delta f = 15$  kHz corresponds to a useful symbol time  $T_u = 1/\Delta f \approx 66.7 \,\mu s \,(2048 \cdot T_s)$ . The overall OFDM symbol time is then the sum of the useful symbol time and the cyclic-prefix length  $T_{CP}$ . As illustrated in Figure 16.5, LTE defines two cyclic-prefix lengths, the normal cyclic prefix and an *extended* cyclic prefix, corresponding to seven and six OFDM symbols per slot, respectively. The exact cyclic-prefix lengths, expressed in the basic time unit  $T_s$ , are given in Figure 16.5. It should be noted that, in case of the normal cyclic prefix, the cyclic-prefix length for the first OFDM symbol of a slot is somewhat larger, compared to the remaining OFDM symbols. The reason for this is simply to fill the entire 0.5 ms slot as the number of time units  $T_s$  per slot (15360) is not dividable by seven.

(Source: 3G Evolution: HSPA and LTE for Mobile Broadband, Dahlman, et al. (2010))

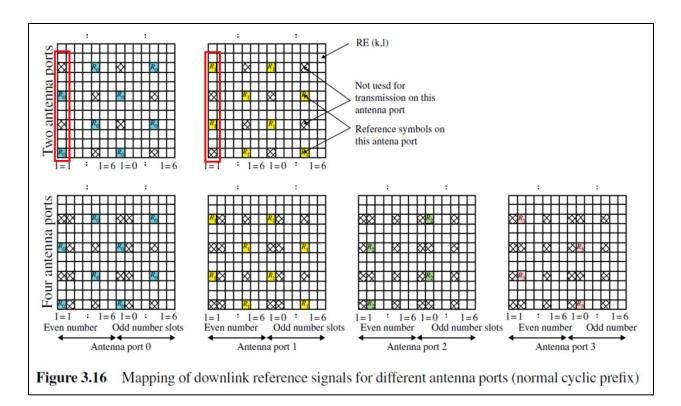


(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-



%20Physical%20Layer.pdf)

(Source: Mobile Terminal Receiver Design: LTE and LTE-Advanced, Das, Sajal Kumar (2017))



(Source: Mobile Terminal Receiver Design: LTE and LTE-Advanced, Das, Sajal Kumar (2017))

## 6.11.1 Primary synchronization signal

## 6.11.1.1 Sequence generation

The sequence d(n) used for the primary synchronization signal is generated from a frequency-domain Zadoff-Chu sequence according to

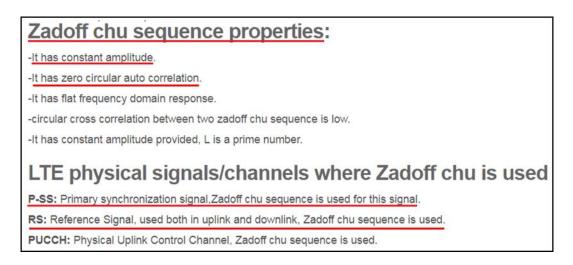
$$d_u(n) = \begin{cases} e^{-j\frac{\pi u n(n+1)}{63}} & n = 0, 1, ..., 30\\ e^{-j\frac{\pi u (n+1)(n+2)}{63}} & n = 31, 32, ..., 61 \end{cases}$$

where the Zadoff-Chu root sequence index u is given by Table 6.11.1.1-1.

## (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080600p.p

<u>df</u>)



(Source: http://www.rfwireless-world.com/Terminology/Zadoff-chu-sequence-LTE.html)

43. Continental has had actual knowledge of the '304 Patent at least as of the date when it was notified of the filing of this action. By the time of trial, Continental will have known and intended (since receiving such notice) that its continued actions would infringe and actively induce and contribute to the infringement of one or more claims of the '304 Patent.

44. Continental has also indirectly and willfully infringed, and continues to indirectly and willfully infringe, the '304 Patent, as explained further below in the "Additional Allegations Regarding Infringement" section.

45. American Patents has been damaged as a result of the infringing conduct by Continental alleged above. Thus, Continental is liable to American Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

46. American Patents and/or its predecessors-in-interest have satisfied all statutory obligations required to collect pre-filing damages for the full period allowed by law for infringement of the '304 Patent.

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 42 of 66

### COUNT III

### **INFRINGEMENT OF U.S. PATENT NO. 7,706,458**

47. On April 27, 2010, United States Patent No. 7,706,458 ("the '458 Patent") was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Time And Frequency Synchronization In Multi-Input, Multi-Output (MIMO) Systems."

48. American Patents is the owner of the '458 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '458 Patent against infringers, and to collect damages for all relevant times.

