

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
WACO DIVISION**

Health Discovery Corporation

Plaintiff,

v.

Intel Corporation

Defendant.

Civil Action No. 6:22-cv-356

**JURY TRIAL DEMANDED**

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**COMPLAINT FOR PATENT INFRINGEMENT**

TO THE HONORABLE JUDGE OF SAID COURT:

Plaintiff Health Discovery Corporation (“HDC” or “Plaintiff”), files this Complaint for Patent Infringement and Damages against Defendant Intel Corporation (“Intel” or “Defendant”), and would respectfully show the Court as follows:

**PARTIES**

1. Plaintiff HDC is a Georgia corporation with its principal place of business at 2002 Summit Blvd., NE, Suite 300, Atlanta, Georgia 30319.
2. Defendant Intel is a Delaware corporation with its principal place of business at 2200 Mission College Boulevard, Santa Clara, California 95054.
3. Intel has multiple places of business within this judicial district, including at least: 1300 S. Mopac Expressway, Austin, TX 78746 and 9442 N. Capital of Texas Hwy, Bldg 2, Suite 600, Austin, TX 78759 (collectively, “Austin Offices”). <https://www.intel.com/content/www/us/en/location/usa.html>. Intel is registered to conduct business in Texas (Texas Taxpayer Number 19416727436), and may be served through its registered agent, CT Corporation System, 1999 Bryan Street, Suite 900, Dallas, Texas 75201-3136.

**SUMMARY OF THE CONTROVERSY**

4. HDC and Intel are not strangers. The controversy between the parties has been ongoing for over a decade. In 2006, HDC obtained its first patent on the Support Vector Machine-Recursive Feature Elimination (“SVM-RFE”) technology and followed that by filing a series of continuing applications. In 2010, after realizing that Intel obtained U.S. Patent No. 7,685,077 (“Intel’s ’077 patent”) on the same SVM-RFE technology that HDC already owned and patented, HDC sought to provoke an interference before the Patent Trial and Appeal Board (PTAB) between Intel’s ’077 patent and HDC’s then-pending application. HDC also filed for re-examination of Intel’s ’077 patent in 2011. In the face of the interference and reexamination proceedings, Intel declined an offer to license HDC’s patents, and instead implemented a scorched earth strategy during the three-year interference proceeding to attempt to eliminate HDC’s patent rights. Intel first tried to claim it was the rightful owner of the SVM-RFE technology. When that failed, Intel tried to invalidate all of the HDC patents-in-suit, which would have simultaneously sacrificed Intel’s own ’077 patent. However, HDC ultimately won the interference proceeding and Intel’s ’077 patent was cancelled. Intel presently is attempting to invalidate the HDC patents in various IPR proceedings. A more detailed timeline of the parties’ interactions and communications is presented in ¶¶ 54-55 *infra*.
5. HDC’s SVM-RFE is an important technology that is used across a broad spectrum of applications (*e.g.*, artificial intelligence, drug discovery, healthcare, economics, coding, data collection and data mining, etc.). SVM-RFE uses learning machines (Support Vector Machines-SVM) to select features within data sets that best enable classification of the data by recursively training the learning machine, ranking the features, and then eliminating the

lowest ranked feature(s) (e.g., Recursive Feature Elimination-RFE). As of the date of this complaint, the academic paper that first described HDC's SVM-RFE technology (an early version of which served as the priority document for HDC's SVM-RFE patents) has been cited at least 9,783 times across numerous academic and industry mediums (books, journals, reports, patents, etc.), including at least 214 times in 2022 alone (and counting) (1,070 since 2021). Intel, itself, seems to concede that SVM-RFE is important, as it attempted to patent the technology for itself – its '077 patent – and fought to either keep its '077 patent or otherwise destroy all SVM-RFE related patents.

6. As explained below, Intel incorporates SVM-RFE in its products and promotes the use of SVM-RFE by its customers. SVM-RFE is included in the Scikit-learn software package. Intel includes Scikit-learn in its Intel Distribution for Python, which itself is included in Intel's oneAPI AI Analytics Toolkit. Intel also uses SVM-RFE through the oneAPI AI Analytics Toolkit in its DevCloud product. Intel has published numerous technical articles admitting that it used SVM-RFE in designing and optimizing certain of its microprocessor lines. Additionally, Intel promotes the use of SVM-RFE by providing its customers the oneAPI AI Analytics Toolkit and the Data Analytics Library (DAL or oneDAL, formerly Intel Data Analytics Acceleration Library (DAAL)), which includes various machine learning and data analytics functions that boost the performance of SVMs. Intel provides access to the DAL API's through `daal4py`.

### **JURISDICTION AND VENUE**

7. This is a civil action for patent infringement arising under the Patent Laws of the United States as set forth in 35 U.S.C. §§ 271, *et seq.*

8. This Court has federal subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a) and pendent jurisdiction over the other claims for relief asserted herein.
9. This Court has personal jurisdiction over Defendant pursuant to TEX. CIV. PRAC. & REM. CODE § 17.041 *et seq.* Personal jurisdiction exists over Defendant because Defendant has minimum contacts with this forum as a result of, *inter alia*:
  - a. having substantial, continuous, and systematic business contacts in this judicial district for over 20 years (<https://www.intel.com/content/www/us/en/corporate-responsibility/intel-in-texas.html>);
  - b. owning, managing, and operating facilities within this judicial district (*e.g.*, the Austin Offices);
  - c. committing the tort of patent infringement within Texas and this judicial district;
  - d. actively advertising to residents within the judicial district to purchase infringing products;
  - e. actively advertising to residents within the judicial district to work for Defendant;
  - f. employing residents from the judicial district;
  - g. transacting business within the State of Texas;
  - h. continuing to conduct such business in Texas through the continued operation within the judicial district; and
  - i. operating the Internet website, [www.intel.com](http://www.intel.com), which is available to and accessed by customers and potential customers of the Defendant within this judicial district.

Accordingly, this Court's jurisdiction over the Defendant comports with the constitutional standards of fair play and substantial justice and arises directly from the Defendant's purposeful minimum contacts with the State of Texas.

10. This Court also has personal jurisdiction over the Defendant as Defendant has purposefully and voluntarily availed itself of the privilege of conducting business in the United States, the State of Texas, and specifically this judicial district, by continuously and systematically placing goods into the stream of commerce through an established distribution channel with the expectation that such goods will be purchased by consumers within the United States, Texas, and this judicial district. Defendant, either directly and/or through intermediaries, uses, sells, offers to sell, distributes, advertises, and/or otherwise promotes the accused products in this judicial district.
11. On information and belief, Intel has authorized and uses distributors (<https://www.intel.com/content/www/us/en/partner/where-to-buy/overview.html>) within this judicial district that include, *inter alia*:
  - a. Arrow Electronics Inc., operates the online store, <https://www.arrow.com/en/manufacturers/intel>, which is available to and accessed by customers and potential customers of the Defendant within this judicial district, and has an office location within the judicial district at 1908 Kramer Lane, Suite 200, Austin, TX 78758.
  - b. Digi-Key Electronics operates the online store, <https://www.digikey.com/>, which is available to and accessed by customers and potential customers of the Defendant within this judicial district.

- c. Macnica Americas operates the online store, <<https://www.macnica.com/americas/>>, which is available to and accessed by customers and potential customers of the Defendant within this judicial district.
  - d. Mouser Electronics, operates the online store, <<https://www.mouser.com/>>, which is available to and accessed by customers and potential customers of Defendant within this judicial district. Mouser Electronics is located at 1000 N. Main St., Mansfield, TX 76063-1514.
12. Intel products are sold to and by third parties, such as Best Buy and stores of certain Partners discussed in ¶11, which have multiple locations within this judicial district. Further, one of Intel's top customers, Dell Technologies, Inc., is headquartered in this judicial district at 1 Dell Way, Round Rock, TX 78682.
13. Venue is proper in this Court under 28 U.S.C. §§ 1391(b), (c), (d) and 28 U.S.C. § 1400(b) based on the information and belief that Defendant has committed or induced acts of infringement, and/or advertised, marketed, sold, and/or offered to sell products, including infringing products, in this judicial district, as discussed above in ¶¶ 3 and 7-12, which are incorporated by reference herein.
14. Intel has litigated, or is currently litigating, cases before this Court, where Intel has admitted the venue was proper and did not contest personal jurisdiction. *See, e.g., FG SRC, LLC v. Intel Corporation*, 6:20-cv-00315-ADA (W.D. Tex.); *ParkerVision, Inc. v. Intel Corporation*, 6:20-cv-00108-ADA (W.D. Tex.). This includes the prior litigation between the current parties. *Health Discovery Corporation v. Intel Corporation*, 6:20-cv-00666-ADA (W.D. Tex.).

**THE PATENTS-IN-SUIT**

15. On October 3, 2006, United States Patent No. 7,117,188 (“the ’188 patent”), entitled “Methods of Identifying Patterns in Biological Systems and Uses Thereof,” was duly and legally issued by the United States Patent and Trademark Office (“USPTO”) to Isabelle Guyon and Jason Weston, with the Health Discovery Corporation (“HDC”) as ultimate assignee. A copy of the ’188 patent is attached hereto as **Exhibit A**.
16. On June 2, 2009, United States Patent No. 7,542,959 (“the ’959 patent”), entitled “Feature Selection Method Using Support Vector Machine Classifier,” was duly and legally issued by the USPTO to Stephen Barnhill, Isabelle Guyon, and Jason Weston, with the Health Discovery Corporation (“HDC”) as ultimate assignee. A copy of the ’959 patent is attached hereto as **Exhibit B**.
17. On June 10, 2012, United States Patent No. 8,095,483 (“the ’483 patent”), entitled “Support Vector Machine – Recursive Feature Elimination (SVM-RFE),” was duly and legally issued by the USPTO to Jason Weston and Isabelle Guyon, with the Health Discovery Corporation (“HDC”) as ultimate assignee. A copy of the ’483 patent is attached hereto as **Exhibit C**.
18. On September 3, 2019, United States Patent No. 10,402,685 (“the ’685 patent”), entitled “Recursive Feature Elimination Method Using Support Vector Machines,” was duly and legally issued by the USPTO to Isabelle Guyon and Jason Weston and, with the Health Discovery Corporation (“HDC”) as ultimate assignee. A copy of the ’685 patent is attached hereto as **Exhibit D**.
19. The ’188, ’959, ’483, and ’685 patents are referred to hereinafter as “the HDC Patents.”

20. Plaintiff Health Discovery Corporation is the owner of the entire right, title, and interest in and to the HDC Patents, with the right to sue in its own name.
  - a. Assignment of the '188 patent to HDC was executed between July 28, 2004, and June 1, 2005, and recorded with the USTPO on June 2, 2005.
  - b. Assignment of the '959 patent to HDC was executed between July 28, 2004, and June 1, 2005, and recorded with the USPTO on January 14, 2008.
  - c. Assignment of the '483 patent to HDC was executed between July 28, 2004, and June 1, 2005, and recorded with the USPTO on May 5, 2011.
  - d. Assignment of the '685 patent to HDC was executed between July 28, 2004, and June 1, 2005, and recorded with the USPTO on May 5, 2011.
21. Each of the HDC Patents is presumed valid under 35 U.S.C. § 282.

**HDC'S SVM-RFE INVENTORS**

22. The inventors of HDC's SVM-RFE patents, Dr. Weston and Dr. Guyon, are world leaders in the field of machine learning. In the late 1980's Dr. Guyon established herself as a leader in the field of artificial intelligence, collaborating at AT&T Bell Labs with renowned mathematicians Vladimir Vapnik and Bernard Boser on the invention of the support vector machine (SVM). Dr. Weston studied under Dr. Vapnik at Bell Labs while working on his PhD, awarded in 2000, where he also began working with Dr. Guyon on leading-edge innovations in machine learning. Today, Dr. Weston and Dr. Guyon are widely recognized as being among the most influential scholars in the field.
23. The first version of the manuscript of the paper that originally disclosed HDC's patented SVM-RFE technology, entitled "Gene Selection for Cancer Classification Using Support Vector Machines," with Jason Weston and Isabelle Guyon as co-authors along with



Stephen Barnhill and Vladimir Vapnik, was submitted for publication in the journal Machine Learning in 2000. Guyon, I., Weston, J., Barnhill, S., Vapnik, V., *Gene Selection for Cancer Classification Using Support Vector Machines*, MACHINE LEARNING 46, 389 (2002) (*hereinafter* the “Guyon paper”) (**Exhibit E**). This same manuscript was filed in the United States Patent and Trademark Office on March 22, 2000, as provisional application number 60/191,219, the application having the same title. Hong Zhang Declaration, ¶¶ 24 and 25 (**Exhibit F**).

24. In the years following publication of the Guyon paper, the CBCL-MIT research group (Center for Biological and Computational Learning at MIT), a major hub of artificial intelligence research and innovation, published several papers on the subject of using support vector machines for cancer classification. In each paper, the CBCL-MIT group credits Weston and Guyon as the source of SVM-RFE technology:
  - a. Ramaswamy, et al., in “Multiclass cancer diagnosis using tumor gene expression signatures,” Proceedings of the National Academy of Sciences, 98(26):15149-15143 (2001), describes the use of SVM-RFE for feature selection, citing to the Guyon paper. **Exhibit G**.
  - b. Rifkin, et al., “An Analytical Method for Multi-class Molecular Cancer Classification,” SIAM Review, 45(4):706-723 (2003), describes the use of SVM-RFE. In the Rifkin paper, the CBCL-MIT research group recognizes and describes the distinctions between conventional linear SVM and SVM-RFE. **Exhibit H**.
  - c. Similar discussions and distinctions between conventional SVM and SVM-RFE are offered by Mukherjee in “Classifying Microarray Data Using Support Vector Machines,” Chapter 9 of Understanding and Using Microarray Analysis

Techniques: A Practical Guide, Springer-Verlag, Heidelberg, 2003, pp. 166-185.

**Exhibit I.**

- d. The CBCL-MIT group included researchers who were luminaries in their field. If they had thought Weston and Guyon's SVM-RFE was simply an insignificant variation of conventional SVM, they would not have consistently recognized Weston and Guyon's contribution of the SVM-RFE method. Hong Zhang Declaration, ¶ 29 (**Exhibit F**).
25. Further evidence of the recognition and acceptance that SVM-RFE has achieved in the field is provided by the large number of academic publications that have cited the Guyon paper. The Guyon paper represented a significant portion of the invention disclosure for the related HDC patents. A search for the Guyon paper using Google® Scholar, a web search engine that indexes the full text or metadata of scholarly literature, yields results indicating that from publication in 2002 to 2017, about 5,300 books, academic journals, conference papers, theses, technical reports, patents, and other publications have cited the Guyon paper as an authority in the field of SVM and feature selection. Hong Zhang Declaration, ¶ 30 (**Exhibit F**).
26. An updated Google® Scholar search yields that, as of the date of this complaint, the Guyon paper has been cited at least 9,783 times in books, academic journals, conference papers, theses, technical reports, patents, and other publications.

**HDC'S SVM-RFE TECHNOLOGY**

27. Each of the HDC patents-in-suit relate to innovative technology for using learning machines (Support Vector Machines) to select features within data sets that enable

classification of the data by recursively training the learning machine, ranking the features, and then eliminating the lowest ranked feature(s) (*e.g.*, Recursive Feature Elimination).

28. SVMs allow computers to sift through large, complex data sets to identify patterns. SVMs are known for their ability to discover hidden relationships in these complex data sets. SVMs, with the ability to handle what is known as infinite dimensional space, are broadly considered to be an improvement to neural networks and other mathematical techniques. SVM is a core machine learning technology with strong theoretical foundations and excellent empirical successes. SVMs have become widely established as one of the leading approaches to pattern recognition and machine learning worldwide and have replaced other technologies in a variety of fields, including engineering, information retrieval, and bioinformatics.
29. Support Vector Machine — Recursive Feature Elimination (“SVM-RFE”) is an application of SVM that was invented by Dr. Weston and Dr. Guyon as members of HDC’s science team, to find discriminate relationships within clinical data sets, as well as within gene expression and proteomic data sets created from micro-arrays of tumor versus normal tissues. In general, SVMs identify patterns — for instance, a biomarker/genetic expression signature of a disease. The SVM-RFE utilizes this pattern recognition capability to identify, rank, and order the features that contribute most to the desired results and successively eliminate the features with the lowest rank order until an optimal feature set is obtained to define the model.

