IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS SHERMAN DIVISION

ENCRYPTAWAVE TECHNOLOGIES LLC,

Plaintiff,

C.A. No. 4:25-cv-00170

PATENT CASE

JURY TRIAL DEMANDED

v.

SERCOMM CORPORATION,

Defendant.

ORIGINAL COMPLAINT FOR PATENT INFRINGEMENT

Plaintiff Encryptawave Technologies LLC files this Original Complaint for Patent Infringement against Sercomm Corporation, and would respectfully show the Court as follows:

I. <u>THE PARTIES</u>

1. Plaintiff Encryptawave Technologies LLC ("Encryptawave" or "Plaintiff") is a Illinois limited liability company with its address at 23832 Rockfield Boulevard, Suite 170, Lake Forest, CA 92630.

2. On information and belief, Defendant Sercomm Corporation. ("Sercomm" or "Defendant") is a corporation organized and existing under the laws of Japan, with its principal place of business at 8F, No. 3-1, YuanQu St. (Nankang Software Park) Taipei 115, Taiwan. Defendant may also be served with process by serving the Texas Secretary of State, 1019 Brazos Street, Austin, Texas 78701, as its agent for service because it engages in business in Texas but has not designated or maintained a resident agent for service of process or a regular place of business in Texas as required by statute. This action arises out of that business.

II. JURISDICTION AND VENUE

3. This action arises under the patent laws of the United States, Title 35 of the United States Code. This Court has subject matter jurisdiction of such action under 28 U.S.C. §§ 1331 and 1338(a).

4. On information and belief, Defendant is subject to this Court's specific and general personal jurisdiction, pursuant to due process and the Texas Long-Arm Statute, due at least to its business in this forum, including at least a portion of the infringements alleged herein.

5. Without limitation, on information and belief, within this state, Defendant has used the patented inventions thereby committing, and continuing to commit, acts of patent infringement alleged herein. In addition, on information and belief, Defendant has derived revenues from its infringing acts occurring within Texas. Further, on information and belief, Defendant is subject to the Court's general jurisdiction, including from regularly doing or soliciting business, engaging in other persistent courses of conduct, and deriving substantial revenue from goods and services provided to persons or entities in Texas. Further, on information and belief, Defendant is subject to the Court's personal jurisdiction at least due to its sale of Instrumentalities and/or services within Texas. Defendant has committed such purposeful acts and/or transactions in Texas such that it reasonably should know and expect that it could be haled into this Court as a consequence of such activity.

6. SerComm also regularly sells (either directly or indirectly), its products within this district. For example, SerComm has placed infringing products into the stream of commerce via an established distribution channel, such as its partner internet service providers, with the knowledge or understanding that such products are being and will continue to be sold in this Judicial District and the State of Texas

2

7. SerComm has not contested personal jurisdiction in this judicial district in other cases. See BE Labs, Inc. v. Sercomm Corp., 6:21-cv-72-ADA (W.D. Tex.), Dkt. 17 at ¶6 ("Sercomm does not presently contest the Court's personal jurisdiction over Sercomm for this specific action, but except as expressly admitted herein denies the remaining allegations of paragraph 6.") Wherein paragraph 6 of the complaint reads "This Court has personal jurisdiction over Defendant because it has engaged in systematic and continuous business activities in this District. As described below, Defendant has committed acts of patent infringement giving rise to this action within this District." (Dkt. 1 at ¶6.)

8. Venue is proper in this district under 28 U.S.C. § 1400(b). On information and belief, from and within this District Defendant has committed at least a portion of the infringements at issue in this case.

9. For these reasons, personal jurisdiction exists and venue is proper in this Court under 28 U.S.C. § 1400(b).

III. <u>COUNT I</u> (PATENT INFRINGEMENT OF UNITED STATES PATENT NO. 7,233,664)

10. Plaintiff incorporates the above paragraphs herein by reference.

11. On June 19, 2007, United States Patent No. 7,233,664 ("the '664 Patent") was duly and legally issued by the United States Patent and Trademark Office. The '664 Patent is titled "Dynamic Security Authentication for Wireless Communication Networks." A true and correct copy of the '664 Patent is attached hereto as Exhibit C and incorporated herein by reference.

12. Encryptawave is the assignee of all right, title and interest in the '664 patent, including all rights to enforce and prosecute actions for infringement and to collect damages for all relevant times against infringers of the '664 Patent. Accordingly, Encryptawave possesses the

3

exclusive right and standing to prosecute the present action for infringement of the '664 Patent by Defendant.

13. The invention in the '664 Patent relates to the field of wireless communication network security, more particularly to a dynamic authentication method and system for providing secure authentication among wireless communication network nodes. (*Id.* at col. 1:18-22).

14. The objective of cryptography is to allow users to communicate securely through an insecure shared data communications channel while maintaining data integrity, privacy, and user authentication. (Id. at col. 1:24-27). Over the past century, cryptographic systems have been developed that require a great deal of time to break, even when using large computational power. (Id. at col. 1:27-30). However, once an encryption key is obtained, the encryption mechanism and likely the entire system security is compromised and a new key is required. (Id. at col. 1:30-33). The two most common strategies for make an encryption system difficult to penetrate are: (1) a long encryption key, and/or (2) a complex encryption function. (Id. at col. 1:34-37). For example, for an encryption key of length n bits, for large values of n a code breaker would need more than a lifetime to break the cipher. (Id. at col. 1:37-39). Simpler encryption functions, such as the logic XOR function, is easy to decipher no matter how long the key length is. (*Id.* at col. 1:39-43). For examples, a logic XOR operation is performed on one bit of data and its corresponding bit from the encryption key, one bit at a time: if the bits are the same then the result is 0 and if the bits are different then the result is 1. (Id. at col. 1:43-45). The simple linearity of the XOR function allows an intruder to decipher individual key fragments using a divide-and-conquer approach and then reconstruct the entire key once all the individual fragments are obtained. (Id. at col. 1:47-51). A non-linear exponential encryption function, such as Rivest-Sharmi-Adelman (RSA) system, is more difficult to apply a divide-and-conquer approach to break the key. (*Id.* at col. 1:51-54).

15. At the time the patent application was filed, there were two major cryptography system philosophies: 1) symmetric systems (static or semi-dynamic key), and 2) public key systems (static key). (*Id.* at col. 1:55-57). In symmetric systems, a key is exchanged between the users (the sender and receiver) and is used to encrypt and decrypt the data. (*Id.* at col. 1:57-60). There are three main problems with the symmetric system. (*Id.* at col. 1:60-61). First, the exchange of the key between the users introduces a security loophole, which can be alleviated through encrypting the exchanged key using a secure public key cryptography system. (*Id.* at col. 1:61-64). Second, using only one static encryption key makes it easier for an intruder to have sufficient time to break the key, which can be addressed using multiple session keys that are exchanged periodically. (*Id.* at col. 1:64-66). Third, and most important, is the susceptibility to an insider attack on the key where the time window between exchanging keys might be long enough for a super user, who has super user privileges, to break in and steal the key. (*Id.* at col. 2:1-6).

