#### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

DAINGEAN TECHNOLOGIES LTD.,	
Plaintiff,	Civil Action No. 2:23-CV-00123-JRG
V.	
AT&T CORP., AT&T MOBILITY LLC, AT&T MOBILITY II LLC, and AT&T SERVICES INC.	
Defendants,	JURY TRIAL DEMANDED
ERICSSON INC.,	
Intervenor,	
NOKIA OF AMERICA CORPORATION,	
Intervenor.	

# SECOND AMENDED COMPLAINT FOR PATENT INFRINGEMENT

Daingean Technologies Ltd. ("Daingean" or "Plaintiff") hereby submits this Second Amended Complaint for patent infringement against Defendants AT&T Corp., AT&T Mobility LLC, AT&T Mobility II LLC and AT&T Services Inc. (collectively, "AT&T" or "Defendants") and states as follows:

#### THE PARTIES

1. Daingean Technologies Ltd., ("Daingean" or "Plaintiff") is a company duly organized and existing under the laws of Ireland with its principal place of business at The Hyde Building, Suite 23, The Park, Carrickmines, Dublin 18, Ireland.

2. On information and belief, AT&T Corp. is a corporation organized and existing under the laws of the state of New York, with its principal place of business at One AT&T Way, Bedminster, New Jersey 07921. On information and belief, AT&T Corp. may be served through its registered agent for service, CT Corporation System, 28 Liberty Street, New York, New York 10005. On information and belief, AT&T Corp. is a wholly owned subsidiary of AT&T Inc.

3. On information and belief, AT&T Mobility LLC is a limited liability company organized and existing under the laws of the state of Delaware, with a principal place of business at 1025 Lenox Park Boulevard NE, Atlanta, Georgia 30319. On information and belief, AT&T Mobility LLC may be served through its registered agent for service, The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801. On information and belief, AT&T Mobility LLC is a direct or an indirect subsidiary of AT&T Inc.

4. On information and belief, AT&T Mobility II LLC is a limited liability company organized and existing under the laws of the state of Delaware, with a principal place of business at 1025 Lenox Park Boulevard NE, Atlanta, Georgia 30319. On information and belief, AT&T Mobility II LLC may be served through its registered agent for service, The Corporation Trust

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Company, 1209 Orange Street, Wilmington, Delaware 19801. On information and belief, AT&T Mobility II LLC is a direct or an indirect subsidiary of AT&T Inc.

5. On information and belief AT&T Services Inc. is a corporation organized and existing under the laws of the state of Delaware, with a principal place of business at 208 South Akard Street, Dallas, Texas 75202. On information and belief, AT&T Services Inc. may be served through its registered agent for service, The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801. On information and belief, AT&T Services Inc. is owned by AT&T Inc.

6. AT&T operates one or more wireless telecommunications networks to provide wireless telecommunications services in the United States under brand names including, but not limited to, "AT&T." The AT&T network has been and is used to also provide wireless telecommunications services for the "Cricket Wireless" brand.

#### **NATURE OF THE ACTION**

7. This is a civil action for infringement of U.S. Patent No. 8,576,803 ("the '803 Patent"), U.S. Patent No. 10,484,976 ("the '976 Patent"), and U.S. Patent No. 10,841,958 ("the '958 Patent"), (collectively, the "Asserted Patents"), arising under the patent laws of the United States, 35 U.S.C. § 1 *et seq*.

#### JURISDICTION AND VENUE

8. This Court has subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1338(a) because this action arises under the patent laws of the United States, 35 U.S.C. §§ 101 *et seq*.

9. On information and belief, AT&T's operations in the Eastern District of Texas are substantial and varied.

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10. AT&T operates one or more wireless telecommunications networks to provide wireless telecommunications services in Texas, including within the Eastern District of Texas under the brand name "AT&T" and "Cricket Wireless."

11. AT&T advertises that its 4G LTE, 5G and 5G+ wireless networks are available in the United States including in Texas and within the Eastern District of Texas.<sup>1</sup> AT&T has marketed that it provides 5G+ service in the large metropolitan centers in Texas, including Dallas, Austin, Waco, San Antonio and Houston.



<sup>&</sup>lt;sup>1</sup> See, e.g., <u>https://www.att.com/maps/wireless-coverage.html</u> (last visited March 8, 2023).



12. Numerous AT&T retail stores are located within this judicial district, including in Allen, Athens, Beaumont, Canton, Carthage, Denton, Frisco, Gilmer, Henderson, Kilgore, Lindale, Longview, Lufkin, Marshall, Nacogdoches, Sulphur Springs, Texarkana, and Tyler.<sup>2</sup> AT&T uses these stores to sell telecommunications services that utilize the AT&T networks and that infringe the Asserted Patents (as discussed below). These stores are physically located within the district, are regular and established places of business of AT&T with signage of AT&T, and actively market AT&T's wireless services.

13. AT&T also maintains a foundry innovation center within this judicial district at 2900 W Plano Pkwy, Plano, Texas 75075. The "AT&T Foundry innovation centers are fast-paced and collaborative environments where AT&T teams work with developers to deliver the latest apps, products and services to market faster than ever before," and "developers work with AT&T

<sup>&</sup>lt;sup>2</sup> See, e.g., <u>https://www.att.com/stores</u> (last visited March 8, 2023).

technology experts, access resources they can't get anywhere else, and accelerate their innovations."<sup>3</sup> On information and belief, this AT&T Foundry is used to design, test, use, promote, and sell AT&T telecommunication services including the 5G and 5G+ services accused of infringement in this action. The AT&T Foundry is physically located within the district and is a regular and established place of business of AT&T.

14. AT&T has numerous employees who work in the State of Texas and in this judicial district. AT&T represents that its headquarters are in Dallas, Texas. https://www.headquartersinfo.com/att-headquarters-information/ (last visited March 8, 2023).

15. AT&T has solicited business in the State of Texas, transacted business within the State of Texas and attempted to derive financial benefit from residents of the State of Texas, including benefits directly related to the instant patent infringement cause of action set forth herein.

16. AT&T has manufactured, used, sold, and/or offered for sale the AT&T Networks, including 5G and 5G+ service, in the State of Texas and this judicial district.

17. At the time of filing of this Complaint, the AT&T Networks, including 5G and 5G+ service, are available to consumers in Texas, including within this judicial district.

18. The AT&T Networks, which are available in this judicial district, are accused of infringement in this Complaint.

19. AT&T derives benefits from its presence in this federal judicial district, including, but not limited to, sales revenue. For example, AT&T receives revenue from its corporate stores in this district, by selling network access and services and by receiving payment for its network access and services.

<sup>&</sup>lt;sup>3</sup> <u>https://www.att.com/Common/about\_us/pdf/infographic\_foundry\_overview.pdf</u> (last visited March 8, 2023)

20. AT&T's commission of acts of infringement, and the presence of AT&T retail stores and foundry in the Eastern District of Texas, establishes venue over it under 28 U.S.C. § 1400(b). *See, e.g., Intellectual Ventures II LLC v. FedEx Corp.*, Case No. 16-cv-980-JRG, 2017 WL 5630023, at \*6–7 (E.D. Tex. Nov. 22, 2017) (Gilstrap, J.) (venue proper based on defendants' "physical retail and service locations").

21. In other recent actions, AT&T has either admitted or not contested that this federal judicial district is a proper venue for patent infringement actions against it. *See, e.g.,* Answer, at 3, ¶ 14, *Kaifi, LLC v. AT&T Corp. et al.*, Case No. 2:19-cv-138 (E.D. Tex. Jul. 08, 2019), ECF No. 17; Answer at 2, ¶ 6, *Ramrod Licensing LLC v. AT&T Mobility LLC*, Case No. 2:21-cv-117 (E.D. Tex. Jul. 08, 2021), ECF No. 21; Answer at 2, 3, ¶ 6, *Finesse Wireless LLC v. AT&T Mobility LLC*, Case No. 2:21-cv-063 (E.D. Tex. May 04, 2021), ECF No. 24; Answer at 2, 3, ¶ 6, *Finesse Wireless LLC v. AT&T Mobility LLC*, Case No. 2:21-cv-063 (E.D. Tex. May 04, 2021), ECF No. 24; Answer at 2, 3, ¶ 6, *Finesse Wireless LLC v. AT&T Mobility LLC*, Case No. 2:21-cv-316 (E.D. Tex. Sep. 03, 2021), ECF No. 14.

22. Venue as to AT&T is proper in this judicial district under 28 U.S.C. §§1391(b)-(c) and 1400(b) at least because AT&T has committed acts of infringement in this judicial district and has a regular and established place of business in this judicial district. Each Defendant makes, uses, sells, offers to sell, and/or imports products and/or services accused of infringement in this case into and/or within this judicial district and maintains a permanent and/or continuing presence within this judicial district. On information and belief, each Defendant has transacted and, at the time of the filing of the Complaint, is continuing to transact business within this judicial district.

23. AT&T is subject to personal jurisdiction under the provisions of the Texas Long Arm Statute, TX CIV. PRAC. & REM CODE § 17.041 *et seq.*, by virtue of the fact that, upon information and belief, AT&T has availed itself of the privilege of conducting and soliciting business within this State, including engaging in at least some of the infringing activities in this State, as well as by others acting as AT&T's agents and/or representatives, such that it would be reasonable for this Court to exercise jurisdiction consistent with principles underlying the U.S. Constitution, and the exercise of jurisdiction by this Court would not offend traditional notions of fair play and substantial justice.

24. On information and belief, AT&T has also established minimum contacts with this judicial district and regularly transacts and does business within this district, including advertising, promoting and selling services in its stores, over the internet, through intermediaries, representatives and/or agents located within this judicial district, that infringe the asserted patents. On further information and belief, AT&T has purposefully directed activities at citizens of this State including those located within this judicial district. On information and belief, AT&T derives substantial revenue from the services it provides to individuals in the state of Texas and in this judicial district.

25. On information and belief, AT&T has purposefully and voluntarily placed its services into the stream of commerce with the expectation that they will be purchased and used by customers located in the State of Texas and the Eastern District of Texas. On information and belief, AT&T's customers in the Eastern District of Texas have purchased and used and continue to purchase and use AT&T's network services.

26. Defendants are properly joined under 35 U.S.C. § 299(a)(1) because, as set forth in greater detail below, on information and belief, Defendants commonly and/or jointly make, use, sell, offer to sell, and/or import infringing instrumentalities, such that at least one right to relief is asserted against Defendants jointly, severally, and in the alternative with respect to the same transactions, occurrences, or series of transactions or occurrences relating to the making, using,

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selling, offering to sell, and/or importing into the United States the same accused instrumentalities, as set forth in greater detail herein.

27. Defendants are properly joined under 35 U.S.C. § 299(a)(2) because, as set forth in greater detail below, on information and belief, Defendants make, use, sell, offer to sell in, and/or import into the United States the same or similar accused instrumentalities, such that questions of fact that are common to all Defendants will arise in this action.