49. Continental made, had made, used, imported, provided, supplied, distributed, sold, and/or offered for sale products and/or systems including, for example, its Continental Premium Telematics Solutions and Continental V2X Communication families of products, that include LTE and/or 802.11n or above capabilities ("accused products"):



Home > Vehicle Networking > Premium Telematics

(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> Networking/Connectivity-Telematics/Telematics/Premium-Telematics)

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 43 of 66





Home > Vehicle Networking > 5G Connectivity Solutions > V2X Communication

(Source: https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-

Networking/5G-Connectivity-Solutions/V2X-Communication)

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 44 of 66

V2X Communication

Overview Hybrid V2X Platform Cellu

Cellular V2X Communication



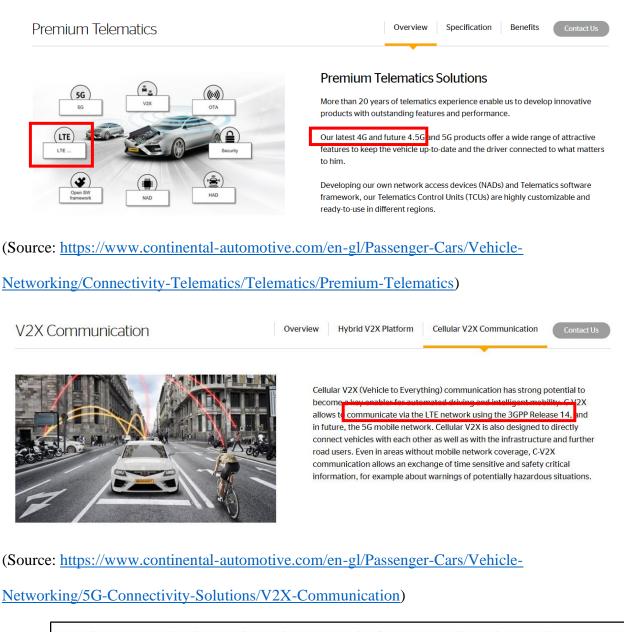
Cellular V2X (Vehicle to Everything) communication has strong potential to become a key enables for automated driving and intelligent mobility. C V2X allows to communicate via the LTE network using the 3GPP Release 14, and in future, the 5G mobile network. Cellular V2X is also designed to directly connect vehicles with each other as well as with the infrastructure and further road users. Even in areas without mobile network coverage, C-V2X communication allows an exchange of time sensitive and safety critical information, for example about warnings of potentially hazardous situations.

(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> Networking/5G-Connectivity-Solutions/V2X-Communication)

50. By doing so, Continental has directly infringed (literally and/or under the doctrine of equivalents) at least Claim 1 of the '458 Patent. Continental's infringement in this regard is ongoing.

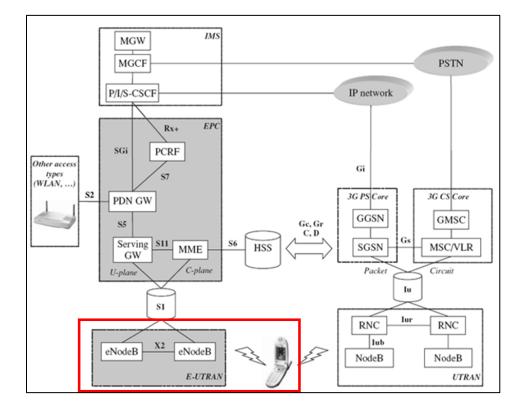
51. Continental has infringed the '458 Patent by making, having made, using, importing, providing, supplying, distributing, selling or offering for sale products including an apparatus for synchronizing a communication system. The accused product is a receiver in an apparatus for synchronizing a communication system. An LTE compliant base station that is communicating with an accused product can be part of the apparatus, acting as a transmitter. For example, according to the LTE standards, the physical layer performs various functions which include modulation and demodulation of physical channels, as well as time and frequency synchronization.

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 45 of 66



"Synchronization" refers to the technique applied to ensure the radios in the target LTE basestation are operating within the performance parameters defined by the appropriate 3rd Generation Partners Project (3GPP) standard. <u>Synchronization is achieved by delivering</u> <u>a specifically formatted clock signal or signals to the basestation's radio circuitry. These</u> <u>signals in turn are used to generate the modulation method's RF air interface</u> <u>frequency/phase components</u>.

