IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS WACO DIVISION

BUFFALO PATENTS, LLC,

Plaintiff,

v.

ONEPLUS TECHNOLOGY (SHENZHEN) CO., LTD.,

CIVIL ACTION NO. 6:22-cv-421

ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT

JURY TRIAL DEMANDED

Defendant.

ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT

Plaintiff Buffalo Patents, LLC ("Buffalo Patents" or "Plaintiff") files this original complaint against Defendant OnePlus Technology (Shenzhen) Co., Ltd. ("OnePlus" or "Defendant"), alleging, based on its own knowledge as to itself and its own actions and based on information and belief as to all other matters, as follows:

PARTIES

1. Buffalo Patents is a limited liability company formed under the laws of the State of Texas, with its principal place of business at 1200 Silver Hill Dr., Austin, Texas, 78746.

2. OnePlus Technology (Shenzhen) Co., Ltd. is a corporation duly organized and

existing under the laws of the People's Republic of China, having an address of 18F, Tairan

Building, Block C, Tairan 8th Road, Chegongmiao, Futian District, Shenzhen, Guangdong,

518040, China. OnePlus may be served with process by serving the Texas Secretary of State,

1019 Brazos Street, Austin, Texas 78701, as its agent for service because it engages in business in Texas but has not designated or maintained a resident agent for service of process in Texas as required by statute. This action arises out of that business.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 2 of 58

3. OnePlus is the head of an interrelated group of companies which together comprise one of the leading manufacturers of smartphones and related devices in the United States and abroad. OnePlus's privacy policy, for example, refers to OnePlus Technology (Shenzhen) Co., Ltd. and its affiliates as "we, us or OnePlus."¹

4. OnePlus and its affiliates, including Shenzhen OnePlus Science & Technology Co., Ltd. and OnePlus Mobile Communications (Guangdong) Co., Ltd., are part of the same corporate structure and distribution chain for the making, importing, offering to sell, selling, and using of the accused devices in the United States, including in the State of Texas generally and this judicial district in particular.

5. OnePlus and its affiliates share the same management, common ownership, advertising platforms, facilities, distribution chains and platforms, and accused product lines and products involving related technologies.

6. Thus, OnePlus and its affiliates operate as a unitary business venture and are jointly and severally liable for the acts of patent infringement alleged herein.

JURISDICTION AND VENUE

7. This is an action for infringement of United States patents arising under 35 U.S.C. §§ 271, 281, and 284–85, among others. This Court has subject matter jurisdiction of the action under 28 U.S.C. § 1331 and § 1338(a).

8. This Court has personal jurisdiction over OnePlus pursuant to due process and/or the Texas Long Arm Statute because, *inter alia*, (i) OnePlus has done and continues to do business in Texas; and (ii) OnePlus has committed and continues to commit acts of patent infringement in the State of Texas, including making, using, offering to sell, and/or selling

¹ <u>www.oneplus.com/global/legal/privacy-policy</u>.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 3 of 58

accused products in Texas, and/or importing accused products into Texas, including by Internet sales and/or sales via retail and wholesale stores, inducing others to commit acts of patent infringement in Texas, and/or committing at least a portion of any other infringements alleged herein in Texas. In addition, or in the alternative, this Court has personal jurisdiction over OnePlus pursuant to Fed. R. Civ. P. 4(k)(2).

9. Venue is proper as to Defendant OnePlus, which is organized under the laws of a foreign jurisdiction. 28 U.S.C. § 1391(c)(3) provides that "a defendant not resident in the United States may be sued in any judicial district, and the joinder of such a defendant shall be disregarded in determining where the action may be brought with respect to other defendants." *See also In re HTC Corp.*, 889 F.3d 1349 (Fed. Cir. 2018).

BACKGROUND

10. The patents-in-suit broadly cover technology used in electronic devices commonly used today, such as mobile phones, tablets, and other devices. More particularly, the patents-in-suit describe key improvements to electronic devices in the areas of display technology and intelligent message recognition systems.

11. U.S. Patent No. 6,856,086 ("the '086 Patent") generally relates to the field of optical display devices. It discloses, *inter alia*, hybrid display devices that include a front panel, a back panel, and a light control material and methods for making hybrid display devices. In particular, the '086 Patent describes hybrid display devices that include rigid and flexible substrates. The patented technology is used in smartphones and other display devices, such as devices with flexible OLED displays.

12. The technology disclosed in the '086 Patent was developed by engineers at Avery Dennison Corporation in the late 1990s and early 2000s. Avery Dennison, a global Fortune 500 company, began its operations in the 1930s as the first self-adhesive label company. In the early

3

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 4 of 58

2000s—when the patent application that led to the '086 Patent was filed—Avery Dennison was involved, *inter alia*, in the development of specialty films and performance polymers. The company continues to be known today as a global materials science and manufacturing company, specializing in the design and manufacture of labeling and functional materials.

13. The invention disclosed in the '086 Patent has been cited during patent prosecution multiple times by leading technology companies, including 3M, Apple, Applied Materials, Asahi Glass Co. (Japan), BOE Technology Group (China), Eastman Kodak, General Electric, Hewlett Packard, and Sharp Corporation.

14. U.S. Patent Nos. 6,904,405 ("the '405 Patent") and 8,204,737 ("the '737 Patent") generally relate to the fields of speech and handwriting recognition technology. The patented technology is used today in connection with virtual keyboards on smartphones and other devices that include speech and handwriting conversion to text data. The '405 Patent discloses, *inter alia*, using language models to improve conversion of speech and handwritten data into text data by training one of the language models. The '737 Patent discloses, *inter alia*, converting speech and handwritten data into text data, where one of the language models is adjusted.

15. The inventor of the technology of these patents has multiple patents relating to the fields of speech and handwriting recognition, cryptography, digital signatures, and audio signal reconstruction. As an undergraduate at the University of Washington during the mid-1990s, he also invented a radio receiver technology with novel ways of tuning a radio among several channels.²

16. The inventions disclosed in the '405 and '737 patents have been cited during patent prosecution multiple times by many of the leading technology companies worldwide,

² <u>https://www.wrfseattle.org/story/edwin-a-suominen-engineer-and-inventor/</u>

including Alcatel-Lucent (later acquired by Nokia), Amazon, Apple, Cisco, Dell, Facebook, GM, Google, HP, Honda, Honeywell, IBM, Intel Lenovo, Microsoft, Mitsubishi, Motorola, Nuance, Palm (later acquired by HP), Panasonic, Philips, Qualcomm, Research in Motion (now Blackberry), Robert Bosch, Samsung, Siemens, Sony, SRI International, and the US Navy.

COUNT I

DIRECT INFRINGEMENT OF U.S. PATENT NO. 6,856,086

17. On February 15, 2005, the '086 Patent was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Hybrid Display Device."

18. Buffalo Patents is the owner of the '086 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '086 Patent against infringers, and to collect damages for all relevant times.

19. OnePlus made, had made, used, imported, provided, supplied, distributed, sold, and/or offered for sale products and/or systems including, for example, its OnePlus 9 Pro 5G smartphone and other products³ that include curved displays or flexible OLED displays ("accused products"):

³ See, e.g., OnePlus 3, OnePlus 3T, One Plus 5, OnePlus 5T, OnePlus 6, OnePlus 6T, OnePlus X, OnePlus 6T McLaren Speed Edition, OnePlus Nord CE 2 5G, OnePlus 7, OnePlus 7 Pro, OnePlus 7T, OnePlus 7T Pro, OnePlus 8, OnePlus 8 Pro, OnePlus 8T, OnePlus 9, OnePlus 9 Pro, OnePlus 9T/9RT, OnePlus 9R 5G, OnePlus 10 Pro, OnePlus Nord CE 5G, OnePlus Nord 2 5G, OnePlus Nord 5G, OnePlus 7T Pro McLaren, etc.



Source: https://www.oneplus.com/9-pro/specs

Display	Parameters
	Size: 6.7 inches (Measured diagonally from corner to corner.)
	Resolution: 3216 X 1440 pixels 525 ppi
	Aspect Ratio: 20.1:9
	Type: 120 Hz Fluid AMOLED with LTPO
	Support sRGB, Display P3, 10-bit Color Depth
	Cover Glass: Corning® Gorilla® Glass

Source: https://www.oneplus.com/9-pro/specs



Source: <u>https://www.corning.com/gorillaglass/worldwide/en/products-with-gorilla-</u>glass/smartphones/oneplus/oneplus-9-pro.html

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 7 of 58

The OnePlus 9 Pro has the following State-of-the-Art Display Performance Functions and Features:

A State-of-the-Art Curved Screen Flexible OLED display panel from Samsung Display that is manufactured on a flexible plastic substrate so that it can bend around corners on both sides of the phone to provide two curved Edge Display areas that can be viewed and controlled from both the front or the sides. While the OLED display itself is flexible, the screen remains rigid under an outer hard cover glass.

Source: https://www.displaymate.com/OnePlus_9Pro_ShootOut_1N.htm

20. By doing so, OnePlus has directly infringed (literally and/or under the doctrine of

equivalents) at least Claim 1 of the '086 Patent. OnePlus's infringement in this regard is

ongoing.

21. The OnePlus 9 Pro 5G smartphone is an exemplary accused product.

22. The OnePlus 9 Pro 5G smartphone is a display device comprising a front panel

and a back panel with a light control material therebetween. One of the panels has a rigid substrate and the other of the panels has a flexible substrate.

23. For example, the OnePlus 9 Pro 5G smartphone includes a flexible AMOLED

(Organic Light Emitting Diode) display, which includes a front panel and a back panel. The

AMOLED display includes multiple layers. The top layer ("front panel") of the display includes a rigid structure: Corning Gorilla Glass 5 ("rigid substrate") as the cover glass.

