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16 Attorneys for Plaintiff
17 Core Optical Technologies, LLC

18 UNITED STATES DISTRICT COURT
19 CENTRAL DISTRICT OF CALIFORNIA
20 SOUTHERN DIVISION

21 CORE OPTICAL TECHNOLOGIES,
22 LLC,

23 Plaintiff,

24 v.

25 ACACIA COMMUNICATIONS, INC.

26 Defendant.

CASE NO:

**COMPLAINT FOR PATENT
INFRINGEMENT**

JURY TRIAL DEMANDED

27 Plaintiff Core Optical Technologies, LLC (“Plaintiff” or “Core”), by and
28 through its undersigned counsel, hereby files this Complaint for Patent Infringement
against Defendant Acacia Communications, Inc. (“Defendant” or “Acacia”).

For its complaint, Core alleges as follows:

1 **THE PARTIES**

2 1. Core is a limited liability company organized and existing under the laws
3 of the State of California. Core has a principal place of business located at 18792 Via
4 Palatino, Irvine, California 92603.

5 2. Acacia is a corporation organized and existing under the laws of
6 Delaware. Acacia maintains its principal place of business at Three Mill and Main
7 Place, Maynard, Massachusetts 01754.

8 **JURISDICTION AND VENUE**

9 3. This is an action for infringement of method claims, and *only* method
10 claims, of U.S. Patent No. 6,782,211, entitled “Cross Polarization Interface [sic]
11 Canceler,” which was duly issued by the United States Patent and Trademark Office
12 on August 24, 2004 (“the ’211 patent”). The asserted claims in this case are *only*
13 method claims 30, 32, 33, 35 and 37 of the ’211 patent (“the Asserted Claims”).

14 4. This Court has subject matter jurisdiction over this case under 28 U.S.C.
15 §§ 1331 and 1338(a), because the claims arise under the patent laws of the United
16 States, 35 U.S.C. §§ 1, *et seq.*

17 5. This Court has general personal jurisdiction over Acacia because Acacia
18 maintains a regular and established place of business in California at 2700 Zanker Rd,
19 Suite 201, San Jose, CA 95134.

20 6. This Court also has general personal jurisdiction over Acacia because, on
21 information and belief, Acacia conducts continuous and systematic business within
22 California, including within this judicial district.

23 7. In addition, this Court has specific personal jurisdiction over Acacia
24 because, on information and belief, Acacia has committed acts of infringement in
25 California, and within this judicial district.

26 8. This Court has specific personal jurisdiction over Acacia because, on
27 information and belief, Acacia has committed acts that infringe the Asserted Claims
28 in California, and in this judicial district. More specifically, on information and belief,

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1 Acacia has performed all of the steps of the Asserted Claims in California, and in this
2 judicial district, either personally, through intermediaries, or in conjunction with one
3 or more joint venturers or customers. Furthermore, on information and belief, Acacia
4 has induced and/or contributed to customers' infringement of the Asserted Claims in
5 California, and in this district, by selling Accused Instrumentalities to customers in
6 this district, along with documentation on how to use the Accused Instrumentalities in
7 an infringing manner, and by providing active assistance to customers in California in
8 using the Accused Instrumentalities in an infringing manner.

9 9. Venue is proper in this district against Acacia under 28 U.S.C. § 1400(b).
10 As alleged above and below, and based on information and belief, Acacia has
11 committed acts of infringement in this district. Moreover, Acacia—either directly, or
12 through its 100% corporate parent Cisco Systems, Inc. (“Cisco”)—has multiple
13 regular and established places of business in this district. Those include the
14 Acacia/Cisco facilities located at: (i) 11111 Santa Monica Blvd., Suite 400, Los
15 Angeles, California 90025; (ii) 121 Theory Drive, Suite 100, Irvine, California 92617;
16 and (iii) 130 Theory Drive, Suite 100, Irvine, California 92617.

17 10. In addition, venue is proper because Core resides in this judicial district,
18 and Core has and continues to suffer harm in this judicial district. Moreover, a
19 substantial part of the events giving rise to this action occurred in this judicial district,
20 including the inventive activities giving rise to the '211 patent.

21 **THE ASSERTED PATENT**

22 11. Mark Core, the sole named inventor of the '211 patent, earned his Ph.D.
23 in electrical and computer engineering from the University of California, Irvine, and
24 is the Manager of Core Optical Technologies, LLC. The pioneering technology set
25 forth in the '211 patent greatly increases data transmission rates in fiber optic
26 networks, by enabling two optical signals transmitted in the same frequency band, but
27 at generally orthogonal polarizations, to be recovered at a receiver. The patented
28 technology that enables the recovery of these signals includes coherent optical

1 receivers and related methods that mitigate cross-polarization interference associated
2 with the transmission of the signals through the fiber optic network. The coherent
3 receivers and their patented methods mitigate the effects of polarization dependent
4 loss and dispersion effects that limit the performance of optical networks, greatly
5 increasing the transmission distance and eliminating or reducing the need for a variety
6 of conventional network equipment such as amplifiers, regenerators, and
7 compensators. The patented technology set forth in the '211 patent has been adopted
8 by Defendants in, at least, their packet-optical transport solutions described below.

9 12. On November 5, 1998, Mark Core filed with the United States Patent
10 and Trademark Office ("USPTO") Provisional Patent Application No. 60/107,123
11 ("the '123 application") directed to his pioneering inventions. On November 4, 1999,
12 Mark Core filed with the USPTO a non-provisional patent application, U.S. Patent
13 Application No. 09/434,213 ("the '213 application"), claiming priority to the '123
14 application. On August 24, 2004, the USPTO issued the '211 patent from the '213
15 application. The entire right, title, and interest in and to the '211 patent, including all
16 rights to past damages, has been assigned to Core in an assignment recorded with the
17 USPTO. The '211 patent is attached as Exhibit 1 to this Complaint.

18 13. The Asserted Claims of the '211 patent are each method claims. One of
19 these is claim 33, an independent method claim. Claim 33 is reproduced below, with
20 parenthetical annotations to identify the different elements of the claim:

21 33. A method comprising:

22 (33a) receiving an optical signal over a single fiber optic
23 transmission medium,

24 (33a1) the optical signal being at least two
25 polarized field components independently
26 modulated with independent information bearing
27 waveforms; and

28 (33b) mitigating cross polarization interference

1 associated with the at least two modulated polarized field
2 components to reconstruct the information bearing
3 waveforms

4 (33b1) using a plurality of matrix coefficients
5 being complex values to apply both amplitude
6 scaling and phase shifting to the at least two
7 modulated polarized field components.