#### **HDC PATENT ELIGIBILITY**

30. The ’188 patent concerns methods for increasing knowledge that may be discovered from data by modifying the support vector machines (“SVMs”) used as a classification tool. ’188

- patent at 29:28-62. Insofar as its analysis under Section 101 is concerned, the '188 patent is representative of the four patents at issue, namely, the '188, '959, '483, and '685 patents.
31. An SVM is a classifier that attempts to map data sets to one of two groups or categories in such a way as to maximize the “distance” between the groups. *Id.* at 64:43-47. This classification is based on one or more features of each data set. The SVM is first trained to perform the classification using examples with known outcomes (that is, examples that are known to belong to one group or the other). Once trained, the SVM can be used to classify data sets for which the proper group assignment is unknown. *See generally, id.* at 3:30-43; 4:4-34. SVMs thus represent “a powerful tool to identify predictive models or classifiers, not only because they accommodate sparse data but also because they can classify groups or create predictive rules for data that cannot be classified by linear decision functions.” Guyon paper, at 390. **Exhibit E.**
32. Although “powerful tools,” SVMs are “complex” and historically, “SVMs were originally geared towards creating classifiers based on all available variables, and did not allow assessing variable importance.” *Id.* Further, some classifiers are prone to “overfitting,” particularly where the number of features in each example is large. *Id.* at 391. Overfitting refers to a classifier that has been trained such that while it very accurately separates a set of training patterns into the correct categories, it does not generalize well to new examples. '188 patent at 25:29-37; '959 patent at 26:20-33.
33. Reducing the number of features relied upon for the classification is one approach for reducing the risk of overfitting a classifier, Guyon paper, at 390, however, designing an optimal feature reduction approach for a *particular* classifier is a challenging problem that requires insights about the “heuristics, biases, and tradeoffs” of the classifier, the types of

patterns being classified, and how these factors interact. Kohavi et al., *Wrappers for feature subset selection*, ARTIFICIAL INTELLIGENCE 97, 273 at Abst. (1997).

34. By 2018, “there [were] three categories of methods to assess importance of variables in SVM: filter, wrapper, and embedded methods.” Sanz, H., Valim, C., Vegas, E. et al., *SVM-RFE: selection and visualization of the most relevant features through non-linear kernels*, BMC BIOINFORMATICS 19, 432, 433 (2018). “The gold standard of wrapper methods is recursive feature elimination (RFE) proposed by Guyon et al.” *Id.* It is this “gold standard” that is described and claimed in the ’188 patent.
35. The SVM-RFE process can be understood with reference to Figure 2 of the ’188 patent, reproduced below. Briefly, in method 200, a training data set is collected at step 203 and is applied to an SVM with a selected decision function or kernel at step 208. The kernel is a function that maps inputs to a required output to arrive at the classification of the input. Different kernels will cause an SVM to produce varying degrees of quality in the output for a given set of input data. At step 210, the SVM is trained using the training data to generate an optimal hyperplane (that is, an optimal separation of the classes). Then, at step 216 a determination is made as to whether the SVM was trained in a desirable manner. Based on the conclusion, the kernel selection may be adjusted (steps 222, 224) and the process repeated from step 208 using the training data. When a desired training has been achieved, the method advances to step 226, where live data is collected and subsequently applied to the modified, since-trained SVM for classification. ’188 patent at 15:14 – 17:4.

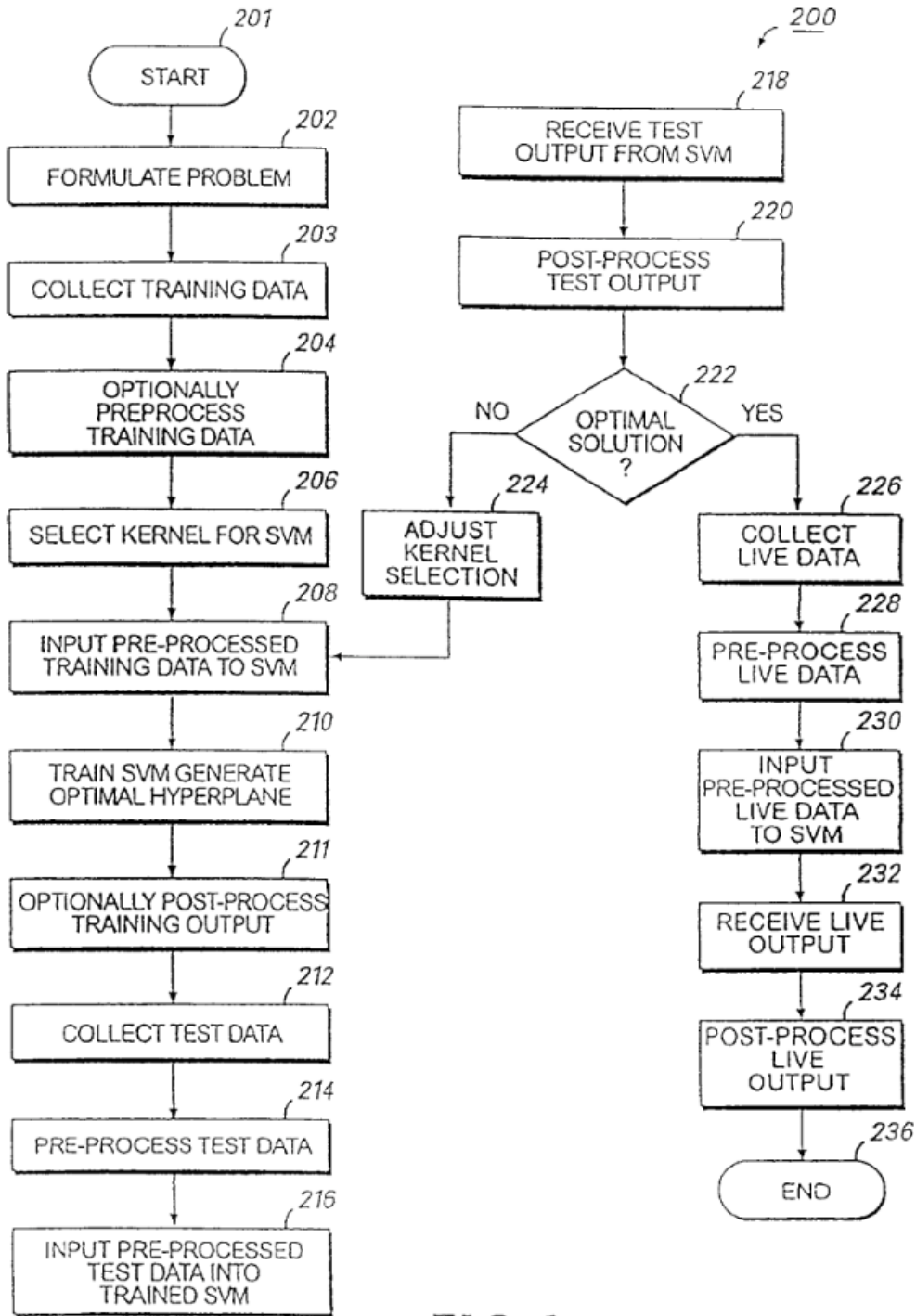


FIG. 2

36. The invention described in the '188 patent is particularly concerned with the problem of “feature selection.” *Id.* at 29:29 *et seq.* The “features” are the components of an input data set. *Id.* at 24:66 – 25:2. Feature selection is the process of reducing the number of input

variables when developing a predictive model, *e.g.*, a classifier such as a support vector machine. Feature selection is premised on the notion that the data being evaluated contains some features that are either redundant or irrelevant and can thus be removed without incurring much loss of information. It is desirable to reduce the number of input variables to both reduce the computational cost of modeling and, in some cases, to improve the performance of the model. When the number of features is very large, comprehensive feature selection techniques are impractical, *id.* at 25:56-63, so the inventors of the '188 patent devised a technique "to use the weights of a classifier to produce a feature ranking with an SVM." *Id.* at 28:14-16; *see also id.* at 27:25-32. That technique employed recursive feature elimination (RFE), a term coined by the inventors, in which the classifier is trained, a ranking criterion for the features is computed, and the features with the smallest ranking criteria are recursively eliminated. *Id.* at 27:62-55; *see also id.* at 29:28-59 (providing a pseudocode sequence for the application of RFE to an SVM using feature weight magnitude as a ranking criterion). This application of RFE to an SVM is recited in claim 1 of the '188 patent:

1. A computer-implemented method for identifying patterns in data, the method comprising:

(a) inputting into at least one support vector machine of a plurality of support vector machines a training set having known outcomes, the at least one support vector machine comprising a decision function having a plurality of weights, each having a weight value, wherein the training set comprises features corresponding to the data and wherein each feature has a corresponding weight;

(b) optimizing the plurality of weights so that classifier error is minimized;

(c) computing ranking criteria using the optimized plurality of weights;

(d) eliminating at least one feature corresponding to the smallest ranking criterion;

(e) repeating steps (a) through (d) for a plurality of iterations until a subset of features of pre-determined size remains; and

(f) inputting into the at least one support vector machine a live set of data wherein the features within the live set are selected according to the subset of features.

*Id.* at 75:34-55.

37. Summarized at a high level, SVM-RFE is a process for improving an SVM as a tool for classification of data. An SVM is used to classify examples of data (called patterns) drawn from two categories into the appropriate category. *Id.* at 28:47-67. Each pattern is made up of a set of features and the SVM finds a separation that divides the patterns into the two categories based on the content of the features. *Id.* The SVM-RFE process involves recursively pruning away those features that contribute the least to the classification decision. *Id.* at 29:28-30. The result yields an SVM that can classify a new pattern using a reduced set of features. *Id.* at 27:25-32. The feature pruning is performed on the basis of ranked feature weights of the classifier. *Id.* at 29:28-30.
38. Using one example from the '188 patent, a scientist may wish to identify the genes involved in colon cancer. *Id.* at 24:46-60; 34:9. In this example, the inventors considered 62 patterns, each pattern representing 2,000 genetic measurements of a single patient. *Id.* at 34:11-20. Each feature was a gene expression value indicating a magnitude for that gene's activity in the patient. *Id.* The classes represented the disease outcome for the patient—*i.e.*, whether the patient had colon cancer or not. SVM-RFE was used to discover four genes predicted to be involved in the genetic mechanism of colon cancer. *Id.* at 36:45-53. The technique additionally surfaced 7 genes having known biological functions that are relevant to colon cancer from the initial set of 2,000 genes. *Id.* at 44:36-45:21. SVM-RFE is particularly



useful in cases like this where the patterns represent a large array of features, but it is not known which subset of the features are responsible for the example's membership in a category (here, the corresponding patient's disease outcome of colon cancer or not).

39. In SVM-RFE, not only does the technique yield a classifier that avoids overfitting, but the pruning process efficiently reveals relevant features and is responsive to the case where subsets of features contribute differently to the classification, rather than assuming all features are independent. *Id.* at 48:66 – 49:10; 27:62 – 28:12. For example, certain subsets of genes do not have independent activity, and the activities of certain genes may be correlated with a particular classification in a training set while having limited relevance to the biological mechanism of interest. *See, e.g., id.* at 26:44-48. Importantly, SVM-RFE allows researchers to not just obtain a more efficient classifier that makes accurate classifications using a reduced set of features, but also to understand why the classifier would make a particular classification. In this regard, SVM-RFE yields the importance of particular features to the classification, providing a concrete result for researchers and permitting further investigation of those leads.
40. These advantages have led SVM-RFE to become an important technique that continues to be used and praised to this day. Even references quoted by Intel in its IPR petitions recognize the importance of Guyon's and Weston's contributions. Citing the seminal 2002 Guyon paper as reference 12 in the passage below, a 2014 article by Huang et al. favorably compares SVM-RFE to other methods of feature selection:

The common methods of feature selection include backward feature selection (BFS), forward feature selection (FFS), and ranker [11]. Another feature selection method, support vector machine recursive feature elimination (SVM-RFE), can filter relevant features and *remove relatively insignificant feature variables in order to achieve higher classification performance* [12]. The research findings of Harikrishna et al. have shown

that computation is simpler and can more effectively improve classification accuracy in the case of datasets after SVM-RFE selection [13–15].”Huang et al., SVM-RFE Based Feature Selection and Taguchi Parameters Optimization for Multiclass SVM Classifier, *The Scientific World Journal*, Vol. 2014, Article ID 795624 (2014) (Emphasis added for text quoted in Intel’s IPR petition in italics).

41. Intel knows the importance of SVM-RFE, and in 2005, filed its own patent application directed to “A Recursive Feature Eliminating Method Based on a Support Vector Machine.” That application eventually issued as U.S. Patent 7,685,077 (“Intel’s ’077 patent”) (**Exhibit J**), but only because during prosecution of the underlying patent application, in an effort to demonstrate patent eligibility, Intel explained to the USPTO patent examiner that the method “would generate a feature ranking list which would be used for data recognition in the future.” Intel’s ’077 patent file history, Office Action Response of June 2, 2009, at 11. Intel characterized this as a “practical application” of SVM-RFE technology, stating that “the feature ranking list can help to detect if a person has a disease or not by checking his/her gene expression with the gene feature ranking list. . . .” *Id.* Intel also recognized that SVM-RFE “can be used in other applications of data recognition. For example it can be used for face recognition with [a] face feature ranking list. . . . [T]hat final result [e.g., disease detection and face recognition] of the data recognition with the feature ranking list. . . meets the requirement of being ‘useful, tangible, and concrete’ . . . .” *Id.* at 11-12. As a result of HDC’s interference proceeding, the USPTO eventually revoked Intel’s ’077 patent because Intel conceded that the technology covered by the ’077 patent was first invented by HDC’s Guyon and Watson (the HDC Patents). ’077 Patent Interference Proceeding, Decision on Motions (Feb. 27, 2019). (**Exhibit K**).
42. The claims of the HDC Patents are directed to a specific means or method that improves a relevant technology. For example, and as representative, claim 1 of the ’188 patent fits

squarely within the patent-eligible prong of *Alice* step 1 because it is directed to an improvement of a relevant technology and not a mere abstract idea. In particular, the claim recites a process for modifying a support vector machine—a kind of classifier. This is not merely using the support vector machine as a tool to perform or automate a classification function (*e.g.*, whether a particular data set is indicative of a patient with a disease condition or not), rather it is a process of modifying the tool used to make that classification decision. The result of the process is an improved tool.

43. The recited process begins with training data being input into a support vector machine. '188 patent, claim 1, step (a). That support vector machine is characterized by a particular decision function having a set of weights. Steps (b) – (d) then recite modifications to the support vector machine. First, the weights that make up the decision function are optimized and the optimized weights are used to compute ranking criteria. Then, a feature that corresponds to the smallest ranking criterion is eliminated. This has the effect of altering the decision function of the support vector machine. In other words, the support vector machine at step (d) is a different support vector machine than began the process in step (a). This procedure iterates, each time creating a new support vector machine as the weights are optimized and ranked and a feature corresponding to the smallest ranking criterion is eliminated, until a support vector machine that includes “a subset of features of pre-determined size remains.” This will be an improved support vector machine, different than the original one, that can now be used on the “live” data set for which classification determinations are needed.
44. Unlike a situation in which certain information is selected, analyzed, and then reported or results of the analysis displayed, claim 1 of the '188 patent recites actual improvements

in/modifications to the tool (in particular, the SVM classifier) that is used to perform the analysis. While the process of that improvement is algorithmic in nature, the claim is not merely an improvement of a mathematical technique. Rather, it is an improvement in the functionality of a physical-world instrument with real-world outcomes—namely the SVM classifier. The SVM that is the result of the modification process is one that is specially crafted by including only the subset of features of pre-determined size that has been determined through the recited optimization process. This is distinct from claims found to be directed to abstract ideas which only provide data of an improved quality or nature. Here, it is not the data that is improved, rather it is the machine used to analyze the data that is improved. As Intel itself explained to the USPTO during prosecution of its '077 patent, the feature ranking list arrived at through the use of SVM-RFE is a *concrete result* that allows researchers to further investigate data. Intel's '077 Patent File History, Office Action Response of June 2, 2009; *see also* Intel's '077 Patent File History, Final Rejection of July 31, 2009, at 16-17 (with the Examiner agreeing that the specification recites a practical application). (**Exhibit L**). In sum, claim 1 of the '188 patent is directed to a specific technological improvement in the SVM tool, and the written description confirms as much by reporting the myriad advantages of the improved SVM.