16. In RSA public key cryptography system, a user generates two related keys, reveals one to the public ("public" key) to be used to encrypt any data sent and a second key that is private to the user ("private" key) that is used to decrypt received data by the user. (*Id.* at col. col. 2:7-11). The RSA cryptography system generates large random primes and multiplies them to get the public key and uses a complex encryption function such as mod and exponential operations, which makes the technique unbreakable in a lifetime for large keys (*e.g.*, higher than 256 bits) and eliminates the problem of insecure exchange of symmetric keys. (*Id.* at col. 2:15-20). However, the huge computational time required by RSA encryption and decryption, in addition to the time to generate the keys, is not appealing to users of the Internet and is therefore mainly used as one-shot solid protection of the symmetric cryptography key exchange. (*Id.* at col. 2:20-25). This one-

shot protection, however, allows an internal super user with a helper to generate its own pair of encryption keys and replace the original keys. (*Id.* at col. 2:24-25). The sender then uses the super user's public key so the super user can decrypt the cipher text, store it, re-encrypt it using the original public key to continue the data to the original recipient for decrypting using the original private key without any knowledge of the break that occurred in the middle (a "super-user-in-the-middle" attack). (*Id.* at col. 2:29-40).

17. Even though both symmetric and public key cryptography systems are secure against outside attack, they are still vulnerable to insider attacks. (*Id.* at col. 2:41-48). A common way to protect a static encryption key is to save it under a file with restricted access but this cannot prevent a person with super user privileges from accessing the static key of the host file. (*Id.* at col. 2:50-53). Various attempts have been made to circumvent intrusion by outside users, however, they are still prone to attack by super-user-in-the-middle attacks. (*Id.* at col. 2:53 – col. 3:3). The invention in the '664 patent alleviates these problems by providing continuous encryption key modification. (*Id.* at col. 4:26-29).

18. There was a need for security for wireless communications in networks, including allowing mobile communication devices to move between access ports or base stations while maintaining full, mutually secure authentication. (*Id.* at col. 3:4-12). In wireless local area networks, the Wired Equivalent Privacy (WEP) algorithm is used to protect wireless communication from eavesdropping. (*Id.* at col. 3:33-36). WEP relies on a secret encryption key that is shared between a supplicant, such as a wireless laptop personal computer, and an access point. (*Id.* at col. 3:36-39). The secret key is used to encrypt data packets before they are transmitted, and an integrity check is used to ensure that packets are not modified in transit. (*Id.* at col. 3:39-41). The standard does not discuss how the shared key is established; however, in

practice, most installations use a single key that is shared between all mobile stations and access points. (*Id.* at col. 3:41-44).

19. Ineffective WEP security lead to different types of attacks by outsiders. (*Id.* at col. 3:60-61). For example, a passive eavesdropper can intercept all wireless traffic and through known methodologies and educated guesses can narrow the field of the contents of a message and possibly determine the exact contents to of the message. (*Id.* at col. 3:45 - col. 4:6). Another type of attack when using a WEP algorithm is if an attacker knows the exact plaintext for one encrypted message, the attacker can use this knowledge to construct correct encrypted packet. (*Id.* at col. 4:7-17). Therefore, despite the WEP algorithm being part of the standard that describes communications in wireless local area networks, it fails to protect the wireless communications from eavesdropping and unauthorized access to wireless networks, primarily because it relies on a static secret key shared between the supplicant and the wireless network. (*Id.* at col. 4:18-24).

20. There are several benefits to the claimed invention. The key lifetime is too small for an intruder to break and a super-user to copy. (*Id.* at col. 4:29-31). The invention also reduces the computations overhead by breaking the complexity of the encryption function and shifting it over the dynamics of data exchange. (*Id.* at col. 4:32-35). Speed is also improved by using a simple logic encryption function. (*Id.* at col. 4:35-36). Encryption is fully automated and all parties, the source user, destination user, and central authority, are clock-free synchronized and securely authenticated at all times. (*Id.* at col. 4:44-47).

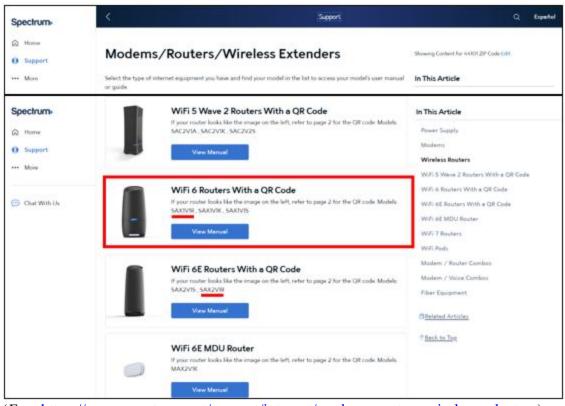
21. The prosecution history of the '664 patent further explains the unconventional features of the claimed invention. The examiner allowed the relevant claims without rejection because the prior art of record did not teach installing a node identifier at a first network node; sending the node identifier information from a first network node to a second network node, and

7

synchronously regenerating an authentication key at two network nodes based upon node identifier information. (Ex. B at 2).

22. **Direct Infringement.** Upon information and belief, Defendant has been directly infringing claim 1 of the '664 patent in Texas, and elsewhere in the United States, by performing actions comprising using or performing the claimed method of providing secure authentication between wireless communication network nodes by using and/or testing the Sercomm SAX1V1R, RP562B, FG6222RTM, SAX2V1R. RP052M, HG6244BV2, SC-SIP02, SC-SIP03. VD4224BL.FF, DG4244, RHG3006, VD4224B, SPX1-TN, SHG306X, VD4224B, R8, XGS5244B GB+2021A, SCXI11BEIBCO, IAD VD4225R.FW, RP571Q.FW, SCHC3AE0, LTE2122GR, HG6244B, S3.Etisalat, ST-244FV2, FG6122TM, SE-24D, KW4-ST-2020(I), KW4-ST-2020(O), RP362B, VD1018TM, DBC845-DIY, RC855-DIY, ST-244F, BC855-DIY, FG4023B, Entry 34T, FG6123BTM, VD725v3, Entry 34TB ("Accused Instrumentalities"). The Sercomm SAX1V1K is exemplary of the infringement.

23. For example, a system utilized by the Accused Instrumentalities practices a method of providing secure authentication between wireless communication (*e.g.*, Wi-Fi) network nodes (*e.g.*, the Accused Instrumentalities and accessory devices such as a Wi-Fi enabled smartphone, etc.). As shown below, the Accused Instrumentalities provides wireless connection to accessory devices and allows them to join its Wi-Fi network. The Accused Instrumentalities sets a password to secure the Wi-Fi network. The Accused Instrumentalities utilizes WPA2 security, which is based on the IEEE 802.11i standard, to set a password.



(E.g., https://www.spectrum.net/support/internet/modems-routers-wireless-adapters).



(*E.g.*, <u>https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%</u> 20User%20Guide.pdf).

Advanced WiFi

Advanced WiFi is included on your Spectrum WiFi 6 router delivering internet, network security and personalization, conveniently managed with the My Spectrum App. Your router will have a QR code on the back label to indicate support of this service.

With Advanced WiFi, you can:

- Personalize your WiFi network name (SSID) and password
- · View and manage devices connected to your WiFi network
- · Pause or resume WiFi access for a device, or group of devices, connected to your WiFi network
- · Get port forwarding support for improved gaming performance
- Have peace of mind with a secure WiFi network
- Use both wireless and Ethernet connectivity

(*E.g.*, https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%

20User%20Guide.pdf).