8 **ACACIA'S CROSS POLARIZATION CANCELLING DEVICES**

9 14. Acacia and/or its divisions, subsidiaries, and/or agents are engaged in the
10 business of making, using, distributing, importing, offering for sale and/or selling
11 devices that can be configured to mitigate and/or cancel cross polarization
12 interference in received fiber optic signals, when used as part of infringing optical
13 receiver systems. As so configured, these optical receiver systems, when
14 incorporating the Acacia devices, perform all the steps of the methods claimed in the
15 Asserted Claims, during normal use. These Acacia devices include, but are not
16 limited to: (i) all members of the "CFP-DCO Product Family" described at
17 <https://acacia-inc.com/product/cfp-dco/>, including all prior and current versions,
18 revisions, and variations of such products, and all components of such products,
19 including all hardware and software ("CFP-DCO"); (ii) all members of the "CFP2-
20 ACO Product Family" described at <https://acacia-inc.com/product/cfp2-aco/>,
21 including all prior and current versions, revisions, and variations of such products,
22 and all components of such products, including all hardware and software ("CFP2-
23 ACO"); (iii) all members of the "CFP2-DCO Product Family" described at
24 <https://acacia-inc.com/product/cfp2-dco/>, including all prior and current versions,
25 revisions, and variations of such products, and all components of such products,
26 including all hardware and software ("CFP2-DCO"); (iv) all members of the "OSFP
27 Product Family" described at <https://acacia-inc.com/product/osfp-product-family/>,
28 including all prior and current versions, revisions, and variations of such products,
and all components of such products, including all hardware and software ("OSFP");

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1 (v) all members of the “QSFP-DD Product Family” described at [https://acacia-](https://acacia-inc.com/product/qsfp-dd-product-family/)
2 [inc.com/product/qsfp-dd-product-family/](https://acacia-inc.com/product/qsfp-dd-product-family/), including all prior and current versions,
3 revisions, and variations of such products, and all components of such products,
4 including all hardware and software (“QSFP-DD”); (vi) all “DSP ASIC Products”
5 described at <https://acacia-inc.com/product/dsp-asic-products/> , including all prior and
6 current versions, revisions, and variations of such products, and all components of
7 such products, including all hardware and software (“DSP ASICs”); (vii) all “Silicon
8 Photonic Integrated Circuits” described at [https://acacia-inc.com/product/silicon-](https://acacia-inc.com/product/silicon-photonic-integrated-circuits-pic/)
9 [photonic-integrated-circuits-pic/](https://acacia-inc.com/product/silicon-photonic-integrated-circuits-pic/) , including all prior and current versions, revisions,
10 and variations of such products, and all components of such products, including all
11 hardware and software (“Silicon PICs”); (viii) all products in the “AC100-CFP”
12 product family described at [http://ir.acacia-inc.com/news-releases/news-release-](http://ir.acacia-inc.com/news-releases/news-release-details/acacia-communications-industry-first-coherent-ac100-cfp-now)
13 [details/acacia-communications-industry-first-coherent-ac100-cfp-now](http://ir.acacia-inc.com/news-releases/news-release-details/acacia-communications-industry-first-coherent-ac100-cfp-now), and all
14 products bearing a designation that includes the characters “AC100” or “AC-100,”
15 including all prior and current versions, revisions, and variations of such products,
16 and all components of such products, including all hardware and software (“AC100”);
17 (ix) all members of the AC200 product family, and all products bearing a designation
18 that includes the characters “AC200” or “AC-200,” including all prior and current
19 versions, revisions, and variations of such products, and all components of such
20 products, including all hardware and software (“AC200”); (x) all members of the
21 “AC400 FLEX Product Family” described at [https://acacia-inc.com/product/ac400-](https://acacia-inc.com/product/ac400-flex/)
22 [flex/](https://acacia-inc.com/product/ac400-flex/) , and all products bearing a designation that includes the characters “AC400” or
23 “AC-400,” including all prior and current versions, revisions, and variations of such
24 products, and all components of such products, including all hardware and software
25 (“AC400”); and (xi) all members of the “AC1200 Product Family” described at
26 <https://acacia-inc.com/product/ac1200/> , and all products bearing a designation that
27 includes the characters “AC1200” or “AC-1200,” including all prior and current
28 versions, revisions, and variations of such products, and all components of such

1 products, including all hardware and software (“AC1200”) (collectively, the
2 “Accused Instrumentalities”).

3 15. Optical receiver systems incorporating the Accused Instrumentalities are,
4 or can be, configured to perform all of the steps recited in the Asserted Claims during
5 normal use. On information and belief, Acacia has actually used the Accused
6 Instrumentalities to perform each step of the methods recited in the Asserted Claims
7 of the ’211 Patent, within the United States, either itself, through intermediaries, or in
8 conjunction with one or more joint venturers, customers, or suppliers.

9 16. Acacia’s product literature, website, and other public information shows
10 that optical receiver systems incorporating the Accused Instrumentalities are
11 configured to perform all of the steps of claim 33, during normal use.

12 17. Element 33(a) recites “receiving an optical signal over a single fiber
13 optic transmission medium.” Optical receiver systems incorporating the Accused
14 Instrumentalities are configured to do this during normal operation, as shown below:

15 a. CFP-DCO is an “industry-standard, pluggable CFP form factor”
16 module which includes a “silicon photonic integrated circuit.” *See* Exhibit 2
17 (Acacia product portfolio) at 3. CFP pluggable modules are governed by the
18 CFP MSA Hardware Specification, attached as Exhibit 3. That specification
19 shows that a CFP module includes “RX [receiver] optics” which receive optical
20 signals over a fiber optic transmission medium. Ex. 3 at 8.

21 b. CFP2-ACO follows the “industry standard pluggable CFP2 form
22 factor [that] was designed in accordance with the Implementation Agreement
23 defined by the Optical Internetworking Forum.” Ex. 2 at 3. The Implementation
24 Agreement for CFP2-ACO is attached as Exhibit 4. The Implementation
25 Agreement shows that CFP2-ACO modules have an “Rx Input” that receives
26 optical signals over a fiber optic transmission medium. Ex. 4 at 16.

27 c. CFP2-DCO modules are governed by the OIF Implementation
28 Agreement for such modules, which is attached as Exhibit 5. CFP2-DCO

1 modules include “RX Optics” that receive optical signals over a fiber optic
2 transmission medium. *See* Ex. 5 at 9.

3 d. OSFP is an “Octal Small Form-factor Pluggable” module that
4 includes a “silicon photonic integrated circuit” which receives optical signals
5 over a fiber optic transmission medium. Ex. 6 (OSFP website printout) at 1-2.

6 e. QSFP-DD is a “Quad Small Form-factor Pluggable Double
7 Density” module which includes a “silicon photonic integrated circuit (PIC)”
8 which receives optical signals over a fiber optic transmission medium. *See* Ex.
9 7 (QSFP-DD website printout) at 1-2.

10 f. The DSP ASICs are “at the heart of the aforementioned coherent
11 module products,” which—as shown above—receive optical signals over a
12 fiber optic transmission medium. Ex. 2 at 4. Thus, the DSP ASICs are part of
13 products that receive optical signals over a fiber optic transmission medium.

14 g. The Silicon PICs are described in the Acacia document “Single-
15 Chip Silicon Photonics 100-Gbs Coherent Transceiver” (Exhibit 8). According
16 to that document, the Silicon PICs “contains all the optics for a 100-Gb/s
17 coherent transceiver, except the laser.” Ex. 8 at 1. The Silicon PICs receive
18 optical signals over a fiber optic transmission medium at the “R” port. *Id.* at 3.

19 h. AC100 is the same product family as CFP-DCO. Ex. 2 at 3.
20 AC200 is the same product family as CFP2-ACO and/or CFP2-DCO. *Id.* Thus,
21 AC100 and AC200 satisfy this element during normal use, for the same reasons
22 discussed above as to CFP-DCO, CFP2-ACO, an CFP2-DCO.

23 i. AC400 is an “Embedded Module[.]” that receives optical signals
24 over fiber optic transmission media at rates of “100G to 400G.” Ex. 2 at 2.