45. Furthermore, claim 1 of the '188 patent involves more than the performance of well-understood, routine, and conventional activities previously known to the industry. The technique for improving a support vector machine that is recited in this claim affords two advances. First, use of ranked feature weights enables an efficient process for pruning features by using parameters already computed during the training of the underlying SVM classifier. Second, the ranked feature weights provide users of the process with insight into

the relevance of various features to the classification itself. In developing this process, the inventors made a clever and novel choice—they realized that the parameters computed during the training of the SVM could be very efficiently used to compute a different parameter—the “feature weight”—that was correlated with the contribution of a feature to the SVM classification.

46. As noted above, although they are powerful tools, SVMs had only limited initial popularity among researchers as they are complex and were originally geared towards creating classifiers based on all available variables and did not allow assessing variable importance. The process of improving an SVM recited in claim 1 of the '188 patent addressed both drawbacks. First, the process creates an SVM that operates effectively on fewer than all available variables through feature elimination according to ranked feature weights. Second, the process allows assessment of variable importance because the ranking uses parameters computed during the training of the underlying SVM classifier. That is, the recited process necessarily provides a ranked list with variables ordered according to their relevance, as explicitly set forth in the claims. The process also provides overall efficiencies because it avoids the need to retrain the classifier for every candidate variable to be eliminated. This reduces the computational burden associated with other feature elimination techniques. These techniques and advantages were not well-understood, routine, or conventional activities previously known to the industry.
47. Even if this Court finds claim 1 of the '188 patent to be directed to an abstract idea under *Alice* step 1, these inventive concepts described *supra* ¶¶ 45-46 render the claim eligible under *Alice* step 2.

48. For the same or similar reasons, the remaining asserted claims of the patents-in-suit are also patent eligible.

**EXEMPLARY USES OF SVM-RFE FOR  
MEDICAL AND NON-MEDICAL APPLICATIONS**

49. The use of RFE to modify the SVM can be applied to both medical and non-medical applications. One exemplary medical application consisted of developing an improved cardiac monitoring method based on supervised learning methods, to be able to distinguish healthy from pathologic arterial pulse waves (APW), and those two from noisy waveforms (non-relevant segments of the signal). The APW data set analysis was composed of signals acquired in a clinical environment from a total of 213 subjects, including healthy volunteers and non-healthy patients. The signals were characterized by 39 pulse features, including morphologic, time domain statistics, cross-correlation features, and wavelet features. SVM-RFE was used to select the most relevant features. A comparative study was performed to evaluate the performance of the two classifiers: SVM-RFE and Artificial Neural Network (ANN). The SVM-RFE method achieved a statistically significant better performance for this problem compared to ANN. This study showed a support vector machine modified according to the SVM-RFE method was able to differentiate those three important signal outcomes (healthy, pathologic, and noise) and to reduce bias associated with clinical diagnosis of cardiovascular disease using APW. Joana S. Paiva et al., *Supervised learning methods for pathological arterial pulse wave differentiation: A SVM and neural networks approach*, INTERNATIONAL JOURNAL OF MEDICAL INFORMATICS 109 (2018) 30-38, <https://pubmed.ncbi.nlm.nih.gov/29195703/> (last accessed Apr. 1, 2022). In this case, the claimed invention produced an improved classifier for the clinical diagnosis of cardiovascular disease.

50. In a non-medical application, the SVM-RFE method was used to develop an SVM to select for milk rancidity to solve the multi-class milk selection problem. An “artificial nose” sensor array, coupled with feature extraction and pattern recognition methods, was used to obtain selective discrimination of milk of different rancidity levels. The multi-class classifier model based on SVM-RFE resulted in an accurate classification with a reduced feature set. Amari et.al., *Classification of a Portable Electronic Nose Data with SVM-RFE Method: Application to Milk Rancidity*, IEEE SENSORS 2008 CONFERENCE, [https://warwick.ac.uk/fac/sci/eng/research/grouplist/sensorsanddevices/mbl/database/ieeesensors08/PDFs/Papers/113\\_6651.pdf](https://warwick.ac.uk/fac/sci/eng/research/grouplist/sensorsanddevices/mbl/database/ieeesensors08/PDFs/Papers/113_6651.pdf) (last accessed Apr. 1, 2022). In this case, the claimed invention produced an improved classifier for milk rancidity levels.
51. In another non-medical example, SVM-RFE was used to produce an SVM to assess the quality of beef. Each piece of meat was described by 147 attributes, including weight of the animal, breed (7 boolean attributes), aging, 6 physical attributes describing its texture, and 12 sensory traits rated by 11 different experts. By using the SVM-RFE method, researchers were able to narrow down the subset of features to provide an SVM that accurately classified the data. Del Coz et. al., *Trait selection for assessing beef meat quality using non-linear SVM*, NIPS (2004), <https://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.1010.9232&rep=rep1&type=pdf> (last accessed Apr. 1, 2022). In this case, the claimed invention produced an improved classifier for assessing the quality of beef.
52. Another example relates to the field of steganography, which is the technique of secretly embedding additional information in digital products. Such embedded information raises the potential for covert dissemination of malicious software, mobile code, or other information. To combat the threat posed by steganography, steganalysis aims to expose the

stealthy communications. To handle the large number of developed features, SVM-RFE feature selection was used. Initially, there were 3950 features describing the data set for all the images used in the experiments. The results demonstrate that even when the ultimate subset of features of an SVM determined by RFE is reduced by 95.1% to 99.4% of the original number of features, accurate classification results were still obtained. Liu et. al., *An improved approach to steganalysis of JPEG images*, INFORMATION SCIENCES 180 (2010) 1643–1655, [https://www.shsu.edu/~qxl005/New/Publications/INS\\_jpegsteg.pdf](https://www.shsu.edu/~qxl005/New/Publications/INS_jpegsteg.pdf) (last accessed Apr. 1, 2022). In this case, the claimed invention produced an improved classifier for assessing the presence of steganographically embedded information.

53. Pre-harvest soybean yield classifications and estimations are another example benefiting from SVM-RFE. Such classifications and estimations are important for grain policy making and food security worldwide. The potential soybean yields are estimated based on spectral reflectance, which is considered an efficient phenotyping tool that can help breeders to make their selections at lower cost at a fast pace. The study used 62 spectral reflectance bands as the full variables and the RFE method was used to produce a classifier that operated on the 21 most important bands to facilitate the study. The smaller feature subset still provided an efficient and effective solution. Yoosefzadeh-Najafabadil, et al., *Application of Machine Learning Algorithms in Plant Breeding: Predicting Yield From Hyperspectral Reflectance in Soybean*, FRONTIERS IN PLANT SCIENCE, 12 January 2021, <https://www.frontiersin.org/articles/10.3389/fpls.2020.624273/full> (last accessed Apr. 1, 2022). In this case, the claimed invention produced an improved classifier and estimator for assessing the soybean yields.



**DETAILED HISTORY BETWEEN THE PARTIES**

54. As discussed above, the controversy at hand has been ongoing for over a decade. The following is an abbreviated timeline of key events (bolded entries relate to proceedings in the Patent Office). Paragraph 55 provides additional background and context.

DATE	EVENT
November 11, 2010	<b>HDC seeks to provoke interference with Intel's Patent No. 7,685,077.</b>
October 3, 2011	<b>HDC files a request for reexamination of Intel's '077 patent.</b>
November 10, 2011	HDC sends a courtesy letter to Intel advising of the re-examination and potential interference, and attempting to begin communications on a potential collaboration between HDC and Intel.
December 2011	An Intel Senior Patent Attorney states that he is looking into the matter for Intel and that Intel would likely not fight the re-examination if it is not using the SVM-RFE technology.
February 21, 2012	Intel Senior Patent Attorney writes that he is unaware of infringing activity.
March 15, 2012	HDC's response to the February 21, 2012 letter provides copies of publications by Intel researchers describing Intel's use of SVM-RFE, Northwestern University's acknowledgement of Intel's contribution of and to the SVM-RFE package, and commenting on Intel's filing of a response to rejection of all claims in the '077 re-examination; HDC invites further negotiation for a license.
September 19, 2016	<b>A Declaration of Interference is filed with the PTAB, naming HDC as the Senior Party and Intel as the Junior Party.</b>

September 26, 2016	HDC's Chairman sends another courtesy letter to Intel, this time regarding the Declaration of Interference and again offering a mutually beneficial collaboration between the two parties.
September 30, 2016	Intel emails HDC asking what HDC needs to resolve this interference. HDC responds that Intel needs to license SVM-RFE from HDC and disclaim its '077 patent.
October 3, 2016	HDC discusses the possibility of Intel licensing SVM-RFE from HDC with Intel's outside counsel.
November 2016	Counsel for HDC and Counsel for Intel exchange emails in which Intel stated it does not need a license, the HDC patents are invalid, and Intel does not infringe.
January 23, 2017	<b>Intel concedes to the PTAB that HDC has priority over the invention, and files two separate motions attempting to invalidate all the patents-in-suit, and Intel's own '077 patent if necessary.</b>
April 19, 2017	Counsel for Intel states that Intel is unlikely to settle.
May 1, 2017	HDC's Chairman sends another letter to Intel, this time to Intel's President, offering a mutually beneficial collaboration. Intel did not respond.
February 27, 2019	<b>HDC wins the interference.</b>
March 26, 2019	HDC's Chairman sends another email to Intel's President offering a mutually beneficial collaboration. Again, Intel did not respond.
September 3, 2019	<b>The USPTO issues U.S. Patent No. 10,402,685 ("SVM-RFE Patent") (one of the Patents-in-Suit) for HDC's patent application that provoked the interference.</b>

February 27, 2021	<b>Intel filed IPR petitions IPR2021-00549, IPR2021-00550, IPR2021-00551, IPR2021-00552, IPR2021-00553, IPR2021-00554, and IPR2021-00555.</b>
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55. The following provides additional background and context for the abbreviated timeline presented in ¶ 54:

- a. On November 11, 2010, HDC filed its US patent application 12/944,197, based on the original Guyon and Weston patent. Later, recognizing that Intel (through its '077 patent) was claiming that it invented SVM-RFE, HDC sought an interference between Intel's '077 patent and HDC's '197 application to establish the true first inventors.<sup>1</sup> HDC won the interference (indeed, Intel conceded Guyon and Weston were the first inventors) and, although it took nearly nine years from its initial filing, HDC's application was ultimately granted as U.S. Patent No. 10,402,685 (one of the patents-in-suit).
- b. On October 3, 2011, HDC filed an *ex parte* reexamination request on Intel's '077 patent.
- c. On November 10, 2011, HDC sent a letter to Intel's Steven Rodgers (*see infra* ¶ 77 for more information) advising Intel that HDC had sought re-examination of Intel's '077 patent and was also seeking to initiate an interference proceeding regarding Intel's '077 patent. In the November 10, 2011, letter:

---

<sup>1</sup> Intel's '077 patent is entitled "Recursive Feature Eliminating Method based on a Support Vector Machine," which directly echoes HDC's patented Support Vector Machine-Recursive Feature Elimination technology. HDC sought to provoke the interference to determine which party was the first to invent an invention that was claimed in two (or more) independently owned patent applications.

- i. HDC explained that Dr. Isabelle Guyon, an HDC inventor, was both an original inventor of the SVM technology (*see, e.g.*, U.S. Patent No. 5,649,068) and an original inventor of the SVM-RFE technology (*see, e.g.*, Guyon paper). Guyon's 2002 paper serves as the basis for HDC's U.S. Patent No. 7,177,188 and No. 7,542,959 (both patents-in-suit), two additional pending U.S. applications (both granted as the remaining patents-in-suit), and additional foreign patents.
  - ii. HDC attempted to discuss a mutually beneficial collaboration with Intel, whereby Intel could obtain proper authorization to use HDC's patented SVM-RFE technology (and possibly other HDC technologies) by license and both parties could avoid unnecessary and costly legal proceedings.
- d. On November 21, 2011, HDC received a letter from an Intel Senior Patent Attorney advising that he would be handling the matter.
- e. In December 2011, HDC's counsel and Intel's Senior Patent Attorney had a telephone conference in which Intel's attorney stated he would look into the matter and that Intel probably would not fight if Intel was not using the technology. In fact, Intel continued to fight the PTO proceedings for another eight years.
- f. On February 21, 2012, HDC received a letter from Intel's Senior Patent Attorney asking for specific Intel products to be identified.
- g. On March 15, 2012, HDC sent a letter to Intel identifying Intel publications and presentations describing Intel's admitted use of the SVM-RFE technology.

- h. On September 19, 2016, the Declaration of Interference was filed before the Patent Trial and Appeal Board (PTAB), naming HDC as the Senior Party and Intel as the Junior Party.
- i. On September 26, 2016, HDC's Chairman sent a letter to an Intel Senior Patent Attorney regarding the Declaration of Interference and again offering to collaborate with Intel, to benefit both parties. In this letter, HDC shared its future goals for SVM-RFE and machine learning, hoping to find common ground with Intel.
- j. On September 30, 2016, HDC received an email from Intel's Patent Group Counsel asking what HDC wanted in order to resolve the interference. HDC responded requesting that Intel licenses SVM-RFE from HDC and disclaims Intel's '077 patent.
- k. On October 3, 2016, HDC had a telephone conference with outside counsel for Intel regarding the possibility of a license and followed up via email regarding the same.
- l. On November 3-4, 2016, emails exchanged between HDC and Intel's outside counsel stated, for the first time, that Intel (wrongly) believed that HDC's patents were invalid and Intel did not infringe.
- m. On January 23, 2017, Intel conceded that HDC has priority over the invention – as Intel's alleged priority date of July 20, 2006, was after HDC's accorded priority date of January 31, 2005. *See* Interference Doc. 23.
- n. After conceding priority, however, on the same day, January 23, 2017, Intel filed a motion in the interference arguing that all the claims of HDC's 12/944,197 application (from the same claims Intel had sought to patent in Intel's '077 patent)

were unpatentable as being directed to patent-ineligible subject matter. *See* Interference Doc. 21.

- o. On the same day, January 23, 2017, Intel filed another motion in the interference arguing that HDC's Patent Nos. 7,117,188, 7,542,959, and 8,095,483 (all patents-in-suit) were also unpatentable as being indistinguishable from the claims of HDC's 12/944,197 application and therefore directed to patent-ineligible subject matter. *See* Interference Doc. 20.
  - p. On April 19, 2017, Intel's outside counsel stated that Intel was unlikely to settle.
  - q. On May 1, 2017, HDC's Chairman sent Intel's President a letter again offering a mutually beneficial collaboration. Intel's President did not respond.
  - r. On February 27, 2019, the PTAB filed a judgement on the interference in favor of HDC and cancelled Intel's '077 patent and dismissed its other motions.
  - s. On March 26, 2019, HDC's Chairman again emailed Intel's President offering a mutually beneficial collaboration. Again, Intel's President did not respond.
  - t. On September 3, 2019, the USPTO issued U.S. Patent No. 10,402,685 ("SVM-RFE Patent") (one of the Patents-in-Suit) for HDC's patent application covering SVM-RFE methods. Health Discovery Corporation now owns four patents in the United States and five international patents related to SVM-RFE and is the sole owner of all patents related to SVM-RFE.
56. Intel's January 23, 2017, motions before the PTO in connection with the interference proceeding (*supra* ¶ 55) were Intel's "scorched-earth" effort at mutual destruction of SVM-RFE patent rights. Having conceded that it was not the inventor of SVM-RFE, Intel instead tried to deny that invention to Guyon and Weston by arguing that the very claims Intel itself

had argued were patentable (when pursuing the '077 patent) were now somehow not so when Intel could not have them.

### **SVM-RFE IN SCIKIT-LEARN**

57. Scikit-learn is an open-source software machine learning library for the Python programming language. Scikit-learn comprises various classification, regression, and clustering algorithms, including support vector machines (SVMs), random forests, and gradient boosting, and is designed to interoperate with the Python numerical and scientific libraries NumPy and SciPy. Importantly, Scikit-learn uses feature ranking with recursive feature elimination (RFE) for feature selection as shown below:

**sklearn.feature\_selection.RFE**

```
class sklearn.feature_selection.RFE(estimator, *,  
n_features_to_select=None, step=1, verbose=0, importance_getter='auto')  
[source]
```

- 58.

Source: [https://scikit-learn.org/stable/modules/generated/sklearn.feature\\_selection.RFE.html](https://scikit-learn.org/stable/modules/generated/sklearn.feature_selection.RFE.html) (last accessed Apr. 1, 2022)

As described on the Scikit-learn website:

Feature ranking with recursive feature elimination.