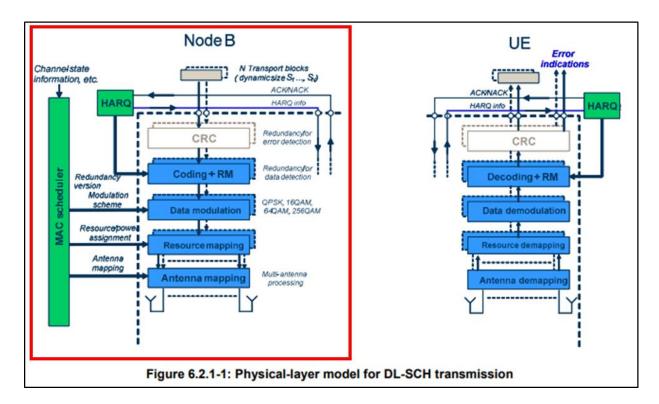
The RF or air interface requirements of LTE are determined by the 3GPP, a collaboration between groups of telecommunications associations, known as the Organizational Partners. The 3GPP's standardization encompasses radio, core network, and service architecture. (Source: https://www.electronicdesign.com/communications/lte-requires-



synchronization-and-standards-support)

(Source: https://sites.google.com/site/lteencyclopedia/lte-network-infrastructure-and-

elements)



https://www.etsi.org/deliver/etsi\_ts/136300\_136399/136302/15.00.00\_60/ts\_136302v150

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The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100 000p.pdf)

52. The accused products include a number (Q) of Orthogonal Frequency Division Multiplexing (OFDM) modulators, each OFDM modulator producing a frame having at least one inserted symbol, a plurality of data symbols, and cyclic prefixes. Alternatively, on being requested by an accused product, an LTE base station acts as a transmitter and includes a number (Q) of Orthogonal Frequency Division Multiplexing (OFDM) modulators, each OFDM modulator producing a frame having at least one inserted symbol, a plurality of data symbols, and cyclic prefixes. The LTE base station eNodeB acts as the transmitter for the OFDM frames. The physical layer performs the modulation and demodulation of the physical channels. Further, it uses OFDM in the downlink physical channel. Hence, there would be OFDM modulators for modulating the data signals at the base station. The physical layer transmits frames of data on the downlink, that includes data symbols, synchronization symbols such as PSS, SSS and cyclic prefixes.

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers

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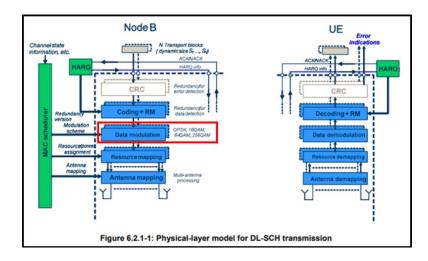
## 4.2.1 Multiple Access

The multiple access scheme for the LTE physical layer is based on Orthogonal Frequency Division Multiplexing (OFDM) with a cyclic prefix (CP) in the downlink, and on Single-Carrier Frequency Division Multiple Access (SC-FDMA) with a cyclic prefix in the uplink. To support transmission in paired and unpaired spectrum, two duplex modes

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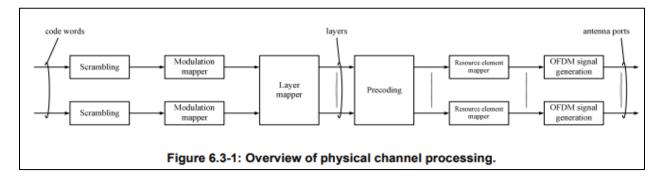
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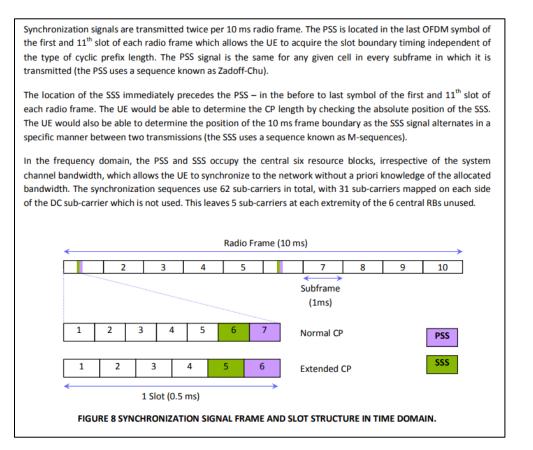
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https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136211/08.06.00\_60/ts\_136211v080

### <u>600p.pdf</u>)



(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-%20Physical%20Layer.pdf)

53. The accused products include Q transmitting antennas, each transmitting antenna connected to a respective OFDM modulator, the transmitting antennas configured to transmit a respective frame over a channel. Alternatively, on being requested by an accused product, the LTE base station can act as a transmitter and include Q transmitting antennas, each transmitting antenna connected to a respective OFDM modulator, the transmitting antennas configured to transmitting antenna connected to a respective OFDM modulator, the transmitting antennas configured to transmit a respective frame over a channel. The LTE base station eNodeB acts as the transmitter for the data frames. The data frames having cyclic prefixes and other OFDM symbols are

transmitted over a channel (PDCCH, etc.). The transmitting antennas of a base station would

transmit multiple OFDM frames over a channel. Thus, these transmitting antennas would be

connected to OFDM modulators to get the OFDM frames for further transmission.