Spectrum WiFi 6 Router Technical Specs

Features	Benefits
Concurrent 2.4 GHz and 5 GHz frequency bands	Supports existing client devices in the home, and all newer devices using higher frequencies. Provides flexibility in range for WiFi signal to cover the home.
2.4GHz WiFi Radio - 802.11ax 4x4:4 5GHz WiFi Radio - 802.11ax 4x4:4	 More data per packet transition provides higher throughput and increased range improving experience, especially in client dense environments Delivers higher data rates and bandwidth for the 2.4 GHz and 5 GHz frequencies Client steering - optimizes client device connectivity to best frequency band, channel, and access point. Prevents client devices from "sticking" to a specific band. Band steering with multiple access points
Bandwidths	2.4GHz - 20/40MHz 5GHz - 20/40/80/160
802.11ax WiFi 6 chipsets with higher processing power	Supports consistent performance where there is a higher density of WiFi devices connecting to the network. Powerful chips encode/ decode signals allowing better network and device management.
Industry-standard security (WPA2 personal)	Supports the industry security standard to protect devices on the WiFi network.

(*E.g.*, https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%

ercomm Corporation			
wiors/ W-R-/ WiA11353			
wice + / Secone Corporation - / Project 6			
	WIFI Certifications		
Ievice Name	Model(z)	Company	Certification Date
nice Capabilities			
N-FI CERTIFIED 6**			
si-ri centrelo" ac			
ITA3* Personal			
H-Fi Easy Connect**			
Device Certifications			
Cevice Certifications			
Security: Protected Management Frames			
Spectrum & Regulatory Features: Spectrum & Regulatory			
Optimization: Wi-Fi Agile Multiband**			
Connectivity: Wi-Fi CERTIFIED 6**			
Connectivity: Wi-Fi CERTIFIED* ac			
Connectivity: Wi-Fi CERTIFIED** n			

(E.g., https://device.report/wifi/WFA113521).

Wi-Fi Security: WEP & WPA / WPA2

An overview or tutorial about the IEEE 802.11 standards for Wi-Fi and WLAN applications and the associated WLAN equipment and the use of Wifi hotspots.

WiFi IEEE 802.11 Includes:

Wi-Fi IEEE 802.11 introduction Standards Security How to stay safe on public Wi-Fi Wi-Fi Bands Router location & coverage How to buy the best Wi-Fi router Wi-Fi boosters, range extenders & repeaters Wi-Fi wired & powerline extender

Wi-Fi network security is an issue of importance to all Wi-Fi users. It is defined under the IEEE stadnard 802.11i and security schemes like as WEP, WPA, WPA2 and WPA3 are widely mentioned, with keys or codes being provided for the various Wi-Fi hotspots in use.

Wi-Fi security is of significant importance because very many people use it: at home, in the office and when they are on the move. As the wireless signal can be picked up by non-authorised users, it is imperative to ensure that they cannot access the system.

Even users who legitimately gain access to a system could the try to hack other computers on the same hotspot.

(E.g., https://www.electronics-notes.com/articles/connectivity/wifi-ieee-802-11/security-wep-

wpa-wpa2.php).

5.1.1.4 Interaction with other IEEE 802[®] layers

IEEE Std 802.11 is required to appear to higher layers [logical link control (LLC)] as a wired IEEE 802 LAN. This requires that the IEEE 802.11 network handle STA mobility within the MAC sublayer. To meet reliability assumptions (that LLC makes about lower layers), it is necessary for IEEE Std 802.11 to incorporate functionality that is untraditional for MAC sublayers.

In a robust security network association (RSNA), IEEE Std 802.11 provides functions to protect data frames, IEEE Std 802.1X-2004 provides authentication and a Controlled Port, and IEEE Std 802.11 and IEEE Std 802.1X-2004 collaborate to provide key management. All STAs in an RSNA have a corresponding IEEE 802.1X entity that handles these services. This standard defines how an RSNA utilizes IEEE Std 802.1X-2004 to access these services.

(E.g., https://standards.ieee.org/standard/802_11-2007.html).

5.2.3.2 RSNA

An RSNA defines a number of security features in addition to wired equivalent privacy (WEP) and IEEE 802.11 authentication. These features include the following:

- Enhanced authentication mechanisms for STAs
- Key management algorithms
- Cryptographic key establishment
- An enhanced data cryptographic encapsulation mechanism, called Counter mode with Cipher-block chaining Message authentication code Protocol (CCMP), and, optionally, Temporal Key Integrity Protocol (TKIP).

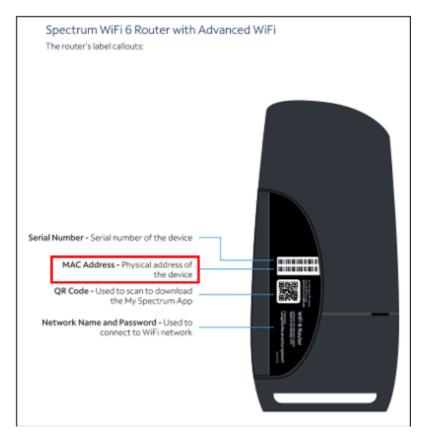
An RSNA relies on several components external to the IEEE 802.11 architecture.

The first component is an IEEE 802.1X port access entity (PAE). PAEs are present on all STAs in an RSNA and control the forwarding of data to and from the medium access control (MAC). An AP always implements the Authenticator PAE and Extensible Authentication Protocol (EAP) Authenticator roles, and a non-AP STA always implements the Supplicant PAE and EAP peer roles. In an IBSS, each STA implements both the Authenticator PAE and Supplicant PAE roles and both EAP Authenticator and EAP peer roles.

A second component is the Authentication Server (AS). The AS may authenticate the elements of the RSNA itself, i.e., the non-AP STAs; and APs may provide material that the RSNA elements can use to authenticate each other. The AS communicates through the IEEE 802.1X Authenticator with the IEEE 802.1X Supplicant on each STA, enabling the STA to be authenticated to the AS and vice versa. An RSNA depends upon the use of an EAP method that supports mutual authentication of the AS and the STA, such as those that meet the requirements in IETF RFC 4017. In certain applications, the AS may be integrated into the same physical device as the AP, or into a STA in an IBSS.

(E.g., https://standards.ieee.org/standard/802_11-2007.html).

24. Upon information and belief, the system utilized by the Accused Instrumentalities practices providing a node identifier comprising an address (*e.g.*, MAC address) and an initial authentication key (*e.g.*, Pre-shared key or Pairwise master key). The Accused Instrumentalities provides wireless connection to accessory devices (*e.g.*, accessory devices such as a Wi-Fi enabled smartphone, etc.) to join its Wi-Fi network. An accessory device (*e.g.*, accessory devices such as a Wi-Fi enabled smartphone, etc.) is provided with a MAC address (i.e., address of NIC card of the accessory device) and an initial authentication key (*e.g.*, Wi-Fi password which is a pre-shared key or pairwise master key) to join the Wi-Fi network.



(*E.g.*, <u>https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%</u>

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Features	Benefits
Concurrent 2.4 GHz and 5 GHz frequency bands	Supports existing client devices in the home, and all newer devices using higher frequencies. Provides flexibility in range for WiFi signal to cover the home.
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So not surprisingly, along with an IP address (which is networks software), there's also a hardware address. Typically it is tied to a key connection device in your computer called the network interface card, or NIC. The NIC is essentially a computer circuit card that makes it possible for your computer to connect to a network.

An NIC turns data into an electrical signal that can be transmitted over the network.

Hey Nick. Meet Mac.

Every NIC has a hardware address that's known as a MAC, for Media Access Control. Where IP addresses are associated with TCP/IP (networking software), MAC addresses are linked to the hardware of network adapters.

A MAC address is given to a network adapter when it is manufactured. It is hardwired or hard-coded onto your computer's network interface card (NIC) and is unique to it. Something called the ARP (Address Resolution Protocol) translates an IP address into a MAC address. The ARP is like a passport that takes data from an IP address through an actual piece of computer hardware.

(E.g., https://whatismyipaddress.com/mac-address).