Display	Parameters
	Size: 6.7 inches (Measured diagonally from corner to corner.)
	Resolution: 3216 X 1440 pixels 525 ppi
	Aspect Ratio: 20.1:9
	Type: 120 Hz Fluid AMOLED with LTPO
	Support sRGB, Display P3, 10-bit Color Depth
	Cover Glass: Corning® Gorilla® Glass

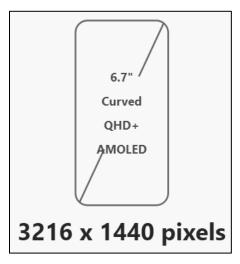
Source: <u>https://www.oneplus.com/9-pro/specs</u>



Source: <u>https://www.corning.com/gorillaglass/worldwide/en/products-with-gorilla-</u>glass/smartphones/oneplus/oneplus-9-pro.html

The OnePlus 9 Pro has the following State-of-the-Art Display Performance Functions and Features: • <u>A State-of-the-Art Curved Screen Flexible OLED display panel</u> from Samsung Display that is <u>manufactured on a flexible plastic substrate so that it can</u> <u>bend around corners on both sides of the phone</u> to provide two curved Edge Display areas that can be viewed and controlled from both the front or the sides. While the OLED display itself is flexible, the screen remains rigid under an outer hard cover glass.

Source: https://www.displaymate.com/OnePlus 9Pro ShootOut 1N.htm



Source: https://www.t-mobile.com/cell-phone/oneplus-9-pro-5g

24. The display also includes an OLED material ("light control material") as the middle layer between the front panel and the back panel. This layer includes emissive and conductive layers. The emissive and conductive layers ("light control material") are responsible for emitting light and are made of organic molecules.



OnePlus 9 Pro 5G

With a quad rear camera featuring a primary 48MP Hasselblad Camera for Mobile, the OnePlus 9 Pro 5G delivers uncompromising performance, a premium design and a stunning 6.7-inch 120 Hz Fluid 2.0 AMOLED Display. For better protection from damaging drops, both the front and back feature tough Corning® Gorilla® Glass 5.

Source: https://www.corning.com/gorillaglass/worldwide/en/products-with-gorilla-

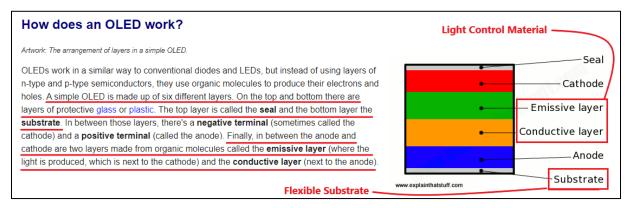
glass/smartphones/oneplus/oneplus-9-pro.html

The OnePlus 9 Pro has the following State-of-the-Art Display Performance Functions and Features:

• A State-of-the-Art Curved Screen Flexible OLED display panel from Samsung Display that is manufactured on a flexible plastic substrate so that it can bend around corners on both sides of the phone to provide two curved Edge Display areas that can be viewed and controlled from both the front or the sides. While the OLED display itself is flexible, the screen remains rigid under an outer hard cover glass.

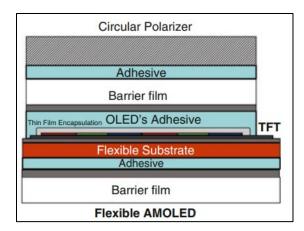
Learn More >>

Source: https://www.displaymate.com/OnePlus 9Pro ShootOut 1N.htm



Source: https://www.explainthatstuff.com/how-oleds-and-leps-work.html

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 10 of 58



Source: https://media.springernature.com/lw785/springer-static/image/chp%3A10.1007%2F978-3-319-14346-0 213/MediaObjects/150079 2 En 213 Fig1 HTML.gif

25. The bottom layer ("back panel") is a flexible plastic substrate layer ("flexible substrate"). In particular, the bottom layer includes multiple organic materials, such as polymeric materials (e.g., flexible plastic films). Polymers, such as polyimide (PI), polyethylene terephthalate (PET), etc., are commonly used in the manufacture of flexible displays (and, in particular, flexible AMOLED displays). Additionally, flexible display materials are used to make curved screen or curved edge displays, like the OnePlus 9 Pro 5G display.

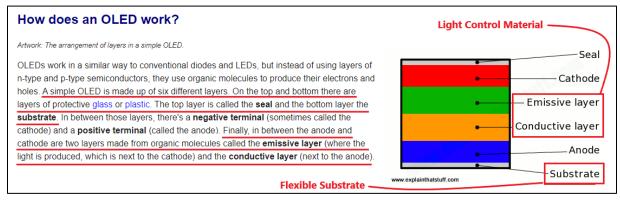


Source: <u>https://www.corning.com/gorillaglass/worldwide/en/products-with-gorilla-</u>glass/smartphones/oneplus/oneplus-9-pro.html

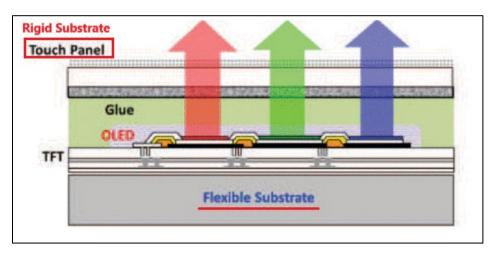
The OnePlus 9 Pro has the following State-of-the-Art Display Performance Functions and Features:

 <u>A State-of-the-Art Curved Screen Flexible OLED display panel</u> from Samsung Display that is <u>manufactured on a flexible plastic substrate so that it can</u> <u>bend around corners on both sides of the phone</u> to provide two curved Edge Display areas that can be viewed and controlled from both the front or the sides. While the OLED display itself is flexible, the screen remains rigid under an outer hard cover glass.

Source: https://www.displaymate.com/OnePlus_9Pro_ShootOut_1N.htm



Source: https://www.explainthatstuff.com/how-oleds-and-leps-work.html



Source: https://ieeexplore.ieee.org/abstract/document/6514818

Unlike traditional flat panel displays OLEDs, one of the more popular types of flexible electronic displays are solid-state devices composed of thin films of organic molecules that create light with the application of electricity. OLEDs can provide brighter, crisper displays on electronic devices and use less power than conventional light-emitting diodes (LEDs) or liquid crystal displays (LCDs) used according to HowStuffWorks.com. Using glass substrates, flexible technology OLED's utilizes plastic substrates, which allow the display to bend and twist. Flexible OLED's only need one sheet of substrate while LCD's require two and a separate backlight. Because of this, OLED's are able to be paper thin and lightweight, a perfect candidate for mobile phones and wearable electronics. The challenge for manufacturers currently is allowing the device to be repeatedly deformed while keeping the internals intact. Currently, electronic flexible displays are being used to make curved phones and televisions. This is possible because while the display may be "flexible", the internal components remain fixed. Figure 1 shows a diagram of the layers in different types of displays. Samsung refers to their flexible OLED display as FAMOLED.

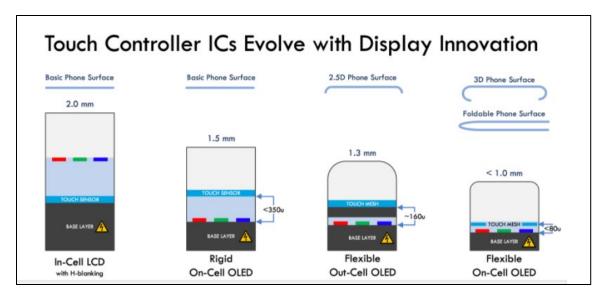
Source: <u>https://patinformatics.com/are-flexible-electronic-displays-the-future-of-smartphone-</u> display-technology-guest-post-by-riley-collins/

When set makers request that a mobile device's display panel be molded, curved, or folded for its industrial design, panel makers add plastic – a polyimide layer, to be precise.

Source: <u>https://www.corning.com/worldwide/en/products/display-glass/carrying-handheld-</u> <u>devices-into-the-flexible-oled-future.html</u>

Being in the midst of the shift with our OEM partners, Synaptics gets a front row seat to some pretty cool innovations enabled by OLED display technology. Flexible OLED displays not only provide an enhanced user experience, but also enable new form factors and features. These include borderless 'infinity' and waterfall displays, enhanced touch controls to replace physical buttons, face detection to automatically dim the screen when the handset is held to the ear, active pen based input, and foldable screens – all additional ways phone makers will differentiate their devices on top of traditional display performance features such as pixel count and refresh rates.

Source: https://www.synaptics.com/company/blog/flexible-oled-on-cell-key



Source: https://www.synaptics.com/company/blog/flexible-oled-on-cell-key

Benefits:

– Flexible OLED displays are useful for producing non-bezel designs of mobile phones with curved

edges. These displays are providing excellent overall viewing experiences to users.

- The use of a plastic substrate and the ability to flex locally makes the display more durable, and there are fewer chances of any cracks when it drops.

– Like transparent OLED, flexible OLED displays consume low energy.

- Flexible OLED displays can be used in curved TVs to provide a clear and wide view to the audience. The quality and reliability of the flexible curved screen make the lifespan much longer than other displays.

Source: <u>https://www.e3displays.com/transparent-and-flexible-oled-displays-are-transforming-</u> traditional-viewing/

Different kinds of flexibility

When we talk about flexible OLEDs, it's important to understand what that means exactly. <u>A flexible OLED is</u> based on a flexible substrate which can be either plastic, metal or flexible glass. The plastic and metal panels will be light, thin and very durable - in fact they will be virtually shatter-proof.