25 j. AC1200 is an “Embedded Module” that receives optical signals
26 over fiber optic transmission media at rates of “up to 600 Gbps.” *Id.*

27 18. Element 33(a1) recites “the optical signal being at least two polarized
28 field components independently modulated with independent information bearing

1 waveforms.” Communication in which “at least two polarized field components [are]
2 independently modulated with independent information bearing waveforms” is called
3 Polarization-Division-Multiplexing (“PDM”) or Dual-Polarization (“DP”) optical
4 communication. Optical receiver systems incorporating the Accused Instrumentalities
5 are configured to perform PDM/DP optical communication during normal operation,
6 as shown below:

7 a. CFP-DCO (a.k.a. AC100) was the first “commercial coherent
8 100Gbps system” employing the OIF’s implementation agreement for
9 “integrated *dual-polarization* coherent receivers.” Ex. 9 (Merenguel Thesis) at
10 50, 141. On information and belief, a CFP-DCO (i.e., AC100) module is the
11 subject of the article “A Robust Real-Time 100G Transceiver with Soft-
12 Decision Forward Error Correction” (Exhibit 10), co-authored by several
13 Acacia employees. That article states that the AC100 module is a “120 Gb/s
14 coherent *polarization-multiplexed* quadrature-phase-shift-keyed transceiver.”
15 Ex. 10 at 1. Thus, the CFP-DCO (AC100) module performs dual-polarization
16 optical communication during normal operation.

17 b. CFP2-ACO follows the OIF Implementation Agreement for
18 CFP2-ACO, Exhibit 4. That Implementation Agreement states that CFP2-ACO
19 modules perform “*dual polarization* coherent optical signaling.” Ex. 4 at 12.
20 Thus, Acacia’s CFP2-ACO performs DP communication in ordinary use.

21 c. CFP2-DCO is described in the article “Real-time transmission of
22 16 TB/s over 1020km using 200Gb/s” (Exhibit 11), co-authored by multiple
23 Acacia employees. That article states that CFP2-DCO performs “200Gb/s
24 *polarization multiplexed (PM)*” communication using “8QAM” or “16QAM”
25 modulation formats. Ex. 11 at 2. Thus, CFP2-DCO is configured to perform
26 dual-polarization optical communication during normal use.

27 d. “AC200” refers to both CFP2-ACO and CFP2-DCO. *See* Ex. 2 at
28 3. As discussed above, CFP2-ACO and CFP2-DCO perform dual-polarization

1 optical communication during normal use; thus, AC200 does as well.

2 e. Acacia has sold two different types of OSFP products: “400ZR”
3 products, and “OpenZR+” products. Ex. 6 at 4. The 400ZR products are
4 governed by the OIF Implementation Agreement for 400ZR (Exhibit 12). That
5 Implementation Agreement states that 400ZR products use “**DP**-16QAM
6 modulation,” i.e., dual-polarization. Ex. 12 at 14. Meanwhile, OpenZR+
7 products are governed by the OpenZR+ MSA Technical Specification (Exhibit
8 13). That Technical Specification states that OpenZR+ products use “dual
9 polarization coherent” communication. Ex. 13 at 6-7. Thus, Acacia’s OSFP
10 products perform dual-polarization communication during normal operation.

11 f. Acacia has sold two types of QSFP-DD products: “400ZR” and
12 “OpenZR+” products. Ex. 7 at 4. As discussed above, both types of products
13 perform dual-polarization communication during normal use.

14 g. The DSP ASICs are “at the heart of the aforementioned coherent
15 module products,” which—as shown above—receive dual-polarization optical
16 signals during normal use in optical systems. Ex. 2 at 4. Thus, the DSP ASICs
17 are part of products that receive DP optical signals during normal use.

18 h. The Silicon PICs actually receive dual-polarization optical signals
19 at the “R” (receive) port. Ex. 8 at 2-3. Thus, the Silicon PICs are configured to
20 receive dual-polarization optical signals during normal use.

21 i. According to the article “Beyond the mega-data center:
22 networking multi-data center regions” (Exhibit 14), both the “Acacia AC200”
23 and “AC400” perform “**dual polarization (DP)** 200 Gbit/s 16 quadrature
24 amplitude modulation (QAM).” Ex. 14 at 17. The article “Acacia unveils 400
25 Gigabit coherent transceiver” (Exhibit 15) confirms that the “AC-400” module
26 performs “polarization multiplexing.” Ex. 15 at 2. Thus, the AC400 performs
27 dual-polarization optical communication during normal use.

28 j. According to the presentation “Everything You Always Wanted to

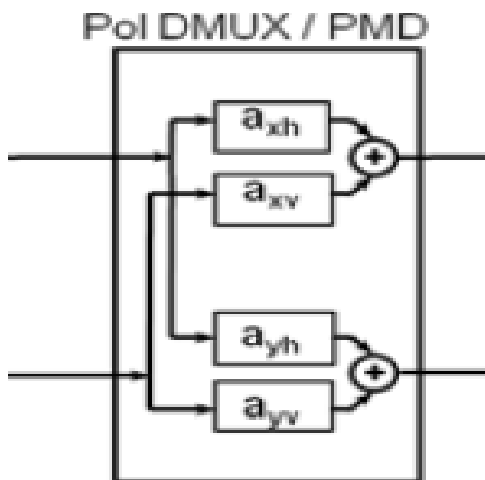
1 Know About Optical Networking – But Were Afraid to Ask” (Exhibit 16), the
 2 AC1200 uses “Polarization Multiplexing.” Ex. at 91-93. Thus, the AC1200
 3 performs dual-polarization optical communication during normal use.

4 19. Element 33(b) recites “mitigating cross polarization interference
 5 associated with the at least two modulated polarized field components to reconstruct
 6 the information bearing waveforms.” Optical receiver systems incorporating the
 7 Accused Instrumentalities are configured to do this during normal operation, as
 8 shown below:

9 a. According to Acacia’s May 17, 2019 Form 8-K Report to the SEC
 10 (Exhibit 17), the AC100 originally used the “Everest” DSP ASIC. Ex. 17 at 27;
 11 *see also* Exhibit 18 (Acacia’s December 21, 2015 Form S-1) at 91 (confirming
 12 that “AC100-G” was “based on our Everest DSP ASIC”). According to the
 13 September 16, 2012 article “Acacia Communications Announces the Industry’s
 14 First 100G Coherent Module That Is Commercially Deployed Globally”
 15 (Exhibit 19), that “purpose-built ASIC” (Everest) performed “[f]ast and
 16 extensive Polarization Mode Dispersion (PMD) compensation . . . enabling
 17 transport of 100G over fiber links that cannot even support 10G today.” Ex. 19
 18 at 2. On information and belief, the only way that the AC100 ASIC (Everest)
 19 could perform “[f]ast and extensive Polarization Mode Dispersion (PMD)
 20 compensation . . . enabling transport of 100G over fiber links that cannot even
 21 support 10G today” is if it mitigated cross-polarization interference (and other
 22 polarization-related transmission impairments, including PMD) by means of
 23 the “XPIC” approach of the ‘211 patent. Additionally, the public version of the
 24 March 8, 2011 Strategic Partnering Agreement between Acacia and ADVA
 25 (Exhibit 20) (downloaded from SEC website) states that the “AC100” module
 26 (Ex. 20 at 1) had to achieve certain levels of “tolerance to change in SOP [state
 27 of polarization],” “PMD tolerance,” “[chromatic] dispersion tolerance,” and
 28 “PDL [polarization dependent loss] tolerance.” *Id.* at 38-39. On information

1 and belief, the only way that the AC100 Everest chip could achieve high levels
 2 of PMD, PDL, and CD tolerance in a DSP is if it followed the “XPIC”
 3 approach of the ‘211 patent. Accordingly, the Everest ASIC in the AC100
 4 literally performs this claim element during normal operation.