- 802.11 frames have up to four address fields in the MAC header.
- 802.11 frames typically use only three of the MAC address fields (4 in WDS environment).

Bytes	2	2	6	6	6	2	6	2
	Frame control	Duration/ ID	Address 1	Address 2	Address 3	Sequence control	Address 4	QoS control

Figure 9.3 802.11 MAC header

(E.g., https://dot11ap.wordpress.com/ieee-802-11-frame-format-vs-ieee-802-3-frame-format/).

Depending on whether the 802.11 traffic is upstream or downstream, the definition of each of the four MAC address fields in the layer 2 header will change.

The five definitions are as follows:

- Source Address (SA) The MAC address of the original sending station is known as the SA. The source address can originate from either a wireless station or the wired network.
- **Destination Address (DA)** The MAC address that is the final destination of the layer 2 frame is known as the DA. The final destination may be a wireless station or could be a destination on the wired network such as a server or a router.

(E.g., https://dot11ap.wordpress.com/ieee-802-11-frame-format-vs-ieee-802-3-frame-format/).

WPA-PSK

WPA-PSK uses this kind of key-encryption system to protect Wi-Fi networks. When you set a WPA-PSK password on the router, you are actually setting the key which the WPA standard will use to encrypt data. When users type in this matching key as their "password" their computers will be able to communicate with the router. Otherwise, they can't join the network because their computers will be incapable of understanding anything the router sends them. There is no such thing as a "default" key in key-based encryption methods. If your router is broadcasting with WPA-PSK, it means that someone with administrative access to the router enabled encryption with a key of his own choosing.

(E.g., https://smallbusiness.chron.com/default-wpapsk-wifi-39458.html).

IEEE 802.11 defines two authentication methods: Open System authentication and Shared Key authentication. Open System authentication admits any STA to the DS. Shared Key authentication relies on WEP to demonstrate knowledge of a WEP encryption key. The IEEE 802.11 authentication mechanism also allows definition of new authentication methods.

An RSNA also supports authentication based on IEEE 802.1X, or preshared keys (PSKs). IEEE 802.1X authentication utilizes the EAP to authenticate STAs and the AS with one another. This amendment does not specify an EAP method that is mandatory to implement. See 8.4.4 for a description of the IEEE 802.1X authentication and PSK usage within an IEEE 802.11 IBSS.

In an RSNA, IEEE 802.1X Supplicants and Authenticators exchange protocol information via the IEEE 802.1X Uncontrolled Port. The IEEE 802.1X Controlled Port is blocked from passing general data traffic between two STAs until an IEEE 802.1X authentication procedure completes successfully over the IEEE 802.1X Uncontrolled Port.

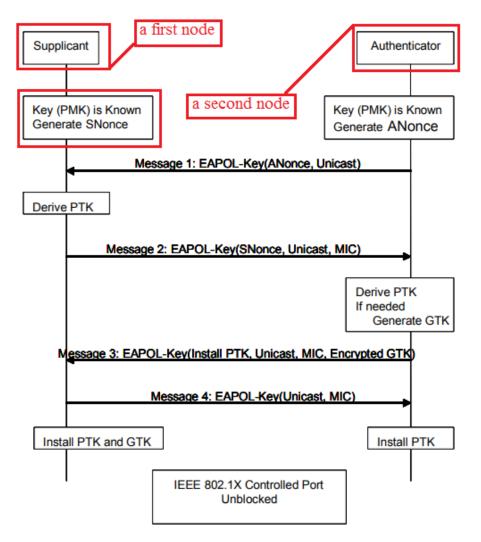
(*E.g.*, IEEE 802.11i).

5.9.2.2 Operations with PSK

The following AKM operations are carried out when the PMK is a PSK:

- A STA discovers the AP's security policy through passively monitoring Beacon frames or through active probing (shown in Figure 11a). A STA associates with an AP and negotiates a security policy. The PMK is the PSK.
- The 4-Way Handshake using EAPOL-Key frames is used just as with IEEE 802.1X authentication, when an AS is present. See Figure 11c.
- The GTK and GTK sequence number are sent from the Authenticator to the Supplicant just as in the AS case. See Figure 11c and Figure 11d.

(*E.g.*, IEEE 802.11i).



(*E.g.*, IEEE 802.11i).

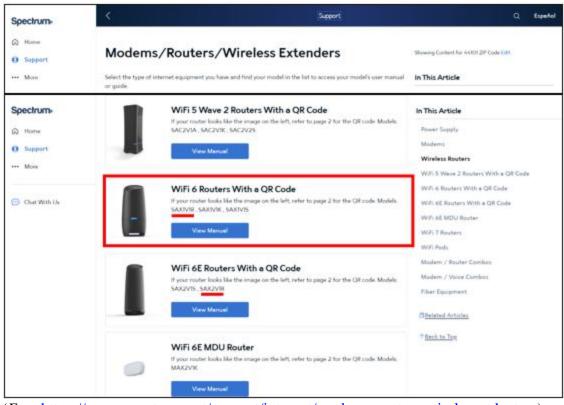
- b) If an RSNA is based on a PSK in an ESS, the STA's SME establishes an RSNA as follows:
 - 1) It identifies the AP as RSNA-capable from the AP's Beacon or Probe Response frames.
 - 2) It shall invoke Open System authentication.
 - 3) It negotiates cipher suites during the association process, as described in 8.4.2 and 8.4.3.
 - It establishes temporal keys by executing a key management algorithm, using the protocol defined by 8.5. It uses the PSK as the PMK.
 - It protects the data link by programming the negotiated cipher suites and the established temporal key into the MAC and then invoking protection.
- c) If an RSNA is based on a PSK in an IBSS, the STA's SME executes the following sequence of procedures:
 - 1) It identifies the peer as RSNA-capable from the peer's Beacon or Probe Response frames.

NOTE—STAs may respond to a data MPDU from an unrecognized STA by sending a Probe Request frame to find out whether the unrecognized STA is RSNA-capable.

- It may optionally invoke Open System authentication.
- Each STA uses the procedures in 8.5, to establish temporal keys and to negotiate cipher suites. It uses a PSK as the PMK. Note that two peer STAs may follow this procedure simultaneously. See 8.4.9.
- It protects the data link by programming the negotiated cipher suites and the established temporal key and then invoking protection.

(*E.g.*, IEEE 802.11i).

25. Upon information and belief, the system utilized by the Accused Instrumentalities practices installing the node identifier (*e.g.*, MAC address and pre-shared key or pairwise master key) at a first network node (*e.g.*, accessory devices such as a Wi-Fi enabled smartphone, etc.). A MAC address of an accessory device is a hardware address of a network interface card of an accessory device (*e.g.*, accessory device such as a Wi-Fi enabled smartphone, etc.). Also, the accessory device needs to utilize a Wi-Fi password which is pre-shared key or pairwise master key (*e.g.*, initial authentication key) of the wireless connection of the Accused Instrumentalities to join the Wi-Fi network. Upon information and belief, the accessory device enters or installs the Wi-Fi password as well as the MAC address in the Wi-Fi stack of the accessory device to initiate an association process with the Wi-Fi network of the Accused Instrumentalities.



(E.g., https://www.spectrum.net/support/internet/modems-routers-wireless-adapters).



(*E.g.*, <u>https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%</u> 20User%20Guide.pdf).