#### BACKGROUND

28. AT&T operates what it calls its 5G and 5G+ networks (collectively, the "AT&T 5G Networks"). The AT&T 5G Networks "cover[] 230M Americans in 14,000 cities and towns and AT&T 5G+ is now available in parts of 38 cities in the U.S."<sup>4</sup>

29. On information and belief, AT&T provides more than 100 million U.S. consumers with communications and entertainment experiences across mobile and broadband.<sup>5</sup> It also serves millions of business customers—including nearly all of the Fortune 1000—with high-speed, highly secure connectivity and smart solutions.<sup>6</sup> "AT&T Communications" generated 2022 revenues of \$117.1 billion.<sup>7</sup> AT&T's 5G Networks cover nearly 288 million people across the United States."<sup>8</sup>

<sup>&</sup>lt;sup>4</sup> See <u>https://about.att.com/story/2021/5g\_strategy.html</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>5</sup> See, e.g., <u>https://investors.att.com/investor-profile</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>6</sup> See, e.g., <u>https://investors.att.com/investor-profile</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>7</sup> See, e.g., <u>https://investors.att.com/investor-profile</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>8</sup> See, e.g., <u>https://investors.att.com/investor-profile</u> (last visited March 9, 2023).

# AT&T Communications

AT&T Communications provides more than 100 million U.S. consumers with communications experiences across mobile and broadband. It uses a variety of platforms to provide broadband connectivity, including higher speeds made possible by our fiber network expansion and wireless network enhancements. AT&T has the best and most reliable 5G network. <sup>1</sup> It also serves nearly all of the Fortune 1000 — with high-speed, highly secure connectivity and smart solutions. 2022 revenues of \$117.1 billion.

100% fiber network now has the ability to serve more than 19 million customer locations, and our 5G network covers nearly 288 million people across the United States. We continue to invest significant capital to expand our network capacity and have been active in obtaining additional spectrum to meet our long-term needs.



30. AT&T states relative to its 5G network: "Designed to surpass the speed of 4G, AT&T 5G mobile technology has the potential to create untold communication possibilities. From enhancing real-time video chats to multi-dimensional entertainment, the power of 5G is generating excitement. AT&T 5G is already available in many communities and is now nationwide."<sup>9</sup> And AT&T's new 5G+ network "provides even faster 5G service, enabling ambitious new experiences and improved connectivity. 5G+ is available in select innovation zones and venues in over 20 states across the US."<sup>10</sup> According to AT&T, 5G is one of "two key areas of focus" for the company.<sup>11</sup>

<sup>&</sup>lt;sup>9</sup> Id.

<sup>&</sup>lt;sup>10</sup> *Id*.

<sup>&</sup>lt;sup>11</sup> See <u>https://investors.att.com/investor-profile</u>.



31. The AT&T "Mobility unit provides nationwide wireless service" and the company's "wireless network powers voice and data services, including high-speed internet over wireless devices" and generated revenues in excess of \$81 billion in 2022.<sup>12</sup>

32. According to the interactive map available on the AT&T website (reproduced below), a majority of the cities in this district have 5G coverage on the AT&T Network. For instance, the cities in this district identified with such coverage on the AT&T Network include Marshall, Plano, Tyler, Nacogdoches, Texarkana and Longview.<sup>13</sup>

<sup>&</sup>lt;sup>12</sup> See, e.g., <u>https://investors.att.com/investor-profile</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>13</sup> See, e.g., <u>https://www.att.com/maps/wireless-coverage.html</u> (last visited March 9, 2023).



33. AT&T encourages prospective customers and visitors to its website to sign up for the AT&T Network claiming, for instance, that its network is "America's most reliable 5G network" and claiming that it is "Fast. Reliable. Secure."<sup>14</sup>

<sup>&</sup>lt;sup>14</sup> See, e.g., <u>https://www.att.com/5g/consumer/</u> (last visited March 9, 2023).



34. 5G is the telephony system defined by the 3<sup>rd</sup> Generation Partnership Project ("3GPP") standards setting organization from Release 15.<sup>15</sup> 3GPP defines "not only the air interface but also the entire mobile system: call and session control, mobility management, service provisioning, etc."<sup>16</sup> 5G is further defined in several phases, with Release 15 specifying "5G phase 1, which introduces a new radio transmission technique and other key concepts such as an industry-grade reliability, an extended modularity, or a faster response time."<sup>17</sup>

35. Two deployment options are defined for 5G: 5G Non-Standalone ("5G NSA") and 5G Standalone ("5G SA").<sup>18</sup> An exemplary diagram of a 5G NSA and a 5G SA network architecture is shown below.<sup>19</sup> In the 5G NSA architecture, the 5G Radio Access Network (AN)

<sup>&</sup>lt;sup>15</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>16</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>17</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>18</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>19</sup> See, e.g., <u>https://www.thefastmode.com/services-and-innovations/21166-softbank-launches-5g-sa-commercial-services-in-japan</u> (last visited March 9, 2023).

and its New Radio (NR) interface are used in conjunction with the existing LTE and EPC infrastructure Core Network (i.e., 4G Radio and 4G Core).<sup>20</sup> This makes the NR technology available to network providers and allows them to enjoy the capacities and lower latency offered by 5G NR without network replacement.<sup>21</sup> On information and belief, AT&T operates a 5G NSA and a 5G SA network.



36. On information and belief, AT&T partnered with other industry players in developing the 5G standard.<sup>22</sup>

37. On information and belief, the AT&T 5G and 5G+ networks comply with 3GPP 5G standards including, for example: TS 38.211, TS 38.212, TS 38.213, TS 38.214, TS 38.321, TS 38.300, TS 38.331, TS 36.300, and TS 37.340.

<sup>&</sup>lt;sup>20</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>21</sup> See, e.g., <u>https://www.3gpp.org/technologies/5g-system-overview</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>22</sup> https://developer.att.com/blog/5g-wireless-network-development (last visited March 8, 2023)

#### COUNT I: INFRINGEMENT OF U.S. PATENT NO. 8,576,803

38. Daingean hereby incorporates and re-alleges paragraphs 1 through 39 as if fully set forth herein.

39. On November 5, 2013, the United States Patent and Trademark Office ("USPTO") duly and legally issued United States Patent No. 8,576,803 ("the '803 Patent"), titled "Communication System."

40. The '803 Patent was assigned to Daingean by Mitsubishi Electric Corporation on August 23, 2022.

41. The '803 Patent is generally directed toward the use of a directed transmission beam that transmits data from a base station to a mobile subscriber station on the basis of channel estimation signals received from mobile subscriber stations and an interference amount at the mobile subscriber stations in adjacent areas contiguous to the base station. As stated in the '803 Patent, "[t]he present invention is made to provide a communication system that can avoid interference from the contiguous areas." *See* '803 Patent at 2:1-2.

42. Daingean holds all rights, title, and interest in and to the '803 Patent, including the right to bring this suit and recover all past, present and future damages for infringement of the '803 Patent. AT&T is not licensed to the '803 Patent, either expressly or implicitly, nor does it enjoy or benefit from any other rights in or to the '803 Patent whatsoever. As such, AT&T's infringement described below has injured, and continues to injure, Daingean.

43. On information and belief, AT&T has infringed directly and continues to infringe directly the '803 Patent in its implementation of AT&T's 5G Networks. The infringing activities include, but are not limited to, the manufacture, use, sale, importation, and/or offer for sale of products and/or services from AT&T for operation on its 5G Networks that are capable of

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transmitting/receiving channel estimation signals, including the Sounding Reference Signal ("SRS"), and directing a transmission beam that is capable of transmitting data from a base station on the basis of SRSs received from mobile subscriber stations and an interference amount at the mobile subscriber stations in adjacent areas of AT&T's 5G Networks (collectively, "Accused Instrumentalities").

44. For example, the Accused Instrumentalities practice and/or are capable of practicing claim 12 of the '803 Patent, which is directed to a base station for a communication system that includes a plurality of base stations and mobile subscriber stations, such as those provided by AT&T in establishing and operating its 5G Networks. The following paragraphs provide details regarding one example of AT&T's infringement, and only as to a single patent claim. Plaintiff reserves its right to provide greater detail and scope via its Infringement Contentions at the time required under any applicable scheduling order.

45. Claim 12 of the '803 Patent states:

12. A base station for a communication system that includes a plurality of said base stations and mobile subscriber stations located respectively in areas corresponding to the plurality of said base stations, each mobile subscriber station in each area transmitting a channel estimation signal to each said base station corresponding to the area and to base stations corresponding to areas contiguous to the area, wherein: said base station transmits data to the mobile subscriber stations using a transmission beam that is directed to the mobile subscriber stations in the corresponding area and is not directed to adjacent mobile subscriber stations in the areas contiguous to the corresponding area, the data being transmitted by the base station to the mobile subscriber stations on the basis of

the channel estimation signal received from the mobile subscriber station in an area corresponding to said base station,

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the channel estimation signals received from the adjacent mobile subscriber stations in the areas contiguous to the area corresponding to said base station that are outside of the area corresponding to said base stations, and an interference amount at the adjacent mobile subscriber stations in the areas contiguous to the area corresponding to the base station.

'803 Patent at 10:7-33.

46. The Accused Instrumentalities implement at least Claim 12 of the '803 Patent.

47. AT&T provides multiple data plans for its 5G networks, as the marketing materials from AT&T make clear. These data plans include providing access to AT&T's 5G networks.<sup>23</sup>

48. On information and belief, the products implemented by AT&T and used in its 5G networks include hardware and/or software that is configured to be capable of transmitting/receiving channel estimation signals, including the Sounding Reference Signal ("SRS"), and directing a transmission beam that is capable of transmitting data from a base station on the basis of SRSs received from mobile subscriber stations and an interference amount at the mobile subscriber stations in adjacent areas of AT&T's 5G network. On information and belief, the products implemented by AT&T and used in its 5G networks conform to and implement technical specifications of the 3GPP 5G Standard, including the portions of the specifications referenced below. For purposes of clarity, the products implemented by AT&T and used in its 5G networks include additional capabilities and features—such as capabilities and features recited by the claims of the '803 Patent—that are not described in or essential to the technical specifications of the 3GPP 5G Standard.

49. The Accused Instrumentalities comprise "[a] base station." AT&T states relative to its 5G network: "Designed to surpass the speed of 4G, AT&T 5G mobile technology has the

<sup>&</sup>lt;sup>23</sup> See, e.g., <u>https://www.att.com/plans/unlimited-data-plans/</u> (last visited March 9, 2023).

potential to create untold communication possibilities. From enhancing real-time video chats to multi-dimensional entertainment, the power of 5G is generating excitement. AT&T 5G is already available in many communities and is now nationwide."<sup>24</sup> And AT&T's new 5G+ network "provides even faster 5G service, enabling ambitious new experiences and improved connectivity. 5G+ is available in select innovation zones and venues in over 20 states across the US."<sup>25</sup>

50. The Accused Instrumentalities comprise "a plurality of said base stations," such as gNodeBs or gNBs, which operate as base stations within a 5G or New Radio (NR) network architecture. The RAN (radio access network) architecture of a 5G network is shown below:<sup>26</sup>



51. The communication system includes "mobile subscriber stations located respectively in areas corresponding to the plurality of said base stations," such as smartphones and

<sup>&</sup>lt;sup>24</sup> Id.

<sup>&</sup>lt;sup>25</sup> Id.