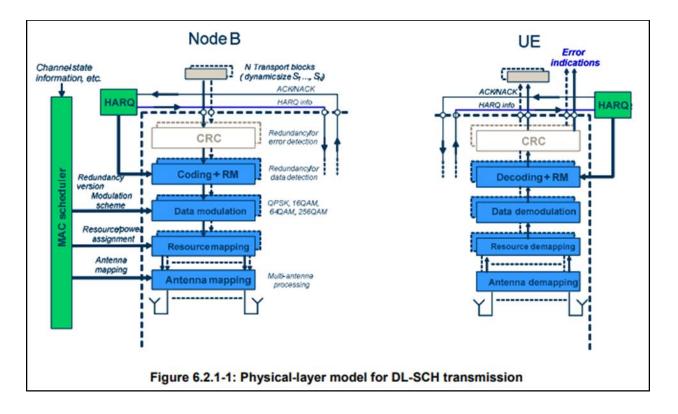
# 6.7 Physical control format indicator channel

The physical control format indicator channel carries information about the number of OFDM symbols used for transmission of PDCCHs in a subframe. The set of OFDM symbols possible to use for PDCCH in a subframe is given by Table 6.7-1.

## (Source:

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<u>df</u>)



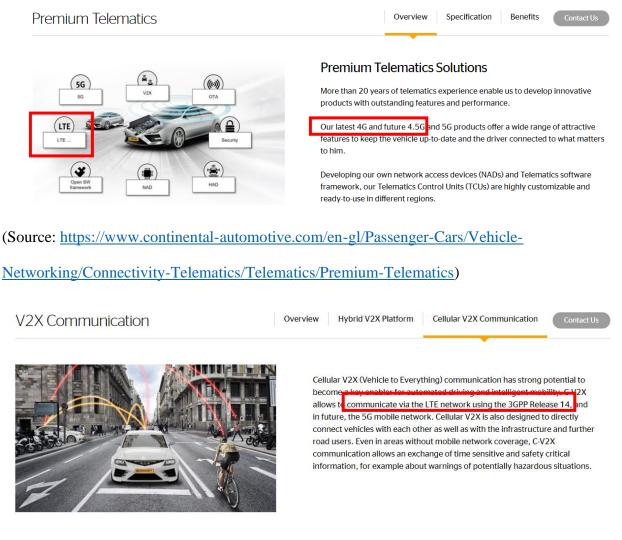
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## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 52 of 66

54. The accused products include a number (L) of receiving antennas for receiving the transmitted frames. For example, the accused products comply with LTE standards and uses MIMO antenna system. These receiving antennas would receive the frames transmitted by the base station.



(Source: <u>https://www.continental-automotive.com/en-gl/Passenger-Cars/Vehicle-</u> Networking/5G-Connectivity-Solutions/V2X-Communication)

55. The accused products include L OFDM demodulators, each OFDM demodulator corresponding to a respective receiving antenna, the L OFDM demodulators including a synchronization circuit that processes the received frame in order to synchronize the received

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 53 of 66

frame in both time domain and frequency domain. For example, according to the LTE standards, the physical layer performs various functions which include modulation and demodulation, as well as frequency and time synchronization. Hence, there would be demodulator blocks and synchronization circuits for performing these functions. The procedure of achieving time and frequency synchronizations is called 'Cell Search'.

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers

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## 4.1 Cell search

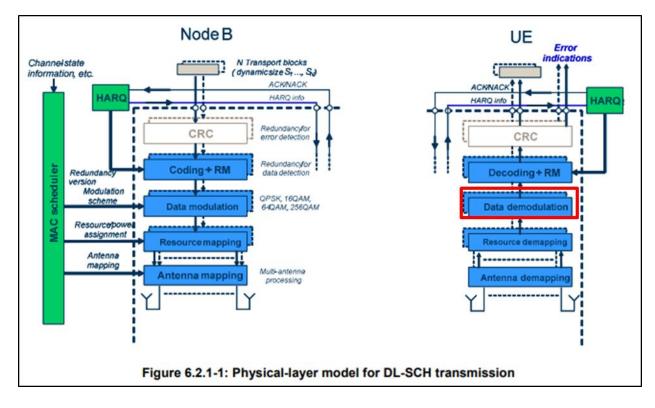
Cell search is the procedure by which a UE acquires time and frequency synchronization with a cell and detects the physical layer Cell ID of that cell. E-UTRA cell search supports a scalable overall transmission bandwidth corresponding to 6 resource blocks and upwards.