5 b. Moreover, the Everest ASIC (40 nm) is described in “A Robust
 6 Real-Time 100G Transceiver With Soft-Decision Forward Error Correction”
 7 (Ex. 10). That article shows that the receive path in the AC100 includes the
 8 Everest ASIC, which includes a block that performs “Dispersion and PMD
 9 Equalization.” Ex. 10 at 2. That block includes the “Pol DMUX/PMD” block:



19 *Id.* As the article explains, this block performs “PMD compensation of up to 30
 20 ps mean PMD via a time-domain butterfly structure, which uses fractional tap
 21 spacing, where the tap updates are based on the constant modulus algorithm.”

22 *Id.* As seen above, the 2x2 butterfly structure of the “Pol DMUX / PMD” block
 23 in the Everest ASIC has the exact same structure as the “XPIC” shown in
 24 Figures 4A and 4B of the ‘211 patent. Moreover, it performs the same
 25 function—i.e., correcting polarization-dependent transmission impairments,
 26 including PMD, to reconstruct the originally-transmitted signals. *Id.* This
 27 further confirms that the Everest ASIC in the AC100 Module is configured to
 28 perform this claim element during normal operation.

1 c. According to Acacia’s May 17, 2019 Form 8-K (Exhibit 17), CFP-
2 DCO uses the “Sky” DSP ASIC. Ex. 17 at 27. On information and belief, the
3 Sky ASIC is an evolution of the original Everest ASIC. *See* Ex. 18 at 90-91
4 (describing a continuous evolution of ASICs and product families); Ex. 17 at
5 26-27 (showing the evolution of the ASICs and product families in a straight
6 line, connected by arrows). This is confirmed by the fact that Acacia labeled
7 both modules “AC100” modules. *Id.* On information and belief, as a direct
8 evolution of the original Everest ASIC, the Sky ASIC in the CFP-DCO uses the
9 same “Pol DMUX / PMD” block as the Everest DSP on which it was based, or
10 a similar or equivalent block, to mitigate polarization-dependent transmission
11 impairments and reconstruct the originally-transmitted signals. Thus, for the
12 same reasons as the Everest-based AC100, the Sky-based CFP-DCO is
13 configured to perform this claim element during normal operation.

14 d. According to Acacia’s May 17, 2019 Form 8-K, the AC400
15 module uses the “Denali” DSP ASIC. Ex. 17 at 27. Denali is an evolution of
16 the Sky chip. *See* Exhibit 21 (Article, “Court awards Viasat \$49.3 million in
17 damages in SDFEC IP suit against Acacia Communications”) at 2-6; *see also*
18 Exhibit 22 (Article, “Acacia eyes pluggables as it demos its AC1200 module”)
19 at 2. On information and belief, as a direct evolution of the Sky ASIC—itsself a
20 direct evolution of the Everest ASIC—the Denali DSP in the AC400 uses the
21 same “Pol DMUX / PMD” block as the Everest DSP on which it was based, or
22 a similar or equivalent block, to mitigate polarization-dependent transmission
23 impairments and reconstruct the originally-transmitted signals. Thus, for the
24 same reasons as the Everest-based AC100, the Denali-based AC400 is
25 configured to perform this claim element during normal operation.

26 e. The CFP2-DCO uses the “Meru” ASIC. *See* Ex. 17 at 26-27. The
27 Meru ASIC was an evolution of the Sky chip. *See* Ex. 21 at 2-6; Ex. 22 at 2. On
28 information and belief, as a direct evolution of the Sky ASIC—itsself a direct

1 evolution of the Everest ASIC—the Meru DSP in the CFP2-DCO uses the
2 same “Pol DMUX / PMD” block as the Everest DSP on which it was based, or
3 a similar or equivalent block, to mitigate polarization-dependent transmission
4 impairments and reconstruct the originally-transmitted signals. Thus, for the
5 same reasons as the Everest-based AC100, the Meru-based CFP2-DCO is
6 configured to perform this claim element during normal operation.

7 f. The AC1200 uses the “Pico” ASIC. *See* Ex. 17 at 26-27. On
8 information and belief, the Pico ASIC was an evolution of the Sky chip. *See*
9 Ex. 22 at 2; *see also* Ex. 17 at 26-27 (showing a straight line of product
10 evolution from the Everest-based AC100 to the Pico-based AC1200, all
11 connected by arrows). On information and belief, the Pico DSP in the AC1200
12 uses the same “Pol DMUX / PMD” block as the Everest DSP on which it was
13 based, or a similar or equivalent block, to mitigate polarization-dependent
14 transmission impairments and reconstruct the originally-transmitted signals.
15 Thus, for the same reasons as the Everest-based AC100, the Pico-based
16 AC1200 is configured to perform this claim element during normal operation.

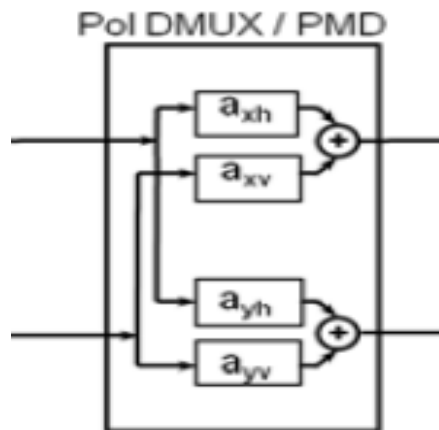
17 g. Acacia’s literature indicates that Acacia sometimes sells the
18 Everest, Sky, Denali, Meru, or Pico ASICs as “standalone DSPs.” Ex. 2 at 4.
19 To the extent that any Acacia customers purchased these ASICs as “standalone
20 DSPs,” and incorporated them into products that performed dual-polarization
21 communication, then those standalone DSPs would have performed this
22 element during normal operation, for the reasons stated above.

23 20. Element 33(b1) recites “using a plurality of matrix coefficients being
24 complex values to apply both amplitude scaling and phase shifting to the at least two
25 modulated polarized field components.” Optical receiver systems incorporating the
26 Accused Instrumentalities are configured to do this during normal operation, as
27 shown below:

28 a. As shown in Paragraph 19a *supra*, the Everest ASIC contains a

1 “Pol DMUX / PMD” block which performs “PMD compensation of up to 30 ps
2 mean PMD via a time-domain butterfly structure, which uses fractional tap
3 spacing, where the tap updates are based on the constant modulus algorithm.”

4 Ex. 10 at 2. The structure of that block is as follows (*id.*):



13 Such a 2x2 butterfly block performs the following matrix computation:

14

$$\begin{pmatrix} X_{out} \\ Y_{out} \end{pmatrix} = \begin{pmatrix} a_{xh} & a_{xv} \\ a_{yh} & a_{yv} \end{pmatrix} \begin{pmatrix} X_{in} \\ Y_{in} \end{pmatrix}$$

15

16 , where X_{in} are the incoming x-polarization signals, Y_{in} are the incoming
17 y-polarization signals, X_{out} are the computed (corrected) x-polarization signals,
18 with the effects of PMD and other transmission impairments (including PDL)
19 removed, Y_{out} are the computed (corrected) y-polarization signals, with the
20 effects of PMD and other transmission impairments (including PDL) removed,
21 and the a_{ij} are the “tap” coefficients whose values are updated based on the
22 “constant modulus algorithm.” *Id.* at 2. In order to correct for PMD (as the
23 article states that this block does), the weights a_{ij} must be complex, so that the
24 filter can correct for both amplitude **and phase** distortions applied by the
25 transmission medium. *See* Ex. 1, 6:10-53. When the complex weights a_{ij} are
26 multiplied by (X_{out}, Y_{out}) , this necessarily performs both “amplitude scaling”
27 and “phase shifting,” because that is what complex multiplication does.