Spectrum WiFi 6 Router Technical Specs

Features	Benefits				
Concurrent 2.4 GHz and 5 GHz frequency bands	Supports existing client devices in the home, and all newer devices using higher frequencies. Provides flexibility in range for WiFi signal to cover the home.				
2.4GHz WiFi Radio - 802.11ax 4x4:4 5GHz WiFi Radio - 802.11ax 4x4:4	 More data per packet transition provides higher throughput and increased range improving experience, especially in client dense environments Delivers higher data rates and bandwidth for the 2.4 GHz and 5 GHz frequencies Client steering - optimizes client device connectivity to best frequency band, channel, and access point. Prevents client devices from "sticking" to a specific band. 				
Bandwidths	2.4GHz - 20/40MHz 5GHz - 20/40/80/160				
802.11ax WiFi 6 chipsets with higher processing power	Supports consistent performance where there is a higher density of WiFi devices connecting to the network. Powerful chips encode/ decode signals allowing better network and device management.				
Industry-standard security (WPA2 personal)	Supports the industry security standard to protect devices on the WiFi network.				

(*E.g.*, <u>https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%</u>



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So not surprisingly, along with an IP address (which is networks software), there's also a hardware address. Typically it is tied to a key connection device in your computer called the network interface card, or NIC. The NIC is essentially a computer circuit card that makes it possible for your computer to connect to a network.

An NIC turns data into an electrical signal that can be transmitted over the network.

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Every NIC has a hardware address that's known as a MAC, for Media Access Control. Where IP addresses are associated with TCP/IP (networking software), MAC addresses are linked to the hardware of network adapters.

A MAC address is given to a network adapter when it is manufactured. It is hardwired or hard-coded onto your computer's network interface card (NIC) and is unique to it. Something called the ARP (Address Resolution Protocol) translates an IP address into a MAC address. The ARP is like a passport that takes data from an IP address through an actual piece of computer hardware.

(E.g., https://whatismyipaddress.com/mac-address).

- 802.11 frames have up to four address fields in the MAC header.
- 802.11 frames typically use only three of the MAC address fields (4 in WDS environment).

Bytes	2	2	6	6	6	2	6	2
	Frame control	Duration/ ID	Address 1	Address 2	Address 3	Sequence control	Address 4	QoS control

Figure 9.3 802.11 MAC header

(E.g., https://dot11ap.wordpress.com/ieee-802-11-frame-format-vs-ieee-802-3-frame-format/).

Depending on whether the 802.11 traffic is upstream or downstream, the definition of each of the four MAC address fields in the layer 2 header will change.

The five definitions are as follows:

- Source Address (SA) The MAC address of the original sending station is known as the SA. The source address can originate from either a wireless station or the wired network.
- **Destination Address (DA)** The MAC address that is the final destination of the layer 2 frame is known as the DA. The final destination may be a wireless station or could be a destination on the wired network such as a server or a router.

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WPA-PSK

WPA-PSK uses this kind of key-encryption system to protect Wi-Fi networks. When you set a WPA-PSK password on the router, you are actually setting the key which the WPA standard will use to encrypt data. When users type in this matching key as their "password" their computers will be able to communicate with the router. Otherwise, they can't join the network because their computers will be incapable of understanding anything the router sends them. There is no such thing as a "default" key in key-based encryption methods. If your router is broadcasting with WPA-PSK, it means that someone with administrative access to the router enabled encryption with a key of his own choosing.

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IEEE 802.11 defines two authentication methods: Open System authentication and Shared Key authentication. Open System authentication admits any STA to the DS. Shared Key authentication relies on WEP to demonstrate knowledge of a WEP encryption key. The IEEE 802.11 authentication mechanism also allows definition of new authentication methods.

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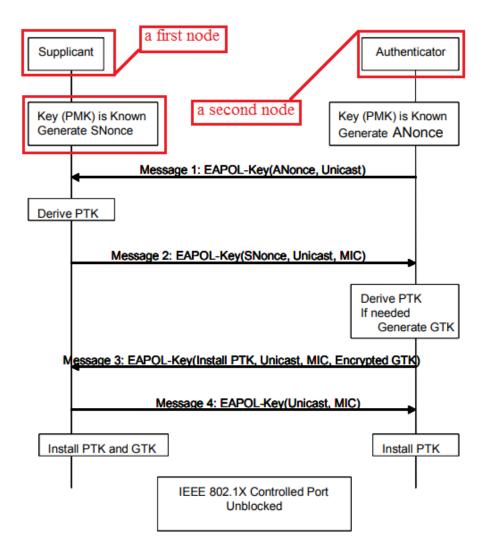
In an RSNA, IEEE 802.1X Supplicants and Authenticators exchange protocol information via the IEEE 802.1X Uncontrolled Port. The IEEE 802.1X Controlled Port is blocked from passing general data traffic between two STAs until an IEEE 802.1X authentication procedure completes successfully over the IEEE 802.1X Uncontrolled Port.

(*E.g.*, IEEE 802.11i).

5.9.2.2 Operations with PSK

The following AKM operations are carried out when the PMK is a PSK:

- A STA discovers the AP's security policy through passively monitoring Beacon frames or through active probing (shown in Figure 11a). A STA associates with an AP and negotiates a security policy. The PMK is the PSK.
- The 4-Way Handshake using EAPOL-Key frames is used just as with IEEE 802.1X authentication, when an AS is present. See Figure 11c.
- The GTK and GTK sequence number are sent from the Authenticator to the Supplicant just as in the AS case. See Figure 11c and Figure 11d.



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- b) If an RSNA is based on a PSK in an ESS, the STA's SME establishes an RSNA as follows:
 - 1) It identifies the AP as RSNA-capable from the AP's Beacon or Probe Response frames.
 - 2) It shall invoke Open System authentication.
 - 3) It negotiates cipher suites during the association process, as described in 8.4.2 and 8.4.3.
 - It establishes temporal keys by executing a key management algorithm, using the protocol defined by 8.5. It uses the PSK as the PMK.
 - It protects the data link by programming the negotiated cipher suites and the established temporal key into the MAC and then invoking protection.
- c) If an RSNA is based on a PSK in an IBSS, the STA's SME executes the following sequence of procedures:
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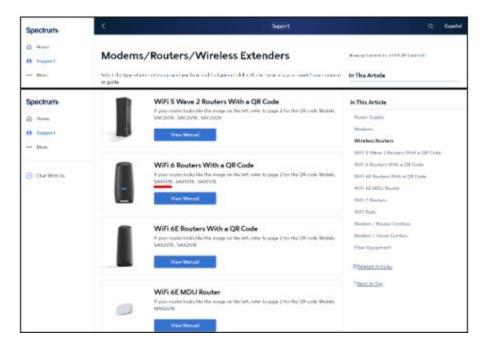
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- It protects the data link by programming the negotiated cipher suites and the established temporal key and then invoking protection.

(*E.g.*, IEEE 802.11i).

26. Upon information and belief, the system utilized by the Accused Instrumentalities practices storing the node identifier (*e.g.*, MAC address of an accessory device and pre-shared key or pairwise master key) at a second network node (*e.g.*, the Accused Instrumentalities). The Accused Instrumentalities stores MAC address of an accessory device and the pre-shared key or pairwise master key. To join the Wi-Fi network of the Accused Instrumentalities, an accessory device transmits a response for a beacon transmitted by the Accused Instrumentalities or sends a probe request to the Accused Instrumentalities. A Wi-Fi header comprises MAC address of a sender. The accessory device must send its MAC address. The Accused Instrumentalities receives and stores the MAC address of the accessory device. Also, the Accused Instrumentalities stores

the Wi-Fi password which is pre-shared key or pairwise master key (*e.g.*, initial authentication key) of its wireless personal network.



(E.g., https://www.spectrum.net/support/internet/modems-routers-wireless-adapters).