Given an external estimator that assigns weights to features (e.g., the coefficients of a linear model), the goal of recursive feature elimination (RFE) is to select features by recursively considering smaller and smaller sets of features. First, the estimator is trained on the initial set of features and the importance of each feature is obtained either through any specific attribute or callable. Then, the least important features are pruned from current set of features. That procedure is recursively repeated on the pruned set until the desired number of features to select is eventually reached.

Source: [https://scikit-learn.org/stable/modules/generated/sklearn.feature\\_selection.RFE.html](https://scikit-learn.org/stable/modules/generated/sklearn.feature_selection.RFE.html) (last accessed April 1, 2022)

In fact, the Scikit-learn website specifically cites the Guyon paper as the sole reference for its RFE feature selection class.

#### **References**

- 1 Guyon, I., Weston, J., Barnhill, S., & Vapnik, V., "Gene selection for cancer classification using support vector machines", *Mach. Learn.*, 46(1-3), 389–422, 2002.

Source: [https://scikit-learn.org/stable/modules/generated/sklearn.feature\\_selection.RFE.html](https://scikit-learn.org/stable/modules/generated/sklearn.feature_selection.RFE.html) (last accessed Apr. 1, 2022)

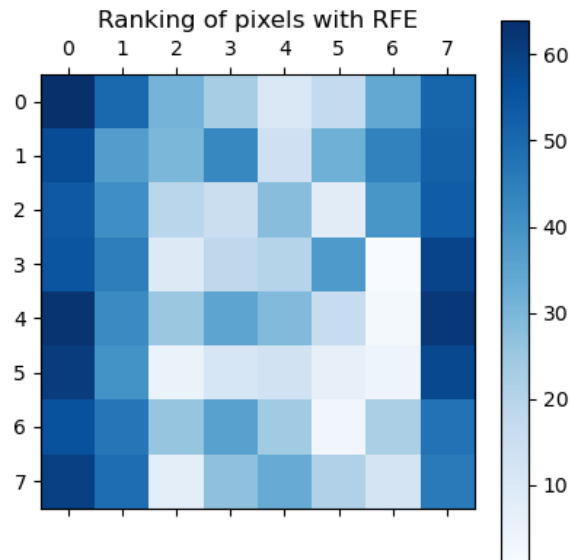
The Recursive Feature Elimination (RFE) ranking function is further described with reference to graphical data on the Scikit-learn website as follows:



## Recursive feature elimination

A recursive feature elimination example showing the relevance of pixels in a digit classification task.

**Note:** See also [Recursive feature elimination with cross-validation](#)



Source: [https://scikit-learn.org/stable/auto\\_examples/feature\\_selection/plot\\_rfe\\_digits.html#sphx-glr-auto-examples-feature-selection-plot-rfe-digits-py](https://scikit-learn.org/stable/auto_examples/feature_selection/plot_rfe_digits.html#sphx-glr-auto-examples-feature-selection-plot-rfe-digits-py) (last accessed Apr. 1, 2022)

Note in the sample code below, the SVM classifier is initially imported, then the RFE function is imported for feature selection, with the ranking based on a linear kernel:

```

from sklearn.svm import SVC
from sklearn.datasets import load_digits
from sklearn.feature_selection import RFE
import matplotlib.pyplot as plt

# Load the digits dataset
digits = load_digits()
X = digits.images.reshape((len(digits.images), -1))
y = digits.target

# Create the RFE object and rank each pixel
svc = SVC(kernel="linear", C=1)
rfe = RFE(estimator=svc, n_features_to_select=1, step=1)
rfe.fit(X, y)
ranking = rfe.ranking_.reshape(digits.images[0].shape)

# Plot pixel ranking
plt.matshow(ranking, cmap=plt.cm.Blues)
plt.colorbar()
plt.title("Ranking of pixels with RFE")
plt.show()

```

Source: [https://scikit-learn.org/stable/auto\\_examples/feature\\_selection/plot\\_rfe\\_digits.html#sphx-glr-auto-examples-feature-selection-plot-rfe-digits-py](https://scikit-learn.org/stable/auto_examples/feature_selection/plot_rfe_digits.html#sphx-glr-auto-examples-feature-selection-plot-rfe-digits-py) (last accessed Apr. 1, 2022)

Scikit-learn included the RFE function since at least version 0.10, released on January 11, 2012.

## Version 0.10

January 11, 2012

### Changelog

- Python 2.5 compatibility was dropped; the minimum Python version needed to use scikit-learn is now 2.6.
- Sparse inverse covariance estimation using the graph Lasso, with associated cross-validated estimator, by Gael Varoquaux
- New Tree module by Brian Holt, Peter Prettenhofer, Satrajit Ghosh and Gilles Louppe. The module comes with complete documentation and examples.
- Fixed a bug in the RFE module by Gilles Louppe (issue #378).

Source: [https://scikit-learn.org/stable/whats\\_new/older\\_versions.html#earlier-versions](https://scikit-learn.org/stable/whats_new/older_versions.html#earlier-versions) (last accessed Apr. 1, 2022)

### INTEL'S INFRINGING PRODUCTS

59. Intel infringes HDC's patents by including, or at least encouraging the use of, the Scikit-learn package with at least the following software products: oneAPI, oneAPI Analytics Toolkit, Data Analytics Library (DAL or oneDAL, formerly Intel Data Analytics Acceleration Library (DAAL)), daal4py, and DevCloud.
60. Intel oneAPI is an open standard for a unified application programming interface that provides access to various integrated software libraries, enabling Intel's customers to develop custom applications. See <https://www.oneapi.io/> (last accessed Apr. 1, 2022).
61. Intel's oneAPI AI Analytics Toolkit is one example of a software library within oneAPI that contains various artificial intelligence and machine learning functions. Intel admits that its oneAPI AI Analytics Toolkit includes Scikit-learn. More specifically, Intel's oneAPI AI Analytics Toolkit includes the Intel Distribution for Python with version 0.18.1 of Scikit-learn. This version includes the SVM-RFE technology because, as discussed *supra* in ¶ 57, Scikit-learn included the SVM-RFE class since at least 0.10.

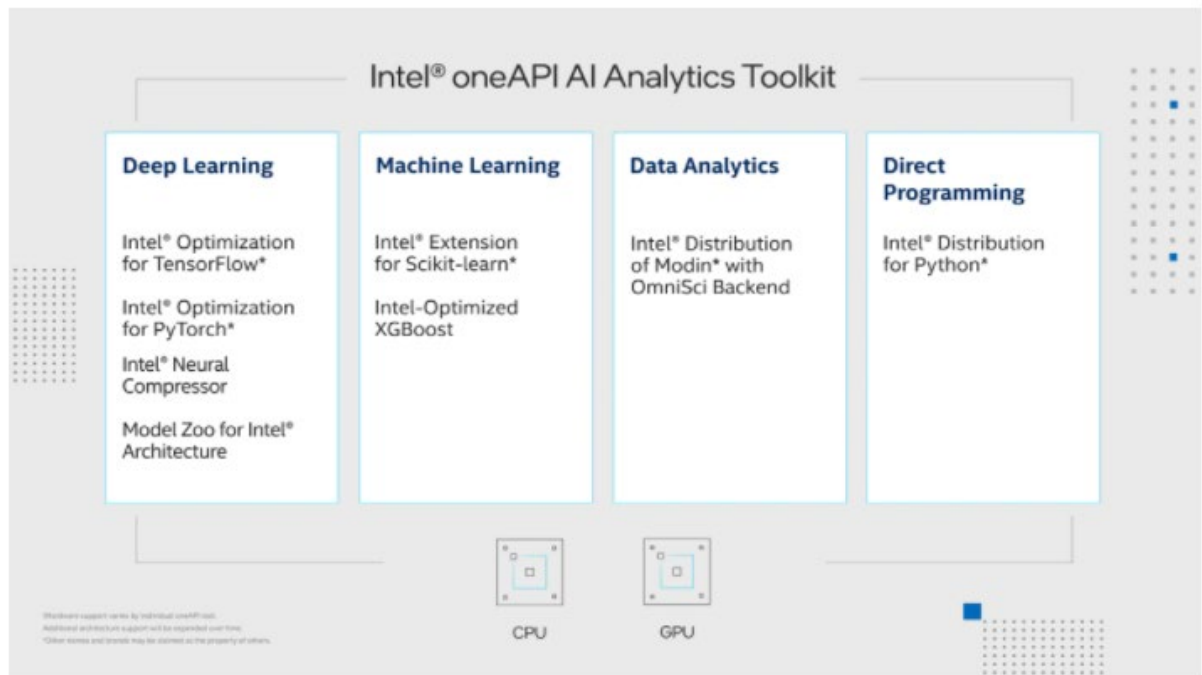
## Accelerate Data Science & AI Pipelines

The Intel® oneAPI AI Analytics Toolkit (AI Kit) gives data scientists, AI developers, and researchers familiar Python\* tools and frameworks to accelerate end-to-end data science and analytics pipelines on Intel® architectures. The components are built using oneAPI libraries for low-level compute optimizations. This toolkit maximizes performance from preprocessing through machine learning, and provides interoperability for efficient model development.

Using this toolkit, you can:

- Deliver high-performance, deep-learning training on Intel® XPU's and integrate fast inference into your AI development workflow with Intel®-optimized, deep-learning frameworks for TensorFlow\* and PyTorch\*, pretrained models, and low-precision tools.
- Achieve drop-in acceleration for data preprocessing and machine-learning workflows with compute-intensive Python\* packages, Modin\*, scikit-learn\*, and XGBoost, optimized for Intel.
- Gain direct access to analytics and AI optimizations from Intel to ensure that your software works together seamlessly.

Source: <https://www.intel.com/content/www/us/en/developer/tools/oneapi/ai-analytics-toolkit.html#gs.vgkxp4> (last accessed Apr. 1, 2022).



Source: <https://www.intel.com/content/www/us/en/developer/tools/oneapi/ai-analytics-toolkit.html> (last accessed Apr. 1, 2022).

## 5 Release Content

Intel Distribution for Python\* complies with the SciPy Stack 1.0 specification

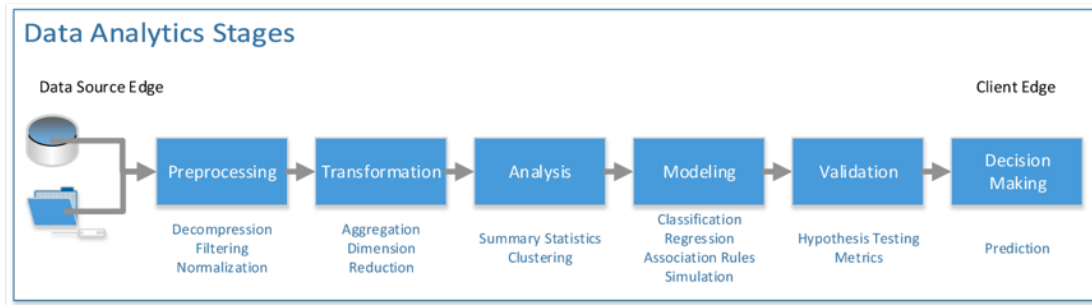
<http://www.scipy.org/stackspec.html>.

scikit-learn	0.18.1
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Source: <https://www.intel.com/content/dam/develop/external/us/en/documents/intel-distribution-python2017u2-release-notes.pdf> (last accessed Apr. 1, 2022).

62. Intel's Data Analytics Library (DAL or oneDAL, formerly Intel Data Analytics Acceleration Library (DAAL)) is another library within oneAPI. DAL provides machine learning and data analytics functions, including functions that significantly improve the performance of SVMs. <https://www.intel.com/content/www/us/en/developer/tools/oneapi/onedal.html> (last accessed Apr. 1, 2022). According to Intel, DAL is the library of Intel® Architecture optimized building blocks covering all data analytics stages: data acquisition from a data source, preprocessing, transformation, data mining, modeling, validation, and

decision making. DAL is closely integrated with and leverages the capabilities of the Scikit-learn machine language.



Source: Intel Data Analytics Acceleration Library 2020 Update 1 – Developer Guide, p. 9.

Another example of the integrated nature of Scikit-learn and Intel DAL is set forth below with daal4py, highlighting the API compatibility between the two Intel and Scikit Learn software programs, including Support Vector Machine (SVM) algorithms:

## scikit-learn API

The `daal4py.sklearn` package contains scikit-learn compatible API which implement a subset of scikit-learn algorithms using Intel(R) oneAPI Data Analytics Library.

Currently, these include:

1. `daal4py.sklearn.neighbors.KNeighborsClassifier`
2. `daal4py.sklearn.neighbors.KNeighborsRegressor`
3. `daal4py.sklearn.neighbors.NearestNeighbors`
4. `daal4py.sklearn.tree.DecisionTreeClassifier`
5. `daal4py.sklearn.ensemble.RandomForestClassifier`
6. `daal4py.sklearn.ensemble.RandomForestRegressor`
7. `daal4py.sklearn.ensemble.AdaBoostClassifier`
8. `daal4py.sklearn.cluster.KMeans`
9. `daal4py.sklearn.cluster.DBSCAN`
10. `daal4py.sklearn.decomposition.PCA`
11. `daal4py.sklearn.linear_model.Ridge`
12. `daal4py.sklearn.svm.SVC`
13. `daal4py.sklearn.linear_model.logistic_regression_path`
14. `daal4py.sklearn.linear_model.LogisticRegression`
15. `daal4py.sklearn.linear_model.ElasticNet`
16. `daal4py.sklearn.linear_model.Lasso`
17. `daal4py.sklearn.model_selection._daal_train_test_split`
18. `daal4py.sklearn.metrics._daal_roc_auc_score`

Source: <https://intelpython.github.io/daal4py/sklearn.html> (last accessed Apr. 1, 2022)

63. Intel's oneAPI AI Analytics Toolkit dynamically patches the Scikit-learn package to use Intel's DAL in order to boost performance of SVMs. Specifically, the oneAPI AI Analytics Toolkit includes the Intel Extension for Scikit-learn, which patches the stock Scikit-learn algorithms with Intel-optimized versions to improve performance, as discussed below.

## How it works?

Intel(R) Extension for Scikit-learn offers you a way to accelerate existing scikit-learn code. The acceleration is achieved through **patching**: replacing the stock scikit-learn algorithms with their optimized versions provided by the extension.

One of the ways to patch scikit-learn is by modifying the code. First, you import an additional Python package ( `sklearnex` ) and enable optimizations via `sklearnex.patch_sklearn()` . Then import scikit-learn estimators:

- Enable Intel CPU optimizations

```
import numpy as np
from sklearnex import patch_sklearn
patch_sklearn()

from sklearn.cluster import DBSCAN

X = np.array([[1., 2.], [2., 2.], [2., 3.],
              [8., 7.], [8., 8.], [25., 80.]], dtype=np.float32)
clustering = DBSCAN(eps=3, min_samples=2).fit(X)
```

- Enable Intel GPU optimizations

```
import numpy as np
import dpctl
from sklearnex import patch_sklearn, config_context
patch_sklearn()

from sklearn.cluster import DBSCAN

X = np.array([[1., 2.], [2., 2.], [2., 3.],
              [8., 7.], [8., 8.], [25., 80.]], dtype=np.float32)
with config_context(target_offload="gpu:0"):
    clustering = DBSCAN(eps=3, min_samples=2).fit(X)
```

Source: <https://github.com/intel/scikit-learn-intelext> (last accessed Apr. 1, 2022)

64. Intel’s DevCloud is another product that includes Scikit-learn through the oneAPI AI Analytics Toolkit. DevCloud is a cloud-based platform where Intel’s customers can “[l]earn, prototype, test, and run your workloads for free on a cluster of the latest Intel® hardware and software.”