<sup>&</sup>lt;sup>26</sup> See, e.g., TS 38.300 at 14, 16.

handsets that are compatible with and operable on AT&T's 5G Networks. For example, AT&T's network supports various mobile phones that are marketed as "5G capable" to users nationwide of AT&T's 5G Networks.<sup>27</sup>

52. The communication system includes mobile subscriber stations such that "each mobile subscriber station in each area transmitting a channel estimation signal to each said base station corresponding to the area and to base stations corresponding to areas contiguous to the area," as claimed. The Sounding Reference Signal is a signal transmitted on the uplink channel in 5G. SRS resources can be used to estimate channel state information and eigenmodes of the radio channel, which are used for signal transmission. The signal can be utilized by neighboring cells to estimate the strength of received signals and channels.<sup>28</sup>

# Introduction to Sounding Reference Signal 5G NR

Sounding Reference Signal (SRS) is a signal transmitted on the uplink channel in 5G. SRS can be used to estimate channel state information and eigenmodes of the radio channel, which are used for signal transmission. A Sounding Reference Signal is a fixed periodic transmission in the downlink, a signal that is sent by the eNB to all of its UEs. It allows UEs equipped with an SRS capability to measure channel quality; this information is then used by UEs to configure and adjust transmit power levels, optimize duplex configurations, and select transmission modes. Sound Reference Signal (SRS) is a special mandatory transmission that every base station or wireless network component must use when transmitting. This signal can be utilized by neighboring cells to estimate the strength of received signals and channels. The SRS is a useful mechanism for Listen-Before-Send (LBS) operation and is critical for the evaluation of UL/DL COMP operation in 5G as well. With the global growth in the number of connected devices, there has been an increased demand for more mobile networks and more spectrum to meet these growing requirements. The 5G system calls for a massive increase in data transmission capacity and therefore requires efficient use of the available spectrum including its higher bandwidths. The sounding reference signal is a new feature provided by 3GPP to facilitate interference measurement at eNB/RAN nodes.

<sup>&</sup>lt;sup>27</sup> See, e.g., <u>https://www.att.com/buy/phones/browse/5g</u> (last visited March 21, 2023).

<sup>&</sup>lt;sup>28</sup> See, e.g., <u>https://telcomaglobal.com/p/sounding-reference-signal-5g-new-radio</u> (last visited March 21, 2023).

# 5G SRS NR

The SRS 5G is a key component of the 5G standard, which features a massive increase in bandwidth, flexibility, and speed over current 4G technology. It will enable low latency and interference-free connectivity, support for massive numbers of devices, bigger data transfer rates, and higher quality streaming. Sounding Reference Signal 5G (SRS 5G) is a frequency-division duplex (FDD) uplink sounding reference signal for the 5G technology. It is used for mobile device measurements, with the related primary SC4 basic radio performance test emission measure (BPM) featured in TS38.101. SRS 5G is the most advanced sounding reference signal for 5G mobile communications. It is designed to provide a consistent user experience across all 3GPP bands and mode types. SRS 5G features a wide-bandwidth waveform, allowing it to be transmitted simultaneously with other signals and channels at different frequency bands. The Sounding Reference Signal (SRS) is a reference signal which is transmitted in the 5G mobile radio interface, to facilitate measurements of the channel quality of a 5G base station. The SRS uses 3GPP LTE-like standards, to enable compatibility with earlier LTE deployments and allow for early adoption. The SRS 5G is a sounding reference signal that is transmitted by cellular phones. The SRS transmission occurs periodically on a non-primary set of carriers and at appropriate power levels, such that an eNodeB can measure the quality of its synchronization to the User Equipment (UE) that it is serving. SRS for 5G is the signal that helps to build blocks to get ready for 5G. It contains information about signal characteristics like downlink (DL) and uplink (UL) clusters, subframe number, eNodeB identifier, and channel bandwidth. The SRS 5G is a sounding reference signal that is used in conjunction with the Extended Measurement Period.

53. The SRS is further described in TS 38.211, which specifies the physical channels

and modulation in AT&T's 5G Networks.

#### 6.4.1.4 Sounding reference signal

#### 6.4.1.4.1 SRS resource

An SRS resource is configured by the SRS-Resource IE or the SRS-PosResource IE and consists of

- N<sup>SRS</sup><sub>ap</sub> ∈ {1,2,4} antenna ports {p<sub>i</sub>}<sup>N<sup>SRS-1</sup><sub>i=0</sub>, where the number of antenna ports is given by the higher layer parameter *nrofSRS-Ports* if configured, otherwise N<sup>SRS</sup><sub>ap</sub> = 1, and p<sub>i</sub> = 1000 + i when the SRS resource is in a SRS resource set with higher-layer parameter *usage* in *SRS-ResourceSet* not set to 'nonCodebook', or determined according to [6, TS 38.214] when the SRS resource is in a SRS resource set with higher-layer parameter *usage* in *SRS-ResourceSet* set to 'nonCodebook'
  </sup>
- N<sup>SRS</sup><sub>symb</sub> ∈ {1,2,4,8,10,12,14} consecutive OFDM symbols given by the field *nrofSymbols* contained in the higher layer parameter *resourceMapping*
- l<sub>0</sub>, the starting position in the time domain given by l<sub>0</sub> = N<sup>slot</sup><sub>symb</sub> 1 l<sub>offset</sub> where the offset l<sub>offset</sub> ∈ {0,1,...,13} counts symbols backwards from the end of the slot and is given by the field *startPosition* contained in the higher layer parameter *resourceMapping* and l<sub>offset</sub> ≥ N<sup>SRS</sup><sub>symb</sub> 1

k<sub>0</sub>, the frequency-domain starting position of the sounding reference signal

TS 38.211, Section 6.4.1.4 (highlighting added).

54. Additionally, "said base station transmits data to the mobile subscriber stations using a transmission beam that is directed to the mobile subscriber stations in the corresponding area and is not directed to adjacent mobile subscriber stations in the areas contiguous to the corresponding area," as claimed. In AT&T's 5G Networks, the 5G base stations (gNB) direct a beam to maximize

energy to a given mobile subscriber station. For example, in the image below, the base station is directing one beam to one user device and a second beam to a second user device.<sup>29</sup>



55. The Accused Instrumentalities further satisfy the claim element: "the data being transmitted by the base station to the mobile subscriber stations on the basis of the channel estimation signal received from the mobile subscriber station in an area corresponding to said base station." For example, a base station can calculate downlink precoding weights based on the SRS that a user transmits in the uplink.<sup>30</sup>

<sup>&</sup>lt;sup>29</sup> See, e.g., <u>https://images.samsung.com/is/content/samsung/assets/global/business/networks/insights/white-papers/1208\_massive-mimo-for-new-radio/MassiveMIMOforNRTechnicalWhitePaper-v1.2.0.pdf</u> (last visited March 21, 2023).

<sup>&</sup>lt;sup>30</sup> See, e.g., <u>https://images.samsung.com/is/content/samsung/assets/global/business/networks/insights/white-papers/1208\_massive-mimo-for-new-radio/MassiveMIMOforNRTechnicalWhitePaper-v1.2.0.pdf</u> (last visited March 21, 2023).

# SRS-based Single User MIMO

In TDD system where the DL and UL channels are considered reciprocal, a base station can calculate DL precoding weights based on the sounding reference signal that a user transmits in UL. In order to obtain the full MIMO channel, channels at N receive antenna should be distinguished and the user device is obligated to transmit SRS for its individual receive antenna.

56. By using SRS-based MIMO, beamforming can be implemented on the downlink using measurements from the uplink, resulting in the use of fewer resources and less delay than waiting for CSI-RS feedback reports from mobile stations.

57. The Accused Instrumentalities further satisfy the claim element: "the data being transmitted by the base station to the mobile subscriber stations on the basis of . . . the channel estimation signals received from the adjacent mobile subscriber stations in the areas contiguous to the area corresponding to said base station that are outside of the area corresponding to said base station." For example, the number of uplink SRSs for a given bandwidth is limited and are reused across cells, resulting in pilot contamination as SRSs from neighboring cells are received by a given base station. The base stations comprising the AT&T 5G Networks assess this pilot contamination when scheduling resources. On information and belief, the AT&T base stations comprising the AT&T 5G Networks are capable of performing channel estimation for mobile stations in neighboring cells, for example, based on receiving and identifying transmissions of orthogonal SRSs that have been distributed for use by neighboring cells and then generating appropriate nulling for both the uplink (combining weights) and the downlink (precoding weights) for those mobile stations.

58. The Accused Instrumentalities further satisfy the claim element: "the data being transmitted by the base station to the mobile subscriber stations on the basis of . . . an interference amount at the adjacent mobile subscriber stations in the areas contiguous to the area corresponding

to the base station." In AT&T's 5G Networks, an interference level can be determined from measurements on Channel State Information – Interference Measurement ("CSI-IM") resources. A CSI-IM resource typically corresponds to resource elements where nothing is transmitted within the current cell while the activity within the CSI-IM resource in neighboring cells is normal. By measuring the receiver power within a CSI-IM resource, a device can determine an interference level due to transmissions from adjacent cells. Additionally, Channel State Information – Reference Signal ("CSI-RS") resources can be used to determine an interference level by subtracting the expected received signal from what is actually received on the CSI-RS resource, allowing the mobile stations to measure interference on the downlink indirectly. When Zero Power CSI-RS is configured for CSI-IM, the network configured the CSI-IM resources such that they collide with PDSCH resources of neighboring cells.

#### 5.2.2.4 Channel State Information – Interference Measurement (CSI-IM)

The UE can be configured with one or more CSI-IM resource set configuration(s) as indicated by the higher layer parameter *CSI-IM-ResourceSet*. Each CSI-IM resource set consists of  $K \ge 1$  CSI-IM resource(s).

The following parameters are configured via higher layer parameter *CSI-IM-Resource* for each CSI-IM resource configuration:

- csi-IM-ResourceId determines CSI-IM resource configuration identity
- *subcarrierLocation-p0* or *subcarrierLocation-p1* defines subcarrier occupancy of the CSI-IM resource within a slot for *csi-IM-ResourceElementPattern* set to 'pattern0' or 'pattern1', respectively.
- *symbolLocation-p0* or *symbolLocation-p1* defines OFDM symbol location of the CSI-IM resource within a slot for *csi-IM-ResourceElementPattern* set to 'pattern0' or 'pattern1', respectively.
- periodicityAndOffset defines the CSI-IM periodicity and slot offset for periodic/semi-persistent CSI-IM.
- freqBand includes parameters to enable configuration of frequency-occupancy of CSI-IM

In each of the PRBs configured by freqBand, the UE shall assume each CSI-IM resource is located in,

- resource elements (k<sub>CSI-IM</sub>, l<sub>CSI-IM</sub>), (k<sub>CSI-IM</sub>, l<sub>CSI-IM</sub>+1), (k<sub>CSI-IM</sub>+1, l<sub>CSI-IM</sub>) and (k<sub>CSI-IM</sub>+1, l<sub>CSI-IM</sub>+1), if csi-IM-ResourceElementPattern is set to 'pattern0',
- resource elements  $(k_{CSI-IM}, l_{CSI-IM})$ ,  $(k_{CSI-IM} + 1, l_{CSI-IM})$ ,  $(k_{CSI-IM} + 2, l_{CSI-IM})$  and  $(k_{CSI-IM} + 3, l_{CSI-IM})$  if *csi-IM-ResourceElementPattern* is set to 'pattern1',

where  $k_{CSI-IM}$  and  $l_{CSI-IM}$  are the configured frequency-domain location and time-domain location, respectively, given by the higher layer parameters in the above list. TS 38.214, Section 5.2.2.4.