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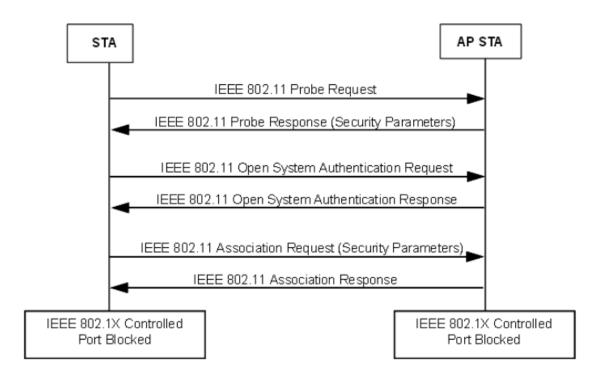
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IEEE 802.11 defines two authentication methods: Open System authentication and Shared Key authentication. Open System authentication admits any STA to the DS. Shared Key authentication relies on WEP to demonstrate knowledge of a WEP encryption key. The IEEE 802.11 authentication mechanism also allows definition of new authentication methods.

An RSNA also supports authentication based on IEEE 802.1X, or preshared keys (PSKs). IEEE 802.1X authentication utilizes the EAP to authenticate STAs and the AS with one another. This amendment does not specify an EAP method that is mandatory to implement. See 8.4.4 for a description of the IEEE 802.1X authentication and PSK usage within an IEEE 802.11 IBSS.

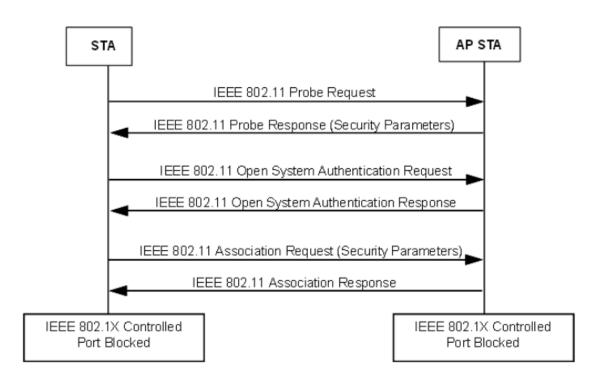
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(*E.g.*, IEEE 802.11i).

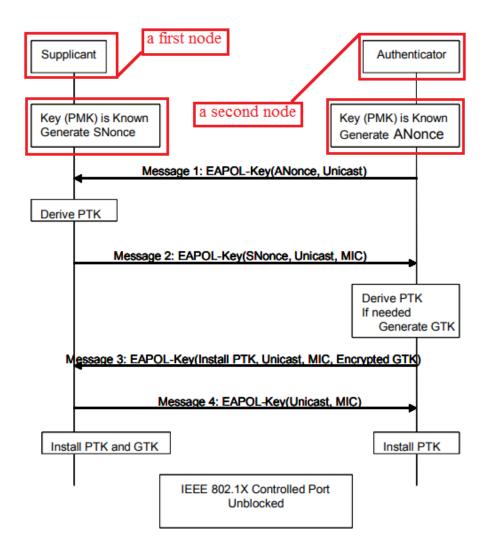
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(*E.g.*, IEEE 802.11i).



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 - 2) It shall invoke Open System authentication.
 - 3) It negotiates cipher suites during the association process, as described in 8.4.2 and 8.4.3.
 - It establishes temporal keys by executing a key management algorithm, using the protocol defined by 8.5. It uses the PSK as the PMK.
 - It protects the data link by programming the negotiated cipher suites and the established temporal key into the MAC and then invoking protection.
- c) If an RSNA is based on a PSK in an IBSS, the STA's SME executes the following sequence of procedures:
 - 1) It identifies the peer as RSNA-capable from the peer's Beacon or Probe Response frames.

NOTE—STAs may respond to a data MPDU from an unrecognized STA by sending a Probe Request frame to find out whether the unrecognized STA is RSNA-capable.

- It may optionally invoke Open System authentication.
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- It protects the data link by programming the negotiated cipher suites and the established temporal key and then invoking protection.

(*E.g.*, IEEE 802.11i).

27. Upon information and belief, the system utilized by the Accused Instrumentalities practices sending node identifier information (*e.g.*, MAC address of an accessory device and pre-shared key or pairwise master key) from a first network node (*e.g.*, an accessory device such as a Wi-Fi enabled smartphone, etc.) to a second network node (*e.g.*, the Accused Instrumentalities). The accessory device sends its MAC address (*e.g.*, address) as well as a key value derived from the pre-shared key or pairwise master key (*e.g.*, initial authentication key) to the Accused Instrumentalities for authentication to connect to Wi-Fi network of the Accused Instrumentalities. A pairwise temporal key is derived from the pre-shared key or pairwise temporal key has two parts KCK and KEK. In the authentication process, the accessory device acts as a supplicant. As shown below, the accessory device transfers a key value derived from KCK in the EAPOL-message 2 to the Accused Instrumentalities.

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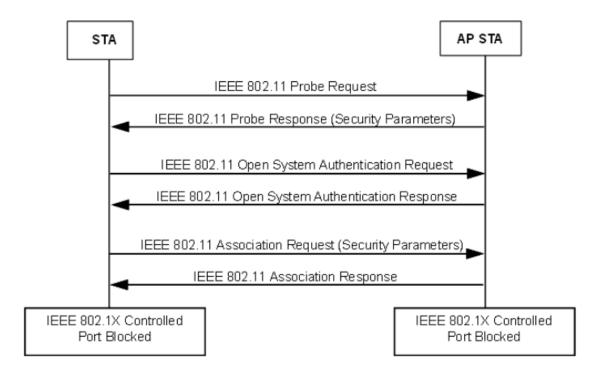
5.9.2.2 Operations with PSK

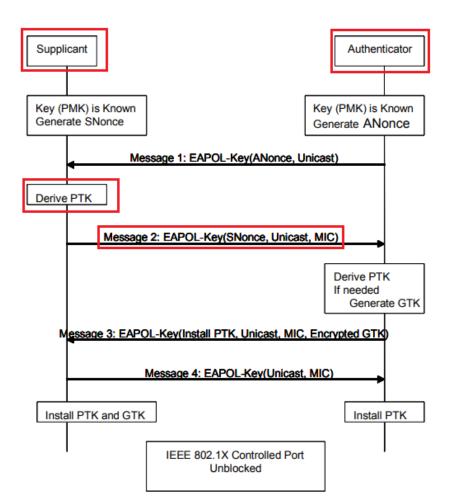
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- c) If an RSNA is based on a PSK in an IBSS, the STA's SME executes the following sequence of procedures:
 - 1) It identifies the peer as RSNA-capable from the peer's Beacon or Probe Response frames.

NOTE—STAs may respond to a data MPDU from an unrecognized STA by sending a Probe Request frame to find out whether the unrecognized STA is RSNA-capable.