## What's Included

### Hardware

#### Intel® CPUs

- Intel® Core™ i5, Intel Core i7, and Intel Core i9 processors
- Intel Atom® processors
- Intel® Xeon® processors

#### Accelerators

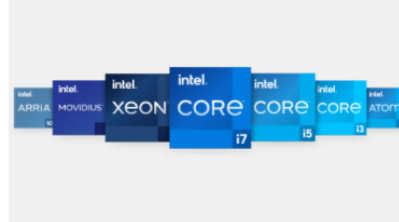
- Intel® Movidius™ Myriad™ X VPU
- Intel® Vision Accelerator
- Intel® Arria® 10 FPGA

#### FPGAs

- Intel® Arria® 10 FPGA
- Intel® Stratix® 10 FPGA

#### Intel® GPUs

- Intel® HD Graphics
- Intel® UHD Graphics
- Intel® Iris® Plus graphics
- Intel® Iris® X\* MAX GPU



### Software

#### Included Toolkits


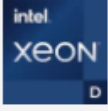
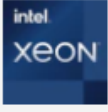
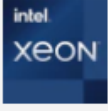
- Intel® oneAPI Base Toolkit
- Intel® oneAPI HPC Toolkit
- Intel® oneAPI AI Analytics Toolkit
- Intel® oneAPI Rendering Toolkit
- Intel Distribution of OpenVINO Toolkit

Source: <https://www.intel.com/content/www/us/en/developer/tools/devcloud/overview.html> (last accessed Apr. 1, 2022).

65. These above technologies interact and are integrated and used in developing, testing, and the functioning of other Intel products, including its processors, such as the Xeon, Core, and Atom families of processors:






**Intel's Xeon Family.** According to Intel, the *Intel® Xeon® Processor Family* is the processor brand of Intel® geared towards mission-critical businesses and for big data insights. The brand includes Intel® Xeon® D Processors (optimized for density and lower power), Intel® Xeon® W Processors (optimized for mainstream workstations), and Intel® Xeon® E Processors (offer essential performance for entry servers and entry workstations).



	Intel® Xeon® Scalable Processors	Delivering modern performance and security that scales with your business needs. Intel® Xeon® Scalable processors are optimized for common, critical, and emerging usages with advanced AI and security capabilities.
	Intel® Xeon® D Processor	When space and power are at a premium, these innovative system-on-a-chip processors bring workload optimized performance.
	Intel® Xeon® E Processor	Essential, business-ready performance, expandability and reliability for entry server solutions.
	Intel® Xeon® W Processor	Designed for creative professionals, delivering the performance you need for VFX, 3D rendering, and 3D CAD on a workstation.



Source: <https://www.intel.com/content/www/us/en/products/processors/xeon.html> (last accessed Apr. 1, 2022)

**Intel's Core™ Family.** According to Intel, the *Intel® Core™ Processor Family* is the processor brand of Intel® geared towards business and creative professionals, gamers of all levels, and others. The brand includes Intel® Core™ X Processors (up to 18 cores for the most extreme gaming, creative production, and multi-tasking), Intel® Core™ i9 Processors (up to 16 cores for seamless 4K Ultra HD and 360 video, robust gameplay, and multitasking performance), Intel® Core™ i7 Processors (up to 14 cores for accelerated computing supporting high-end gaming, connectivity, and security), Intel® Core™ i5 Processors (up to 12 cores for gaming, creativity, and multitasking), and Intel® Core™ i3 Processors (everyday tasks).

	Intel® Core™ X-series Processors	Unlocked CPUs that deliver up to 18 cores for the most extreme gaming, creative production, and multi-tasking.
	Intel® Core™ i9 Processors	Delivering up to 16 unlocked cores for seamless 4K Ultra HD and 360 video, robust gameplay, and multitasking performance.
	Intel® Core™ i7 Processors	This CPU packs the power of up to 14 cores for accelerated computing supporting high-end gaming, connectivity, and security.
	Intel® Core™ i5 Processors	Experience exceptional performance for home and business PCs, with up to 12 cores for gaming, creativity and multitasking.
	Intel® Core™ i3 Processors	These value-packed processors deliver outstanding performance for everyday tasks.

Source: <https://www.intel.com/content/www/us/en/products/details/processors/core.html> (last accessed Apr 1, 2022)

**Intel's Atom® Family.** According to Intel, the *Intel® Atom® Processor Family* is the processor brand of Intel® geared towards mobile devices that are ultra-thin and lightweight. The brand includes Intel® Atom® C Processors (reduced energy demands, configured to fit server specs), and Intel® Atom® P Processors (high throughput, low latency processing for high-density network edge).

	<b>Intel Atom<sup>®</sup> Processor C Series</b>	Intel Atom <sup>®</sup> C processor family for microservers is designed to reduce energy demands, be configured to fit server specs.
	<b>Intel Atom<sup>®</sup> Processor P Series</b>	Intel Atom <sup>®</sup> P processors provide high throughput, low latency processing for high-density network edge.

Source: <https://www.intel.com/content/www/us/en/products/details/processors/atom.html> (last accessed Apr. 1, 2022)

66. Further, Intel has admitted in numerous publications and presentations to using SVM-RFE in the development and optimization of certain of Intel's products. Intel has used the SVM-RFE method as a tool in developing, testing, validating, verifying, and optimizing certain of Intel's products (software, hardware, packages, etc.). The publications and presentations by Intel researchers describing Intel's unauthorized use of SVM-RFE date back at least as far as May 2005. A non-exhaustive list of such publications/presentations includes, *inter alia*:

a. Yurong Chen, et al., *Performance Scalability of Data-Mining Workloads in Bioinformatics*, INTEL TECHNOLOGY JOURNAL, Vol. 9, Issue 2, May 19, 2005.

**Exhibit M.**

b. Uma Srinivasan, et al., *Characterization and Analysis of HMMER and SVM-RFE*, PROCEEDINGS OF THE IEEE INTERNATIONAL SYMPOSIUM ON WORKLOAD CHARACTERIZATION (IISWC), Oct. 2005. **Exhibit N.**

c. Joseph Zambreno, et al., *Performance Characterization of Data Mining Applications using MineBench*, PROCEEDINGS OF THE WORKSHOP ON COMPUTER

ARCHITECTURE EVALUATION USING COMMERCIAL WORKLOADS (CAECW), February 2006. **Exhibit O.**

- d. Jayaprakash Pisharath, *Accelerating Data Mining Workloads: Current Approaches and Future Challenges in System Architecture Design*, PROCEEDINGS OF THE INTERNATIONAL WORKSHOP ON HIGH PERFORMANCE DATA MINING (HPDM), April 2006. **Exhibit P.**
- e. Ramanathan Narayanan, *MineBench: A Benchmark Suite for Data Mining Workloads*, PROCEEDINGS OF THE INTERNATIONAL SYMPOSIUM ON WORKLOAD CHARACTERIZATION (IISWC), October 2006. **Exhibit Q.**
- f. Aamer Jaleel, et al., *Last Level Cache (LLC) Performance of Data Mining Workloads on a CMP- A Case Study of Parallel Bioinformatics Workloads*, PROCEEDINGS OF THE 12TH IEEE INTERNATIONAL SYMPOSIUM ON HIGH PERFORMANCE COMPUTER ARCHITECTURE (HPCA), 2006. **Exhibit R.**
- g. A. Choudhary, et al., *Optimizing Data Mining Workloads using Hardware Accelerators*, PROCEEDINGS OF THE WORKSHOP ON COMPUTER ARCHITECTURE EVALUATION USING COMMERCIAL WORKLOADS (CAECW), February 2007. **Exhibit S.**
- h. Wenlong Li, et al., *Understanding the Memory Performance of Data-Mining Workloads on Small, Medium, and Large-Scale CMPs Using Hardware-Software Co-simulation*, PROCEEDINGS OF THE IEEE INTERNATIONAL SYMPOSIUM ON PERFORMANCE ANALYSIS OF SYSTEMS & SOFTWARE (ISPASS), April 2007. **Exhibit T.**

- i. Youfeng Wu, et al., *Impacts of Multiprocessor Configurations on Workloads in Bioinformatics*, PROCEEDINGS OF THE 19TH INTERNATIONAL SYMPOSIUM ON COMPUTER ARCHITECTURE AND HIGH-PERFORMANCE COMPUTING (SBAC-PAD '07), October 2007. **Exhibit U.**
- j. Jiaqi Zhang, et al., *Exploring the Emerging Applications for Transactional Memory*, PROCEEDINGS OF THE IEEE NINTH INTERNATIONAL CONFERENCE ON PARALLEL AND DISTRIBUTED COMPUTING, APPLICATIONS AND TECHNOLOGIES (PDCAT 2008), December 2008. **Exhibit V.**
- k. U.S. Patent Publication No. US 2010/0315337 A1 and WIPO Publication No. WO2010/147704, Ferren et al., *Optical Capacitive Thumb Control with Pressure Sensor*. **Exhibit W.**
- l. U.S. Patent No. 8,347,301 B2, Wenlong Li, et al., *Device, System, and Method of Scheduling Tasks of a Multithreaded Application*. (This Intel patent issued January 1, 2013 and describes the use of SVM-RFE at least at 3:43-44, 7:25 and 7:48-52). **Exhibit X.**
- m. Trygve Fossum, *Micro Architecture for Exascale*, ARGONNE TRAINING PROGRAM ON EXTREME-SCALE COMPUTING, 2013. **Exhibit Y.**

67. Additional infringing technologies may be found through discovery.

**COUNT I**  
**INFRINGEMENT OF THE '188 PATENT**

68. Plaintiff HDC repeats and realleges the above paragraphs, which are incorporated by reference as if fully restated herein.

69. Plaintiff HDC is the owner by assignment of all right, title, and interest in the '188 patent, including all right to recover for any and all infringement thereof.

70. Defendant is not licensed or otherwise authorized to practice the '188 patent.
71. The '188 patent is valid and enforceable. In this regard, the '188 patent is presumed valid under 35 U.S.C. §282.
72. The '188 patent relates to, among other things, methods for using learning machines (Support Vector Machines) to select features within data sets that best enable classification of the data by recursively training the learning machine, ranking the features, and then eliminating the lowest ranked feature(s) (*e.g.*, using Recursive Feature Elimination). The '188 patent invented such methods, for example, to identify patterns in biological systems (*e.g.*, genes, gene products, proteins, lipids, and combinations of the same) to help, *e.g.*, diagnose and predict abnormal physiological states.
73. The '188 patent is well-known in the SVM-RFE industry. It has been cited in at least 142 U.S. patents and patent applications, including patents and patent applications filed by industry leaders, such as Google Inc., Microsoft Corporation, General Electric Company, and Siemens Ag.
74. On information and belief, Defendant has been aware of the '188 patent since at least May 15, 2008. According to the records of the U.S. Patent and Trademark Office, on or about May 15, 2008, Defendant Intel cited the '188 patent to the U.S. Patent and Trademark Office in connection with the prosecution of U.S. Patent Application 12/152,568, entitled "Forward Feature Selection For Support Vector Machines." Specifically, Intel filed an "Information Disclosure Statement by Applicant" listing the '188 patent as the only document, thus demonstrating Defendant's knowledge of the '188 patent.
75. In addition, the '188 patent was cited in at least the following Intel patent, which further demonstrates Defendant's knowledge of the '188 patent: US 8,756,174 entitled "Forward

Feature Selection For Support Vector Machines,” a continuation of U.S. Patent Application 12/152,568. Specifically, on October 7, 2013, Intel filed an “Information Disclosure Statement by Applicant” listing the ’188 patent, thus demonstrating Defendant’s knowledge of the ’188 patent.

76. The ’188 patent was also cited in at least one Intel Corporation patent via family-to-family citation.:

- a. WO Patent No. 2007016814, “A Recursive Feature Eliminating Method Based on a Support Vector Machine,” with a publication date of February 15, 2007. This World Intellectual Property Organization patent is the same as Intel’s ’077 Patent.

77. Moreover, Plaintiff HDC began corresponding with Defendant about the SVM-RFE patents, including the ’188 patent, in November 2011. Specifically, HDC sent a letter to Steven Rodgers on November 10, 2011, advising of a reexamination of Intel Patent No. 7,685,077 and of a filing to provoke an interference with the ’077 patent. Steven Rodgers was Intel’s Vice President of Legal and Corporate Affairs in November 2011. At the time of this filing, Rodgers is now retired.

78. Therefore, Defendant had actual and constructive knowledge of the ’188 patent, as well as actual and constructive knowledge of the relevance and significance of the ’188 patent to its research and development, as well as its product offerings, at least no later than May 15, 2008 (per Intel’s IDS solely citing the ’188 patent), and certainly no later than November 10, 2011 (per HDC direct correspondence).

#### **DIRECT INFRINGEMENT OF THE ’188 PATENT**

79. In violation of 35 U.S.C. § 271(a), Defendant has directly infringed, continues to directly infringe, and will continue to directly infringe, absent the Court’s intervention, one or more

claims of the '188 patent, including, but not limited to, computer-implemented method claims 1-23 of the '188 patent, either literally or under the doctrine of equivalents, by making, using, testing, selling, and/or offering to sell within the United States, or importing into the United States, without license or authority, Defendant's infringing products, including, but not limited to, processors (e.g., Intel's Xeon, Core, and Atom families of processors, as described *supra* in ¶ 65) and/or software (e.g., Intel's oneAPI, oneAPI Analytics Toolkit, Data Analytics Library (DAL or oneDAL, formerly Intel Data Analytics Acceleration Library (DAAL)), daal4py, and DevCloud, as described *supra* in ¶ 60-64), which are, *inter alia*, deployed in Intel/Intel-partnered computers, workstations, network-computer architectures, and cloud-based architectures. Defendant's infringing products use Scikit-learn, which, as described *supra* in ¶ 57, includes HDC's SVM-RFE technology.

80. Moreover, Intel publicly admitted (on several occasions) that it uses/used SVM-RFE in the development and optimization of its products (software, hardware, packages, libraries, etc.). HDC did not authorize Intel's use of SVM-RFE, for any reason, and therefore Intel's admissions of using SVM-RFE indicates that Intel is directly infringing the '188 patent. The following citations, *inter alia*, include examples of Intel's admissions. See also *supra* ¶ 66 for additional publications.

- a. A. Jaleel, et al., *Last Level Cache (LLC) Performance in Data Mining Workloads on a CMP – A Case Study of Parallel Bioinformatics Workloads*, PROC. OF THE 12TH INT'L SYMP. ON HIGH PERFORMANCE COMPUTER ARCHITECTURE (HPCA), 2006. [2 of 3 authors were Intel employees]. **Exhibit R.**
- b. Y. Chen, et al., *Performance Scalability of Data-Mining Workloads in Bioinformatics*, INTEL TECHNOLOGY JOURNAL, Vol. 9, No. 2, May 19, 2005. [9 of



9 authors were Intel employees, including the two named inventors of Intel SVM-RFE patent US7,685,077]. **Exhibit M.**

- c. U. Srinivasan, et al., *Characterization and Analysis of HMMER and SVM-RFE Parallel Bioinformatics Applications*, PROC. OF THE IEEE INT'L SYMP. ON WORKLOAD CHARACTERIZATION (IISWC), Oct. 2005. [8 of 8 authors were Intel employees; including the two named inventors of Intel SVM-RFE patent US7,685,077] [In endnote 7, authors attribute SVM-RFE to Guyon and Weston, two of the named inventors of the '188 patent]. **Exhibit N.**
  - d. Trygve Fossum, *Micro Architecture for Exascale*, ARGONNE TRAINING PROGRAM ON EXTREME-SCALE COMPUTING, 2013. **Exhibit Y**
  - e. *Regularization and Feature Selection*, INTEL SOFTWARE, 2017. **Exhibit Z.**
  - f. *Support Vector Machines*, INTEL SOFTWARE, 2017. **Exhibit AA.**
81. Defendant Intel performs each limitation of claim 1 of the '188 patent:

“1. A computer-implemented method for identifying patterns in data, the method comprising:

- (a) inputting into at least one support vector machine of a plurality of support vector machines a training set having known outcomes, the at least one support vector machine comprising a decision function having a plurality of weights, each having a weight value, wherein the training set comprises features corresponding to the data and wherein each feature has a corresponding weight;
- (b) optimizing the plurality of weights so that classifier error is minimized;
- (c) computing ranking criteria using the optimized plurality of weights;
- (d) eliminating at least one feature corresponding to the smallest ranking criterion;
- (e) repeating steps (a) through (d) for a plurality of iterations until a subset of features of pre-determined size remains; and
- (f) inputting into the at least one support vector machine a live set of data wherein the features within the live set are selected according to the subset of features.”