28 Therefore, Exhibit 10 shows that the “Pol DMUX / PMD” block in the Everest

1 ASIC “us[es] a plurality of matrix coefficients being complex values to apply
2 both amplitude scaling and phase shifting to the at least two modulated
3 polarized field components,” as recited in this claim, during normal operation.
4 Thus, the Everest-based AC100 performs this element during normal operation.

5 b. As discussed above in Paragraphs 19c-f, on information and belief
6 (and based on the documents cited above), the Sky, Denali, Meru, and Pico
7 ASICs used respectively in the CFP-DCO, AC400, CFP2-DCO, and AC1200
8 modules are based on, and perform the same (or substantially the same) 2x2
9 complex butterfly calculation as, the Everest ASIC. Accordingly, for the same
10 reasons stated above as to the Everest-based AC100, the Sky-based CFP-DCO,
11 Denali-based AC400, Meru-based CFP2-DCFO, and Pico-based AC1200
12 modules are all configured to perform this element during normal operation.

13 c. Finally, if the Everest, Sky, Denali, Meru, and Pico ASICs were
14 ever sold as “Standalone DSPs,” those Standalone DSPs practiced this element
15 when incorporated into Acacia’s customers’ optical receiving equipment during
16 normal operation, for the reasons stated in Paragraphs 20a-b *supra*.

17 21. Accordingly, the publicly-available evidence shows that optical receiver
18 systems incorporating the Accused Instrumentalities, when configured properly,
19 perform all of the elements of claim 33, during normal use.

20 Marking – 35 U.S.C. § 287(a)

21 22. Core has never made, sold, used, offered to sell, or imported into the
22 United States any article that practices any claim of the ‘211 Patent. Core has never
23 sold, commercially performed, or offered to commercially perform any service that
24 practices any claim of the ‘211 Patent.

25 23. Prior to October 21, 2014, Core had never authorized, licensed, or in any
26 way permitted any third party to practice any claim of the ‘211 Patent.

27 24. Moreover, Core alleges that Acacia has infringed *only* method claims of
28 the ‘211 patent. Core does not allege that Acacia infringes any apparatus claims of the

1 '211 patent. The marking requirement of 35 U.S.C. § 287(a) does not apply when a
2 patentee only asserts infringement of method claims. *See Crown Packaging Tech.,*
3 *Inc. v. Rexam Beverage Can Co.*, 559 F.3d 1308, 1316 (Fed. Cir. 2009); *Hanson v.*
4 *Alpine Valley Ski Area, Inc.*, 718 F.2d 1075, 1082-83 (Fed. Cir. 1983).

5 25. Because Core has never directly marketed any product or service that
6 practices any of the claimed inventions of the '211 Patent, and no third party was
7 authorized to practice any claimed inventions of the '211 patent prior to October 21,
8 2014, 35 U.S.C. § 287(a) cannot prevent or otherwise limit Core's entitlement to
9 damages for acts of infringement that occurred prior to October 21, 2014.

10 26. Because Core alleges that Acacia has infringed only method claims of
11 the '211 patent, 35 U.S.C. § 287(a) does not apply, even for acts of infringement that
12 occurred after October 21, 2014. Thus, 35 U.S.C. § 287(a) does not limit Core's
13 entitlement to damages against Acacia, in any way, for any period of time.

14 **COUNT I – DIRECT PATENT INFRINGEMENT (35 U.S.C § 271(a))**

15 27. Plaintiff repeats and realleges each and every allegation contained in
16 Paragraphs 1-26 above, as if fully set forth herein.

17 28. Acacia has made, used, offered for sale, and/or sold, directly and/or
18 through intermediaries, in this judicial district and/or elsewhere in the United States,
19 one or more of the Accused Instrumentalities, and/or imported into the United States
20 one or more of the Accused Instrumentalities.

21 29. Acacia's acts complained of herein, including its use of the Accused
22 Instrumentalities as part of optical receiver systems, directly infringed the Asserted
23 Claims, because—as shown in Paragraphs 16-21 *supra* (for claim 33)—optical
24 receiver systems incorporating the Accused Instrumentalities are configured to
25 perform all of the steps recited in those claims during normal use.

26 30. Acacia has directly infringed the Asserted Claims of the '211 Patent by
27 performing all of the steps of those claims within the U.S., either itself, through
28 intermediaries, or in conjunction with joint venturers and/or customers. Specifically,

1 on information and belief, Acacia has performed all of the steps recited in each
2 Asserted Claim, either personally, through intermediaries, or in conjunction with joint
3 venturers and/or customers, by operating optical receiver systems incorporating the
4 Accused Instrumentalities within the U.S. Such operation necessarily performs all of
5 the steps recited in those claims, as shown in Paragraphs 16-21 *supra* (for claim 33).

6 **COUNT II - INDUCEMENT OF INFRINGEMENT (35 U.S.C § 271(b))**

7 31. Plaintiff repeats and realleges each and every allegation contained in
8 Paragraphs 1-30 *supra*, as if fully set forth herein.

9 32. Acacia actively induced infringement of the Asserted Claims in violation
10 of 35 U.S.C. § 271(b), prior to the expiration of the ‘211 patent, and less than six
11 years prior to the filing of this Complaint (the “Relevant Time Period”).

12 33. Acacia actively induced infringement of the Asserted Claims by selling
13 the Accused Instrumentalities to one or more customers in the U.S., along with
14 documentation and instructions demonstrating how to use the devices as part of
15 optical receiver systems to infringe the claims, and by providing service,
16 maintenance, support, and other active assistance to its customers in using the
17 Accused Instrumentalities in the United States. The documentation which Acacia
18 provided includes, at least: (i) the product information for the Accused
19 Instrumentalities set forth on Acacia’s websites, including <https://acacia-inc.com/>,
20 which includes various white papers, manuals, datasheets, and other technical
21 documentation for the Accused Instrumentalities; (ii) the specific instances of
22 Acacia’s product documentation which are attached as Exhibits to this Complaint, or
23 which are otherwise referenced in this Complaint; and (iii) the other product
24 documentation which, on information and belief, Acacia provided in electronic and/or
25 paper form to its customers for the Accused Instrumentalities.

26 34. Public documents confirm that Acacia provided extensive documentation
27 to its customers, showing how to use the Accused Instrumentalities in an infringing
28 system. For instance, a redacted version of the March 8, 2011 Strategic Partnering

1 Agreement between Acacia and ADVA (Exhibit 20) is available on the SEC website,
2 <https://www.sec.gov/Archives/edgar/data/1651235/000119312515409344/d46988dex>
3 [1016.htm](#). That agreement governed the terms under which Acacia would supply
4 “early access to the AC100 100G coherent optical module” to its “Strategic Partners,”
5 including “ADVA [and] Juniper.” Ex. 20 at 1. The Agreement states that Acacia was
6 required to provide extensive “documentation accompanying any Product” (*id.* at 9),
7 including “documentation related . . . [to] any firmware or software incorporated or
8 embedded therein” (*id.* at 11), “Final Product Specifications” (*id.* at 23),
9 “[d]ocumentation . . . showing all register default values,” and “test report[s] for all
10 parameters tested prior to shipment” (*id.* at 38). Acacia was also required to provide
11 “design consultation and references designs” to its customers. *Id.* at 58. Thus, Acacia
12 was required to (and, on information and belief, did) provide extensive documentation
13 to its customers, including ADVA and Juniper, to assist them in using the Accused
14 Instrumentalities in an infringing manner.