Source: <u>https://www.oled-info.com/flexible-oled</u>

26. Accordingly, the front panel includes a rigid substrate, and the back panel

includes a flexible substrate, with a light control material therebetween.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 14 of 58

27. OnePlus has had knowledge of the '086 Patent at least as of the date when it was notified of the filing of this action, and as early as November 26, 2021, when OnePlus received a letter from Buffalo notifying it of the '086 Patent, that Buffalo Patents is the owner of the '086 Patent, and that OnePlus was infringing the '086 Patent based on technology incorporated into OnePlus smartphones, such as the OnePlus 8 Pro smartphone.

28. Buffalo Patents has been damaged as a result of the infringing conduct by OnePlus alleged above. Thus, OnePlus is liable to Buffalo Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

29. Buffalo Patents has neither made nor sold unmarked articles that practice the '086 Patent, and is entitled to collect pre-filing damages for the full period allowed by law for infringement of the '086 Patent.

COUNT II

DIRECT INFRINGEMENT OF U.S. PATENT NO. 6,904,405

30. On June 7, 2005, the '405 Patent was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Message Recognition Using Shared Language Model."

31. Buffalo Patents is the owner of the '405 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '405 Patent against infringers, and to collect damages for all relevant times.

32. OnePlus made, had made, used, imported, provided, supplied, distributed, sold, and/or offered for sale products and/or systems including, for example, its OnePlus 9 Pro 5G

14

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 15 of 58

smartphone and other products⁴ that include the Gboard application or similar virtual keyboard technology ("accused products"):



OnePlus 9 Pro 5G

Source: https://www.oneplus.com/oneplus-9-pro

33. By doing so, OnePlus has directly infringed (literally and/or under the doctrine of

equivalents) at least Claim 7 of the '405 Patent. OnePlus's infringement in this regard is

ongoing.

- 34. The OnePlus 9 Pro 5G smartphone is an exemplary accused product.
- 35. The OnePlus 9 Pro 5G smartphone implements a method for performing message

recognition with a shared language model.

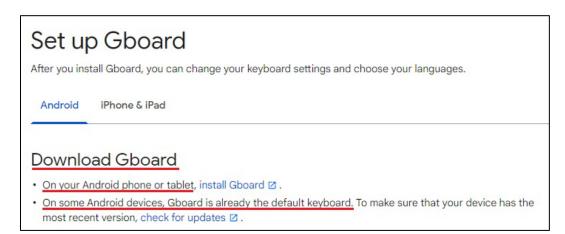
⁴ See, e.g., OnePlus 3, OnePlus 3T, OnePlus 5, OnePlus 5T, OnePlus 6, OnePlus 6T, OnePlus 6T McLaren Edition, OnePlus 7, OnePlus 7 Pro, OnePlus 7 Pro 5G, OnePlus 7T, OnePlus 7T Pro 5G, OnePlus 7T Pro McLaren Edition, OnePlus 8, OnePlus 8 5G, OnePlus 8 5G UW, OnePlus 8 Pro, OnePlus 8T, OnePlus 8T 5G, OnePlus 9 5G, OnePlus 9E, OnePlus 9R 5G, OnePlus 9RT, OnePlus 10 Pro, OnePlus Nord 10 5G, OnePlus Nord 2 5G, OnePlus Nord 5G, OnePlus Nord CE 2 5G, OnePlus Nord CE 5G, OnePlus Nord N100, OnePlus Nord N200 5G, etc.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 16 of 58

36. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone includes different message recognition types, including voice to text and handwriting to text. Further, it uses an n-gram language model ("shared language model").

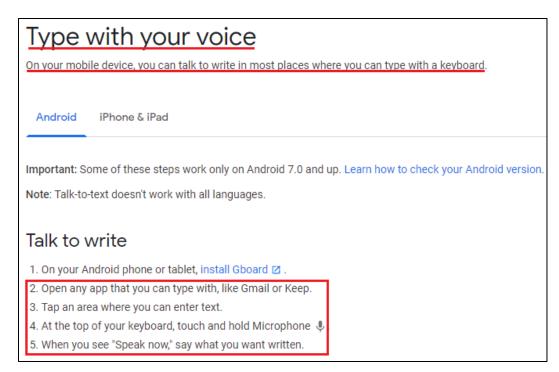
By now it's pretty clear that <u>Gboard is one of the most popular keyboard apps for mobile devices, but</u> even if we knew that the fact that it's got over 1 billion downloads on Google Play Store is still an impressive achievement.

Source: <u>https://www.phonearena.com/news/Google-Gboard-keyboard-app-1-billion-</u> downloads id108061

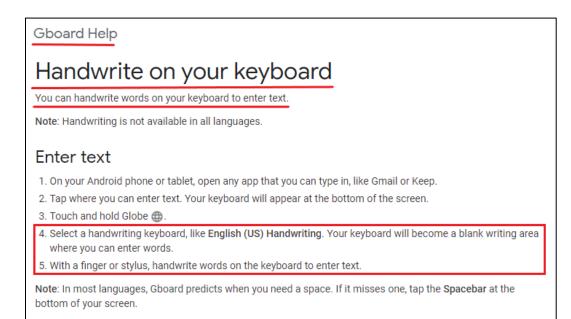


Source:

https://support.google.com/gboard/answer/6380730?hl=en&co=GENIE.Platform%3DAndroid



Source: https://support.google.com/gboard/answer/2781851?hl=en&ref topic=9024098



Source: https://support.google.com/gboard/answer/9108773?hl=en&ref_topic=9024098

Supporting features such as auto-correct, next-word prediction (predictive text) and spell-check requires the use of a machine-learning language model, such as n-gram language models, which can be used in a finite-state transduction decoder (Ouyang et al., 2017). These language models can be created based on a variety of textual sources, e.g. web crawls, external text corpora, or even wordlists (to create unigram language models). A detailed description of our standard approach to mining training data for language models across many languages can be found in Prasad et al. (2018). Since the data that we mine can be quite noisy, we apply our scalable automatic data normalization system across all languages and data sets, as described in Chua et al. (2018). Our model training algorithms are described in Allauzen et al. (2016).

Source: https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf (Page 16)

A probabilistic n-gram transducer is used to represent the language model for the keyboard. A state in the model represents an (up to) n-1 word context and an arc leaving that state is labeled with a successor word together with its probability of following that context (estimated from textual data). These, together with the spatial model that gives the likelihoods of sequences of key touches (discrete tap entries or continuous gestures in glide typing), are combined and explored with a beam search.

Source: <u>https://ai.googleblog.com/2017/05/the-machine-intelligence-behind-gboard.html</u>

ceding text. The *n*-best output labels from this state are returned. Paths containing back-off transitions to lower-orders are also considered. The primary (static) language model for the English language in Gboard is a Katz smoothed Bayesian interpolated [4] 5-gram LM containing 1.25 million n-grams, including 164,000 unigrams. Personalized user history, contacts, and email n-gram models augment the primary LM.

Source: https://arxiv.org/pdf/1811.03604.pdf (Page 1)

37. The method implemented by the OnePlus 9 Pro 5G smartphone includes

performing message recognition of a first type, responsive to a first type of message input, to provide text data in accordance with both the shared language model and a first model specific to the first type of message recognition.

38. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone allows users to type a message with voice by converting the voice

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 19 of 58

input into text. It uses an on-device, all neural speech recognizer. The speech recognizer ("message recognition of a first type") uses an end-to-end Recurrent Neural Network Transducer (RNN-T) model that combines an acoustic model, a pronunciation model, and a language model. The language model used by the smartphone is an n-gram language model. The user's voice input ("first type of message input") is converted into text using the speech recognizer. The pronunciation model, the acoustic model ("first model"), and the language model ("shared language model") can generate text responsive to voice input.

Set up Gboard
After you install Gboard, you can change your keyboard settings and choose your languages.
Android iPhone & iPad
Download Gboard
 On your Android phone or tablet, install Gboard 2.
 On some Android devices, Gboard is already the default keyboard. To make sure that your device has the most recent version, check for updates 2.

Source:

https://support.google.com/gboard/answer/6380730?hl=en&co=GENIE.Platform%3DAndroid

Source: https://support.google.com/gboard/answer/2781851?hl=en&ref_topic=9024098

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

Today, we're happy to announce the rollout of <u>an end-to-end</u>, <u>all-neural</u>, <u>on-device speech recognizer</u> to power speech input in Gboard. In our recent paper, <u>"Streaming End-to-End Speech Recognition</u> for <u>Mobile Devices</u>", we present a model trained using <u>RNN transducer</u> (<u>RNN-T</u>) technology that is <u>compact enough to reside on a phone</u>. This means no more network latency or spottiness — the new recognizer is always available, even when you are offline. <u>The model works at the character</u> level, so that as you speak, it outputs words character-by-character, just as if someone was typing out what you say in real-time, and exactly as you'd expect from a keyboard dictation system.

Source: https://ai.googleblog.com/2019/03/an-all-neural-on-device-speech.html

A Low-latency All-neural Multilingual Model

Traditional ASR systems contain separate components for acoustic, pronunciation, and language models. While there have been attempts to make some or all of the traditional ASR components multilingual [1,2,3,4], this approach can be complex and difficult to scale. E2E ASR models combine all three components into a single neural network and promise scalability and ease of parameter sharing. Recent works have extended E2E models to be multilingual [1,2], but they did not address the need for real-time speech recognition, a key requirement for applications such as the Assistant, Voice Search and GBoard dictation. For this, we turned to recent research at Google that used a Recurrent Neural Network Transducer (RNN-T) model to achieve streaming E2E ASR. The RNN-T system outputs words one character at a time, just as if someone was typing in real time, however this was not multilingual. We built upon this architecture to develop a low-latency model for *multilingual* speech recognition.