82. Defendant Intel's accused products and software, through their optimization, development, sale, and operation, embody a computer-implemented method for SVM-RFE, as set forth in the attached claim chart for representative claim 1 of the '188 patent. **Exhibit 1.**

83. Defendant Intel also performs each limitation of claim 13 of the '188 patent:

“13. A computer-implemented method for identifying determinative genes for use in diagnosis, prognosis or treatment of a disease, the method comprising:

(a) inputting into a support vector machine a training data set of gene expression data having known outcomes with respect to the disease, the support vector machine comprising a decision function having a plurality of weights, each having a weight value, wherein the training set comprises features corresponding to the gene expression data and each feature has a corresponding weight;

(b) optimizing the plurality of weights so that classifier error is minimized;

(c) computing ranking criteria using the optimized plurality of weights;

(d) eliminating at least one feature corresponding to the smallest ranking criterion;

(e) repeating steps (a) through (d) for a plurality of iterations until an optimum subset of features remains; and

(f) inputting into the support vector machine a live data set of gene expression data wherein the features within the live data set are selected according to the optimum subset of features.”

84. Defendant Intel also performs each limitation of claim 19 of the '188 patent:

“19. A computer-implemented method for identifying patterns in biological data, the method comprising:

(a) inputting into at least some of a plurality of support vector machines a training data set, wherein the training data set comprises features corresponding to the biological data and each feature has a corresponding weight, and wherein each support vector machine comprises a decision function having a plurality of weights;

(b) optimizing the plurality of weights so that classification confidence is optimized;

(c) computing ranking criteria using the optimized plurality of weights;

(d) eliminating at least one feature corresponding to the smallest ranking criteria;

(e) repeating steps (a) through (d) for a plurality of iterations until an optimum subset of features remains; and

(f) inputting into the plurality of support vector machines a live set of biological data wherein the features within the live set are selected according to the optimum subset of features.”

85. As alleged *supra* in ¶¶ 83 and 84, independent claims 13 and 19 are computer-implemented method claims for improving SVM classification by tailoring feature sets, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the type of data sets upon which the SVM-RFE method is implemented. Accordingly, the direct infringement allegations of ¶ 82 are incorporated by reference herein and apply to claims 13 and 19. Defendant Intel's accused products and software perform and infringe each limitation of claim 13 and each limitation of claim 19 as set forth in the attached claim chart of the '188 patent. **Exhibit 1.**
86. Even if one or more steps recited in method claims 1-23 of the '188 patent are performed on technologies, computers, workstations, network-computer architectures, cloud-based architectures, etc., not in the physical possession of the Defendant (*e.g.*, in the possession of Intel partners, resellers, end-users, customers, etc.), the claimed methods are specifically performed by Intel's processors and/or software. Defendant directly infringes as its processors and/or software dictate the performance of the claimed steps, such as the “inputting,” “optimizing,” “computing,” “eliminating,” “repeating,” and “inputting” steps recited in claim 1 of the '188 patent. Defendant's processors and/or software are designed and built by Defendant to perform the claimed steps automatically. Such processors and/or software identify patterns in data and provide improved SVM classifiers and classification. Only Defendant can modify the functionality relating to these activities; no one else can

modify such functionality. Defendant therefore performs all of the claimed steps and directly infringes the asserted method claims of the '188 patent.

87. *Additionally or alternatively*, to the extent third parties or end-users perform one or more steps of the methods recited in claims 1-23 of the '188 patent on behalf of Intel, any such action by third parties or end-users is attributable to Defendant, such that Defendant is liable for directly infringing such claims in a multiple actor or joint infringement situation, because Defendant directs or controls the other actor(s). In this regard, Defendant conditions participation in activities, as well as the receipt of benefits, upon performance of any such step by any such third party or end-user. Defendant exercises control over the methods performed by its processors and/or software and benefits from others' use, including without limitation creating and receiving ongoing revenue streams from the accused products and related goods, and improvement/enhancement of its processors and/or software. End-users, customers, and third parties receive a benefit from fiscal gains (*e.g.*, third-party developers embedding Defendant's processors and/or software in their own products) and efficient and optimized data output – which forms the basis of entire businesses. Defendant also establishes the manner and timing of that performance by the third-party or end-user, as dictated by the claimed method steps. All third-party and end-user involvement, if any, is incidental, ancillary, or contractual.
88. Thus, to the extent that any step of the asserted method claims is performed by someone other than Defendant (*e.g.*, an end-user), Defendant nonetheless directly infringes the '188 patent at least by one or more of: (1) providing processors and/or software built and designed to perform methods covered by the asserted method claims; (2) dictating via software and associated directions and instructions (*e.g.*, to end-users) the use of the

accused products such that, when used as built and designed by Defendant, such products perform the claimed methods; (3) marketing and advertising the accused products, and otherwise instructing and directing the use of the accused products in ways covered by the asserted method claims; and (4) updating and providing ongoing support and maintenance for the accused products and software.

**INDUCED INFRINGEMENT OF THE '188 PATENT**

89. Defendant has also induced and will continue to induce its customers' separate infringement of claims 1-23 of the '188 patent, in violation of 35 U.S.C. § 271(b). Defendant actively encouraged its customers to infringe the '188 patent, where Defendant knows that the acts it induced constituted infringement of the '188 patent, and where Defendant's encouraging acts actually resulted in direct patent infringement by its customers.
90. As discussed above, Defendant had actual and constructive knowledge of the '188 patent, as well as actual and constructive knowledge of the relevance and significance of the '188 patent to its research and development and its product offerings, at least no later than May 15, 2008 (per Intel's IDS citing the '188 patent), and certainly no later than November 10, 2011 (per HDC direct correspondence).
91. Defendant specifies and controls the relevant processes and machine learning capabilities of the accused products in the claimed manner. To any extent Defendant does not specify and control the relevant processes and machine learning capabilities of the accused products in the claimed manner, Defendant—with full knowledge of the '188 patent and its relevance to its product offerings—actively encourages others (*e.g.*, end-users and third parties such as professionals, businesses, developers, Intel partners, customers, etc.)—to

use the accused products as claimed. Such active encouragement by Defendant takes many forms, and includes promotional and instructional materials, as well as technical specifications and requirements, and continuing technical assistance.

92. For example, Intel encourages its customers to use of SVM-RFE by improving the performance of Scikit-learn, which, as described *supra* in ¶ 57, includes HDC's SVM-RFE technology. Intel's DAL, with its Intel Extension for Scikit-learn, achieves acceleration of the Scikit-learn algorithms by patching the stock Scikit-learn algorithms with Intel-optimized versions, as described *supra* in ¶ 62.
93. Intel also encourages its customers to use of SVM-RFE by including Scikit-learn in its various other software products, such as oneAPI, oneAPI Analytics Toolkit, Data Analytics Library (DAL or oneDAL, formerly Intel Data Analytics Acceleration Library (DAAL)), daal4py, and DevCloud. *See* ¶¶ 60-64.
94. Defendant engaged in these acts with the actual intent to cause the acts which it knew or should have known would induce actual infringement, or otherwise exercised willful blindness of a high probability that it has induced infringement.

#### **CONTRIBUTORY INFRINGEMENT OF THE '188 PATENT**

95. Defendant has contributed and will continue to contribute to others' infringement of claims 1-23 of the '188 patent, in violation of 35 U.S.C. § 271(c). Defendant has offered to sell and sold within the United States, or imported into the United States, products for use in practicing the patented computer-implemented methods, constituting a material part of the patented methods, knowing the same to be especially made or especially adapted for use in infringing the '188 patent, and not a staple article or commodity of commerce capable of substantial non-infringing use.

96. As discussed above, Defendant had actual and constructive knowledge of the '188 patent, as well as actual and constructive knowledge of the relevance and significance of the '188 patent to its research and development, as well as its product offerings, at least no later than May 15, 2008 (per Intel's IDS solely citing the '188 patent), and certainly no later than November 10, 2011 (per HDC direct correspondence).
97. To the extent Defendant does not specify and control the relevant processes and machine learning capabilities of the accused products in the claimed manner (which it does), Defendant supplies the accused products to others (*e.g.*, end-users and third parties) that perform the claimed data pattern identification and optimization, and/or that, when combined with other components, constitute the claimed computer-implemented methods. The accused products and Intel-developed software patches enhance the performance of Scikit-learn processes, including SVM. Intel optimizes the speed of calculations for SVM enhancing SVM-RFE, which constitutes a material part of the claimed inventions, if not the entire claimed inventions themselves. Defendant dictates and controls the optimization and identification of componentry and techniques in the accused products and software, with full knowledge of the '188 patent and its relevance to its research and development, as well as its product offerings, and know the same to be especially made and especially adapted for the infringement of the '188 patent.
98. The portions of Defendant's products that identify patterns in data and implement SVM-RFE resulting in improved SVM classifiers and classification, including Intel branded products and software made, marketed, used, sold, offered to sell, or imported by Defendant, are not staple articles or commodities of commerce suitable for substantial non-infringing use.

**WILLFUL INFRINGEMENT OF THE '188 PATENT**

99. As set forth above, Defendant had actual and constructive knowledge of the '188 patent, as well as actual and constructive knowledge of the relevance and significance of the '188 patent to its research and development, as well as its product offerings, at least no later than May 15, 2008 (per Intel's IDS solely citing the '188 patent), and certainly no later than November 10, 2011 (per HDC direct correspondence).
100. Still further, as set forth in ¶¶ 30-31 *supra*, HDC and Intel were engaged in an interference proceeding in the USPTO, that began on September 19, 2016, and ended in February 2019. On February 27, 2019, the USPTO ruled in favor of HDC on the SVM-RFE Patent application, finding that HDC was entitled to claim exclusive ownership rights to the SVM-RFE technology as set forth in the SVM-RFE Patent application that was filed to provoke the interference. The decision ordered Intel's Patent No. 7,685,077 to be cancelled. The decision also dismissed Intel's motions challenging the validity of HDC's pending claims and issued patents covering SVM-RFE. On September 3, 2019, the USPTO issued HDC's patent application covering SVM-RFE methods as U.S. Patent No. 10,402,685 ("SVM-RFE Patent") (one of the Patents-in-Suit).
101. Defendant therefore had continuing actual and constructive knowledge of HDC's SVM-RFE patent portfolio, which included the '188 patent, and the relevance and significance of the SVM-RFE portfolio to Intel's research and development.
102. Defendant's infringement, as demonstrated above, is egregious and, combined with Defendant's clear knowledge, has been willful. Plaintiff respectfully requests that the Court award enhanced damages based on Defendant's conduct.



**DAMAGE TO HDC FROM INTEL'S INFRINGEMENT OF THE '188 PATENT**

103. On information and belief, Defendant's actions have and will continue to constitute direct and indirect (induced and contributory) infringement of at least claims 1-23 of the '188 patent in violation of 35 U.S.C. §271.
104. As a result of Defendant's infringement of at least claims 1-23 of the '188 patent, HDC has suffered monetary damages in an amount yet to be determined, in no event less than a reasonable royalty, and will continue to suffer damages in the future unless Defendant's infringing activities are enjoined by this Court.
105. Defendant's wrongful acts have damaged and will continue to damage HDC irreparably, and Plaintiff has no adequate remedy at law for those wrongs and injuries. In addition to its actual damages, Plaintiff HDC is entitled to a permanent injunction restraining and enjoining Defendant and its respective agents, servants, and employees, and all person acting thereunder, in concert with, or on its behalf, from infringing at least claims 1-23 of the '188 patent.

**COUNT II**  
**INFRINGEMENT OF THE '959 PATENT**

106. Plaintiff HDC repeats and realleges the above paragraphs, which are incorporated by reference as if fully restated herein.
107. Plaintiff HDC is the owner by assignment of all right, title, and interest in the '959 patent, including all right to recover for any and all infringement thereof.
108. Defendant is not licensed or otherwise authorized to practice the '959 patent.
109. The '959 patent is valid and enforceable. In this regard, the '959 patent is presumed valid under 35 U.S.C. §282.

110. The '959 patent relates to, among other things, a method, computer program product, and apparatus for using learning machines (Support Vector Machines) to select features within data sets that best enable classification of the data by recursively training the learning machine, ranking the features, and then eliminating the lowest ranked feature(s) (*e.g.*, using Recursive Feature Elimination). The '959 patent invented such method, product, and apparatus, for example, to identify patterns in biological systems (*e.g.*, genes, gene products, proteins, lipids, and combinations of the same) to help, *e.g.*, diagnose and predict abnormal physiological states.
111. The '959 patent is well-known in the SVM-RFE industry. It has been cited in at least 74 U.S. patents and patent applications, including patents and patent applications filed by industry leaders, such as Google Inc., Microsoft Corporation, and International Business Machines Corporation.
112. The '959 patent was cited in at least two Intel Corporation patents via family-to-family citations, including:
  - a. U.S. Patent No. 7,146,050, "Facial Classification of Static Images Using Support Vector Machines," with a publication date of December 5, 2006.
  - b. U.S. Patent No. 7,174,040, "Fast Method for Training and Evaluating Support Vector Machines with a Large Set of Linea Features," with a publication date of February 6, 2007.
113. The '959 patent was cited in at least one Intel Corporation scholarly article written by 9 Intel employees, which included the two named inventors of Intel's SVM-RFE U.S. Patent No. 7,685,077, which was the subject of the interference proceeding in the USPTO:

- a. Y. Chen, et al., “Performance Scalability of Data-Mining Workloads in Bioinformatics,” *Intel Technology Journal*, Vol. 9, No. 2, May 19, 2005. **Exhibit M.**

114. Moreover, Plaintiff HDC began corresponding with Defendant about the SVM-RFE patents, including the '959 patent, in November 2011. Specifically, HDC sent a letter to Steven Rodgers on November 10, 2011, advising of a reexamination of Intel Patent No. 7,685,077, and a filing to provoke an interference with the '077 patent. On information and belief, Steven Rodgers was Intel's Vice President of Legal and Corporate Affairs in November 2011. At the time of this filing, Rodgers is now retired.
115. Therefore, Defendant had actual and constructive knowledge of the '959 patent, as well as actual and constructive knowledge of the relevance and significance of the '959 patent to its research and development, as well as its product offerings, at least no later than May 19, 2005 (per scholarly article), no later than December 5, 2006 (per family-to-family USPTO citation), and certainly no later than November 10, 2011 (per HDC direct correspondence).

#### **DIRECT INFRINGEMENT OF THE '959 PATENT**

116. Defendant Intel performs each limitation of claim 1 of the '959 patent:

“1. A computer-implemented method for predicting patterns in biological data, wherein the data comprises a large set of features that describe the data and a sample set from which the biological data is obtained is much smaller than the large set of features, the method comprising:

identifying a determinative subset of features that are most correlated to the patterns comprising:

(a) inputting the data into a computer processor programmed for executing support vector machine classifiers;

(b) training a support vector machine classifier with a training data set comprising at least a portion of the sample set and having known outcomes with respect to the patterns, wherein the classifier comprises

weights having weight values that correspond to the features in the data set and removal of a subset of features affects the weight values;

(c) ranking the features according to their corresponding weight values;

(d) removing one or more features corresponding to the smallest weight values;

(e) training a new classifier with the remaining features;

(f) repeating steps (c) through (e) for a plurality of iterations until a final subset having a pre-determined number of features remains; and

generating at a printer or display device a report comprising a listing of the features in the final subset, wherein the final subset comprises the determinative subset of features for determining biological characteristics of the sample set.”

117. Defendant Intel performs each limitation of claim 12 of the '959 patent:

“12. A computer program product embodied on a computer readable medium for predicting patterns in data without overfitting by identifying a determinative subset of features that are most correlated to the patterns, wherein the data comprises a large set of features that describe the data, the computer program product comprising instructions for executing support vector machine classifiers and further for causing a computer processor to:

(a) receive the data;

(b) train a support vector machine classifier with a training data set having known outcomes with respect to the patterns, wherein the training data set has a number of training patterns that is much smaller than the number of features in the large set of features, and wherein the classifier comprises weights having weight values that correspond to the features in the data set and removal of a subset of features affects the weight values;

(c) rank the features according to their corresponding weight values;

(d) remove one or more features corresponding to the smallest weight values;

(e) train a new classifier with the remaining features;

(f) repeat steps (c) through (e) for a plurality of iterations until a final subset having a pre-determined number of features remains; and

(g) generate at a printer or display device a report comprising a listing of the features in the final subset, wherein the final subset comprises the determinative subset of features.”