59. Based on the above AT&T directly infringes at least claim 12 of the '803 patent.

60. In addition to direct infringement by making, using, and selling the Accused Instrumentalities, AT&T also indirectly infringes the '803 patent claims. AT&T has knowledge of the '803 Patent at least as of the filing and service of the original Complaint (Dkt. 1) in this case and continues to make, use, sell, and/or offer for sale the Accused Instrumentalities. Where acts constituting direct infringement of the '803 patent are not performed by AT&T, such acts constituting direct infringement of the '803 patent are performed by AT&T's customers or end-users who act at the direction and/or control of AT&T, with AT&T's knowledge.

61. Daingean is informed and believes, and on that basis alleges, that AT&T indirectly infringes at least claim 12 of the '803 patent by active inducement in violation of 35 U.S.C. § 271(b), by at least manufacturing, supplying, distributing, selling, and/or offering for sale the Accused Instrumentalities to its customers with the knowledge and intent that use of those products would constitute direct infringement of the '803 patent.

62. For example, AT&T promotes the advantages of its 5G network relative to other cellular networks. *See* <u>https://www.att.com/5g/consumer/</u> (last visited March 23, 2023). On information and belief, when an AT&T customer with a 5G-compliant device communicates with AT&T's 5G base stations, the customer is using the accused functionality in the Accused Instrumentalities.

63. AT&T also indirectly infringes by contributing to the infringement of, and continuing to contribute to the infringement of, one or more claims of the '803 Patent under 35 U.S.C. § 271(c) and/or 271(f) by selling, offering for sale, and/or importing into the United States, the Accused Instrumentalities. AT&T knows at least as of the date of the filing and service of the

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original Complaint (Dkt. 1) in this case that the accused products and/or services include hardware components and software instructions that work in concert to perform specific, intended functions. Such specific, intended functions, carried out by these hardware and software combinations, are a material part of the inventions of the '803 Patent and are not staple articles of commerce suitable for substantial non-infringing use.

64. The acts of infringement by AT&T have caused damage to Plaintiff, and Plaintiff is entitled to recover from Defendants the damages sustained by Plaintiff as a result of Defendants' wrongful acts in an amount subject to proof at trial. The infringement of the '803 Patent by AT&T has damaged and will continue to damage Plaintiff.

#### COUNT II: INFRINGEMENT OF U.S. PATENT NO. 10,484,976

65. Daingean hereby incorporates and re-alleges paragraphs 1 through 66 as if fully set forth herein.

66. On November 19, 2019, the United States Patent and Trademark Office ("USPTO") duly and legally issued United States Patent No. 10,484,976 ("the '976 Patent"), titled "Signaling, Procedures, User Equipment and Base Stations for Uplink Ultra Reliable Low Latency Communications."

67. The '976 Patent is generally directed toward uplink transmission management to address latency and reliability requirements and potential coexistence issues. The '976 Patent generally discloses transmitting and receiving "a radio resource control message including first information used for configuring a periodicity for an uplink data transmission" and transmitting and receiving on a physical downlink control channel "second information used for indicating an activation for the uplink data transmission." *See* '976 Patent at Abstract. The '976 Patent further discloses transmitting and receiving "confirmation information Medium Access Control (MAC)

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Control Element (CE) for the second information" and transmitting and receiving "uplink data on the physical uplink shared channel based on the first information and second information." *Id.* As disclosed in the '976 Patent, the system receives on the physical downlink control channel "third information used for indicating a deactivation for the uplink data transmission." *Id.* 

68. Daingean holds all rights, title, and interest in and to the '976 Patent, including the right to bring this suit and recover all past, present and future damages for infringement of the '976 Patent. AT&T is not licensed to the '976 Patent, either expressly or implicitly, nor does it enjoy or benefit from any other rights in or to the '976 Patent whatsoever. As such, AT&T's infringement described below has injured, and continues to injure, Daingean.

69. On information and belief, AT&T has infringed directly and continues to infringe directly the '976 Patent in its implementation of its 5G network. The infringing activities include, but are not limited to, the manufacture, use, sale, importation, and/or offer for sale of products and/or services from AT&T that are capable of transmitting or receiving DCI format that may be used activating and/or deactivating an uplink data transmission on the AT&T 5G network (collectively, "Accused Instrumentalities").

70. For example, the Accused Instrumentalities practice and/or are capable of practicing representative claim 2 of the '976 Patent, which is directed to a base station device, such as those provided by AT&T in establishing and operating its 5G networks. The following paragraphs provide details regarding one example of AT&T's infringement, and only as to a single patent claim. Plaintiff reserves its right to provide greater detail and scope via its Infringement Contentions at the time required under any applicable scheduling order.

71. Claim 2 of the '976 Patent states:

2. A base station apparatus that communicates with a user equipment (UE), comprising:

transmitting circuitry configured to transmit a radio resource control (RRC) message comprising first information used for configuring a periodicity,

- the transmitting circuitry configured to transmit a RRC message comprising second information used for configuring a numerology,
- the transmitting circuitry configured to transmit in a common search space of a physical downlink control channel, a downlink control information (DCI) format with cyclic redundancy check (CRC) scrambled by a first radio network identifier (RNTI), the first RNTI being different from a Cell-RNTI (C-RNTI) and a semi-persistent scheduling C-RNTI, the first RNTI being used for indicating an activation and a deactivation for an uplink data transmission on a physical uplink shared channel (PUSCH) based on the periodicity and the numerology; and
- receiving circuitry configured to receive confirmation information Medium Access Control (MAC) Control Element (CE) in a case that third information used for indicating the activation for the uplink data transmission on the PUSCH is comprised in the DCI format with the CRC scrambled by the first RNTI,
- the receiving circuitry configured to receive, based on a transmission of the DCI format comprising the third information, the uplink data transmission on the PUSCH based on the periodicity and the numerology, wherein
- the receiving circuitry is configured to receive confirmation information MAC CE in a case that fourth information used for indicating the deactivation for the uplink data transmission on the PUSCH is comprised in the DCI format with the CRC scrambled by the first RNTI, and
- the confirmation information MAC CE for the DCI format comprising the third information is identified by a MAC protocol data unit (MAC PDU) subheader with a logical channel identifier (LCID),
- the confirmation information MAC CE for the DCI format comprising the fourth information is identified by the MAC PDU subheader with the LCID, and

the same index of the LCID is used for the confirmation information MAC CE for the DCI format comprising the third information and the confirmation information MAC CE for the DCI format comprising the fourth information.

'976 Patent at 39:13-60.

72. The Accused Instrumentalities implement at least Claim 2 of the '976 Patent.

73. AT&T provides multiple data plans for its 5G networks, as the marketing materials from AT&T make clear. These data plans include providing access to AT&T's 5G Networks.<sup>31</sup>

74. On information and belief, the products implemented by AT&T and used in its 5G networks include hardware and/or software that is configured to be capable of transmitting or receiving DCI format that may be used for activating and/or deactivating an uplink data transmission on an AT&T 5G network. On information and belief, the products implemented by AT&T and used in its 5G networks conform to and implement the technical specifications of the 3GPP 5G Standard, including the portions of the specifications referenced below.

75. The Accused Instrumentalities include "[a] base station apparatus that communicates with a user equipment (UE)." For example, AT&T base station devices, such as gNodeBs or gNBs, operate as base stations within a 5G or New Radio (NR) network architecture. The RAN (radio access network) architecture of a 5G network is shown below:<sup>32</sup>

<sup>&</sup>lt;sup>31</sup> See, e.g., <u>https://www.att.com/plans/unlimited-data-plans/</u> (last visited March 9, 2023).

<sup>&</sup>lt;sup>32</sup> See, e.g., TS 38.300 at 14, 16.



76. The Accused Instrumentalities further comprise "transmitting circuitry configured to transmit a radio resource control (RRC) message comprising first information used for configuring a periodicity," as claimed. TS 38.321 provides for "Radio resource control" (RRC). Specifically, there are two types of uplink transmission without dynamic grant: "Type 1 where an uplink grant is provided by RRC, and stored as configured uplink grant" and "Type 2 where an uplink grant is provided by PDCCH, and stored or cleared as configured uplink grant based on L1 signaling indicating configured uplink grant activation or deactivation."<sup>33</sup> As provided in TS 38.321, when the Type 2 grant is configured, RRC configures the parameter: "periodicity: periodicity of the configured grant Type 2."

<sup>&</sup>lt;sup>33</sup> TS 38.321, Section 5.8.2.

# 5.8.2 Uplink

There are two types of transmission without dynamic grant:

- configured grant Type 1 where an uplink grant is provided by RRC, and stored as configured uplink grant;
- configured grant Type 2 where an uplink grant is provided by PDCCH, and stored or cleared as configured uplink grant based on L1 signalling indicating configured uplink grant activation or deactivation.

Type 1 and Type 2 are configured by RRC for a Serving Cell per BWP. Multiple configurations can be active simultaneously only on different Serving Cells. For Type 2, activation and deactivation are independent among the Serving Cells. For the same Serving Cell, the MAC entity is configured with either Type 1 or Type 2.

RRC configures the following parameters when the configured grant Type 2 is configured:

- cs-RNTI: CS-RNTI for activation, deactivation, and retransmission;
- *periodicity*: periodicity of the configured grant Type 2;
- nrofHARQ-Processes: the number of HARQ processes for configured grant.