The following signals are transmitted in the downlink to facilitate cell search: the primary and secondary synchronization signals.

A UE may assume the antenna ports 0 - 3 and the antenna port for the primary/secondary synchronization signals of a serving cell are quasi co-located (as defined in [3]) with respect to Doppler shift and average delay.

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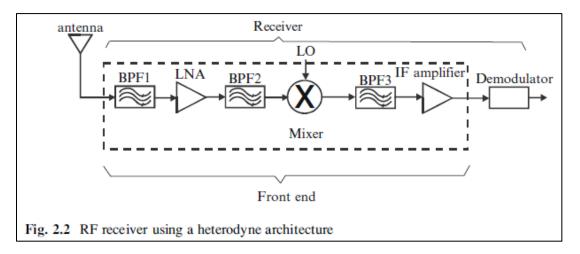
56. The accused products include wherein each of the L OFDM demodulators comprises a pre-amplifier, a local oscillator, a mixer having a first input and a second input, the first input connected to an output of the pre-amplifier, the second input connected to an output of the local oscillator, an analog-to-digital converter (ADC) connected to an output of the mixer. LTE devices generally include RF Front-end Modules. After the data is transmitted by the base station, the data is received by a receiving antenna of the accused product for further processing. To prevent the demodulator from demodulating the noise associated with the received signal, an

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 55 of 66

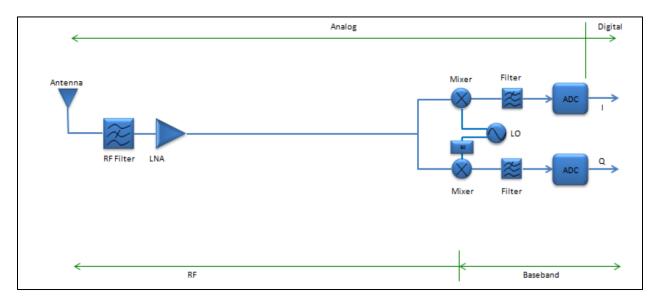
RF front end circuit is implemented to increase the SNR of demodulated signal. The RF front end circuit generally consists of amplifiers, local oscillator, filters and mixers. The output from the mixer is generally fed to an analog-to-digital converter (ADC). This RF front end circuit generally lies at the start of the demodulation process.

The technique to combat a low SNR<sub>demod\_in</sub> is by adding a front end block, which processes (conditions) the received signal/AWGN/interference before admitting it to the demodulator. This processing can be done in several ways:

(Source: VLSI for Wireless Communication)



(Source: VLSI for Wireless Communication)

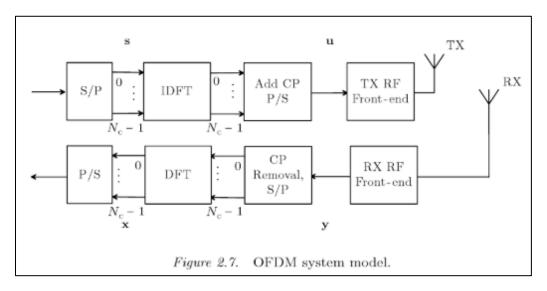


(Source: http://www.sharetechnote.com/html/RF\_Introduction.html)

# 2.4 Rest of Receiver Front End: Nonidealities and Design Parameters

Now that we have talked about the design of filters in the receiver front, we turn our attention to the design of the rest of the components. Normally these components consist of circuits such as LNA, mixer, IF amplifier, and analog/digital (A/D) converter. Unlike filters, their relevant design parameters are different. Hence our first task is to discuss these design parameters.

(Source: VLSI for Wireless Communication)



(Source: RF Imperfections in High-rate Wireless Systems: Impact and Digital

Compensation, Schenk (2008))

The RF front end is generally defined as everything between the antenna and the digital baseband system. For a receiver, this "between" area includes all the filters, low-noise amplifiers (LNAs), and down-conversion mixer(s) needed to process the modulated signals received at the antenna into signals suitable for input into the baseband analog-to-digital converter (ADC). For this reason, the RF front end is often called the analog-to-digital or RF-tobaseband portion of a receiver.

## Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 57 of 66

(Source: <u>https://www.eetimes.com/document.asp?doc\_id=1276331</u>)

57. The accused products include the synchronization circuit having one input

connected to an output of the ADC. According to the LTE standards, the physical layer performs various functions which include frequency and time synchronization. The procedure of achieving this time and frequency synchronizations is called 'Cell Search'. Hence, there are synchronization circuits for performing these functions. The synchronization circuit is connected to an ADC.

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers

### (Source:

https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136201/10.00.00\_60/ts\_136201v100

## <u>000p.pdf</u>)

## 4.1 Cell search

Cell search is the procedure by which a UE acquires time and frequency synchronization with a cell and detects the physical layer Cell ID of that cell. E-UTRA cell search supports a scalable overall transmission bandwidth corresponding to 6 resource blocks and upwards.

The following signals are transmitted in the downlink to facilitate cell search: the primary and secondary synchronization signals.

A UE may assume the antenna ports 0 - 3 and the antenna port for the primary/secondary synchronization signals of a serving cell are quasi co-located (as defined in [3]) with respect to Doppler shift and average delay.

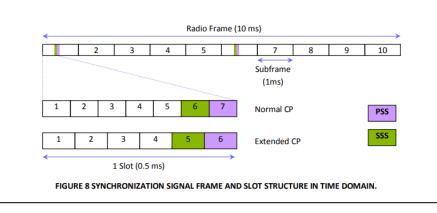
# https://www.etsi.org/deliver/etsi\_ts/136200\_136299/136213/14.02.00\_60/ts\_136213v140 200p.pdf)

58. The accused products include a cyclic-prefix remover connected to an output of the synchronization circuit, a serial-to-parallel converter connected to an output of the cyclic prefix remover, and a discrete Fournier transform (DFT) stage connected to an output of the serial-to-parallel converter, an output of the DFT stage connected to another input to the synchronization circuit. Cyclic prefixes are added in the preamble for each transmitted frame. In a general OFDM system, a cyclic prefix remover circuit would be present at the receiver end. The output from the cyclic prefix remover circuit is fed to a serial-to-parallel converter for performing a DFT operation on its output.

Synchronization signals are transmitted twice per 10 ms radio frame. The PSS is located in the last OFDM symbol of the first and 11<sup>th</sup> slot of each radio frame which allows the UE to acquire the slot boundary timing independent of the type of cyclic prefix length. The PSS signal is the same for any given cell in every subframe in which it is transmitted (the PSS uses a sequence known as Zadoff-Chu).

The location of the SSS immediately precedes the PSS – in the before to last symbol of the first and 11<sup>th</sup> slot of each radio frame. The UE would be able to determine the CP length by checking the absolute position of the SSS. The UE would also be able to determine the position of the 10 ms frame boundary as the SSS signal alternates in a specific manner between two transmissions (the SSS uses a sequence known as M-sequences).

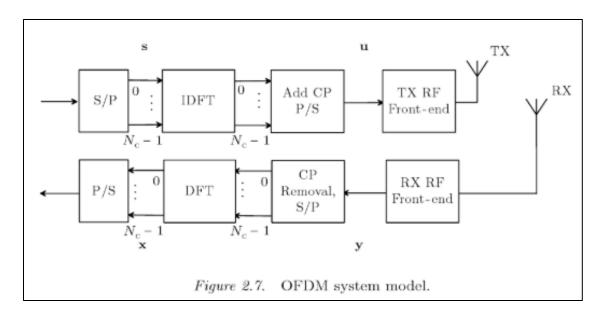
In the frequency domain, the PSS and SSS occupy the central six resource blocks, irrespective of the system channel bandwidth, which allows the UE to synchronize to the network without a priori knowledge of the allocated bandwidth. The synchronization sequences use 62 sub-carriers in total, with 31 sub-carriers mapped on each side of the DC sub-carrier which is not used. This leaves 5 sub-carriers at each extremity of the 6 central RBs unused.



(Source: https://home.zhaw.ch/kunr/NTM1/literatur/LTE%20in%20a%20Nutshell%20-

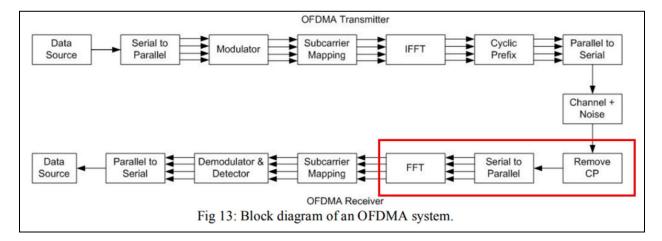
%20Physical%20Layer.pdf)

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 59 of 66



(Source: RF Imperfections in High-rate Wireless Systems: Impact and Digital

Compression, Schenk, Tim)



(Source: http://ijettjournal.org/volume-12/number-2/IJETT-V12P214.pdf)

59. Continental has had actual knowledge of the '458 Patent at least as of the date when it was notified of the filing of this action. By the time of trial, Continental will have known and intended (since receiving such notice) that its continued actions would infringe and actively induce and contribute to the infringement of one or more claims of the '458 Patent.