Source: https://ai.googleblog.com/2019/09/large-scale-multilingual-speech.html

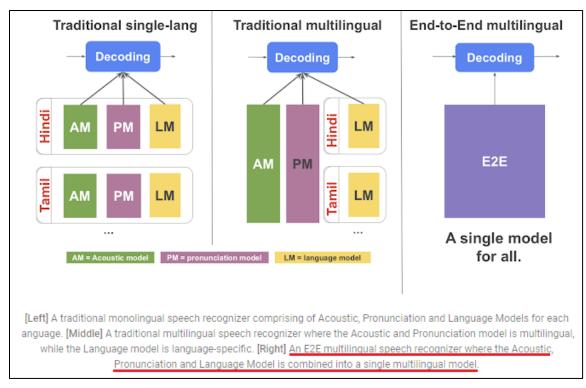
A probabilistic n-gram transducer is used to represent the language model for the keyboard. A state in the model represents an (up to) n-1 word context and an arc leaving that state is labeled with a successor word together with its probability of following that context (estimated from textual data). These, together with the spatial model that gives the likelihoods of sequences of key touches (discrete tap entries or continuous gestures in glide typing), are combined and explored with a beam search.

Source: https://ai.googleblog.com/2017/05/the-machine-intelligence-behind-gboard.html

The language model

Combining the acoustic and pronunciation models, we have audio coming in and words coming out. But that's not quite specific enough to provide reliable Voice Search, because you cannot just string any word together with any other word: there are word combinations that are more reasonable than others. Enter the language model, the third component of the recognition system. It calculates the frequencies of all word sequences between one to five words and thereby constrains the possible word sequences that can be formed out of the two aforementioned models to ones that are sensible combinations in language. The final search algorithm will then pick the valid word sequence that has the highest frequency of occurrence in the language.

Source: <u>https://careers.google.com/stories/how-one-team-turned-the-dream-of-speech-</u> recognition-into-a-reality/



Source: https://ai.googleblog.com/2019/09/large-scale-multilingual-speech.html

39. The method implemented by the OnePlus 9 Pro 5G smartphone includes performing message recognition of a second type, responsive to a second type of message input, to provide text data in accordance with both the shared language model and a second model specific to the second type of message recognition.

40. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone provides the capability to convert a user's handwriting into text. It uses an end-to-end Recurrent Neural Network (RNN) model for handwriting recognition ("message recognition of a second type"). The language model used by the smartphone is an n-gram language model. The writing area on the device's keypad records the patterns created by the user, and the corresponding handwriting information ("second type of message input") is converted into text.

41. The handwriting model ("second model") used by the smartphone is a bi-

directional version of the quasi-recurrent neural network (QRNN) model. The handwriting model, in combination with the n-gram language model ("shared language model"), can generate text responsive to handwriting input.

Set up Gboard After you install Gboard, you can change your keyboard settings and choose your languages.
Android iPhone & iPad
On your Android phone or tablet, install Gboard ☑.
 On some Android devices, Gboard is already the default keyboard. To make sure that your device has the most recent version, check for updates 2.

Source:

https://support.google.com/gboard/answer/6380730?hl=en&co=GENIE.Platform%3DAndroid

Gboard Help
Handwrite on your keyboard
You can handwrite words on your keyboard to enter text.
Note: Handwriting is not available in all languages.
Enter text
1. On your Android phone or tablet, open any app that you can type in, like Gmail or Keep.
2. Tap where you can enter text. Your keyboard will appear at the bottom of the screen.
3. Touch and hold Globe 🌐.
Select a handwriting keyboard, like English (US) Handwriting. Your keyboard will become a blank writing area where you can enter words.
5. With a finger or stylus, handwrite words on the keyboard to enter text.
Note: In most languages, Gboard predicts when you need a space. If it misses one, tap the Spacebar at the bottom of your screen.

Source: https://support.google.com/gboard/answer/9108773?hl=en&ref_topic=9024098

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

2 End-to-end Model Architecture

Our handwriting recognition model draws its inspiration from research aimed at building end-to-end transcription models in the context of handwriting recognition [15], optical character recognition [8], and acoustic modeling in speech recognition [40]. The model architecture is constructed from common neural network blocks, i.e. bidirectional LSTMs and fully-connected layers (Figure 2). It is trained in an end-to-end manner using the CTC loss [15].

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 3)

RNN-Based Handwriting Recognition in Gboard

Since then, progress in machine learning has enabled new model architectures and training methodologies, allowing us to revise our initial approach (which relied on hand-designed heuristics to cut the handwritten input into single characters) and instead build a single machine learning model that operates on the whole input and reduces error rates substantially compared to the old version. We launched those new models for all latin-script based languages in Gboard at the beginning of the year, and have published the paper "Fast Multi-language LSTM-based Online Handwriting Recognition" that explains in more detail the research behind this release. In this post, we give a high-level overview of that work.

Source: <u>https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html</u>

We experimented with multiple types of RNNs, and finally settled on using a bidirectional version of <u>quasi-recurrent neural networks (QRNN)</u>. QRNNs alternate between convolutional and recurrent layers, giving it the theoretical potential for efficient parallelization, and provide a good predictive performance while keeping the number of weights comparably small. The number of weights is directly related to the size of the model that needs to be downloaded, so the smaller the better.

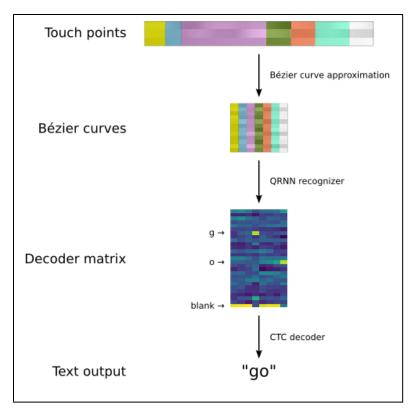
Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

In order to "decode" the curves, the recurrent neural network produces a matrix, where each column corresponds to one input curve, and each row corresponds to a letter in the alphabet. The column for a specific curve can be seen as a probability distribution over all the letters of the alphabet. However, each letter can consist of multiple curves (the *g* and *o* above, for instance, consist of four and three curves, respectively). This mismatch between the length of the output sequence from the recurrent neural network (which always matches the number of bezier curves) and the actual number of characters the input is supposed to represent is addressed by adding a special *blank* symbol to indicate no output for a particular curve, as in the Connectionist Temporal Classification (CTC) algorithm. We use a Finite State Machine Decoder to combine the outputs of the Neural Network with a character-based language model encoded as a weighted finite-state acceptor. Character sequences that are common in a language (such as "sch" in German) receive bonuses and are more likely to be output, whereas uncommon sequences are penalized. The process is visualized below.

Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

Character Language Models: For each language we support, we build a 7-gram language model over Unicode codepoints from a large web-mined text corpus using Stupid back-off [3]. The final files are pruned to 10 million 7-grams each. Compared to our previous system [25], we found that language model size has a smaller impact on the recognition accuracy, which is likely due to the capability of recurrent neural networks to capture dependencies between consecutive characters. We therefore use smaller language models over shorter contexts.

Source: https://arxiv.org/pdf/1902.10525.pdf



Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

42. The method implemented by the OnePlus 9 Pro 5G smartphone includes training the shared language model responsive to user correction of error in message recognition of either of the first and second types, thereby improving accuracy of each of the first and second types of message recognition.

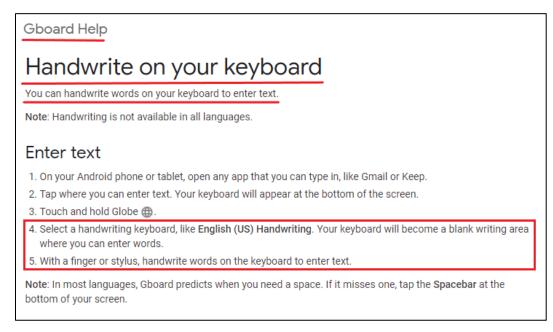
43. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone provides the capability to convert a user's voice and/or handwriting into text. It provides users with a personalized model to learn the user's preferences. In the writing area of the keypad of the device, patterns created by the user are recorded, and the corresponding handwriting information is converted into text. The data input on the virtual keyboard is used to learn the user's preferences, train the language model to suit

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 27 of 58

the user, and update the model locally. The smartphone builds one model for each language variety and uses on-device personalization to improve the language model by learning the user's preferences. Using an end-to-end model approach improves the predictions of both the speech and handwriting recognizers ("improving accuracy of each of the first and second types of message recognition").

44. As one example, when a user handwrites text in the writing area of the virtual keyboard, multiple suggestions can be provided to the user on the display area or interface (e.g., three suggestions to the left, center, and right). If the user selects the suggested text on the left or the right ("user correction") for the same written text multiple times, the user's selection is used to train the language model. If the user handwrites the same text at a later time, the user's previous selection is outputted as the primary suggestion (e.g., the suggested text in the center of the display area or interface).

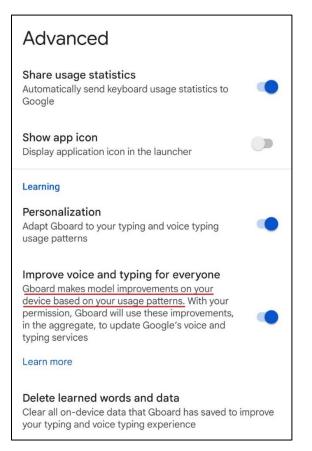
45. Accordingly, the shared language model can be trained to be responsive to user correction of error in handwriting recognition.