118. Defendant Intel performs each limitation of claim 16 of the '959 patent:

“16. An apparatus comprising:

a computer processor;

a memory;

a computer readable medium storing a computer program product for predicting patterns in data without overfitting by identifying a determinative subset of features that are most correlated to the patterns, wherein the data comprises a large set of features that describe the data, the computer program product comprising instructions for executing support vector machine classifiers and further for causing a computer processor to:

- (a) receive the data;
- (b) train a support vector machine classifier with a training data set having known outcomes with respect to the patterns, wherein the training data set has a number of training patterns that is much smaller than the number of features in the large set of features, and wherein the classifier comprises weights having weight values that correspond to the features in the data set and removal of a subset of features affects the weight values;
- (c) rank the features according to their corresponding weight values;
- (d) remove one or more features corresponding to the smallest weight values;
- (e) train a new classifier with the remaining features;
- (f) repeat steps (c) through (e) for a plurality of iterations until a final subset having a pre-determined number of features remains; and
- (g) generate at a printer or display device a report comprising a listing of the features in the final subset, wherein the final subset comprises the determinative subset of features.”

119. As alleged *supra* in ¶¶ 116-118, independent claim 1 is a method claim, independent claim 12 is a computer program product claim, and independent claim 16 is an apparatus claim, all for generating a ranked list of features, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the last claim element, where claims 1, 12, and 16 of the '959 patent require generating “at a printer or display device a report comprising a listing of the features in the final subset . . . .” whereas claim 1 of the '188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the direct infringement allegations of ¶¶ 79-88 for the '188 patent are incorporated by reference herein and apply to claims 1, 5, 6, 10, 12, and 16 of the '959 patent. Defendant Intel’s accused products and software perform and infringe each limitation of claims 1, 12, and 16.

120. Defendant Intel’s accused products and software, through their optimization, development, sale, and operation, embody a computer-implemented method, a computer program product, and an apparatus, for SVM-RFE, as set forth in the attached claim chart for representative claims 1, 12, and 16 of the ’959 patent. **Exhibit 2.**

**INDUCED INFRINGEMENT OF THE ’959 PATENT**

121. As alleged *supra* in ¶¶ 116-118, independent claim 1 is a method claim, independent claim 12 is a computer program product claim, and independent claim 16 is an apparatus claim, all for generating a ranked list of features, and are of similar content and scope to claim 1 of the ’188 patent, with just slight differences related to the last claim element, where claims 1, 12, and 16 of the ’959 patent require generating “at a printer or display device a report comprising a listing of the features in the final subset . . . .” whereas claim 1 of the ’188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the induced infringement allegations of ¶¶ 89-94 for the ’188 patent are incorporated by reference herein and apply to claims 1, 5, 6, 10, 12, and 16 of the ’959 patent. Defendant Intel induces its customers to perform and infringe each limitation of representative claims 1, 12, and 16 as set forth in the attached claim chart for the ’959 patent. **Exhibit 2.**
122. As discussed above, Defendant had actual and constructive knowledge of the ’959 patent, as well as actual and constructive knowledge of the relevance and significance of the ’959 patent to its research and development and its product offerings, at least no later than May 19, 2005 (per scholarly article), no later than December 5, 2006 (per family-to-family USPTO citation), and certainly no later than November 10, 2011 (per HDC direct correspondence).

**CONTRIBUTORY INFRINGEMENT OF THE '959 PATENT**

123. As alleged *supra* in ¶¶ 116-118, independent claim 1 is a method claim, independent claim 12 is a computer program product claim, and independent claim 16 is an apparatus claim, all for generating a ranked list of features, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the last claim element, where claims 1, 12, and 16 of the '959 patent require generating “at a printer or display device a report comprising a listing of the features in the final subset . . . .” whereas claim 1 of the '188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the contributory infringement allegations of ¶ 95-98 for the '188 patent are incorporated by reference herein and apply to claims 1, 5, 6, 10, 12, and 16 of the '959 patent. Defendant Intel contributes to its customers performing and infringing each limitation of representative claims 1, 12, and 16.

**WILLFUL INFRINGEMENT OF THE '959 PATENT**

124. As discussed above, Defendant had actual and constructive knowledge of the '959 patent, as well as actual and constructive knowledge of the relevance and significance of the '959 patent to its research and development and its product offerings, at least no later than May 19, 2005 (per scholarly article), no later than December 5, 2006 (per family-to-family USPTO citation), and certainly no later than November 10, 2011 (per HDC direct correspondence).
125. Plaintiff HDC repeats and realleges ¶ 100, which is incorporated by reference as if fully restated herein.

126. Defendant therefore had continuing actual and constructive knowledge of HDC's SVM-RFE patent portfolio, which included the '959 patent, and the relevance and significance of the SVM-RFE portfolio to Intel's research and development.
127. Defendant's infringement, as demonstrated above, is egregious and, combined with Defendant's clear knowledge, has been willful. Plaintiff respectfully requests that the Court award enhanced damages based on Defendant's conduct.

**DAMAGE TO HDC FROM INTEL'S INFRINGEMENT OF THE '959 PATENT**

128. Plaintiff HDC repeats and realleges ¶¶ 103-105, which are incorporated by reference as if fully restated herein, but for claims 1, 5, 6, 10, 12, and 16 of the '959 patent.

**COUNT III**  
**INFRINGEMENT OF THE '483 PATENT**

129. Plaintiff HDC repeats and realleges the above paragraphs, which are incorporated by reference as if fully restated herein.
130. Plaintiff HDC is the owner by assignment of all right, title, and interest in the '483 patent, including all right to recover for any and all infringement thereof.
131. Defendant is not licensed or otherwise authorized to practice the '483 patent.
132. The '483 patent is valid and enforceable. In this regard, the '483 patent is presumed valid under 35 U.S.C. §282.
133. The '483 patent relates to, among other things, methods, computer program products, and non-transitory machine-readable mediums for using learning machines (Support Vector Machines) to select features within data sets that best enable classification of the data by recursively training the learning machine, ranking the features, and then eliminating the lowest ranked feature(s) (*e.g.*, using Recursive Feature Elimination). The '483 patent invented such methods, products, and mediums, for example, as automated knowledge



discovery tools. The '483 invention is directed, for example, at biological systems to improve diagnosing and predicting *e.g.*, diseases; and testing and treating individuals with changes in their biological systems.

134. The '483 patent is well-known in the SVM-RFE industry. It has been cited in at least eleven (11) U.S. patents and patent applications, including patents and patent applications filed by industry leaders, such as Honeywell International Inc. and Qualcomm Incorporated.
135. The '483 patent was cited in at least one Intel Corporation patent via family-to-family citations, including:
  - a. U.S. Patent No. 8,108,324, "Forward Feature Selection for Support Vector Machines," with a publication date of January 31, 2012.
136. The '483 patent was cited in at least one Intel Corporation scholarly article written by 9 Intel employees, which included the two named inventors of Intel's SVM-RFE U.S. Patent No. 7,685,077, which was the subject of the interference proceeding in the USPTO:
  - a. Y. Chen, et al., "Performance Scalability of Data-Mining Workloads in Bioinformatics," *Intel Technology Journal*, Vol. 9, No. 2, May 19, 2005. **Exhibit M.**
137. Moreover, Plaintiff HDC began corresponding with Defendant about the SVM-RFE patents, including the '483 patent (which was pending at the time), in November 2011. Specifically, HDC sent a letter to Steven Rodgers on November 10, 2011, advising of a reexamination of Intel Patent No. 7,685,077, and a filing to provoke an interference with the '077 patent. On information and belief, Steven Rodgers was Intel's Vice President of Legal and Corporate Affairs in November 2011. At the time of this filing, Rodgers is now retired.

138. Therefore, Defendant had actual and constructive knowledge of the '483 patent, as well as actual and constructive knowledge of the relevance and significance of the '483 patent to its research and development, as well as its product offerings, at least no later than May 19, 2005 (per scholarly article), no later than November 10, 2011 (per HDC direct correspondence), and certainly no later than January 31, 2012 (per family-to-family USPTO citation).

**DIRECT INFRINGEMENT OF THE '483 PATENT**

139. Defendant Intel performs each limitation of claim 1 of the '483 patent:

“1. A computer-implemented method comprising:

(a) inputting into a computer processor programmed to execute a support vector machine a set of training examples having known labels with regard to two or more classes, each training example described by a vector of feature values for a plurality of features, the support vector machine comprising a decision function having a plurality of weights, wherein each feature has a corresponding weight;

(b) training the support vector machine by optimizing the plurality of weights so that a cost function is minimized and support vectors comprising a subset of the training examples are defined, wherein the decision function is based on the support vectors;

(c) computing ranking criteria using the optimized plurality of weights, wherein the ranking criterion estimates for each feature the effect on the cost function of removing that feature, and wherein features having the smallest effect on the cost function have the smallest ranking criteria;

(d) eliminating one or more features corresponding to the smallest ranking criteria to yield a reduced set of features;

(e) repeating steps (c) through (d) for the reduced set of features for a plurality of iterations until a subset of features of predetermined size remains, wherein the subset of features comprises determinative features for separating the set of training examples into the two or more classes; and

(f) generating at a printer or display device an output comprising a listing of the determinative features.”

140. Defendant Intel performs each limitation of claim 22 of the '483 patent:

“22. A computer-implemented method comprising:

(a) inputting into a computer processor programmed to execute a support vector machine a set of training examples having known labels with regard to two or more classes, each training example described by a vector of feature values for a plurality of features, the support vector machine comprising a decision function having a plurality of weights, wherein each feature has a corresponding weight;

(b) training the support vector machine by optimizing the plurality of weights so that a cost function is minimized and support vectors comprising a subset of the training examples are defined, wherein the decision function is based on the support vectors;

(c) computing ranking criteria using the optimized plurality of weights, wherein the ranking criterion estimates for each feature the effect on the cost function of removing that feature, and wherein features having the smallest effect on the cost function have the smallest ranking criteria;

(d) eliminating one or more features corresponding to the smallest ranking criteria to yield a reduced set of features;

(e) repeating steps (c) through (d) for the reduced set of features for a plurality of iterations until a subset of features of predetermined size remains, wherein the subset of features comprises determinative features for separating the set of training examples into the two or more classes; and

(f) transferring a listing of the determinative features to a media.”

141. As alleged *supra* in ¶¶ 139-140, independent claims 1 and 22 are method claims for generating or transferring a ranked list of features, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the last claim element, where claims 1 and 22 of the '483 patent require “generating at a printer or display device an output comprising a listing of the determinative features” and “transferring a listing of the determinative features to a media,” respectively, whereas claim 1 of the '188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the direct infringement allegations of ¶¶ 79-88 for the '188 patent are incorporated by reference herein and apply to claims 1, 5, 6, and 22 of the '483 patent. Defendant Intel’s accused products and software perform and infringe each limitation of claims 1 and 22.

142. Defendant Intel performs each limitation of claim 7 of the '483 patent:

“7. A computer-program product embodied on a non-transitory computer-readable medium comprising instructions for executing a support vector machine, and further comprising instructions for:

training the support vector machine to determine a value for each feature in a group of features provided by a set of training samples having known labels corresponding to two or more classes, wherein training comprises generating a kernel matrix of components, each component comprising a dot product of two training samples, and adjusting a multiplier corresponding to each training sample to minimize a cost function;

eliminating at least one feature with a minimum value from the group to provide a reduced group of features;

generating an updated kernel matrix using the reduced group of features while keeping the multiplier unchanged;

determining an updated value for each feature in the reduced group of features;

repeating the steps of eliminating at least one feature, generating an updated kernel matrix and determining an updated value until a predetermined number of features remains; and

outputting a ranked list of features.”

143. Defendant Intel performs each limitation of claim 13 of the '483 patent:

“13. A non-transitory machine-readable medium comprising a plurality of instructions, which in response to being executed, result in a computing system:

(a) training a support vector machine by generating a kernel matrix from a set of training samples and adjusting a multiplier corresponding to each training sample to minimize a cost function;

(b) determining a weight value for each feature in a group of features that describe the training samples;

(c) eliminating at least one feature with a minimum weight value from the group;

(d) generating an updated kernel matrix while keeping the multiplier unchanged;

(e) updating the weight value for each feature of the group based on the updated kernel matrix;

(f) repeating steps (c) through (e) until a predetermined number of features remains to generate a ranked list of features; and

(g) generating a report to a printer or display device comprising the ranked list of features.”

144. Defendant Intel performs each limitation of claim 18 of the '483 patent:

“18. A non-transitory machine-readable medium comprising a plurality of instructions, which in response to being executed, result in a computing system:

identifying a determinative subset of features that are most correlated to patterns in sample data by:

retrieving a training data having class labels with respect to the patterns from a memory in communication with a computer processor programmed for executing a support vector machine comprising a kernel;

calculating a kernel matrix using the training data to determine a value for each feature in the group of features;

eliminating at least one feature with a minimum value from the group;

calculating an updated kernel matrix, each component of the updated kernel matrix comprising a dot product of two training samples provided by at least a part of the training data that corresponds to the eliminated feature;

determining an updated value for each remaining feature of the group of features based on the updated kernel matrix;

repeating steps eliminating, calculating an updated kernel matrix and determining an updated value for a plurality of iterations until a pre-determined number of features in the group remain; and

generating an output comprising a ranked list of features, wherein the features in the ranked list comprise the determinative subset of features for predicting patterns in new data.”

145. Defendant Intel performs each limitation of claim 32 of the '483 patent:

“32. A non-transitory machine-readable medium comprising a plurality of instructions, which in response to being executed, result in a computing system:

(a) training a support vector machine by generating a kernel matrix from a set of training samples and adjusting a multiplier corresponding to each training sample to minimize a cost function;

(b) determining a weight value for each feature in a group of features that describe the training samples;

(c) eliminating at least one feature with a minimum weight value from the group;

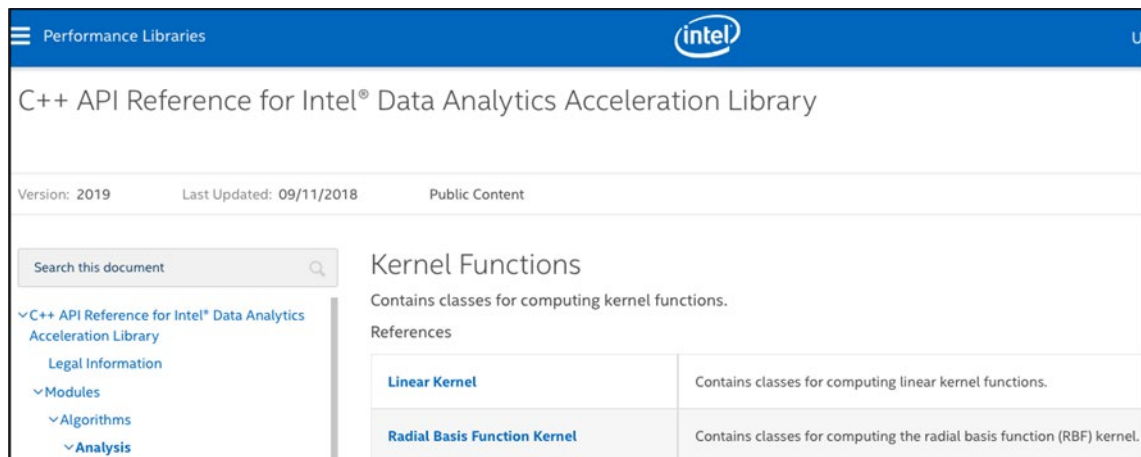
(d) generating an updated kernel matrix while keeping the multiplier unchanged;

(e) updating the weight value for each feature of the group based on the updated kernel matrix;

(f) repeating steps (c) through (e) until a predetermined number of features remains to generate a ranked list of features; and

(g) transferring the ranked list of features to a media.”

146. As shown in ¶¶ 142-145, independent claim 7 is directed to a computer program product and independent claims 13, 18, and 32 are directed to non-transitory machine-readable mediums for generating, outputting, or transferring a ranked list of features. Claims 7, 13, 18, and 32 are of similar content and scope to claim 1, with respect to the basic weighing, ranking and eliminating steps of an RFE method. Claims 7, 13, 18, and 32 further include generating and updating a kernel matrix. Defendant Intel's accused products and software embody these limitations. For example, Intel DAAL includes both Linear and Radial Basis Function Kernel classes, and the particular class would be selected by the user based on the data set and features, and the predicted outcomes. Note that Radial Basis Function Kernels are commonly used in support vector machine classification.