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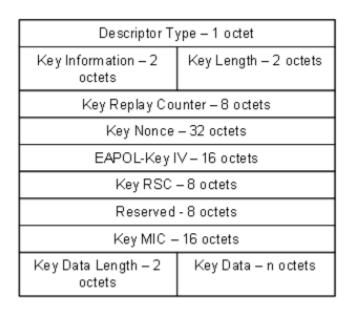
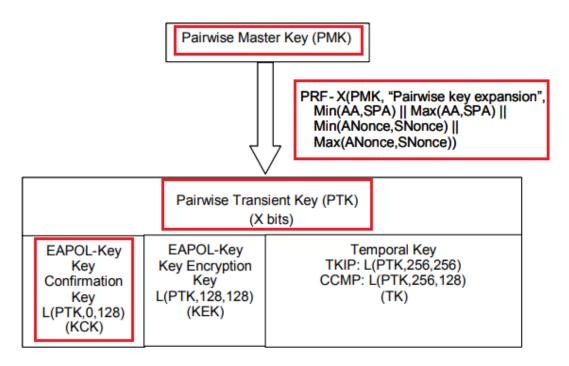


Figure 43u—EAPOL-Key frame

3.97 pairwise transient key (PTK): A value that is derived from the pairwise master key (PMK), Authenticator address (AA), Supplicant address (SPA), Anthenticator nonce (ANonce), and Supplicant nonce (SNonce) using the pseudo-random function (PRF) and that is split up into as many as five keys, i.e., temporal encryption key, two temporal message integrity code (MIC) keys, EAPOL-Key encryption key (KEK), EAPOL-Key confirmation key (KCK).

The pairwise key hierarchy utilizes PRF-384 or PRF-512 to derive session-specific keys from a PMK, as depicted in Figure 43s. The PMK shall be 256 bits. The pairwise key hierarchy takes a PMK and generates a PTK. The PTK is partitioned into KCK and KEK, and temporal keys used by the MAC to protect unicast communication between the Authenticator's and Supplicant's respective STAs. PTKs are used between a single Supplicant and a single Authenticator.



3.117 Supplicant address (SPA): The Supplicant's medium access control (MAC) address.

8.5.3.2 4-Way Handshake Message 2

Message 2 uses the following values for each of the EAPOL-Key frame fields:

```
Descriptor Type = N - see 8.5.2
Key Information:
    Key Descriptor Version = 1 (RC4 encryption with HMAC-MD5) or 2 (NIST AES key wrap
         with HMAC-SHA1-128) - same as Message 1
    Key Type = 1 (Pairwise) - same as Message 1
    Install = 0
    Key Ack = 0
    Key MIC = 1
    Secure = 0 - \text{same as Message 1}
    Error = 0 - same as Message 1
    Request = 0 - \text{same as Message 1}
    Encrypted Key Data = 0
    Reserved = 0 - unused by this protocol version
Key Length = 0
Key Replay Counter = n – to let the Authenticator know to which Message 1 this corresponds
Key Nonce = SNonce
EAPOL-Key IV = 0
Key RSC = 0
Key MIC = MIC(KCK, EAPOL) – MIC computed over the body of this EAPOL-Key frame with the
Key MIC field first initialized to 0
Key Data Length = length in octets of included RSN information element
Key Data = included RSN information element – the sending STA's RSN information element
```

(*E.g.*, IEEE 802.11i).

28. Upon information and belief, the system utilized by the Accused Instrumentalities practices synchronously regenerating an authentication key (*e.g.*, temporal keys) at two network nodes (*e.g.*, the Accused Instrumentalities and an accessory device such as a Wi-Fi enabled smartphone, etc.) based upon node identifier information. As shown below, the accessory device sends its MAC address (*e.g.*, address) as well as a key value derived from the pre-shared key or pairwise master key (*e.g.*, initial authentication key) to the Accused Instrumentalities for authentication prior to connecting to the Wi-Fi network of the Accused Instrumentalities. The Accused Instrumentalities and the accessory device both regenerate temporal keys each time the devices get connected to each other. The Accused Instrumentalities and the accessory device, both

synchronously install temporal keys (i.e. a pairwise temporal key) with the help of a 4-way handshake message transfer having the node identifier information for establishing secured wireless communication.

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2.4GHz WiFi Radio - 802.11ax 4x4:4 5GHz WiFi Radio - 802.11ax 4x4:4	 More data per packet transition provides higher throughput and increased range improving experience, especially in client dense environments Delivers higher data rates and bandwidth for the 2.4 GHz and 5 GHz frequencies Client steering - optimizes client device connectivity to best frequency band, channel, and access point. Prevents client devices from "sticking" to a specific band. 	
	Band steering with multiple access points	
Bandwidths	2.4GHz - 20/40MHz 5GHz - 20/40/80/160	
802.11ax WiFi 6 chipsets with higher processing power	Supports consistent performance where there is a higher density of WiFi devices connecting to the network. Powerful chips encode/ decode signals allowing better network and device management.	
Industry-standard security (WPA2 personal)	Supports the industry security standard to protect devices on the WiFi network.	

(*E.g.*, https://drupal-cms.spectrum.net/sites/default/files/2024-09/20240729%20WiFi%206%

20User%20Guide.pdf).

8.4.8 RSNA key management in an ESS

When the IEEE 802.1X authentication completes successfully, this amendment assumes that the STA's IEEE 802.1X Supplicant and the IEEE 802.1X AS will share a secret, called a PMK. The AS transfers the PMK, within the AAA key, to the AP, using a technique that is outside the scope of this amendment; the derivation of the PMK from the MSK is EAP-method-specific. With the PMK in place, the AP initiates a key confirmation handshake with the STA. The key confirmation handshake sets the IEEE 802.1X state variable portValid (as described in IEEE P802.1X-REV) to TRUE.

The key confirmation handshake is implemented by the 4-Way Handshake. The purposes of the 4-Way Handshake are as follows:

- a) Confirm the existence of the PMK at the peer.
- b) Ensure that the security association keys are fresh.
- c) Synchronize the installation of temporal keys into the MAC.
- d) Transfer the GTK from the Authenticator to the Supplicant.

These are referred to as the temporal keys because they are recomputed every time a mobile device associates to the access point. The collection of all four keys together is referred to as the pairwise transient key (PTK). For RSN/TKIP and WPA, each of these keys must be 128 bits long so that the PTK is a total of 512 bits long.

The first two temporal keys sound familiar. They are the ones used to encrypt the data and protect it from modification. The second two we have not seen before. These are used to protect the communications between the access point and mobile device during the initial handshake.^[2] For the moment, just accept that these EAPOL keys are needed; we will discuss them again shortly.

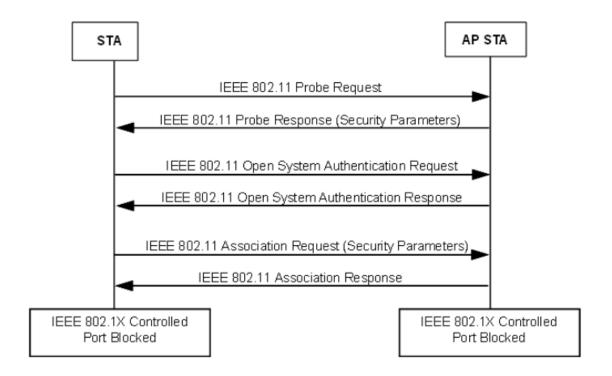
^[2] And for various notifications after the handshake.

Because the temporal keys are recomputed each time a mobile device connects, there has to be something that changes when the computation is done; otherwise, you'd end up with the same temporal keys every time. This is called adding liveness to the keys, ensuring that old keys no longer work. Liveness is achieved by including a couple of special values called nonces in the computation. The value of the nonce is quite arbitrary except in one respect: a nonce value is never used twice^[3] with the same key. The word "nonce" can be thought of as "N ? once"?in other words, a value (N) only used once.^[4] They say lightning never strikes in the same place twice (which is not true) and similarly nonces never come up with the same value twice (which should be true by design).