Source: https://support.google.com/gboard/answer/9108773?hl=en&ref_topic=9024098

Mobile devices, referred to as clients, generate large volumes of personal data that can be used for training. Instead of uploading data to servers for centralized training, <u>clients pro-</u> cess their local data and share model updates with the server.

Source: https://arxiv.org/pdf/1811.03604.pdf



Source: Screenshot taken during testing.

and the CTC loss [15] (Sec. 2). We train a separate model for each *script* (Sec. 3). To support potentially many languages per script (see Table 1), language-specific language models and feature functions are used during decoding (Sec. 2.5). E.g. we have a single recognition model for Arabic script which is combined with specific language models and feature functions for our Arabic, Persian, and Urdu language recognizers. Table 1 shows the full list of scripts and languages that we currently support.

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 2)

2 End-to-end Model Architecture

Our handwriting recognition model draws its inspiration from research aimed at building end-to-end transcription models in the context of handwriting recognition [15], optical character recognition [8], and acoustic modeling in speech recognition [40]. The model architecture is constructed from common neural network blocks, i.e. bidirectional LSTMs and fully-connected layers (Figure 2). It is trained in an end-to-end manner using the CTC loss [15].

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 3)

Supporting features such as auto-correct, next-word prediction (predictive text) and spell-check requires the use of a machine-learning language model, such as n-gram language models, which can be used in a finite-state transduction decoder (Ouyang et al., 2017). These language models can be created based on a variety of textual sources, e.g. web crawls, external text corpora, or even wordlists (to create unigram language models). A detailed description of our standard approach to mining training data for language models across many languages can be found in Prasad et al. (2018). Since the data that we mine can be quite noisy, we apply our scalable automatic data normalization system across all languages and data sets, as described in Chua et al. (2018). Our model training algorithms are described in Allauzen et al. (2016).

Source: https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf (Page 16)

A probabilistic n-gram transducer is used to represent the language model for the keyboard. A state in the model represents an (up to) n-1 word context and an arc leaving that state is labeled with a successor word together with its probability of following that context (estimated from textual data). These, together with the spatial model that gives the likelihoods of sequences of key touches (discrete tap entries or continuous gestures in glide typing), are combined and explored with a beam search.

Source: https://ai.googleblog.com/2017/05/the-machine-intelligence-behind-gboard.html

ceding text. The *n*-best output labels from this state are returned. Paths containing back-off transitions to lower-orders are also considered. The primary (static) language model for the English language in Gboard is a Katz smoothed Bayesian interpolated [4] 5-gram LM containing 1.25 million n-grams, including 164,000 unigrams. Personalized user history, contacts, and email n-gram models augment the primary LM.

Source: https://arxiv.org/pdf/1811.03604.pdf (Page 1)

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf (Page 17)

More generally, for commonly written language varieties such as English (en), Russian (ru) and Chinese (zh), large text corpora can be found easily across many domains. This means that the typing experience upon first use will typically be better than in languages where smaller text corpora are available, with limited domain coverage. As described above, on-device personalization can help improve pre-built generic language models as the keyboard application is used over time, by creating a personal dictionary with out-of-vocabulary words and common phrases. In our user studies, we find that such on-device personalization usually helps improve the typing experience significantly.

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 18)

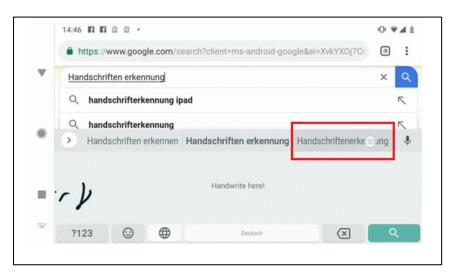
Testers have, of course, also identified areas of improvement: most commonly, users indicate that the dictionary still appears to be relatively small, presumably arising from finding too many correctly spelled words being highlighted as spelling mistakes. This makes sense, given that the training corpora we trained the language models on are typically smaller than the corpora in other languages that our testers may be familiar with. Fortunately, on-device personalization can help address this by learning words over time as the keyboard is used.

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 19)

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 31 of 58



Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html



Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

46. Buffalo Patents has been damaged as a result of the infringing conduct by OnePlus alleged above. Thus, OnePlus is liable to Buffalo Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

47. Buffalo Patents is only asserting method claims for the '405 Patent, so 35 U.S.C §287(a) does not apply.

COUNT III

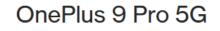
DIRECT INFRINGEMENT OF U.S. PATENT NO. 8,204,737

48. On June 19, 2012, the '737 Patent was duly and legally issued by the United States Patent and Trademark Office for an invention entitled "Message Recognition Using Shared Language Model."

49. Buffalo Patents is the owner of the '737 Patent, with all substantive rights in and to that patent, including the sole and exclusive right to prosecute this action and enforce the '737 Patent against infringers, and to collect damages for all relevant times.

50. OnePlus made, had made, used, imported, provided, supplied, distributed, sold, and/or offered for sale products and/or systems including, for example, its OnePlus 9 Pro 5G smartphone and other products⁵ that include the Gboard application or similar virtual keyboard technology ("accused products"):

⁵ See, e.g., OnePlus 3, OnePlus 3T, OnePlus 5, OnePlus 5T, OnePlus 6, OnePlus 6T, OnePlus 6T McLaren Edition, OnePlus 7, OnePlus 7 Pro, OnePlus 7 Pro 5G, OnePlus 7T, OnePlus 7T Pro 5G, OnePlus 7T Pro McLaren Edition, OnePlus 8, OnePlus 8 5G, OnePlus 8 5G UW, OnePlus 8 Pro, OnePlus 8T, OnePlus 8T 5G, OnePlus 9 5G, OnePlus 9E, OnePlus 9R 5G, OnePlus 9RT, OnePlus 10 Pro, OnePlus Nord 10 5G, OnePlus Nord 2 5G, OnePlus Nord 5G, OnePlus Nord CE 2 5G, OnePlus Nord CE 5G, OnePlus Nord N100, OnePlus Nord N200 5G, etc.





Source: <u>https://www.oneplus.com/oneplus-9-pro</u>

51. By doing so, OnePlus has directly infringed (literally and/or under the doctrine of equivalents) at least Claim 13 of the '737 Patent. OnePlus's infringement in this regard is ongoing.

52. The OnePlus 9 Pro 5G smartphone is an exemplary accused product.

53. The OnePlus 9 Pro 5G smartphone implements a method that includes the step of receiving, at a device, freehand input based at least in part on manipulation of a stylus.

54. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone allows users to handwrite on the virtual keyboard ("receiving, at a device, freehand input") by providing an empty space upon which users can handwrite text using their finger or a stylus ("based at least in part on manipulation of a stylus").

33

Stylus Pen for OnePlus 9 Pro (Stylus Pen by BoxWave) -EverTouch Capacitive Stylus, Fiber Tip Capacitive Stylus Pen for OnePlus 9 Pro - Jet Black



Source: https://www.amazon.com/Stylus-Pen-OnePlus-Pro-BoxWave/dp/B09BJ5GQNV

By now it's pretty clear that <u>Gboard is one of the most popular keyboard apps for mobile devices, but</u> even if we knew that the fact that it's got over 1 billion downloads on Google Play Store is still an impressive achievement.

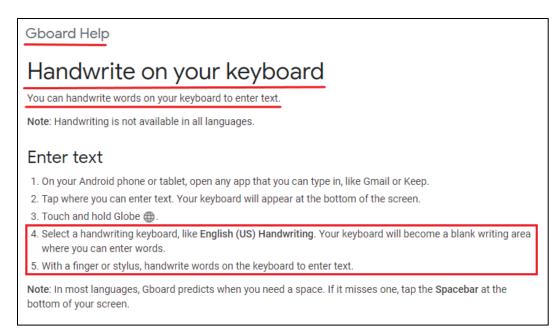
Source: https://www.phonearena.com/news/Google-Gboard-keyboard-app-1-billion-

downloads_id108061

Set up Gboard After you install Gboard, you can change your keyboard settings and choose your languages. Android iPhone & iPad Download Gboard • On your Android phone or tablet, install Gboard [2]. • On some Android devices, Gboard is already the default keyboard. To make sure that your device has the most recent version, check for updates [2].

Source:

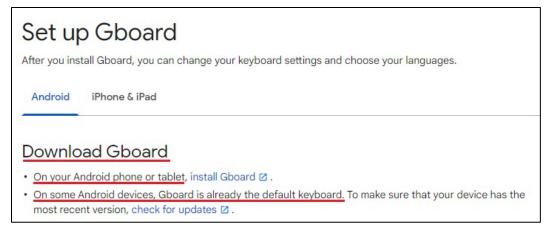
https://support.google.com/gboard/answer/6380730?hl=en&co=GENIE.Platform%3DAndroid



Source: https://support.google.com/gboard/answer/9108773?hl=en&ref topic=9024098

55. The method implemented by the OnePlus 9 Pro 5G smartphone includes the step of receiving, at the device, audio input based at least in part on information received at a microphone.

56. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the OnePlus 9 Pro 5G smartphone allows users to type with their voice ("information received at a microphone"). When a user holds the microphone button and talks, the device receives the audio input ("receiving, at the device, audio input") and converts it to text.