15 35. The Strategic Partnering Agreement further indicates that Acacia was
16 required to provide extensive hands-on assistance to ADVA and Juniper in using the
17 Accused Instrumentalities in an infringing manner. This hands-on assistance included:
18 (i) that Acacia would “provide reasonable access to ADVA for use of Acacia’s
19 development test platforms at Acacia’s facility” (*id.* at 4); (ii) that “Acacia will
20 provide ADVA with reasonable access to its personnel who are performing the
21 Development Services” (*id.*); (iii) that “Acacia will assist ADVA in responding to
22 carrier RFIs and RFPs, attend meetings (related to technical aspects of the Product)
23 with Customer’s prospective customers and represent Customer’s interests at OIF and
24 other standard-setting bodies” (*id.*); (iv) that Acacia would “provide certain
25 installation, custom development, consulting, training or other professional services”
26 (*id.* at 5); (v) that “Acacia will use all commercially reasonable efforts to provide
27 ADVA (but not its customers) with technical support services for the Products,”
28 including *free* service during the warranty period, and charged service thereafter (*id.*

1 at 5); (vi) that “Acacia shall make a direct service support facility available for
2 ADVA to contact during its normal working hours regarding, without limitation, root
3 cause analyses and error correction” (*id.* at 9); and (vii) that Acacia would provide
4 “special technical support and services” which “are provided to Strategic Partners
5 well ahead of other customers” (*id.* at 48).

6 36. Indeed, the Strategic Partnering Agreement indicates that ADVA and
7 Juniper were not mere hands-off customers of Acacia—rather, they were *active*
8 *partners* in the design, development and use of the Accused Instrumentalities. *See,*
9 *e.g.*, Ex. 20 at 47 (“The Parties will collaborate to ensure a successful integration of
10 the Product Versions into ADVA’s system according to the agreed time-to-market
11 timeline shown below”), 48 (“Acacia agrees to . . . Work with ADVA to ensure
12 optimized performance in ADVA’s applications.”) Thus, it is clear that Acacia
13 worked *directly* with its “Strategic Partner” customers, including ADVA and Juniper,
14 to assist them in developing and using the Accused Products as part of optical
15 receiver systems in an infringing manner.

16 37. On information and belief, in addition to its “Strategic Partner”
17 customers, Acacia also provided (during the Relevant Time Period) technical
18 documentation and hands-on assistance to its regular customers, in a way that assisted
19 the customers to use the Accused Instrumentalities in an infringing manner. Core
20 expects that much of this technical documentation, and many of the details regarding
21 the hands-on assistance, are non-public. Core expects that it will uncover such
22 documentation and information through discovery in this case. Core reserves the right
23 to amend this Complaint to identify such additional documentation and information as
24 they are uncovered through discovery, to the maximum extent permitted by law.

25 38. Moreover, on information and belief, Acacia provided (during the
26 Relevant Time Period) direct support to its *customers’ customers* in setting up,
27 installing, and using the Accused Instrumentalities as part of optical receiver systems
28 to perform infringing dual-polarization communication. On information and belief,

1 Acacia provided such support either itself, or through contractors subject to its
2 control. Core expects that much of the documentation and information regarding such
3 support is non-public. Core reserves the right to amend this Complaint to cite
4 additional information and documentation regarding such activities once it is
5 produced in discovery.

6 39. When Acacia performed the acts of inducement outlined in Paragraphs
7 32-38 *supra* (and other acts of inducement), it was aware of the ‘211 patent, and knew
8 (or was willfully blind) that its customers’ normal use of the Accused
9 Instrumentalities as part of optical receiver systems would infringe the Asserted
10 Claims of the ‘211 patent.

11 40. First, on information and belief, Acacia knew of the ‘211 Patent’s
12 existence and relevance due to Core’s filing of complaints for infringement of that
13 patent in: (1) Central District of California Case No. SACV 12-1872 AG, styled *Core*
14 *Optical Technologies, LLC v. Ciena Corporation, et al.* (filed October 29, 2012); (2)
15 Central District of California Case No. SACV 16-0437 AG, styled *Core Optical*
16 *Technologies, LLC v. Fujitsu Network Communications, Inc.* (filed March 7, 2016);
17 and (3) Central District of California Case No. SACV 8:17-cv-00548AG, styled *Core*
18 *Optical Technologies, LLC v. Infinera Corp.* (filed March 24, 2017).

19 41. On information and belief, as a major player in the optical networking
20 industry, Acacia monitors patent lawsuits against other players in the industry. In
21 addition to being a major player in the industry, Acacia was also (at all relevant times)
22 a member the Optical Internetworking Forum, or “OIF.” *See* Ex. 23 (November 14,
23 2013 OIF Implementation Agreement for Integrated Dual Polarization Intradyne
24 Coherent Receivers) at 25 (listing “Acacia” as a member of OIF when the document
25 was created); Ex. 4 (January 22, 2016 OIF Implementation Agreement for CFP2-
26 Analogue Coherent Optics Module) at 92 (same); Ex. 5 (October 17, 2018
27 Implementation Agreement for CFP2-Digital Coherent Optics Module) at 23 (same).
28 The OIF Implementation Agreements, including Exhibits 4, 5, and 23, established

1 infringing dual-polarization coherent communication as the industry standard for
2 long-haul, high speed communication. All three of the prior Core Defendants were
3 also members of OIF. *See* Ex. 23 at 25 (listing “Ciena Corporation,” “Fujitsu,” and
4 “Infinera” as members); Ex. 4 at 92 (same); Ex. 5 at 23-25 (same). On information
5 and belief, Acacia monitored Core’s prior lawsuits against fellow OIF members
6 Ciena, Fujitsu and Infinera, and/or had discussions with Ciena, Fujitsu and Infinera
7 about those lawsuits. On information and belief, through such monitoring and/or
8 discussions, Acacia knew of—or was willfully blind to—the existence of the ’211
9 Patent, due to Core’s three prior lawsuits against other industry players. Through such
10 monitoring and/or discussions, Acacia knew—or was willfully blind—that its
11 Accused Instrumentalities, when incorporated and used as part of optical receiver
12 systems, infringe the ’211 Patent during normal use.

13 42. Indeed, Acacia is not just an “industry player” in the optical networking
14 industry. Acacia’s *entire business* is to sell products designed for use in optical
15 receiver systems that practice the Patent-in-Suit. The *only* products listed on Acacia’s
16 website, <https://acacia-inc.com/products/>, are designed for use in optical receiver
17 systems that practice the Patent-in-Suit, i.e., “AC1200,” “AC400,” “CFP-DCO,”
18 “CFP2-DCO,” “CFP2-ACO,” “OSFP,” “QSFP-DD,” “DSP ASICs,” and “Silicon
19 PICs.” *Id.* Because Acacia’s *entire product line* is designed for use in optical receiver
20 systems that practice the Patent-in-Suit, Acacia would have been especially alert to
21 lawsuits concerning dual-polarization optical technology. Because Core sued three
22 other OIF members for infringement due to their dual-polarization optical
23 equipment—and because OIF member Acacia *only* sells such optical equipment—on
24 information and belief, Acacia monitored, and was aware of, Core’s lawsuits against
25 Ciena, Fujitsu, and Infinera. Through such monitoring, on information and belief,
26 Acacia learned of the ’211 patent, and learned that it covers dual-polarization optical
27 equipment—the *only* type of equipment that Acacia sells. Thus, on information and
28 belief, Acacia knew that the Accused Instrumentalities are used in optical receiver

1 systems that infringed the ‘211 Patent during the Relevant Time Period.