(E.g., http://etutorials.org/Networking/802.11+security.+wi-fi+protected+access+and+802.11i/

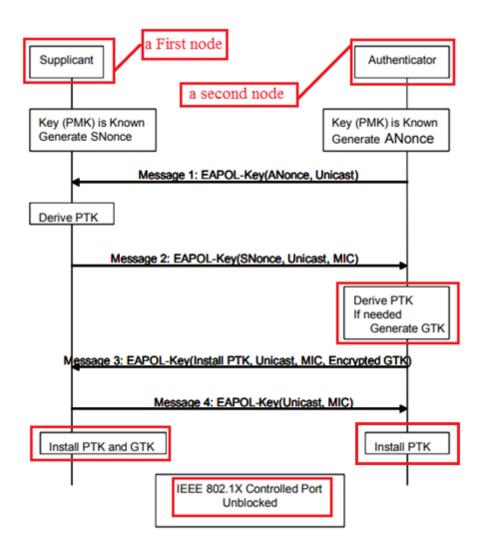
Part+II+The+Design+of+Wi-Fi+Security/Chapter+10.+WPA+and+RSN+Key+Hierarchy

/Pairwise+Key+Hierarchy/).



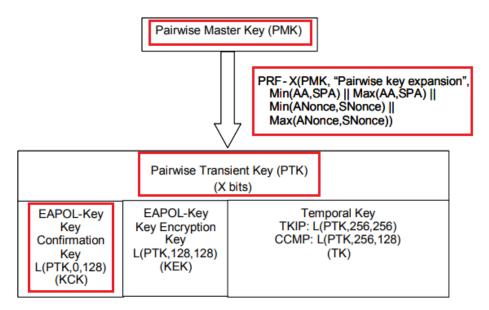
Case 4:25-cv-00170

Document 1 Fi



3.97 pairwise transient key (PTK): A value that is derived from the pairwise master key (PMK), Authenticator address (AA), Supplicant address (SPA), Anthenticator nonce (ANonce), and Supplicant nonce (SNonce) using the pseudo-random function (PRF) and that is split up into as many as five keys, i.e., temporal encryption key, two temporal message integrity code (MIC) keys, EAPOL-Key encryption key (KEK), EAPOL-Key confirmation key (KCK).

The pairwise key hierarchy utilizes PRF-384 or PRF-512 to derive session-specific keys from a PMK, as depicted in Figure 43s. The PMK shall be 256 bits. The pairwise key hierarchy takes a PMK and generates a PTK. The PTK is partitioned into KCK and KEK, and temporal keys used by the MAC to protect unicast communication between the Authenticator's and Supplicant's respective STAs. PTKs are used between a single Supplicant and a single Authenticator.



(E.g., IEEE 802.11i).

29. Defendant's customers also infringe claim 1 of the '664 patent by using or performing the claimed method using the Accused Instrumentalities as described above. Furthermore, Defendant advertises, markets, and offers for sale the Accused Instrumentalities to its customers for use in a system in a manner that, as described above, infringes claim 1 of the '664 patent. Exemplary advertising and marketing material is cited above.

30. **Indirect Infringement.** Upon information and belief, Defendant has been and now is indirectly infringing by way of inducing infringement and contributing to the infringement of at least claim 1 of the '664 patent in the State of Texas, in this District, and elsewhere in the United States, by providing the Accused Instrumentalities for use as described above by Defendant's customers. Defendant advertised, offered for sale, and/or sold the Accused Instrumentalities to its customers for use in a manner that Defendant knew infringed at least one claim of the '664 patent. For example, Defendant provides marketing material advertising that the accused products

specifically support 802.11i/WPA2 encryption and provides updates to that feature. Exemplary advertising and marketing material are cited above. Upon information and belief, Defendant had actual knowledge of the '664 patent at least as early as when the Original Complaint was filed in this action, and has known of its infringement since at least that date as a result of the accusations of infringement in the Original Complaint. Defendant has therefore also known that the use of the Accused Instrumentalities by its customers infringed at least one claim of the '664 patent since at least the date the Original Complaint was filed in this action.

31. On information and belief, since becoming aware of the '664 patent and of the infringement through advertising and offering for sale the Accused Instrumentality for use by its customers, Defendant is and has been committing the act of inducing infringement by specifically intending to induce infringement by providing the Accused Instrumentality to its customers and by aiding and abetting its use in a manner known to infringe by Defendant. Since becoming aware of the infringing use of the Accused Instrumentality, Defendant knew that the use of the Accused Instrumentality by its customers as instructed constituted direct patent infringement. Despite this knowledge, Defendant continued to encourage and induce its customers to use the Accused Instrumentality to infringe, including through specification sheets, support, and user's guides. Exemplary materials are cited above. Defendant therefore knowingly induced infringement and specifically intended to encourage and induce the infringement of the '664 patent by its customers.

32. On information and belief, since Defendant became aware of the infringement at least as of the date of the service of the original Complaint, Defendant is and has been committing the act of contributory infringement by intending to provide the identified Accused Instrumentality to its customers knowing that it is a material part of the invention, knowing that its use was made

49

and adapted for infringement of the '664 patent, and further knowing that the accused aspect of the Accused Instrumentality is not a staple article or commodity of commerce suitable for substantially noninfringing use. As described above, Defendant was aware that all material claim limitations are satisfied by the use and implementation of the Accused Instrumentality by Defendant's customers in the manner described above yet continued to provide the Accused Instrumentality to its customers knowing that it is a material part of the invention. As described above, since learning of the infringement, Defendant knew that the use and implementation of the Accused Instrumentality by its customers was made and adapted for infringement of the '664 patent. A new act of direct infringement occurred each time a customer implemented and/or used the Accused Instrumentality in the manner described above. After Defendant became aware that the use of the Accused Instrumentality infringes at least one claim of the '664 patent, Defendant knew that each such new use was made and adapted for infringement of at least one claim of the '664 patent and Defendant continued to advertise and provide the Accused Instrumentality for such infringing activities. Furthermore, as described more fully above, the Accused Instrumentality has functionality designed to perform the steps in the manner described above and is therefore not a staple article or commodity of commerce suitable for substantially noninfringing use.

33. Plaintiff has been damaged as a result of Defendant's infringing conduct. Defendant is thus liable to Plaintiff for damages in an amount that adequately compensates Plaintiff for such Defendant's infringement of the '664 patent, *i.e.*, in an amount that by law cannot be less than would constitute a reasonable royalty for the use of the patented technology, together with interest and costs as fixed by this Court under 35 U.S.C. § 284.

50

34. On information and belief, Defendant has had at least constructive notice of the '664 patent by operation of law and, to the extent required, marking requirements have been complied with.

35. On information and belief, Defendant will continue its infringement of one or more claims of the '664 patent unless enjoined by the Court. Defendant's infringing conduct thus causes Plaintiff irreparable harm and will continue to cause such harm without the issuance of an injunction.

IV. JURY DEMAND

Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial by jury of any issues so triable by right.

V. PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests that the Court find in its favor and against

Defendant, and that the Court grant Plaintiff the following relief:

- a. Judgment that one or more claims of United States Patent No. 7,233,664 have been infringed, either literally and/or under the doctrine of equivalents, by Defendant;
- b. Judgment that Defendant account for and pay to Plaintiff all damages to and costs incurred by Plaintiff because of Defendant's infringing activities and other conduct complained of herein;
- c. That Defendant be enjoined from future infringing activities;
- d. That Plaintiff be granted pre-judgment and post-judgment interest on the damages caused by Defendant's infringing activities and other conduct complained of herein; and
- e. That Plaintiff be granted such other and further relief as the Court may deem just and proper under the circumstances.

Document 1

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February 20, 2025

DIRECTION IP LAW

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