Source:

https://support.google.com/gboard/answer/6380730?hl=en&co=GENIE.Platform%3DAndroid

Type with your voice	
On your mobile device, you can talk to write in most places where you can type with a keyboard.	
Android iPhone & iPad	
Important: Some of these steps work only on Android 7.0 and up. Learn how to check your Android Note: Talk-to-text doesn't work with all languages.	version.
Talk to write	
1. On your Android phone or tablet, install Gboard 🗹 .	
Open any app that you can type with, like Gmail or Keep.	
3. Tap an area where you can enter text.	
4. At the top of your keyboard, touch and hold Microphone 🌵	
5. When you see "Speak now," say what you want written.	

Source: https://support.google.com/gboard/answer/2781851?hl=en&ref_topic=9024098

57. The method implemented by the OnePlus 9 Pro 5G smartphone includes the step of selecting, via the device, a selected user message model from a plurality of user message models.

58. For example, the OnePlus 9 Pro 5G smartphone includes Gboard, which is the smartphone's default virtual keyboard application. The virtual keyboard application on the

OnePlus 9 Pro 5G smartphone provides users with a personalized model to learn the user's preferences. As one example, users can select a profile ("selected user message model") from available profiles to be used as a virtual keyboard model. Examples of available profiles include personal and work profiles ("plurality of user message models").

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

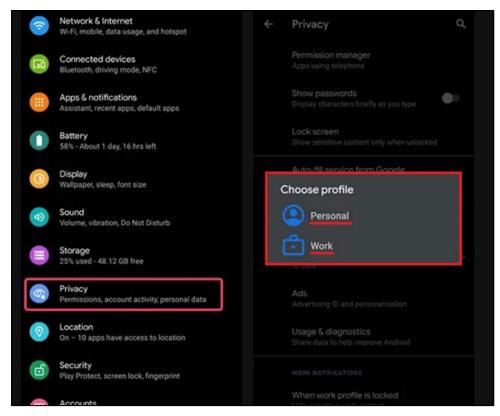
More generally, for commonly written language varieties such as English (en), Russian (ru) and Chinese (zh), large text corpora can be found easily across many domains. This means that the typing experience upon first use will typically be better than in languages where smaller text corpora are available, with limited domain coverage. As described above, on-device personalization can help improve pre-built generic language models as the keyboard application is used over time, by creating a personal dictionary with out-of-vocabulary words and common phrases. In our user studies, we find that such on-device personalization usually helps improve the typing experience significantly.

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 18)

A work profile can be set up on an Android device to separate work apps and data from personal apps and data. With a work profile you can securely and privately use the same device for work and personal purposes—your organization manages your work apps and data while your personal apps, data, and usage remain private.

Source: https://support.google.com/work/android/answer/6191949

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 38 of 58



Source: https://beebom.com/android-10-simplified-work-profiles/

÷	Languages & input	Q	0
XA	English (United States)		
Γ	KEYBOARDS	1	
	Virtual keyboard Gboard and Google voice typing		
	Physical keyboard Not connected		
	TOOLS		
	Spell checker Gboard spell checker		
	Autofill service		
	Personal dictionary		
	Pointer speed		
	Text-to-speech output		
	WORK PROFILE KEYBOARDS & TOOLS]	
	Virtual keyboard for work		
	Spell checker for work		
	Personal dictionary for work		

Source: Screenshot taken during testing.

59. The method implemented by the OnePlus 9 Pro 5G smartphone includes the step of adjusting, via the device, a language model of a local message model, wherein the local message model includes the language model, a handwriting model, and an acoustic model, wherein the adjusting the language model is based at least in part on the selected user message model.

60. For example, the virtual keyboard application on the OnePlus 9 Pro 5G smartphone provides users with a personalized model to learn the user's preferences. As one example, users can select a profile to be used as a virtual keyboard model from available profiles, such as personal and work profiles ("plurality of user message models"). After selecting a profile, the data input by the user (via the virtual keyboard) is used to learn the user's

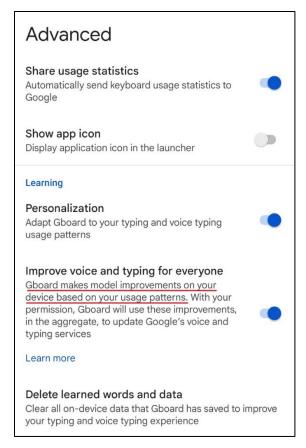
Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 40 of 58

preferences, train the language model of the selected profile, and update the model locally. The smartphone builds one model for each language variety and uses on-device personalization to improve the language model of a selected profile by learning the user's preferences.

61. As one example, an end-to-end model architecture is used for the handwriting model and acoustic model. End-to-end models contain multiple functioning models tied together to form a single neural network that trains and improves itself. The end-to-end model ("local message model") contains an n-gram language model ("language model") personalized to the user, along with acoustic and handwriting models ("local message model includes the language model, a handwriting model, and an acoustic model"). Based on the input received from the user, the message correction data, and the selected profile of the user ("selected user message model"), the n-gram language model is trained and corrects itself to improve accuracy of prediction ("adjusting the language model").

Mobile devices, referred to as clients, generate large volumes of personal data that can be used for training. Instead of uploading data to servers for centralized training, <u>clients pro-</u> cess their local data and share model updates with the server.

Source: https://arxiv.org/pdf/1811.03604.pdf



Source: Screenshot taken during testing.

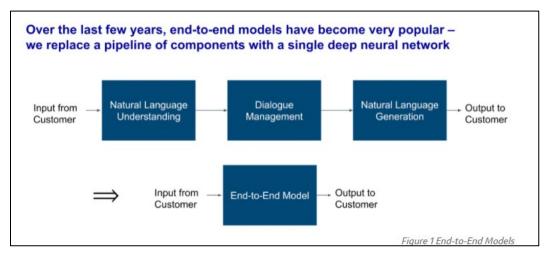
and the CTC loss [15] (Sec. 2). We train a separate model for each script (Sec. 3). To support potentially many languages per script (see Table 1), language-specific language models and feature functions are used during decoding (Sec. 2.5). E.g. we have a single recognition model for Arabic script which is combined with specific language models and feature functions for our Arabic, Persian, and Urdu language recognizers. Table 1 shows the full list of scripts and languages that we currently support.

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 2)

2 End-to-end Model Architecture

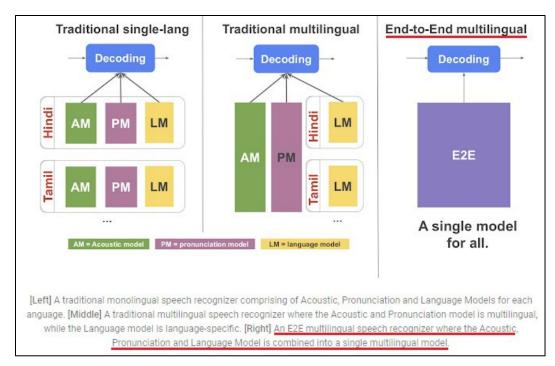
Our handwriting recognition model draws its inspiration from research aimed at building end-to-end transcription models in the context of handwriting recognition [15], optical character recognition [8], and acoustic modeling in speech recognition [40]. The model architecture is constructed from common neural network blocks, i.e. bidirectional LSTMs and fully-connected layers (Figure 2). It is trained in an end-to-end manner using the CTC loss [15].

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 3)



Source: https://www.capitalone.com/tech/machine-learning/pros-and-cons-of-end-to-end-

models/



Source: <u>https://ai.googleblog.com/2019/09/large-scale-multilingual-speech.html</u>

Supporting features such as auto-correct, next-word prediction (predictive text) and spell-check requires the use of a machine-learning language model, such as n-gram language models, which can be used in a finite-state transduction decoder (Ouyang et al., 2017). These language models can be created based on a variety of textual sources, e.g. web crawls, external text corpora, or even wordlists (to create unigram language models). A detailed description of our standard approach to mining training data for language models across many languages can be found in Prasad et al. (2018). Since the data that we mine can be quite noisy, we apply our scalable automatic data normalization system across all languages and data sets, as described in Chua et al. (2018). Our model training algorithms are described in Allauzen et al. (2016).

Source: https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf (Page 16)

A probabilistic n-gram transducer is used to represent the language model for the keyboard. A state in the model represents an (up to) n-1 word context and an arc leaving that state is labeled with a successor word together with its probability of following that context (estimated from textual data). These, together with the spatial model that gives the likelihoods of sequences of key touches (discrete tap entries or continuous gestures in glide typing), are combined and explored with a beam search.

Source: https://ai.googleblog.com/2017/05/the-machine-intelligence-behind-gboard.html

ceding text. The *n*-best output labels from this state are returned. Paths containing back-off transitions to lower-orders are also considered. The primary (static) language model for the English language in Gboard is a Katz smoothed Bayesian interpolated [4] 5-gram LM containing 1.25 million n-grams, including 164,000 unigrams. Personalized user history, contacts, and email n-gram models augment the primary LM.

Source: https://arxiv.org/pdf/1811.03604.pdf (Page 1)

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

More generally, for commonly written language varieties such as English (en), Russian (ru) and Chinese (zh), large text corpora can be found easily across many domains. This means that the typing experience upon first use will typically be better than in languages where smaller text corpora are available, with limited domain coverage. As described above, on-device personalization can help improve pre-built generic language models as the keyboard application is used over time, by creating a personal dictionary with out-of-vocabulary words and common phrases. In our user studies, we find that such on-device personalization usually helps improve the typing experience significantly.

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 18)

Testers have, of course, also identified areas of improvement: most commonly, users indicate that the dictionary still appears to be relatively small, presumably arising from finding too many correctly spelled words being highlighted as spelling mistakes. This makes sense, given that the training corpora we trained the language models on are typically smaller than the corpora in other languages that our testers may be familiar with. Fortunately, on-device personalization can help address this by learning words over time as the keyboard is used.