Source: <https://software.intel.com/content/www/us/en/develop/documentation/daal-cpp-api-reference/top/modules/algorithms/analysis/kernel-functions.html>

Reasonable discovery will confirm this interpretation. Accordingly, the direct infringement allegations of ¶ 141 is incorporated by reference herein and apply to claims 7, 13, 18 and 32 of the '483 patent. Defendant Intel's accused products and software perform and infringe each limitation of representative claims 7, 13, 18, and 32 of the '483 patent.

**INDUCED INFRINGEMENT OF THE '483 PATENT**

147. As alleged *supra* in ¶¶ 139-140, independent claims 1 and 22 are method claims for generating or transferring a ranked list of features, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the last claim element, where claims 1 and 22 of the '483 patent require “generating at a printer or display device an output comprising a listing of the determinative features” and “transferring a listing of the determinative features to a media,” respectively, whereas claim 1 of the '188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the induced infringement allegations of ¶¶ 89-94 for the '188 patent are incorporated by reference herein and apply to claims 1, 5, 6, and 22 of the '483 patent. Defendant Intel induces its customers to perform and infringe each limitation of representative claims 1 and 22.
148. As alleged *supra* in ¶¶ 142-145, independent claim 7 is directed to a computer program product and independent claims 13, 18, and 32 are directed to non-transitory machine-readable mediums for generating, outputting, or transferring a ranked list of features. Claims 7, 13, 18, and 32 are of similar content and scope to claim 1, with respect to the basic weighing, ranking and eliminating steps of an RFE method. Claims 7, 13, 18, and 32 further include generating and updating a kernel matrix, as described in ¶ 146. Accordingly, the induced infringement allegations of ¶ 147 are incorporated by reference herein and apply to claims 7, 13, 18 and 32 of the '483 patent. Defendant Intel induces its customers to perform and infringe each limitation of representative claims 7, 13, 18, and 32.
149. As discussed above, Defendant had actual and constructive knowledge of the '483 patent, as well as actual and constructive knowledge of the relevance and significance of the '483 patent to its research and development, as well as its product offerings, at least no later than

May 19, 2005 (per scholarly article), no later than November 10, 2011 (per HDC direct correspondence), and certainly no later than January 31, 2012 (per family-to-family USPTO citation).

**CONTRIBUTORY INFRINGEMENT OF THE '483 PATENT**

150. As alleged *supra* in ¶¶ 139-140, dependent claims 1 and 22 are method claims for generating or transferring a ranked list of features, and are of similar content and scope to claim 1 of the '188 patent, with just slight differences related to the last claim element, where claims 1 and 22 of the '483 patent require “generating at a printer or display device an output comprising a listing of the determinative features” and “transferring a listing of the determinative features to a media,” respectively, whereas claim 1 of the '188 patent requires inputting the features into a “live set of data. . . .” Accordingly, the contributory infringement allegations of ¶¶ 95-98 for the '188 patent are incorporated by reference herein and apply to claims 1, 5, 6, and 22 of the '483 patent. Defendant Intel contributes to its customers performing and infringing each limitation of representative claims 1 and 22.
151. As alleged *supra* in ¶¶ 142-145, independent claim 7 is directed to a computer program product and independent claims 13, 18, and 32 are directed to non-transitory machine-readable mediums for generating, outputting, or transferring a ranked list of features. Claims 7, 13, 18, and 32 are of similar content and scope to claim 1, with respect to the basic weighing, ranking and eliminating steps of an RFE method. Claims 7, 13, 18, and 32 further include generating and updating a kernel matrix, as described in ¶ 146. Accordingly, the contributory infringement allegations of ¶ 150 are incorporated by reference herein and apply to claims 7, 13, 18 and 32 of the '483 patent. Defendant Intel contributes to its



customers performing and infringing each limitation of representative claims 7, 13, 18, and 32.

**WILLFUL INFRINGEMENT OF THE '483 PATENT**

152. As discussed above, Defendant had actual and constructive knowledge of the '483 patent, as well as actual and constructive knowledge of the relevance and significance of the '483 patent to its research and development, as well as its product offerings, at least no later than May 19, 2005 (per scholarly article), no later than November 10, 2011 (per HDC direct correspondence), and certainly no later than January 31, 2012 (per family-to-family USPTO citation).
153. Plaintiff HDC repeats and realleges ¶ 100. which is incorporated by reference as if fully restated herein.
154. Defendant therefore had continuing actual and constructive knowledge of HDC's SVM-RFE patent portfolio, which included the '483 patent, and the relevance and significance of the SVM-RFE portfolio to Intel's research and development.
155. Defendant's infringement, as demonstrated above, is egregious and, combined with Defendant's clear knowledge, has been willful. Plaintiff respectfully requests that the Court award enhanced damages based on Defendant's conduct.

**DAMAGE TO HDC FROM INTEL'S INFRINGEMENT OF THE '483 PATENT**

156. Plaintiff HDC repeats and realleges ¶¶ 103-105. which are incorporated by reference as if fully restated herein, but for claims 1, 5, 6, 7, 13, 18, 22, and 32 of the '483 patent.

**COUNT IV**  
**INFRINGEMENT OF THE '685 PATENT**

157. Plaintiff HDC repeats and realleges the above paragraphs, which are incorporated by reference as if fully restated herein.

158. Plaintiff HDC is the owner by assignment of all right, title, and interest in the '685 patent, including all right to recover for any and all infringement thereof.
159. Defendant is not licensed or otherwise authorized to practice the '685 patent.
160. The '685 patent is valid and enforceable. In this regard, the '685 patent is presumed valid under 35 U.S.C. §282.
161. The '685 patent relates to, among other things, methods and non-transitory machine-readable mediums for using learning machines (Support Vector Machines) to select features within data sets that best enable classification of the data by recursively training the learning machine, ranking the features, and then eliminating the lowest ranked feature(s) (*e.g.*, using Recursive Feature Elimination). The '685 patent invented such methods, for example, as automated knowledge discovery tools. The '685 invention is directed, for example, at biological systems to improve diagnosing and predicting *e.g.*, diseases; and testing and treating individuals with changes in their biological systems.
162. The '685 patent has been cited in one (1) U.S. patent filed by an industry leader, namely Google LLC.
163. As set forth in ¶¶ 54-55 *supra*, Plaintiff and Defendant were engaged in an interference proceeding in the USPTO, that began on September 19, 2016 and ended in February 2019. On February 27, 2019, the USPTO ruled in favor of HDC on the SVM-RFE Patent application, finding that HDC was entitled to claim exclusive ownership rights to the SVM-RFE technology as set forth in the SVM-RFE Patent application that was filed to provoke the interference. The decision ordered Intel Corporation's Patent No. 7,685,077 to be cancelled. The decision also dismissed Intel Corporation's motions challenging the validity of HDC's pending claims and issued patents covering SVM-RFE. On September 3, 2019,

the USPTO issued U.S. Patent No. 10,402,685 (“SVM-RFE Patent”) (one of the Patents-in-Suit) for Health Discovery Corporation’s patent application covering SVM-RFE methods.

164. Therefore, Defendant had actual and constructive knowledge of the ’685 patent, as well as actual and constructive knowledge of the relevance and significance of the ’685 patent to its research and development, as well as its product offerings, at least no later than January 31, 2012 (per USPTO patent citations) and certainly no later than September 19, 2016 (per initiation of the interference resulting in HDC’s favor).

**DIRECT INFRINGEMENT OF THE ’685 PATENT**

165. Defendant Intel performs each limitation of claim 1 of the ’685 patent:

“1. A method, comprising:

retrieving training data from one or more storage devices in communication with a processor, the processor operable for:

determining a value for each feature in a group of features provided by the training data;

eliminating at least one feature with a minimum ranking criterion from the group, wherein the minimum ranking criterion is obtained based on the value for each feature in the group;

subtracting a matrix from the kernel data to provide an updated kernel data, each component of the matrix comprising a dot product of two of training samples provided by at least a part of the training data that corresponds to the eliminated feature;

updating the value for each feature of the group based on the updated kernel data;

repeating of eliminating the at least one feature from the group and updating the value for each feature of the group until a number of features in the group reaches a predetermined value to generate a feature ranking list; and

recognizing a new data corresponding to the group of features with the feature ranking list.”

166. Defendant Intel performs each limitation of claim 7 of the ’685 patent:

“7. A non-transitory machine-readable medium comprising a plurality of instructions, that in response to being executed, result in a computing system executing a support vector machine, comprising:

a training function to determine a value for each feature in a group of features provided by a training data; and

an eliminate function to eliminate at least one feature with a minimum ranking criterion from the group, wherein the minimum ranking criterion is obtained based on the value for each feature in the group, wherein the training function further comprises a kernel data function to subtract a matrix from the kernel data to provide an updated kernel data, each component of the matrix comprising a dot product of two of training samples provided by at least a part of the training data that corresponds to the eliminated feature, and a value update function to update the value for each feature based on the updated kernel data, and wherein the apparatus support vector machine further repeats eliminating the at least one feature from the group and updating the value for each feature of the group until a number of features in the group reaches a predetermined value, to generate a feature ranking list for a use of recognizing a new data corresponding to the group of features.”

167. Defendant Intel performs each limitation of claim 12 of the '685 patent:

“12. A non-transitory machine-readable medium comprising a plurality of instructions that in response to being executed result in a computing system:

determining a value for each feature in a group of features provided by a training data;

eliminating at least one feature with a minimum ranking criterion from the group, wherein the minimum ranking criterion is obtained based on the value for each feature in the group;

subtracting a matrix from the kernel data to provide an updated kernel data, each component of the matrix comprising a dot product of two of training samples provided by at least a part of the training data that corresponds to the eliminated feature;

updating the value for each feature of the group based on the updated kernel data;

repeating of eliminating the at least one feature from the group and updating the value for each feature of the group until a number of features in the group reaches a predetermined value to generate a feature ranking list; and

recognizing a new data corresponding to the group of features with the feature ranking list.”

168. Defendant Intel performs each limitation of claim 18 of the '685 patent:

“18. A computer-implemented method for predicting patterns in sample data, wherein the sample data comprises a group of features that describe the data, the method comprising:

identifying a determinative subset of features that are most correlated to the patterns comprising:

retrieving a training data having class labels with respect to the patterns from a memory in communication with a computer processor programmed for executing a support vector machine comprising a kernel;

calculating a kernel matrix using the training data to determine a value for each feature in the group of features;

eliminating at least one feature with a minimum value from the group;

calculating an updated kernel matrix, each component of the updated kernel matrix comprising a dot product of two training samples provided by at least a part of the training data that corresponds to the eliminated feature;

determining an updated value for each remaining feature of the group of features based on the updated kernel matrix;

repeating steps eliminating, calculating an updated kernel matrix and determining an updated value for a plurality of iterations until a pre-determined number of features in the group remain; and

generating an output comprising a feature ranking list, wherein the features in the feature ranking list comprise the determinative subset of features for predicting patterns in new data.”

169. As alleged *supra* in ¶¶ 165-168, independent claims 1 and 18 are directed to methods and independent claims 7 and 12 are directed to non-transitory machine-readable mediums, all for generating or recognizing a ranked list of features, and are of similar content and scope to claims 7, 13, 18, and 32 of the '483 patent. Accordingly, the direct infringement allegations of ¶ 146 for the '483 patent are incorporated by reference herein and apply to claims 1-23 of the '685 patent. Defendant Intel's accused products and software perform and infringe each limitation of claims 1, 7, 12, and 18.

#### **INDUCED INFRINGEMENT OF THE '685 PATENT**

170. As alleged *supra* in ¶¶ 165-168, independent claims 1 and 18 are directed to methods and independent claims 7 and 12 are directed to non-transitory machine-readable mediums, all

for generating or recognizing a ranked list of features, and are of similar content and scope to claims 7, 13, 18, and 32 of the '483 patent. Accordingly, the induced infringement allegations of ¶ 148 are incorporated by reference herein and apply to claims 1-23 of the '685 patent. Defendant Intel induces its customers to perform and infringe each limitation of representative claims 1, 7, 12, and 18.

171. As discussed above, Defendant had actual and constructive knowledge of the '685 patent, as well as actual and constructive knowledge of the relevance and significance of the '685 patent to its research and development, as well as its product offerings, at least no later than January 31, 2012 (per USPTO patent citations) and certainly no later than September 19, 2016 (per initiation of the interference resulting in HDC's favor).

**CONTRIBUTORY INFRINGEMENT OF THE '685 PATENT**

172. As alleged *supra* in ¶¶ 165-168, independent claims 1 and 18 are directed to methods and independent claims 7 and 12 are directed to non-transitory machine-readable mediums, all for generating or recognizing a ranked list of features, and are of similar content and scope to claims 7, 13, 18, and 32 of the '483 patent. Accordingly, the contributory infringement allegations of ¶ 151 are incorporated by reference herein and apply to claims 1-23 of the '685 patent. Defendant Intel contributes to its customers performing and infringing each limitation of representative claims 1, 7, 12, and 18.

**WILLFUL INFRINGEMENT OF THE '685 PATENT**

173. As discussed above, Defendant had actual and constructive knowledge of the '685 patent, as well as actual and constructive knowledge of the relevance and significance of the '685 patent to its research and development, as well as its product offerings, at least no later than

January 31, 2012 (per USPTO patent citations) and certainly no later than September 19, 2016 (per initiation of the interference resulting in HDC's favor).

174. Plaintiff HDC repeats and realleges ¶ 100. which is incorporated by reference as if fully restated herein.
175. Defendant therefore had continuing actual and constructive knowledge of HDC's SVM-RFE patent portfolio, which included the '685 patent, and the relevance and significance of the SVM-RFE portfolio to Intel's research and development.
176. Defendant's infringement, as demonstrated above, is egregious and, combined with Defendant's clear knowledge, has been willful. Plaintiff respectfully requests that the Court award enhanced damages based on Defendant's conduct.

**DAMAGE TO HDC FROM INTEL'S INFRINGEMENT OF THE '685 PATENT**

177. Plaintiff HDC repeats and realleges ¶¶ 103-105. which are incorporated by reference as if fully restated herein, but for claims 1-23 of the '685 patent.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiff Health Discovery Corporation respectfully requests that this Court enter:

- A. A judgment in favor of Plaintiff Health Discovery Corporation that Defendant has been and is infringing at least claims 1-23 of the '188 patent, claims 1, 5, 6, 10, 12, and 16 of the '959 patent, claims 1, 5, 6, 7, 13, 18, 22, and 32 of the '483 patent, and claims 1-23 of the '685 patent pursuant to 35 U.S.C. §§ 271(a), 271(b) and/or 271(c);
- B. A permanent injunction enjoining Defendant and its respective officers, directors, agents, servants, affiliates, employees, divisions, branches, subsidiaries, parents, and all others acting in concert or privity with any of them from infringing, inducing the infringement of,

or contributing to the infringement of at least claims 1-23 of the '188 patent, claims 1, 5, 6, 10, 12, and 16 of the '959 patent, claims 1, 5, 6, 7, 13, 18, 22, and 32 of the '483 patent, and claims 1-23 of the '685 patent;

- C. A judgment awarding Plaintiff Health Discovery Corporation all damages adequate to compensate it for Defendant's infringement of the HDC Patents, and in no event less than a reasonable royalty for Defendant's acts of infringement, including all pre-judgment and post-judgment interest at the maximum rate permitted by law, and including all past damages prior to filing this Complaint in accordance with 35 U.S.C. § 286, as a result of Defendant's infringement of at least claims 1-23 of the '188 patent, claims 1, 5, 6, 10, 12, and 16 of the '959 patent, claims 1, 5, 6, 7, 13, 18, 22, and 32 of the '483 patent, and claims 1-23 of the '685 patent;
- D. An award of enhanced damages as a result of Defendant Intel's willful infringement of at least claims 1-23 of the '188 patent, claims 1, 5, 6, 10, 12, and 16 of the '959 patent, claims 1, 5, 6, 7, 13, 18, 22, and 32 of the '483 patent, and claims 1-23 of the '685 patent, after being apprised of these patents, as provided under 35 U.S.C. § 284;
- E. An assessment of costs, including reasonable attorney fees pursuant to 35 U.S.C. § 285, and prejudgment interest against Defendant; and
- F. Such other and further relief as this Court may deem just and proper.

**JURY TRIAL DEMANDED**

Pursuant to FED. R. CIV. P. 38, Plaintiff Health Discovery Corporation hereby demands a trial by jury on all issues so triable.

Dated: April 4, 2022

Respectfully submitted,

By:           /s/ Brian Medich            
Brian Medich (Admitted W.D. Tex./



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*\* Application to appear Pro Hac Vice  
Forthcoming*

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