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 60 of 66

60. Continental has also indirectly and willfully infringed, and continues to indirectly and willfully infringe, the '458 Patent, as explained further below in the "Additional Allegations Regarding Infringement" section.

61. American Patents has been damaged as a result of the infringing conduct by Continental alleged above. Thus, Continental is liable to American Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

62. American Patents and/or its predecessors-in-interest have satisfied all statutory obligations required to collect pre-filing damages for the full period allowed by law for infringement of the '458 Patent.

### ADDITIONAL ALLEGATIONS REGARDING INFRINGEMENT

63. In addition to any specific products mentioned above, the accused products also include at least the following products and/or modules and all products containing these products and/or modules: Continental BL28EU-001, Continental BL28EU-RD1, Continental BL28NA-001, Continental BL28NA-003, Continental BL28NARD1, Continental BL28RW-001, Continental BSRF, Continental FANW, Continental FB40-ND1, Continental FE4CNX210, Continental FE4NA0210, Continental LHJ-CUW, Continental LHJ-TVN, Continental LNAD, Continental LNADVW, Continental Premium Telematics Solutions, Continental STRLNK2P, Continental TP\_BL\_WiFi, Continental V2X Communication, Continental Wireless Modem Module (LHJ-FAN), Continental WT50NA01, Continental WT50NA02, and Continental WT50RW02.

64. Continental has also indirectly infringed the '782 Patent, the '304 Patent, and the '458 Patent by inducing others to directly infringe the '782 Patent, the '304 Patent, and the '458 Patent. Continental has induced the end-users, Continental's customers, to directly infringe

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 61 of 66

(literally and/or under the doctrine of equivalents) the '782 Patent, the '304 Patent, and the '458 Patent by using the accused products.

65. Continental took active steps, directly and/or through contractual relationships with others, with the specific intent to cause them to use the accused products in a manner that infringes one or more claims of the patents-in-suit, including, for example, Claim 30 of the '782 Patent, Claim 1 of the '304 Patent, and Claim 1 of the '458 Patent.

66. Such steps by Continental included, among other things, advising or directing customers and end-users to use the accused products in an infringing manner; advertising and promoting the use of the accused products in an infringing manner; and/or distributing instructions that guide users to use the accused products in an infringing manner.

67. Continental has performed these steps, which constitute induced infringement, with the knowledge of the '782 Patent, the '304 Patent, and the '458 Patent and with the knowledge that the induced acts constitute infringement.

68. Continental was and is aware that the normal and customary use of the accused products by Continental's customers would infringe the '782 Patent, the '304 Patent, and the '458 Patent. Continental's inducement is ongoing.

69. Continental has also induced its affiliates, or third-party manufacturers, shippers, distributors, retailers, or other persons acting on its or its affiliates' behalf, to directly infringe (literally and/or under the doctrine of equivalents) the '782 Patent, the '304 Patent, and the '458 Patent by importing, selling or offering to sell the accused products.

70. Continental has at least a significant role in placing the accused products in the stream of commerce in Texas and elsewhere in the United States.

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 62 of 66

71. Continental directs or controls the making of accused products and their shipment to the United States, using established distribution channels, for sale in Texas and elsewhere within the United States.

72. Continental directs or controls the sale of the accused products into established United States distribution channels, including sales to nationwide retailers.

73. Continental's established United States distribution channels include one or more United States based affiliates (e.g., at least Continental Automotive Inc., Continental Tire The Americas LLC, Zonar Systems, Inc., and CZ Holdings, Inc.).

74. Continental directs or controls the sale of the accused products nationwide, including through its own sales and distribution channels, including for sale in Texas and elsewhere in the United States, and expects and intends that the accused products will be so sold.

75. Continental took active steps, directly and/or through contractual relationships with others, with the specific intent to cause such persons to import, sell, or offer to sell the accused products in a manner that infringes one or more claims of the patents-in-suit, including, for example, Claim 30 of the '782 Patent, Claim 1 of the '304 Patent, and Claim 1 of the '458 Patent.