2 43. Further, according to the Master Supply Agreement between Acacia and
3 Fujitsu dated October 18, 2013 (Exhibit 24), which is available for download at
4 [https://www.sec.gov/Archives/edgar/data/1651235/000119312515409344/d46988dex](https://www.sec.gov/Archives/edgar/data/1651235/000119312515409344/d46988dex1018.htm)
5 [1018.htm](https://www.sec.gov/Archives/edgar/data/1651235/000119312515409344/d46988dex1018.htm), Acacia obtains its “Application Specific Integrated Circuits” from Fujitsu.
6 Ex. 24 at 1. Because all of Acacia’s products are designed for use in optical receiver
7 systems that practice the Patent-in-Suit, these “Application Specific Integrated
8 Circuits” must be part of the Accused Instrumentalities—likely, the “DSP ASICs.”
9 Shortly after Fujitsu was sued by Core, Fujitsu informed its major customer, Cisco, of
10 the ‘211 patent. *See* Ex. 25 (Core’s Second Amended Complaint in Case No. 20-cv-
11 1468), ¶¶ 71-73. Because Fujitsu informed its major customer Cisco of the ‘211
12 patent, it likely also informed its major customer Acacia of the ‘211 patent. Thus, on
13 information and belief, Fujitsu informed Acacia of the ‘211 patent on or about July 7,
14 2016 (the day it informed Cisco). This further advised Acacia of the ‘211 patent.
15 Once Acacia reviewed the ‘211 patent, it would have immediately discovered that it
16 relates to Acacia’s dual-polarization optical products. Thus, during the Relevant Time
17 Period, Acacia knew (or was willfully blind) that the Accused Instrumentalities are
18 designed for use in optical receiver systems that infringe the Patent-in-Suit.

19 44. Meanwhile, according to the November 11, 2016 Master Purchase
20 Agreement between Acacia and Cisco (Exhibit 26), available for download at
21 [https://www.sec.gov/Archives/edgar/data/1651235/000165123519000189/exhibit101.](https://www.sec.gov/Archives/edgar/data/1651235/000165123519000189/exhibit101.htm)
22 [htm](https://www.sec.gov/Archives/edgar/data/1651235/000165123519000189/exhibit101.htm), Cisco was an Acacia customer for the Accused Instrumentalities as of, at least,
23 November 11, 2016. Ex. 23 at 1. On information and belief, because Cisco was aware
24 of the ‘211 Patent as of July 2016, it likely informed Acacia—its supplier—of the
25 ‘211 patent as of, at least, the November 11, 2016 date of the Master Purchase
26 Agreement. For this additional reason, on information and belief, Acacia was aware
27 of the ‘211 Patent during the Relevant Time Period. Once Acacia reviewed the ‘211
28 patent, it would have immediately discovered that it directly relates to Acacia’s dual-

1 polarization optical products. Thus, during the Relevant Time Period, Acacia knew
2 (or was willfully blind) that the Accused Instrumentalities are designed for use in
3 optical receiver systems that infringe the Patent-in-Suit.

4 45. Moreover, as shown in Exhibit 20, Acacia has been a “Strategic Partner”
5 of ADVA since 2011. On December 18, 2017, Core sent a letter to ADVA expressly
6 advising it that ADVA was committing “infringement of the ‘211 Patent arising from
7 ADVA’s manufacture, use, importation, offer for sale, and/or sale in the United States
8 of ADVA’s coherent 100G and higher transport solutions.” Ex. 27 (LaPorte letter) at
9 1. Since ADVA was Acacia’s “strategic partner,” and Acacia supplied components
10 for ADVA’s “coherent 100G and higher transport solutions,” on information and
11 belief, ADVA advised Acacia of the ‘211 patent and the notice letter shortly after it
12 received the letter. For this additional reason, Acacia was aware of the ‘211 patent
13 during the Relevant Time Period. Once Acacia reviewed the ‘211 patent, it would
14 have immediately discovered that it directly relates to Acacia’s dual-polarization
15 optical products. Thus, during the Relevant Time Period, Acacia knew (or was
16 willfully blind) that the Accused Instrumentalities are designed for use in optical
17 receiver systems that infringe the Patent-in-Suit.

18 46. On information and belief, once Acacia learned of the ‘211 patent from
19 the foregoing sources—and given that Acacia’s *entire business* is to sell dual-
20 polarization optical equipment, which is the exact subject matter of the ‘211 patent—
21 Acacia must have analyzed the ‘211 patent to determine whether the Accused
22 Instrumentalities were used in optical receiver systems to infringe any claims of the
23 ‘211 patent. Upon performing such an analysis, Acacia must have concluded that the
24 systems incorporating the Accused Instrumentalities do infringe, at least, the Asserted
25 Claims of the ‘211 patent, because—per Paragraphs 16-21 *supra*—it is manifest that
26 optical receiver systems incorporating Acacia’s Accused Instrumentalities practice,
27 during normal use, all the elements of, at least, claim 33 of the ‘211 patent.

28 47. If Acacia did *not* perform an analysis of whether such systems using the

1 Accused Instrumentalities infringe the '211 patent, after receiving knowledge of the
2 '211 patent from the various sources listed above, then Acacia was willfully blind.
3 Clearly, Acacia has always known that its Accused Instrumentalities perform dual-
4 polarization communication using an XPIC. Once Acacia learned that multiple other
5 companies had been sued under the '211 patent for selling dual-polarization optical
6 equipment using an XPIC, any reasonable party in Acacia's position would have
7 analyzed its own products that perform dual-polarization communication using an
8 XPIC, to determine whether systems using those products infringe the '211 patent. In
9 the unlikely event that Acacia chose not to perform such an analysis, then that
10 constitutes willful blindness, which is an equally-culpable mental state.

11 48. In view of the foregoing, at all relevant times, Acacia has known about
12 the existence and relevance of the '211 patent, and has known that optical receiver
13 systems incorporating the Accused Instrumentalities, during normal use, infringe the
14 Asserted Claims.

15 49. On information and belief, when Acacia sold the Accused
16 Instrumentalities to U.S. customers, and/or provided service, maintenance, technical
17 support, or other active assistance to such customers, it did so with the specific intent
18 to encourage the customers to perform acts constituting direct infringement of the
19 '211 Patent. This is evidenced by Paragraphs 39-48 *supra*, which show that Acacia
20 was aware of the existence and relevance of the '211 patent at all relevant times.
21 Because Acacia was aware of the '211 patent's relevance and existence, it always
22 knew that its customers' use of the Accused Instrumentalities would constitute
23 infringement of that patent. Acacia's decision to continue marketing the Accused
24 Instrumentalities to U.S. customers, despite knowing that such customers' normal use
25 would constitute direct infringement, evidences that Acacia had a specific intent to
26 encourage direct infringement of the '211 patent by its customers.

27 50. Therefore, Acacia has unlawfully induced infringement of the '211
28 Patent, in violation of 35 U.S.C. § 271(b).

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1 **COUNT III – CONTRIBUTORY INFRINGEMENT (35 U.S.C. § 271(c))**

2 51. Plaintiff repeats and realleges each and every allegation contained in
3 Paragraphs 1-50 *supra*, as if fully set forth herein.

4 52. Acacia has committed contributory infringement of the Asserted Claims
5 of the '211 Patent, in violation of 35 U.S.C. § 271(c).

6 53. Acacia has committed contributory infringement by selling, offering to
7 sell and/or importing into the United States the Accused Instrumentalities. As shown
8 in Paragraphs 16-21 *supra*, the Accused Instrumentalities constitute or contain a
9 “DSP ASIC” which performs cross-polarization interference mitigation on
10 polarization-multiplexed optical signals. A dual-polarization optical system that
11 contains the “DSP ASIC” practices all the elements of, *inter alia*, claim 33.