77. Similarly, TS 38.331 provides the RRC protocol specification and specifies the protocol data units, formats and parameters, including for the Radio Resource Control information elements. As provided in TS 38.331, the information element "*ConfiguredGrantConfig* is used to configure uplink transmission without dynamic grant according to two possible schemes" (*i.e.*, Type 1 or Type 2) and includes the "periodicity" parameter:

– ConfiguredGrantC	Config	
The IE ConfiguredGrantConfig is used to configured via RRC (type1) or provided	o configure uplink transmission without dynamic grant according to two possible schen via the PDCCH (addressed to CS-RNTI) ( <i>type2</i> ).	nes. The actual uplink grant may either be
	ConfiguredGrantConfig information element	
ASN1START TAG-CONFIGUREDGRANTCONFIG-START		
ConfiguredGrantConfig ::=	SEQUENCE {	
	2000	
	3GPP	
Release 15	212	3GPP TS 38.331 V15.17.0 (2022-03)
frequencyHopping cg-DMRS-Configuration mcs-Table mcs-TableTransformPrecoder uci-OnFUSCH resourceAllocation	ENUMERATED (intraSlot, interSlot) DMRS-UplinkConfig, ENUMERATED (qam256, qam64LowSE) ENUMERATED (qam256, qam64LowSE) SetupRelease ( CG-UCI-OnFUSCH ) ENUMERATED (resourceAllocationType), resourceAllocationType1, dyn	OPTIONAL, Need S OPTIONAL, Need S OPTIONAL, Need S OPTIONAL, Need M MuicSwitch ).
rbg-Size powerControlLoopToUse	ENUMERATED (config2) ENUMERATED (n0, n1), p0 DURUNALE control	OPTIONAL, Need S
pu-Pusch-Alpha transformPrecoder nrofHARQ-Processes	ENUMERATED (enabled, disabled) INTEGER(116),	OPTIONAL, Need S
repK repK-RV periodicity	ENUMERATED {n1, n2, n4, n8}, ENUMERATED {s1-0231, s2-0303, s3-0000} ENUMERATED {	OPTIONAL, Need R
	<pre>sym2, sym2, sym1x14, sym2x14, sym4x14, sym5x14, sym5x14, sym6x14, sy sym32x14, sym40x14, sym64x14, sym80x14, sym128x14, sym160x1 sym640x14, sym1024x14, sym1280x14, sym2560x14, sym5x120x14, sym6, sym1x12, sym2x12, sym4x12, sym5x12, sym8x12, sym10x12 sym40x12, sym64x12, sym10x12, sym128x12, sym100x12, sym2560x12</pre>	ml0x14, sym16x14, sym20x14, 4, sym256x14, sym320x14, sym512x14, 2, sym16x12, sym20x12, sym32x12, 112, sym320x12, sym512x12, sym640x12,

78. The Accused Instrumentalities further comprise "the transmitting circuitry configured to transmit a RRC message comprising second information used for configuring a numerology," as claimed. As provided in TS 38.331, the Radio Resource Control information elements include the *BWP* information element, which "is used to configure generic parameters of a bandwidth part." The *BWP* information element includes the parameter "subcarrierSpacing" which, as described, "[c]orresponds to subcarrier spacing according to TS 38.211."

– BWP		
The IE BWP is used to configure generic parameters of a bandwidth part as defined in TS 38.211 [16], clause 4.5, and TS 38.213 [13], clause 12.		
For each serving cell the network configures at least an initial downlink bandwidth part and one (if the serving cell is configured with an uplink) or two (if using supplementary uplink (SUL)) initial uplink bandwidth parts. Furthermore, the network may configure additional uplink and downlink bandwidth parts for a serving cell.		
The uplink and downlink bandwidth part configurations are divided into common and dedicated parameters.		
<b>BWP</b> information element		
ASNISTART TAG-BWP-START BWP ::= SEQUENCE { locationAndBandwidth INTEGER (037949), subcarrierSpacing SubcarrierSpacing, cyclicPrefix ENUMERATED { extended } } TAG-BWP-STOP ASNISTOP	OPTIONAL Need R	

#### subcarrierSpacing

Subcarrier spacing to be used in this BWP for all channels and reference signals unless explicitly configured elsewhere. Corresponds to subcarrier spacing according to TS 38.211 [16], table 4.2-1. The value kHz15 corresponds to µ=0, value kHz30 corresponds to µ=1, and so on. Only the values 15 kHz, 30 kHz, or 60 kHz (FR1), and 60 kHz or 120 kHz (FR2) are applicable. For the initial DL BWP this field has the same value as the field subCarrierSpacingCommon in MIB of the same serving cell. Except for SUL, the network ensures the same subcarrier spacing is used in active DL BWP within a serving cell.

79. The Accused Instrumentalities further comprise the claimed "transmitting circuitry configured to transmit in a common search space of a physical downlink control channel, a downlink control information (DCI) format with cyclic redundancy check (CRC) scrambled by a first radio network identifier (RNTI), the first RNTI being different from a Cell-RNTI (C-RNTI) and a semi-persistent scheduling C-RNTI, the first RNTI being used for indicating an activation and a deactivation for an uplink data transmission on a physical uplink shared channel (PUSCH) based on the periodicity and the numerology." TS 38.213 provides physical layer procedures, including the procedure for determining physical downlink control channel assignment. As provided in TS 38.213, "[a] set of PDCCH candidates for a UE to monitor is defined in terms of PDCCH search space sets." These search space sets include "a Type3-PDCCH CSS set configured by *SearchSpace* in *PDCCH-Config* with *searchSpaceType* = *common* for DCI formats with CRC scrambled by ... CS-RNTI(s)."

# 10.1 UE procedure for determining physical downlink control channel assignment

A set of PDCCH candidates for a UE to monitor is defined in terms of PDCCH search space sets. A search space set can be a CSS set or a USS set. A UE monitors PDCCH candidates in one or more of the following search spaces sets

 a Type3-PDCCH CSS set configured by *SearchSpace* in *PDCCH-Config* with *searchSpaceType* = *common* for DCI formats with CRC scrambled by INT-RNTI, SFI-RNTI, TPC-PUSCH-RNTI, TPC-PUCCH-RNTI, or TPC-SRS-RNTI and, only for the primary cell, C-RNTI, MCS-C-RNTI, or CS-RNTI(s), and

80. When the configured grant Type 2 transmission is configured, the radio resource control configures the parameter "cs-RNTI," which is a configured scheduling radio network temporary identifier. As provided in TS 38.321, the parameter "cs-RNTI" is used for indicating "activation, deactivation and retransmission" for an uplink data transmission.

# 5.8.2 Uplink

There are two types of transmission without dynamic grant:

- configured grant Type 1 where an uplink grant is provided by RRC, and stored as configured uplink grant;
- configured grant Type 2 where an uplink grant is provided by PDCCH, and stored or cleared as configured uplink grant based on L1 signalling indicating configured uplink grant activation or deactivation.

RRC configures the following parameters when the configured grant Type 2 is configured:

- cs-RNTI: CS-RNTI for activation, deactivation, and retransmission;
- *periodicity*: periodicity of the configured grant Type 2;
- nrofHARQ-Processes: the number of HARQ processes for configured grant.
  - 81. As provided in TS 38.214, for "Type 2 PUSCH transmissions with a configured

grant, the resource allocation follows the higher layer configuration according to [10, TS 38.321],

and UL grant received on the DCI."

#### 6.1.2.3 Resource allocation for uplink transmission with configured grant

When PUSCH resource allocation is semi-statically configured by higher layer parameter *configuredGrantConfig* in *BWP-UplinkDedicated* information element, and the PUSCH transmission corresponding to a configured grant, the following higher layer parameters are applied in the transmission:

- For Type 2 PUSCH transmissions with a configured grant: the resource allocation follows the higher layer configuration according to [10, TS 38.321], and UL grant received on the DCI.

The UE shall not transmit anything on the resources configured by *configuredGrantConfig* if the higher layers did not deliver a transport block to transmit on the resources allocated for uplink transmission without grant.

A set of allowed periodicities P are defined in [12, TS 38.331].

82. The Accused Instrumentalities further comprise the claimed "receiving circuitry configured to receive confirmation information Medium Access Control (MAC) Control Element (CE) in a case that third information used for indicating the activation for the uplink data transmission on the PUSCH is comprised in the DCI format with the CRC scrambled by the first RNTI." TS 38.321 provides the Medium Access Control (MAC) protocol procedures for the uplink data transfer. As provided in TS 38.321, if the MAC entity has a CS-RNTI, it triggers configured

uplink grant confirmation "MAC CE" when the PDCCH contents indicate configured grant Type 2

activation.

#### 5.4.1 UL Grant reception

Uplink grant is either received dynamically on the PDCCH, in a Random Access Response, or configured semipersistently by RRC. The MAC entity shall have an uplink grant to transmit on the UL-SCH. To perform the requested transmissions, the MAC layer receives HARQ information from lower layers.

If the MAC entity has a C-RNTI, a Temporary C-RNTI, or CS-RNTI, the MAC entity shall for each PDCCH occasion and for each Serving Cell belonging to a TAG that has a running *timeAlignmentTimer* and for each grant received for this PDCCH occasion:

- 1> else if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI:
  - 2> else if the NDI in the received HARQ information is 0:
    - 3> if PDCCH contents indicate configured grant Type 2 deactivation:
      - 4> trigger configured uplink grant confirmation.
    - 3> else if PDCCH contents indicate configured grant Type 2 activation:
      - 4> trigger configured uplink grant confirmation;
      - 4> store the uplink grant for this Serving Cell and the associated HARQ information as configured uplink grant;
      - 4> initialise or re-initialise the configured uplink grant for this Serving Cell to start in the associated PUSCH duration and to recur according to rules in clause 5.8.2;
      - 4> stop the *configuredGrantTimer* for the corresponding HARQ process, if running;

83. The Accused Instrumentalities further comprise the claimed "receiving circuitry configured to receive, based on a transmission of the DCI format comprising the third information, the uplink data transmission on the PUSCH based on the periodicity and the numerology." As provided in TS 38.214, "[f]or Type 2 PUSCH transmissions with a configured grant: the resource allocation follows the higher layer configuration according to [10, TS 38.321], and UL grant received on the DCI."

#### 6.1.2.3 Resource allocation for uplink transmission with configured grant

When PUSCH resource allocation is semi-statically configured by higher layer parameter *configuredGrantConfig* in *BWP-UplinkDedicated* information element, and the PUSCH transmission corresponding to a configured grant, the following higher layer parameters are applied in the transmission:

- For Type 2 PUSCH transmissions with a configured grant: the resource allocation follows the higher layer configuration according to [10, TS 38.321], and UL grant received on the DCI.

The UE shall not transmit anything on the resources configured by *configuredGrantConfig* if the higher layers did not deliver a transport block to transmit on the resources allocated for uplink transmission without grant.

A set of allowed periodicities P are defined in [12, TS 38.331].

84. TS 38.321 further provides that "if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI," and "if the PDCCH contents indicate configured grant Type 2 activation" then the MAC entity stores the uplink grant for the Serving Cell and the associated HARQ information as configured uplink grant. Under TS 38.321, "[f]or each Serving Cell and each configured uplink grant, if configured and activated, the MAC entity shall . . . deliver the configured uplink grant and the associated HARQ information to the HARQ entity."

# 5.4 UL-SCH data transfer

#### 5.4.1 UL Grant reception

Uplink grant is either received dynamically on the PDCCH, in a Random Access Response, or configured semipersistently by RRC. The MAC entity shall have an uplink grant to transmit on the UL-SCH. To perform the requested transmissions, the MAC layer receives HARQ information from lower layers.