76. Such steps by Continental included, among other things, making or selling the accused products outside of the United States for importation into or sale in the United States, or knowing that such importation or sale would occur; and directing, facilitating, or influencing its affiliates, or third-party manufacturers, shippers, distributors, retailers, or other persons acting on its or their behalf, to import, sell, or offer to sell the accused products in an infringing manner.

77. Continental performed these steps, which constitute induced infringement, with the knowledge of the '782 Patent, the '304 Patent, and the '458 Patent and with the knowledge that the induced acts would constitute infringement.

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 63 of 66

78. Continental performed such steps in order to profit from the eventual sale of the accused products in the United States.

79. Continental's inducement is ongoing.

80. Continental has also indirectly infringed by contributing to the infringement of the '782 Patent, the '304 Patent, and the '458 Patent. Continental has contributed to the direct infringement of the '782 Patent, the '304 Patent, and the '458 Patent by the end-user of the accused products.

81. The accused products have special features that are specially designed to be used in an infringing way and that have no substantial uses other than ones that infringe the '782 Patent, the '304 Patent, and the '458 Patent, including, for example, Claim 30 of the '782 Patent, Claim 1 of the '304 Patent, and Claim 1 of the '458 Patent.

82. The special features include improved wireless communication capabilities and initiation and/or control of Internet streamed content used in a manner that infringes the '782 Patent, the '304 Patent, and the '458 Patent.

83. The special features constitute a material part of the invention of one or more of the claims of the '782 Patent, the '304 Patent, and the '458 Patent and are not staple articles of commerce suitable for substantial non-infringing use.

84. Continental's contributory infringement is ongoing.

85. Furthermore, Continental has a policy or practice of not reviewing the patents of others (including instructing its employees to not review the patents of others), and thus has been willfully blind of American Patents' patent rights. *See, e.g.*, M. Lemley, "Ignoring Patents," 2008 Mich. St. L. Rev. 19 (2008).

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 64 of 66

86. Continental's actions are at least objectively reckless as to the risk of infringing valid patents and this objective risk was either known or should have been known by Continental.

87. Continental has knowledge of the '782 Patent, the '304 Patent, and the '458 Patent.

88. Continental's customers have infringed the '782 Patent, the '304 Patent, and the '458 Patent.

89. Continental encouraged its customers' infringement.

90. Continental's direct and indirect infringement of the '782 Patent, the '304 Patent, and the '458 Patent is, has been, and/or continues to be willful, intentional, deliberate, and/or in conscious disregard of American Patents' rights under the patents.

91. American Patents has been damaged as a result of the infringing conduct by Continental alleged above. Thus, Continental is liable to American Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

### JURY DEMAND

American Patents hereby requests a trial by jury on all issues so triable by right.

### PRAYER FOR RELIEF

American Patents requests that the Court find in its favor and against Continental, and that the Court grant American Patents the following relief:

a. Judgment that one or more claims of the '782 Patent, the '304 Patent, and the '458 Patent have been infringed, either literally and/or under the doctrine of equivalents, by Continental and/or all others acting in concert therewith;

b. A permanent injunction enjoining Continental and its officers, directors, agents,

### Case 6:21-cv-00635 Document 1 Filed 06/21/21 Page 65 of 66

servants, affiliates, employees, divisions, branches, subsidiaries, parents, and all others acting in concert therewith from infringement of the '782 Patent, the '304 Patent, and the '458 Patent; or, in the alternative, an award of a reasonable ongoing royalty for future infringement of the '782 Patent, the '304 Patent, and the '458 Patent by such entities;

c. Judgment that Continental account for and pay to American Patents all damages to and costs incurred by American Patents because of Continental's infringing activities and other conduct complained of herein, including an award of all increased damages to which American Patents is entitled under 35 U.S.C. § 284;

d. That American Patents be granted pre-judgment and post-judgment interest on the damages caused by Continental's infringing activities and other conduct complained of herein;

e. That this Court declare this an exceptional case and award American Patents its reasonable attorney's fees and costs in accordance with 35 U.S.C. § 285; and

f. That American Patents be granted such other and further relief as the Court may deem just and proper under the circumstances.

Dated: June 21, 2021

Respectfully submitted,

<u>/s/Zachariah S. Harrington</u> Matthew J. Antonelli Texas Bar No. 24068432 matt@ahtlawfirm.com Zachariah S. Harrington Texas Bar No. 24057886 zac@ahtlawfirm.com Larry D. Thompson, Jr. Texas Bar No. 24051428 larry@ahtlawfirm.com Christopher Ryan Pinckney Texas Bar No. 24067819 ryan@ahtlawfirm.com

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