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 19)

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 45 of 58

62. The method implemented by the OnePlus 9 Pro 5G smartphone includes the step of generating, by the device, converted handwriting text data based at least in part on the freehand input, the adjusted language model, and the handwriting model.

63. For example, the virtual keyboard application on the OnePlus 9 Pro 5G smartphone provides a feature for converting handwriting to text. It uses an end-to-end model based on the Recurrent Neural Network (RNN), which combines handwriting and language models for handwriting recognition. The language model used by the smartphone is an n-gram language model. In the writing area of the keypad of the device, patterns created by the user are recorded, and the corresponding handwriting information ("freehand input") is converted into text using a handwriting model ("handwriting model"), which is a bi-directional version of the quasi-recurrent neural network (QRNN) model. The user-personalized language model ("adjusted language model") is used to generate text responsive to handwriting input ("converted handwriting text data").

Gboard Help			
Handwrite on your keyboard			
You can handwrite words on your keyboard to enter text.			
Note: Handwriting is not available in all languages.			
Enter text			
1. On your Android phone or tablet, open any app that you can type in, like Gmail or Keep.			
2. Tap where you can enter text. Your keyboard will appear at the bottom of the screen.			
3. Touch and hold Globe 🌐.			
 Select a handwriting keyboard, like English (US) Handwriting. Your keyboard will become a blank writing area where you can enter words. 			
5. With a finger or stylus, handwrite words on the keyboard to enter text.			
Note: In most languages, Gboard predicts when you need a space. If it misses one, tap the Spacebar at the bottom of your screen.			

Source: https://support.google.com/gboard/answer/9108773?hl=en&ref topic=9024098

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

2 End-to-end Model Architecture

Our handwriting recognition model draws its inspiration from research aimed at building end-to-end transcription models in the context of handwriting recognition [15], optical character recognition [8], and acoustic modeling in speech recognition [40]. The model architecture is constructed from common neural network blocks, i.e. bidirectional LSTMs and fully-connected layers (Figure 2). It is trained in an end-to-end manner using the CTC loss [15].

Source: https://arxiv.org/pdf/1902.10525.pdf (Page 3)

RNN-Based Handwriting Recognition in Gboard

Since then, progress in machine learning has enabled new model architectures and training methodologies, allowing us to revise our initial approach (which relied on hand-designed heuristics to cut the handwritten input into single characters) and instead build a single machine learning model that operates on the whole input and reduces error rates substantially compared to the old version. We launched those new models for all latin-script based languages in Gboard at the beginning of the year, and have published the paper "Fast Multi-language LSTM-based Online Handwriting Recognition" that explains in more detail the research behind this release. In this post, we give a high-level overview of that work.

Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

We experimented with multiple types of RNNs, and finally settled on using a bidirectional version of <u>quasi-recurrent neural networks (QRNN)</u>. QRNNs alternate between convolutional and recurrent layers, giving it the theoretical potential for efficient parallelization, and provide a good predictive performance while keeping the number of weights comparably small. The number of weights is directly related to the size of the model that needs to be downloaded, so the smaller the better.

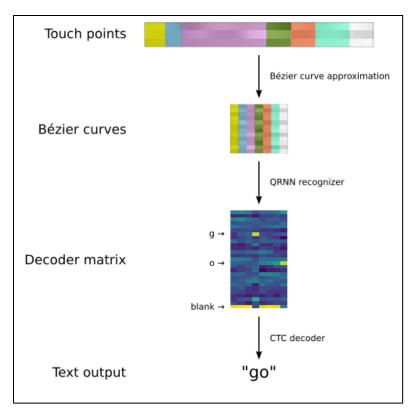
Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

In order to "decode" the curves, the recurrent neural network produces a matrix, where each column corresponds to one input curve, and each row corresponds to a letter in the alphabet. The column for a specific curve can be seen as a probability distribution over all the letters of the alphabet. However, each letter can consist of multiple curves (the *g* and *o* above, for instance, consist of four and three curves, respectively). This mismatch between the length of the output sequence from the recurrent neural network (which always matches the number of bezier curves) and the actual number of characters the input is supposed to represent is addressed by adding a special *blank* symbol to indicate no output for a particular curve, as in the Connectionist Temporal Classification (CTC) algorithm. We use a Finite State Machine Decoder to combine the outputs of the Neural Network with a character-based language model encoded as a weighted finite-state acceptor. Character sequences that are common in a language (such as "sch" in German) receive bonuses and are more likely to be output, whereas uncommon sequences are penalized. The process is visualized below.

Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

Character Language Models: For each language we support, we build a 7-gram language model over Unicode codepoints from a large web-mined text corpus using Stupid back-off [3]. The final files are pruned to 10 million 7-grams each. Compared to our previous system [25], we found that language model size has a smaller impact on the recognition accuracy, which is likely due to the capability of recurrent neural networks to capture dependencies between consecutive characters. We therefore use smaller language models over shorter contexts.

Source: https://arxiv.org/pdf/1902.10525.pdf

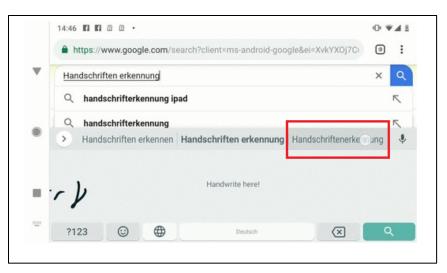


Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html



Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 49 of 58



Source: https://ai.googleblog.com/2019/03/rnn-based-handwriting-recognition-in.html

64. The method implemented by the OnePlus 9 Pro 5G smartphone includes the step of generating, by the device, converted speech text data based at least in part on the audio input, the adjusted language model, and the acoustic model.

65. For example, the virtual keyboard application on the OnePlus 9 Pro 5G smartphone uses an on-device, all neural speech recognizer. The speech recognizer uses an end-to-end RNN-T model that combines an acoustic model, pronunciation model, and a language model. The language model is an n-gram language model. The user's voice input ("audio input") is converted into text using the speech recognizer. The pronunciation model, acoustic model ("acoustic model"), and the user-personalized language model ("adjusted language model") can generate text responsive to voice input ("generating, by the device, converted speech text data").

Source: https://support.google.com/gboard/answer/2781851?hl=en&ref_topic=9024098

The approach we have adopted is to build one model covering all sub-varieties for each language variety, where we tune the auto-correction parameters to be significantly more lenient, and then to rely on on-device personalization to learn the user's individual preferences. Similar approaches can be adopted for many languages the world over, including colloquial Arabic varieties, which also offer a wide range of internal linguistic variation with unclear boundaries between varieties (Abdul-Mageed et al., 2018).

Source: <u>https://arxiv.org/ftp/arxiv/papers/1912/1912.01218.pdf</u> (Page 17)

Today, we're happy to announce the rollout of <u>an end-to-end</u>, <u>all-neural</u>, <u>on-device speech recognizer</u> to power speech input in Gboard. In our recent paper, "<u>Streaming End-to-End Speech Recognition</u> for <u>Mobile Devices</u>", we present a model trained using <u>RNN transducer</u> (<u>RNN-T</u>) technology that is compact enough to reside on a phone. This means no more network latency or spottiness — the new recognizer is always available, even when you are offline. <u>The model works at the character</u> level, so that as you speak, it outputs words character-by-character, just as if someone was typing out what you say in real-time, and exactly as you'd expect from a keyboard dictation system.

Source: https://ai.googleblog.com/2019/03/an-all-neural-on-device-speech.html

A Low-latency All-neural Multilingual Model

Traditional ASR systems contain separate components for acoustic, pronunciation, and language models. While there have been attempts to make some or all of the traditional ASR components multilingual [1,2,3,4], this approach can be complex and difficult to scale. E2E ASR models combine all three components into a single neural network and promise scalability and ease of parameter sharing. Recent works have extended E2E models to be multilingual [1,2], but they did not address the need for real-time speech recognition, a key requirement for applications such as the Assistant, Voice Search and GBoard dictation. For this, we turned to recent research at Google that used a Recurrent Neural Network Transducer (RNN-T) model to achieve streaming E2E ASR. The RNN-T system outputs words one character at a time, just as if someone was typing in real time, however this was not multilingual. We built upon this architecture to develop a low-latency model for *multilingual* speech recognition.

Source: https://ai.googleblog.com/2019/09/large-scale-multilingual-speech.html

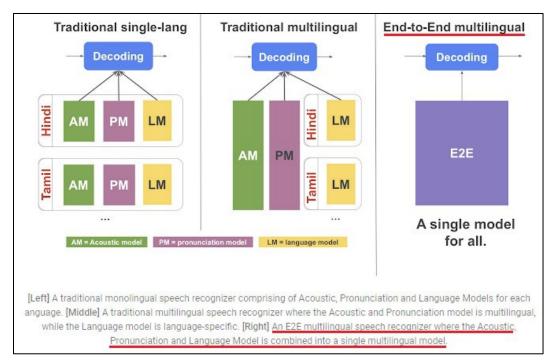
A probabilistic n-gram transducer is used to represent the language model for the keyboard. A state in the model represents an (up to) n-1 word context and an arc leaving that state is labeled with a successor word together with its probability of following that context (estimated from textual data). These, together with the spatial model that gives the likelihoods of sequences of key touches (discrete tap entries or continuous gestures in glide typing), are combined and explored with a beam search.