If the MAC entity has a C-RNTI, a Temporary C-RNTI, or CS-RNTI, the MAC entity shall for each PDCCH occasion and for each Serving Cell belonging to a TAG that has a running *timeAlignmentTimer* and for each grant received for this PDCCH occasion:

3> else if PDCCH contents indicate configured grant Type 2 activation:

- 4> trigger configured uplink grant confirmation;
- 4> store the uplink grant for this Serving Cell and the associated HARQ information as configured uplink grant;
- 4> initialise or re-initialise the configured uplink grant for this Serving Cell to start in the associated PUSCH duration and to recur according to rules in clause 5.8.2;
- 4> stop the *configuredGrantTimer* for the corresponding HARQ process, if running;

For each Serving Cell and each configured uplink grant, if configured and activated, the MAC entity shall:

1> if the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received on the PDCCH or in a Random Access Response for this Serving Cell:

2> set the HARQ Process ID to the HARQ Process ID associated with this PUSCH duration;

2> if the *configuredGrantTimer* for the corresponding HARQ process is not running:

3> consider the NDI bit for the corresponding HARQ process to have been toggled;

3> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

85. The Accused Instrumentalities further comprise "the receiving circuitry is configured to receive confirmation information MAC CE in a case that fourth information used for indicating the deactivation for the uplink data transmission on the PUSCH is comprised in the DCI format with the CRC scrambled by the first RNTI," as claimed. As provided in TS 38.321, "if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI" and "if PDCCH contents indicate configured grant Type 2 deactivation," the MAC entity triggers configured uplink grant confirmation "MAC CE."

## 5.4.1 UL Grant reception

Uplink grant is either received dynamically on the PDCCH, in a Random Access Response, or configured semipersistently by RRC. The MAC entity shall have an uplink grant to transmit on the UL-SCH. To perform the requested transmissions, the MAC layer receives HARQ information from lower layers.

If the MAC entity has a C-RNTI, a Temporary C-RNTI, or CS-RNTI, the MAC entity shall for each PDCCH occasion and for each Serving Cell belonging to a TAG that has a running *timeAlignmentTimer* and for each grant received for this PDCCH occasion:

- 1> else if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI:
  - 2> else if the NDI in the received HARQ information is 0:
    - 3> if PDCCH contents indicate configured grant Type 2 deactivation:
      - 4> trigger configured uplink grant confirmation.

86. The Accused Instrumentalities further comprise "the confirmation information MAC CE for the DCI format comprising the third information is identified by a MAC protocol data unit (MAC PDU) subheader with a logical channel identifier (LCID), the confirmation information MAC CE for the DCI format comprising the fourth information is identified by the MAC PDU subheader with the LCID, and the same index of the LCID is used for the confirmation information MAC CE for the DCI format comprising the third information and the confirmation information MAC CE for the DCI format comprising the fourth information and the confirmation information MAC CE for the DCI format comprising the fourth information," as claimed. TS 38.321 provides the MAC Control Elements, including the Configured Grant Confirmation MAC CE. As provided in TS 38.321, "[t]he Configured Grant Confirmation MAC CE is identified by a MAC subheader with LCID as specified in Table 6.2.1-2."

#### 6.1.3.7 Configured Grant Confirmation MAC CE

The Configured Grant Confirmation MAC CE is identified by a MAC subheader with LCID as specified in Table 6.2.1-2.

## 6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1 and 6.2.1-2 for the DL-SCH and UL-SCH respectively. There is one LCID field per MAC subheader. The size of the LCID field is 6 bits;

Table 6.2	.1-2 Values of LCID for UL-SCH	
Index	LCID values	
0	CCCH of size 64 bits (referred to as	
	"CCCH1" in TS 38.331 [5])	
1–32	Identity of the logical channel	
33–51	Reserved	
52	CCCH of size 48 bits (referred to as	
	"CCCH" in TS 38.331 [5])	
53	Recommended bit rate query	
54	Multiple Entry PHR (four octets Ci)	
55	Configured Grant Confirmation	
56	Multiple Entry PHR (one octet Ci)	
57	Single Entry PHR	
58	C-RNTI	
59	Short Truncated BSR	
60	Long Truncated BSR	
61	Short BSR	
62	Long BSR	
63	Padding	

87. Because of its conformance with the applicable 5G standards, on information and belief AT&T directly infringes at least claim 2 of the '976 patent.

88. In addition to direct infringement by making, using, and selling the Accused Instrumentalities, AT&T also indirectly infringes the '976 patent claims. AT&T has knowledge of the '976 Patent at least as of the filing and service of the original Complaint (Dkt. 1) in this case and continues to make, use, sell, and/or offer for sale the Accused Instrumentalities. Where acts constituting direct infringement of the '976 patent are not performed by AT&T, such acts constituting direct infringement of the '976 patent are performed by AT&T's customers or end-users who act at the direction and/or control of AT&T, with AT&T's knowledge.

89. Daingean is informed and believes, and on that basis alleges, that AT&T indirectly infringes at least claim 2 of the '976 patent by active inducement in violation of 35 U.S.C. § 271(b),

by at least manufacturing, supplying, distributing, selling, and/or offering for sale the Accused Instrumentalities to its customers with the knowledge and intent that use of those products would constitute direct infringement of the '976 patent.

90. For example, AT&T affirmatively promotes the advantages of its 5G network relative to other cellular networks. *See* <u>https://www.att.com/5g/consumer/</u> (last visited March 23, 2023). On information and belief, when an AT&T customer with a 5G-compliant device communicates with AT&T's 5G base stations, the customer is using the accused functionality in the Accused Instrumentalities.

91. AT&T also indirectly infringes by contributing to the infringement of, and continuing to contribute to the infringement of, one or more claims of the '976 Patent under 35 U.S.C. § 271(c) and/or 271(f) by selling, offering for sale, and/or importing into the United States, the Accused Instrumentalities. AT&T knows at least as of the date of the filing and service of the original Complaint (Dkt. 1) in this case that the accused products and/or services include hardware components and software instructions that work in concert to perform specific, intended functions. Such specific, intended functions, carried out by these hardware and software combinations, are a material part of the inventions of the '976 Patent and are not staple articles of commerce suitable for substantial non-infringing use.

92. The acts of infringement by AT&T have caused damage to Plaintiff, and Plaintiff is entitled to recover from Defendants the damages sustained by Plaintiff as a result of Defendants' wrongful acts in an amount subject to proof at trial. The infringement of the '976 Patent by AT&T has damaged and will continue to damage Plaintiff.

#### COUNT III: INFRINGEMENT OF U.S. PATENT NO. 10,841,958

93. Daingean hereby incorporates and re-alleges paragraphs 1 through 94 as if fully set forth herein.

94. On November 17, 2020, the United States Patent and Trademark Office ("USPTO") duly and legally issued United States Patent No. 10,841,958 ("the '958 Patent"), titled "Access Node, a Method for an Access Node, a Wireless Terminal, and a Method for a Wireless Terminal."

95. The inventions disclosed in the '958 Patent provide methods and apparatuses that "use a value tag in conjunction with transmission and/or processing of system information in a wireless communication network, especially in conjunction with second type system information or non-essentially type system information. In certain example embodiment and modes, an access node (22) may initiate broadcasting second type system information when its content gets updated, in order to avoid many on-demand delivery requests from wireless terminals." '958 Patent, Abstract. These inventions provide technological solutions to the network-centric problem of signaling essential and non-essential system information, managing the allocation of network resources for use by a plurality of user devices in a broadcast or on-demand fashion, and providing updates to user devices when such information changes. See id. Prior to the claimed invention, "the eNB periodically broadcasts all SIBs relevant for offered services, not just SIBs that are required for access to the system. ... this approach may result in wasting valuable radio resources...." '958 at 1:34-58. The '958 teaches embodiments in which the base station may broadcast just a first type of essential SIB that indicates which other second-type non-essential SIBs are available, whether those other SIBs have changed, and whether they are available via broadcast or on-demand, and enable the UE to request on-demand delivery of second-type SIBs on an as-needed basis. These examples, along with the other claimed improvements of the '958 Patent, solve the particular network-oriented problems associated with system information signaling, bandwidth scarcity, and efficient network functioning. The claimed inventions of the '958 Patent thereby improve the functioning of wireless network devices participating in a network.

96. Daingean holds all rights, title, and interest in and to the '958 Patent, including the right to bring this suit and recover all past, present and future damages for infringement of the '958 Patent. AT&T is not licensed to the '958 Patent, either expressly or implicitly, nor does it enjoy or benefit from any other rights in or to the '958 Patent whatsoever. As such, AT&T's infringement described below has injured, and continues to injure, Daingean.

97. On information and belief, AT&T has infringed directly and continues to infringe directly the '958 Patent. The infringing acts include, but are not limited to, the manufacture, use, sale, importation, and/or offer for sale of products and/or services from AT&T that practice or implement the asserted claims, including for example, wireless network devices that generate, transmit, and/or receive the claimed SIB blocks and associated information (collectively, "Accused Instrumentalities").

98. For example, the Accused Instrumentalities practice and/or are capable of practicing representative claim 1 of the '958 Patent. The following paragraphs provide details regarding only one example of AT&T's infringement, and only as to a single patent claim. Plaintiff reserves its right to provide greater detail and scope via its Infringement Contentions at the time required under this Court's scheduling order.

99. Claim 1 of the '958 Patent states:

1. An access node of a radio access network that communicates over a radio interface with a wireless terminal, the access node comprising:

processor circuitry configured:

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- to generate a first type system information block (SIB) comprising information and a value tag, the information indicating whether one or more associated second type system information blocks are provided by broadcast or on-demand, the value tag being updated upon a change of a corresponding second type SIB, the first type SIB being required for the wireless terminal to perform an initial access to the radio access network;
- upon a change of a second type SIB whose associated information indicated before the change that the second type SIB was provided on-demand, to include in the first type SIB the information associated with the second type SIB indicating that the second type SIB is provided by broadcast;

transmitter circuitry configured to:

transmit the first type SIB;

transmit a message that triggers the wireless terminal to receive the first type SIB, wherein;

whether or not the second type SIB has changed is determined based on a value tag, associated with the second type SIB, stored in the wireless terminal, and the value tag included in the first type SIB corresponding to the second type SIB.

'958 Patent at 27:28-55.

100. As alleged and shown above, AT&T's Accused Instrumentalities comply with the 5G standard, including at least 3GPP release version 15 and/or later releases and/or versions of the 3GPP standards.

101. The Accused Instrumentalities possess the recited capabilities of claim 1. The Accused Instrumentalities comprise "an access node of a radio access network that communicates over a radio interface with a wireless terminal," as claimed. The accused instrumentalities include, for example, base stations, cell towers, eNodeB, and/or gNodeB devices. These devices are access

nodes of AT&T's radio access network, and communicate with wireless terminals (such as User Equipment) over a radio interface.