12 54. The DSP ASIC practices a material part of the Asserted Claims, because
13 it performs one of the key inventive functions of the '211 Patent – i.e., it mitigates the
14 effects of cross-polarization interference, using matrix operations, to reconstruct the
15 original polarization-division-multiplexed signals.

16 55. As shown in Paragraphs 16-21 *supra*, the Accused Instrumentalities also
17 constitute or contain a “Silicon PIC,” which receives dual-polarization optical signals
18 and converts them to electrical signals. A dual-polarization optical system that
19 contains the “Silicon PIC” practices all the elements of, *inter alia*, claim 33.

20 56. Moreover, Acacia’s full modules that include the DSP ASIC and Silicon
21 PIC (i.e., CFP-DCO, CFP2-DCO, CFP2-ACO, OSFP, QSFP-DD, AC400, and
22 AC1200) practice a material part of the Asserted Claims.

23 57. During the Relevant Time Period, Acacia had actual knowledge, or was
24 willfully blind, that these components of the Accused Instrumentalities (i.e., the DSP
25 ASIC, Silicon PIC, and Modules) were especially made or adapted for use in a system
26 that infringes the Asserted Claims of the '211 Patent. As shown in Paragraphs 39-48
27 *supra*, Acacia knew, or was willfully blind, that the Accused Instrumentalities are
28 configured to infringe the '211 Patent upon use in such systems. For the reasons set

1 forth in Paragraphs 39-48, and on information and belief, Acacia knew, or was
2 willfully blind, that normal use of the Accused Instrumentalities infringes the
3 Asserted Claims. Despite that knowledge (or willful blindness), Acacia actively sold
4 the Accused Instrumentalities in the United States, knowing their customers would
5 use those devices in the United States, and knowing (or being willfully blind) that
6 such use would result in direct infringement of the Asserted Claims.

7 58. The components of the Accused Instrumentalities that are configured to
8 practice elements of the Asserted Claims (i.e., DSP ASIC, Silicon PIC, and Modules)
9 are not staple articles of commerce, and—as configured—are not capable of
10 substantial noninfringing use. To the contrary, these components, as configured, are
11 *especially adapted* to perform the claimed cross-polarization interference mitigation
12 methods, during normal use. *Id.* On information and belief, the *only mode of*
13 *operation* of the Accused Instrumentalities is as part of an infringing dual-
14 polarization communication system. Thus, the Accused Instrumentalities have no
15 substantial non-infringing uses.

16 59. Accordingly, Defendants have unlawfully contributed to infringement of
17 the '211 Patent, in violation of 35 U.S.C. § 271(c).

18 **REMEDIES, ENHANCED DAMAGES, EXCEPTIONAL CASE**

19 60. Plaintiff repeats and realleges each and every allegation contained in
20 Paragraphs 1-59 *supra*, as if fully set forth herein.

21 61. Acacia's direct infringement (Count I), induced infringement (Count II)
22 and contributory infringement (Count III) of the '211 patent has caused, and will
23 continue to cause, significant damage to Core. As a result, Core is entitled to an award
24 of damages adequate to compensate it for Acacia's infringement, but in no event less
25 than a reasonable royalty pursuant to 35 U.S.C. § 284. Core is also entitled to recover
26 prejudgment interest, post-judgment interest, and costs.

27 62. For at least the reasons set forth in Paragraphs 39-48 *supra*, prior to the
28 expiration of the '211 Patent, Acacia knew (or was willfully blind) that the Accused

1 Instrumentalities are configured to be used in optical systems that infringe the
2 Asserted Claims of the '211 Patent during normal use. Despite this known,
3 objectively-high likelihood that its actions constituted direct and indirect
4 infringement, Acacia continued to infringe the '211 patent, up to the expiration date
5 of the patent. Accordingly, Acacia's infringement has been (and is) willful.

6 63. In addition to being willful, Acacia's conduct has been egregious.

7 64. As set forth in Paragraphs 39-48 *supra*, despite knowing of (or being
8 willfully blind to) its infringement, Acacia continued to infringe, on a large scale, up
9 to the very date when the '211 patent expired. Acacia is a large company, with over
10 \$500 million in annual revenue.¹ Meanwhile, Plaintiff is a small company, owned by
11 an individual inventor. On information and belief, Acacia persisted in its willful
12 infringement, at least in part, because it believed it could use its superior resources to
13 overwhelm Plaintiff in litigation. If proven, this would constitute "egregious"
14 conduct, warranting enhanced damages.

15 65. Moreover, the validity of the '211 patent has been thrice confirmed by
16 the Patent Trial and Appeal Board ("PTAB"), in: (i) IPR2016-01618, filed by Fujitsu
17 Network Communications, Inc.; (ii) IPR2018-01259, filed by Infinera Corporation;
18 and (iii) IPR2020-01664, filed by Nokia and Juniper. In all three *Inter Partes* Review
19 proceedings, the Petitioners—who were defendants in litigation—cited numerous
20 prior art references, to attempt to establish that claims of the '211 patent, including
21 the Asserted Claims, were invalid. Yet, in all three cases, the PTAB *denied*
22 institution, finding that the Petitioners had failed to establish a "reasonable
23 likelihood" that *any* claim of the '211 patent was invalid. *See* Ex. 28 (decision
24 denying review in IPR2016-01618); Ex. 29 (decision denying review in IPR2018-
25 01259); Ex. 30 (decision denying review in IPR2020-01664). Because the PTAB has
26

27
28 ¹ *See* <https://www.lightreading.com/opticalip/dci/acacia-reports-revenue-profit-growth-as-it-scrapes-with-cisco/d/d-id/766560>.

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1 already rejected three extensive invalidity challenges to the '211 patent, Acacia
2 cannot reasonably believe that it has a viable invalidity defense. Acacia's decision to
3 persist in known, clearly-infringing conduct, despite the lack of any viable invalidity
4 defense, is further evidence of "egregiousness."

5 66. For at least the foregoing reasons, Acacia's conduct has been willful and
6 egregious. Accordingly, under 35 U.S.C. § 284, the Court should enhance Core's
7 damages in this case by up to three times the amount found or assessed.

8 67. For at least the foregoing reasons, this case is an "exceptional" case
9 within the meaning of 35 U.S.C. § 285. Accordingly, Core is entitled to an award of
10 attorneys' fees and costs, and the Court should award such fees and costs.

11
12 **PRAYER FOR RELIEF**

13 WHEREFORE, Core prays for relief as follows:

- 14 1. That judgment be entered in favor of Core, and against Acacia;
- 15 2. That Core be awarded damages adequate to compensate it for Acacia's
16 infringement of the Asserted Claims of the '211 Patent, in an amount to be determined
17 at trial, as well as interest thereon;
- 18 3. That Core be awarded the costs of suit;
- 19 4. That Acacia's infringement be declared willful and egregious;
- 20 5. That the Court increase Core's damages up to three times the amount
21 assessed under 35 U.S.C. § 284;
- 22 6. That the Court declare this an exceptional case under 35 U.S.C. § 285,
23 and award Core its attorneys' fees and costs incurred in this action; and
- 24 7. That the Court grant such further relief as it deems just and proper.

25
26 **JURY TRIAL DEMAND**

27 Core demands a jury trial on all issues so triable.
28

1 DATED: September 8, 2021

GLASER WEIL FINK HOWARD
AVCHEN & SHAPIRO LLP

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3 By: /s/Lawrence M. Hadley

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