Source: https://ai.googleblog.com/2017/05/the-machine-intelligence-behind-gboard.html

The language model

Combining the acoustic and pronunciation models, we have audio coming in and words coming out. But that's not quite specific enough to provide reliable Voice Search, because you cannot just string any word together with any other word: there are word combinations that are more reasonable than others. Enter the language model, the third component of the recognition system. It calculates the frequencies of all word sequences between one to five words and thereby constrains the possible word sequences that can be formed out of the two aforementioned models to ones that are sensible combinations in language. The final search algorithm will then pick the valid word sequence that has the highest frequency of occurrence in the language.

Source: <u>https://careers.google.com/stories/how-one-team-turned-the-dream-of-speech-</u> recognition-into-a-reality/



Source: https://ai.googleblog.com/2019/09/large-scale-multilingual-speech.html

66. OnePlus has had knowledge of the '737 Patent at least as of the date when it was notified of the filing of this action.

67. Buffalo Patents has been damaged as a result of the infringing conduct by

OnePlus alleged above. Thus, OnePlus is liable to Buffalo Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

68. Buffalo Patents has neither made nor sold unmarked articles that practice the '737 Patent, and is entitled to collect pre-filing damages for the full period allowed by law for infringement of the '737 Patent.

ADDITIONAL ALLEGATIONS REGARDING INFRINGEMENT AND PERSONAL JURISDICTION

69. OnePlus has also indirectly infringed the '086 Patent and the '737 Patent by inducing others to directly infringe the '086 Patent and the '737 Patent.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 53 of 58

70. OnePlus has induced the end users and/or OnePlus's customers to directly infringe (literally and/or under the doctrine of equivalents) the '086 Patent and the '737 Patent by using the accused products.

71. OnePlus took active steps, directly and/or through contractual relationships with others, with the specific intent to cause them to use the accused products in a manner that infringes one or more claims of the patents-in-suit, including, for example, Claim 1 of the '086 Patent and Claim 13 of the '737 Patent.

72. Such steps by OnePlus included, among other things, advising or directing customers, end users, and others (including third party testing and certification organizations) to use the accused products in an infringing manner; advertising and promoting the use of the accused products in an infringing manner; and/or distributing instructions that guide users to use the accused products in an infringing manner.

73. OnePlus performed these steps, which constitute joint and/or induced infringement, with the knowledge of the '086 Patent and the '737 Patent, and with the knowledge that the induced acts constitute infringement.

74. OnePlus was and is aware that the normal and customary use of the accused products by OnePlus's customers would infringe the '086 Patent and the '737 Patent. OnePlus's inducement is ongoing.

75. OnePlus has also induced its affiliates, or third-party manufacturers, shippers, distributors, retailers, or other persons acting on its or its affiliates' behalf, to directly infringe (literally and/or under the doctrine of equivalents) the '086 Patent and the '737 Patent by importing, selling or offering to sell the accused products.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 54 of 58

76. OnePlus has a significant role in placing the accused products in the stream of commerce with the expectation and knowledge that they will be purchased by consumers in Texas and elsewhere in the United States.

77. OnePlus purposefully directs or controls the making of accused products and their shipment to the United States, using established distribution channels, for sale in Texas and elsewhere within the United States.

78. OnePlus purposefully directs or controls the sale of the accused products into established United States distribution channels, including sales to nationwide retailers. OnePlus's established United States distribution channels include one or more United States based affiliates.

79. OnePlus purposefully directs or controls the sale of the accused products online and in nationwide retailers such as Best Buy, including for sale in Texas and elsewhere in the United States, and expects and intends that the accused products will be so sold.

80. OnePlus purposefully places the accused products—whether by itself or through subsidiaries, affiliates, or third parties—into an international supply chain, knowing that the accused products will be sold in the United States, including Texas. Therefore, OnePlus also facilitates the sale of the accused products in Texas.

81. OnePlus took active steps, directly and/or through contractual relationships with others, with the specific intent to cause such persons to import, sell, or offer to sell the accused products in a manner that infringes one or more claims of the '086 Patent and the '737 Patent.

82. Such steps by OnePlus included, among other things, making or selling the accused products outside of the United States for importation into or sale in the United States, or knowing that such importation or sale would occur; and directing, facilitating, or influencing its

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 55 of 58

affiliates, or third-party manufacturers, shippers, distributors, retailers, or other persons acting on its or its affiliates' behalf, to import, sell, or offer to sell the accused products in an infringing manner.

83. OnePlus performed these steps, which constitute induced infringement, with the knowledge of the '086 Patent and the '737 Patent, and with the knowledge that the induced acts would constitute infringement.

84. OnePlus performed such steps in order to profit from the eventual sale of the accused products in the United States.

85. OnePlus's inducement is ongoing.

86. OnePlus has also indirectly infringed by contributing to the infringement of the '086 Patent and the '737 Patent. OnePlus has contributed to the direct infringement of the '086 Patent and the '737 Patent by the end user of the accused products.

87. The accused products have special features that are specially designed to be used in an infringing way and that have no substantial uses other than ones that infringe the '086 Patent and the '737 Patent, including, for example, Claim 1 of the '086 Patent and Claim 13 of the '737 Patent.

88. The special features include, for example, display devices that have a front panel including a rigid substrate, a back panel including a flexible substrate, and a light control material in between the front and back panels, used in a manner that infringes the '086 Patent; and hardware and software components implementing a virtual keyboard interface that is capable of receiving freehand input using a stylus and audio input from a user, and capable of generating text data using multiple language models based, in part, on an adjusted language model, used in a manner that infringes the '737 Patent.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 56 of 58

89. These special features constitute a material part of the invention of one or more of the claims of the '086 Patent and the '737 Patent, and are not staple articles of commerce suitable for substantial non-infringing use.

90. OnePlus's contributory infringement is ongoing.

91. OnePlus has had actual knowledge of the '086 Patent at least as early as November 26, 2021, when OnePlus received a letter notifying it of the '086 Patent, and/or as of the date when it was notified of the filing of this action. Since at least that time, OnePlus has known the scope of the claims of the '086 Patent; the products that practice the '086 Patent; and that Buffalo Patents is the owner of the '086 Patent.

92. OnePlus has had actual knowledge of the '737 Patent at least as of the date when it was notified of the filing of this action. Since at least that time, OnePlus has known the scope of the claims of the '737 Patent, the products that practice the '737 Patent, and that Buffalo Patents is the owner of the '737 Patent.

93. By the time of trial, OnePlus will have known and intended (since receiving such notice) that its continued actions would infringe and actively induce and contribute to the infringement of one or more claims of the '086 Patent and the '737 Patent.

94. Furthermore, OnePlus has a policy or practice of not reviewing the patents of others (including instructing its employees to not review the patents of others), and thus has been willfully blind of Buffalo Patents' patent rights. *See, e.g.*, M. Lemley, "Ignoring Patents," 2008 Mich. St. L. Rev. 19 (2008).

95. OnePlus's customers have infringed the '086 Patent and the '737 Patent. OnePlus encouraged its customers' infringement.

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 57 of 58

96. OnePlus's direct and indirect infringement of the '086 Patent and the '737 Patent, and its direct infringement of the '405 Patent has been, and/or continues to be willful, intentional, deliberate, and/or in conscious disregard of Buffalo Patents' rights under the patents-in-suit.

97. Buffalo Patents has been damaged as a result of OnePlus's infringing conduct alleged above. Thus, OnePlus is liable to Buffalo Patents in an amount that adequately compensates it for such infringements, which, by law, cannot be less than a reasonable royalty, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

JURY DEMAND

Buffalo Patents hereby requests a trial by jury on all issues so triable by right.

PRAYER FOR RELIEF

Buffalo Patents requests that the Court find in its favor and against OnePlus, and that the Court grant Buffalo Patents the following relief:

a. Judgment that one or more claims of the '086 Patent, the '737 Patent, and the '405 Patent have been infringed, either literally and/or under the doctrine of equivalents, by OnePlus and/or all others acting in concert therewith;

b. A permanent injunction enjoining OnePlus and its officers, directors, agents, servants, affiliates, employees, divisions, branches, subsidiaries, parents, and all others acting in concert therewith from infringement of the '737 Patent; or, in the alternative, an award of a reasonable ongoing royalty for future infringement of the '737 Patent by such entities;

c. Judgment that OnePlus account for and pay to Buffalo Patents all damages to and costs incurred by Buffalo Patents because of OnePlus's infringing activities and other conduct complained of herein, including an award of all increased damages to which Buffalo Patents is entitled under 35 U.S.C. § 284;

d. That Buffalo Patents be granted pre-judgment and post-judgment interest on the

Case 6:22-cv-00421-ADA Document 1 Filed 04/27/22 Page 58 of 58

damages caused by OnePlus's infringing activities and other conduct complained of herein;

e. That this Court declare this an exceptional case and award Buffalo Patents its

reasonable attorney's fees and costs in accordance with 35 U.S.C. § 285; and

f. That Buffalo Patents be granted such other and further relief as the Court may deem just and proper under the circumstances.

Dated: April 27, 2022

Respectfully submitted,

/s/ Zachariah S. Harrington Matthew J. Antonelli Texas Bar No. 24068432 matt@ahtlawfirm.com Zachariah S. Harrington Texas Bar No. 24057886 zac@ahtlawfirm.com Larry D. Thompson, Jr. Texas Bar No. 24051428 larry@ahtlawfirm.com Christopher Ryan Pinckney Texas Bar No. 24067819 ryan@ahtlawfirm.com Rehan M. Safiullah Texas Bar No. 24066017 rehan@ahtlawfirm.com

ANTONELLI, HARRINGTON & THOMPSON LLP 4306 Yoakum Blvd., Ste. 450 Houston, TX 77006 (713) 581-3000

Attorneys for Buffalo Patents, LLC