102. The Accused Instrumentalities include processor circuitry configured with the recited capabilities of '958 claim 1.

103. The Accused Instrumentalities "generate a first type system information block (SIB) comprising information and a value tag, the information indicating whether one or more associated second type system information blocks are provided by broadcast or on-demand, the value tag being updated upon a change of a corresponding second type SIB, the first type SIB being required for the wireless terminal to perform an initial access to the radio access network," as claimed. This is shown, for example in the following portions of the 3GPP specifications, TS 38.331 and TS 38.300:

# 5.2.1 Introduction

System Information (SI) is divided into the MIB and a number of SIBs where:

- the *MIB* is always transmitted on the BCH with a periodicity of 80 ms and repetitions made within 80 ms (TS 38.212 [17], clause 7.1) and it includes parameters that are needed to acquire *SIB1* from the cell. The first transmission of the *MIB* is scheduled in subframes as defined in TS 38.213 [13], clause 4.1 and repetitions are scheduled according to the period of SSB;
- the *SIB1* is transmitted on the DL-SCH with a periodicity of 160 ms and variable transmission repetition periodicity within 160 ms as specified in TS 38.213 [13], clause 13. The default transmission repetition periodicity of *SIB1* is 20 ms but the actual transmission repetition periodicity is up to network implementation. For SSB and CORESET multiplexing pattern 1, *SIB1* repetition transmission period is 20 ms. For SSB and

CORESET multiplexing pattern 2/3, *SIB1* transmission repetition period is the same as the SSB period (TS 38.213 [13], clause 13). *SIB1* includes information regarding the availability and scheduling (e.g. mapping of SIBs to SI message, periodicity, SI-window size) of other SIBs with an indication whether one or more SIBs are only provided on-demand and, in that case, the configuration needed by the UE to perform the SI request. *SIB1* is cell-specific SIB;

SIBs other than SIB1 are carried in SystemInformation (SI) messages, which are transmitted on the DL-SCH. Only SIBs having the same periodicity can be mapped to the same SI message. Each SI message is transmitted within periodically occurring time domain windows (referred to as SI-windows with same length for all SI messages). Each SI message is associated with an SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI message is transmitted. An SI message may be transmitted a number of times within the SI-window. Any SIB except SIB1 can be configured to be cell specific or area specific, using an indication in SIB1. The cell specific SIB is applicable only within a cell that provides the SIB while the area specific SIB is applicable within an area referred to as SI area, which consists of one or several cells and is identified by systemInformationAreaID;

TS 38.331, Section 5.2.1 (highlighting added).

6.2.2	Message definitions				
_	SIB1				
	0.21				
SIB1 contai	ns information relevant when eval	uating if a UE is allowed to access a cell an	d defines the scheduling of other sy	vstem informa	tion. It also contains radio resource
configuratio	on information that is common for	all UEs and barring information applied to	the unified access control.		
Signalli	ng radio bearer: N/A				
RLC-SA	AP: TM				
Logical	channels: BCCH				
Directio	n: Network to UE				
		SIB1 m	0562300		
		3/67 11	lessage		
ASN1STA	ART				
TAG-SIE	1-START				
SIB1 ::=	SEQUENCE {				
cellSe	electionInfo	SEQUENCE {			
-P	RxLevMin	Q-RxLevMin,		00000000	March 0
q- 	RALevMinoliset.	O-Bulowin		OPTIONAL,	Need S
q (1-	OualMin	0-OualMin		OPTIONAL,	Need S
- -		INTEGER (18)		OPTIONAL.	Need S
3				OPTIONAL.	Cond Standalone
cellAc	cessRelatedInfo	CellAccessRelatedInfo,		,	
connEs	tFailureControl	ConnEstFailureControl		OPTIONAL,	Need R
si-Sch	edulingInfo	SI-SchedulingInfo		OPTIONAL,	Need R
servin	gCellConfigCommon	ServingCellConfigCommonSIB		OPTIONAL,	Need R
ims-Em	ergencySupport	ENUMERATED {true}		OPTIONAL,	Need R
eCallC	verIMS-Support	ENUMERATED {true}		OPTIONAL,	Cond Absent
ue-Tim	wersAndConstants	UE-TimersAndConstants		OPTIONAL,	Need R
112C-P2	rringInfo	SPOURNCE (			
uac-ba	c=BarringForCommon	HAC-BarringDerCatList		OPTIONAL.	== Need S
113	c-BarringPerPLMN-List	UAC-BarringPerPLMN-List		OPTIONAL,	Need S
113	c-BarringInfoSetList	UAC-BarringInfoSetList.		or rrowing,	Nood D
ua	c-AccessCategorv1-SelectionA	ssistanceInfo CHOICE {			
	plmnCommon	UAC-AccessCategory1-Select	tionAssistanceInfo,		
	individualPLMNList	SEQUENCE (SIZE (2maxPLM	N)) OF UAC-AccessCategory1-Sel	lectionAssis	tanceInfo
}				OPTIONAL	Need S
}				OPTIONAL,	Need R
useFul	lResumeID	ENUMERATED {true}		OPTIONAL,	Need R
lateNo	onCriticalExtension	OCTET STRING		OPTIONAL.	
nonCri	ticalExtension	SEQUENCE { }		OPTIONAL	
}					

TS 38.331, Section 6.2.2 (highlighting added).

6.3.2 Radio resource o	ontrol information elements
– SI-SchedulingInfo	
The IE SI-SchedulingInfo contains inform	nation needed for acquisition of SI messages.
	SI-SchedulingInfo information element
ASN1START TAG-SI-SCHEDULINGINFO-START	
SI-SchedulingInfo ::= schedulingInfoList si-WindowLength si-RequestConfig	SEQUENCE { <u>SEQUENCE (SIZE (1max8I-Message)) OF SchedulingInfo</u> , ENUMERATED (s5, s10, s20, s40, s80, s160, s320, s640, s1280), SI-RequestConfig OPTIONAL, Cond MSG-1
<pre>si-RequestConfigSUL systemInformationAreaID }</pre>	SI-RequestConfig OPTIONAL, Cond SUL-MSG-1 BIT STRING (SIZE (24)) OPTIONAL, Need R
SchedulingInfo ::=	SEQUENCE {
si-Periodicity sib-MappingInfo	ENUMERATED (rf8, rf16, rf32, rf64, rf128, rf256, rf512), SIB-Mapping
}	
SIB-Mapping ::=	SEQUENCE (SIZE (1maxSIB)) OF SIB-TypeInfo
SIB-TypeInfo ::= type	SEQUENCE { ENUMERATED (sibType2, sibType3, sibType4, sibType5, sibType6, sibType7, sibType8, sibType9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1, },
valueTag areaScope	INTEGER (031) OPTIONAL, Cond SIB-TYPE
}	
Configuration for Msgl based SI SI-RequestConfig ::=	Request SECUENCE {
rach-OccasionSSI rach-ConfigSI ssb-perRACH-Occasion }	SEQUENCE { RACH-ConfigGeneric, ENUMERATED {oneEighth, oneFourth, oneHalf, one, two, four, eight, sixteen} OPTIONAL, Need R
<pre>si-RequestPeriod si-RequestResources }</pre>	ENUMERATED (one, two, four, six, eight, ten, twelve, sixteen) OPTIONAL, Need R SEQUENCE (SIZE (1maxSI-Message)) OF SI-RequestResources
<pre>SI-RequestResources ::=     ra-breambleStartIndex     ra-AssociationPeriodIndex     ra-ssb-OccasionMaskIndex }</pre>	SEQUENCE ( INTEGER (063), INTEGER (015) OPTIONAL, Need R INTEGER (015) OPTIONAL Need R
TAG-SI-SCHEDULINGINFO-STOP ASN1STOP	

TS 38.331, Section 6.3.2 (highlighting added).

#### 5.2.2.2 SIB validity and need to (re)-acquire SIB

#### 5.2.2.2.1 SIB validity

The UE shall apply the SI acquisition procedure as defined in clause 5.2.2.3 upon cell selection (e.g. upon power on), cell-reselection, return from out of coverage, after reconfiguration with sync completion, after entering the network from another RAT, upon receiving an indication that the system information has changed, upon receiving a PWS notification; and whenever the UE does not have a valid version of a stored SIB.

When the UE acquires a *MIB* or a *SIB1* or an SI message in a serving cell as described in clause 5.2.2.3, and if the UE stores the acquired SIB, then the UE shall store the associated *areaScope*, if present, the first *PLMN-Identity* in the *PLMN-IdentityInfoList*, the *cellIdentity*, the *systemInformationAreaID*, if present, and the *valueTag*, if present, as indicated in the *si-SchedulingInfo* for the SIB. The UE may use a valid stored version of the SI except *MIB*, *SIB1*, *SIB6*, *SIB7* or *SIB8* e.g. after cell re-selection, upon return from out of coverage or after the reception of SI change indication.

NOTE: The storage and management of the stored SIBs in addition to the SIBs valid for the current serving cell is left to UE implementation.

The UE shall:

- 1> delete any stored version of a SIB after 3 hours from the moment it was successfully confirmed as valid;
- 1> for each stored version of a SIB:
  - 2> if the *areaScope* is associated and its value for the stored version of the SIB is the same as the value received in the *si-SchedulingInfo* for that SIB from the serving cell:
    - 3> if the first *PLMN-Identity* included in the *PLMN-IdentityInfoList*, the *systemInformationAreaID* and the valueTag that are included in the *si-SchedulingInfo* for the SIB received from the serving cell are identical to the *PLMN-Identity*, the *systemInformationAreaID* and the valueTag associated with the stored version of that SIB:
      - 4> consider the stored SIB as valid for the cell;
  - 2> if the areaScope is not present for the stored version of the SIB and the areaScope value is not included in the si-SchedulingInfo for that SIB from the serving cell:
    - 3> if the first PLMN-Identity in the PLMN-IdentityInfoList, the cellIdentity and valueTag that are included in the si-SchedulingInfo for the SIB received from the serving cell are identical to the PLMN-Identity, the cellIdentity and the valueTag associated with the stored version of that SIB:

4> consider the stored SIB as valid for the cell;

#### TS 38.331, Section 5.2.2.2 (highlighting added).

SchedulingInfo field descriptions
areaScope
Indicates that a SIB is area specific. If the field is absent, the SIB is cell specific.
si-BroadcastStatus
Indicates if the SI message is being broadcasted or not. Change of si-BroadcastStatus should not result in system information change notifications in Short Message
transmitted with P-RNTI over DCI (see clause 6.5). The value of the indication is valid until the end of the BCCH modification period when set to broadcasting.
si-Periodicity
Periodicity of the SI-message in radio frames. Value n8 corresponds to 8 radio frames, value n16 corresponds to 16 radio frames, and so on.

TS 38.331, Section 6.3.2 (highlighting added).

# 7.3.3 SI Modification

Change of system information (other than for ETWS/CMAS, see clause 16.4) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period is configured by system information.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. Upon receiving a change notification, the UE acquires the new system information from the start of the next modification period. The UE applies the previously acquired system information until the UE acquires the new system information.

TS 38.300, Section 7.3.3 (highlighting added).

# 7.3 System Information Handling

# 7.3.1 Overview

System Information (SI) consists of a MIB and a number of SIBs, which are divided into Minimum SI and Other SI:

- **Minimum SI** comprises basic information required for initial access and information for acquiring any other SI. Minimum SI consists of:
  - *MIB* contains cell barred status information and essential physical layer information of the cell required to receive further system information, e.g. CORESET#0 configuration. *MIB* is periodically broadcast on BCH.
  - SIB1 defines the scheduling of other system information blocks and contains information required for initial access. SIB1 is also referred to as Remaining Minimum SI (RMSI) and is periodically broadcast on DL-SCH or sent in a dedicated manner on DL-SCH to UEs in RRC\_CONNECTED.
- Other SI encompasses all SIBs not broadcast in the Minimum SI. Those SIBs can either be periodically broadcast on DL-SCH, broadcast on-demand on DL-SCH (i.e. upon request from UEs in RRC\_IDLE or RRC\_INACTIVE), or sent in a dedicated manner on DL-SCH to UEs in RRC\_CONNECTED. Other SI consists of:

TS 38.300, Section 7.3.1 (highlighting added).

#### 5.2.2.2 SIB validity and need to (re)-acquire SIB

5.2.2.2.1 SIB validity

The UE shall apply the SI acquisition procedure as defined in clause 5.2.2.3 upon cell selection (<u>e.g.</u> upon power on), cell-reselection, return from out of coverage, after reconfiguration with sync completion, after entering the network from another RAT, upon receiving an indication that the system information has changed, upon receiving a PWS notification; and whenever the UE does not have a valid version of a stored SIB.

TS 38.331, Section 5.2.2.2.1 (highlighting added).

5.2.2.4.2 Actions upon reception of the *SIB1* 

Upon receiving the **SIB1** the UE shall:

- 4> if the UE has not stored a valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, of one or several required <u>SIB(s)</u>, in accordance with sub-clause 5.2.2.1:
  - 5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:
    - 6> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;
  - 5> for the SI message(s) that, according to the si-SchedulingInfo, contain at least one required SIB and for which si-BroadcastStatus is set to notBroadcasting:
    - 6> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3;

TS 38.331, Section 5.2.2.4.2 (highlighting added).

104. As shown in the example above, a first type system information block comprising "SIB1" is generated by the Accused Instrumentality. This SIB1 block includes "information indicating whether one or more associated second type system information blocks are provided by broadcast or on-demand," as claimed. Second type system information blocks are identified in the fields SIB-TypeInfo and/or SIB-Mapping of the SI-SchedulingInfo field. The field si-BroadcastStatus indicates whether one or more associated second type system information blocks are provided by broadcast or on-demand.

105. As shown in the example above, the SIB1 block includes "a value tag ... the value tag being updated upon a change of a corresponding second type SIB," as claimed. The value tag for each second-type system information block is stored in the vector valueTag of the SI-SchedulingInfo field. When a second type SIB changes, its corresponding value tag is updated.

106. As shown in the example above, "the first type SIB [is] required for the wireless terminal to perform an initial access to the radio access network," as claimed. SIB1 is part of the "Minimum SI" which is "basic information required for initial access" to the radio access network.

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107. As shown in the example above, the Accused Instrumentalities are capable of "upon a change of a second type SIB whose associated information indicated before the change that the second type SIB was provided on-demand, to include in the first type SIB the information associated with the second type SIB indicating that the second type SIB is provided by broadcast," as claimed. As shown above, "When the network changes (some of the) system information, it first notifies the UEs about this change... In the next modification period, the network transmits the updated system information." The Accused Instrumentalities modify the si-BroadcastStatus field in SIB1 to indicate that the corresponding second-type SIB is provided by broadcast.

108. As shown in the example above, the Accused Instrumentalities comprise the claimed "transmitter circuitry configured to transmit the first type SIB." For example, the 3GPP specification states "the SIB1 is transmitted on the DL-SCH with a periodicity of 160 ms....".

109. The Accused Instrumentalities "transmit a message that triggers the wireless terminal to receive the first type SIB," as claimed. For example, TS 38.331 describes the Short Message that triggers acquisition of SIB1:

#### 5.2.2.2.2 SI change indication and PWS notification

A modification period is used, i.e. updated SI (other than for ETWS and CMAS) is broadcasted in the modification period following the one where SI change indication is transmitted. The modification period boundaries are defined by SFN values for which SFN mod m = 0, where m is the number of radio frames comprising the modification period. The modification period is configured by system information. The UE receives indications about SI modifications and/or PWS notifications using Short Message transmitted with P-RNTI over DCI (see clause 6.5). Repetitions of SI change indication may occur within preceding modification period.

UEs in RRC\_IDLE or in RRC\_INACTIVE shall monitor for SI change indication in its own paging occasion every DRX cycle. UEs in RRC\_CONNECTED shall monitor for SI change indication in any paging occasion at least once per modification period if the UE is provided with common search space on the active BWP to monitor paging, as specified in TS 38.213 [13], clause 13.

ETWS or CMAS capable UEs in RRC\_IDLE or in RRC\_INACTIVE shall monitor for indications about PWS notification in its own paging occasion every DRX cycle. ETWS or CMAS capable UEs in RRC\_CONNECTED shall monitor for indication about PWS notification in any paging occasion at least once every *defaultPagingCycle* if the UE is provided with common search space on the active BWP to monitor paging.

For Short Message reception in a paging occasion, the UE monitors the PDCCH monitoring occasion(s) for paging as specified in TS 38.304 [20] and TS 38.213 [13].

If the UE receives a Short Message, the UE shall:

1> if the UE is ETWS capable or CMAS capable, the *etwsAndCmasIndication* bit of Short Message is set, and the UE is provided with *searchSpaceOtherSystemInformation* on the active BWP or the initial BWP:

2> immediately re-acquire the SIB1;

2> if the UE is ETWS capable and si-SchedulingInfo includes scheduling information for SIB6:

3> acquire SIB6, as specified in sub-clause 5.2.2.3.2, immediately;

2> if the UE is ETWS capable and *si-SchedulingInfo* includes scheduling information for *SIB7*:

3> acquire SIB7, as specified in sub-clause 5.2.2.3.2, immediately;

2> if the UE is CMAS capable and *si-SchedulingInfo* includes scheduling information for *SIB8*:

3> acquire SIB8, as specified in sub-clause 5.2.2.3.2, immediately;

NOTE: In case SIB6, SIB7, or SIB8 overlap with a measurement gap it is left to UE implementation how to immediately acquire SIB6, SIB7, or SIB8.

1> if the systemInfoModification bit of Short Message is set:

2> apply the SI acquisition procedure as defined in sub-clause 5.2.2.3 from the start of the next modification period.

TS 38.331, Section 5.2.2.2.2 (highlighting added).

#### 5.2.2.3 Acquisition of System Information

#### 5.2.2.3.1 Acquisition of *MIB* and *SIB1*

The UE shall:

1> if the UE is in RRC\_CONNECTED while T311 is running:

2> if ssb-SubcarrierOffset indicates SIB1 is transmitted in the cell (TS 38.213 [13]) and if SIB1 acquisition is required for the UE:

3> acquire the SIB1, which is scheduled as specified in TS 38.213 [13];

TS 38.331, Section 5.2.2.3.1 (highlighting added).

110. In the Accused Instrumentalities, "whether or not the second type SIB has changed is determined based on a value tag, associated with the second type SIB, stored in the wireless terminal, and the value tag included in the first type SIB corresponding to the second type SIB," as claimed. As shown in the examples above, under "5.2.2.2.1 SIB validity," the UE shall, for each stored version of a SIB, "if the ... valueTag ... included in the si-SchedulingInfo for the SIB received from the serving cell [is] identical to the ... valueTag associated with the stored version of that SIB ... consider the stored SIB as valid for the cell." As such, whether the second type SIB has changed is determined based on the state of the value tag stored at the wireless terminal and the state of the value tag in the SIB1 message.

111. Because of its conformance with the applicable 5G standards, on information and belief AT&T directly infringes at least claim 1 of the '958 patent.

112. In addition to direct infringement by making, using, and selling the Accused Instrumentalities, AT&T also indirectly infringes the '958 patent claims. AT&T has knowledge of the '958 Patent at least as of the filing and service of the original Complaint (Dkt. 1) in this case and continues to make, use, sell, and/or offer for sale the Accused Instrumentalities. Where acts constituting direct infringement of the '958 patent are not performed by AT&T, such acts constituting direct infringement of the '958 patent are performed by AT&T's customers or endusers who act at the direction and/or control of AT&T, with AT&T's knowledge.

113. Daingean is informed and believes, and on that basis alleges, that AT&T indirectly infringes at least claim 1 of the '958 patent by active inducement in violation of 35 U.S.C. § 271(b), by at least manufacturing, supplying, distributing, selling, and/or offering for sale the Accused Instrumentalities to its customers with the knowledge and intent that use of those products would constitute direct infringement of the '958 patent.

114. For example, AT&T affirmatively promotes the advantages of its 5G network relative to other cellular networks. *See* <u>https://www.att.com/5g/consumer/</u> (last visited March 23, 2023). On information and belief, when an AT&T customer with a 5G-compliant device communicates with AT&T's 5G base stations, the customer is using the accused functionality in the Accused Instrumentalities.

115. AT&T also indirectly infringes by contributing to the infringement of, and continuing to contribute to the infringement of, one or more claims of the '958 Patent under 35 U.S.C. § 271(c) and/or 271(f) by selling, offering for sale, and/or importing into the United States, the Accused Instrumentalities. AT&T knows at least as of the date of the filing and service of the original Complaint (Dkt. 1) in this case that the accused products and/or services include hardware components and software instructions that work in concert to perform specific, intended functions. Such specific, intended functions, carried out by these hardware and software combinations, are a material part of the inventions of the '958 Patent and are not staple articles of commerce suitable for substantial non-infringing use.

116. The acts of infringement by AT&T have caused damage to Plaintiff, and Plaintiff is entitled to recover from Defendants the damages sustained by Plaintiff as a result of Defendants'

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wrongful acts in an amount subject to proof at trial. The infringement of the '958 Patent by AT&T has damaged and will continue to damage Plaintiff.

#### JURY DEMAND

117. Plaintiff hereby demands a trial by jury on all issues.

#### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiff requests entry of judgment in its favor and against AT&T as follows:

- a) A declaration that AT&T has infringed and is infringing one or more claims of the '803
   Patent, either literally or under the doctrine of equivalents;
- b) A declaration that AT&T has infringed and is infringing one or more claims of the '976
   Patent, either literally or under the doctrine of equivalents;
- c) A declaration that AT&T has infringed and is infringing one or more claims of the '958
   Patent, either literally or under the doctrine of equivalents;
- d) An award of damages pursuant to 35 U.S.C. §§ 284, 285, 286, and 287 adequate to compensate Daingean for AT&T's infringement of the Asserted Patents in an amount according to proof at trial (together with prejudgment and post-judgment interest), but no less than a reasonable royalty, including but not limited to a post-judgment running royalty in lieu of a permanent injunction;
- e) A declaration that AT&T's infringement is willful since at least the filing of Daingean's original Complaint (Dkt. 1) and enhancing damages pursuant to 35 U.S.C. § 284;
- f) An award of costs and expenses pursuant to 35 U.S.C. § 284 or as otherwise permitted by law;

- g) An award of attorneys' fees pursuant to 35 U.S.C. § 285 or as otherwise permitted by law; and
- h) Such other and further relief, whether legal, equitable, or otherwise, to which Plaintiff may be entitled or which this Court may order.

Dated: May 7, 2024

Respectfully submitted,

#### <u>/s/ Amir H. Alavi</u>

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*Counsel for Plaintiff Daingean Technologies Ltd.* 

#### **CERTIFICATE OF SERVICE**

Pursuant to the Federal Rules of Civil Procedure and Local Rule CV-5, I hereby certify that, on May 7, 2024, a copy of the foregoing was served electronically through the U.S. District Court, Eastern District of Texas ECF system to all counsel of record whom are Filing Users of the Court's Electronic Filing System.

/s/ Amir H. Alavi \_\_\_\_\_ Amir H. Alavi