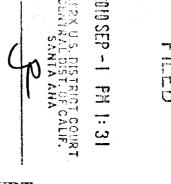
1 Robert Lauson, Esq. (SBN 175,486) bob@lauson.com Edwin Tarver, Esq. (SBN 201,943) edwin@lauson.com 3 LAUSON & TARVER LLP 880 Apollo Street, Suite 301 4 El Segundo, California 90245 Telephone: 310-726-0892 5 Facsimile: 310-726-0893 6 Attorneys for Plaintiff, 7 Spellbound Development Group, Inc. 8 9 10 11 12 SPELLBOUND DEVELOPMENT GROUP, INC., a California corporation 13 Plaintiff, 14



# UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA, SOUTHERN DIVISION

Civil Action No. SACV09-00951 DOC (ANx)

THIRD-AMENDED COMPLAINT FOR PATENT INFRINGEMENT

**DEMAND FOR JURY TRIAL** 

PACIFIC HANDY CUTTER, INC., a California corporation; FRONT LINE SALES, INC., a California corporation; STANLEY BLACK & DECKER, INC., a Connecticut corporation, and DOES 1

v.

through 20,

Defendants.

1. Plaintiff, by and through its attorneys of record, allege as follows:

## **PARTIES**

2. Plaintiff SPELLBOUND DEVELOPMENT GROUP, INC., (hereinafter "SPELLBOUND") is a corporation organized and existing under the laws of the State of California, with a place of business at 16902 Millikan Avenue, Irvine, CA 92606.



15

16

17

18

19

20

21

22

23

24

25

26

27

- 3. Upon information and belief, Defendant PACIFIC HANDY CUTTER, INC. (hereinafter "PHC"), is a corporation organized and existing under the laws of the State of California, with its principal place of business at 2968 Randolph Avenue, Costa Mesa, CA 92626.
- 4. Upon information and belief, Defendant FRONT LINE SALES, INC. ("FRONT LINE") is a corporation, organized and existing under the laws of the State of California, with its principal place of business at 1751 Curtiss Court, La Verne, CA 91750.
- 5. Upon information and belief, Defendant STANLEY BLACK & DECKER, INC. ("STANLEY") is a corporation, organized and existing under the laws of the State of Connecticut, with its principal place of business at 1000 Stanley Drive, New Britain, CT 06053.
- 6. The true names and capacities, whether individual, corporate, associate, representative or otherwise, of DOES 1 through 20, inclusive, are unknown to Plaintiff, who therefore sues them by such fictitious names. Plaintiff will seek leave to amend this complaint to show the true names and capacities of the Defendants when they are ascertained. Plaintiff is informed and believes, and thereupon alleges, that each of the Defendants named as a DOE, along with the named Defendants, is responsible in some manner for the occurrences herein alleged, and that Plaintiff's damages herein alleged were legally or proximately caused by said Defendants. Wherever it is alleged that any act or omission was also done or committed by any specifically named Defendant or by Defendants generally, Plaintiff intends thereby to allege, and does allege, that the same act or omission was also done and committed by each and every Defendant named as a DOE, and each named Defendant, both separately and in concert or conspiracy with the named Defendants.
  - 7. On information and belief, and at all times mentioned herein, each of

the Defendants named herein as DOES 1 through 20, inclusive, performed, participated in or abetted in some manner the acts alleged herein; proximately caused the damages alleged herein below; and are liable to Plaintiff for the damages and relief sought herein.

### JURISDICTION AND VENUE

- 8. This is an action for patent infringement arising under the patent laws of the United States, 35 U.S.C. §§ 1, et seq. This Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 9. This Court has personal jurisdiction over PHC because PHC resides in this judicial district, conducts business in this judicial district and in the State of California, and has and continues to commit acts of patent infringement and/or has contributed to or induced acts of patent infringement by others in this judicial district (and elsewhere in California and in the United States).
- 10. This Court has personal jurisdiction over FRONT LINE because FRONT LINE resides in this judicial district, conducts business in this judicial district and in the State of California, and has and continues to commit acts of patent infringement and/or has contributed to or induced acts of patent infringement by others in this judicial district (and elsewhere in California and in the United States).
- 11. This Court has personal jurisdiction over STANLEY because STANLEY conducts business in this judicial district and in the State of California, and has and continues to commit acts of patent infringement and/or has contributed to or induced acts of patent infringement by others in this judicial district (and elsewhere in California and in the United States).
- 12. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391(b), 1391(c) and 1400(b) because PHC, FRONT LINE and STANLEY are each subject to personal jurisdiction in this judicial district, have regularly

conducted business in this judicial district, and certain of the acts complained of herein occurred in this judicial district.

3

## 4

## 5 6 7

8 9

10

11 12

13

14

16

15

17 18

19 20

21 22

23 24

25

26 27

28

## **THE PATENTS-IN-SUIT**

- 13. SPELLBOUND is the owner of all right, title and interest in and to United States Patent No. 7,356,928 ("the '928 Patent"), entitled "Utility Knife With Safety Guard Having Reduced Play," which was duly and legally issued on April 15, 2008. A true and correct copy of the '928 Patent is attached hereto as **Exhibit** A.
- 14. SPELLBOUND is the owner of all right, title and interest in and to United States Patent No. 6,718,640 ("the '640 Patent"), entitled "Cutting Tool," which was duly and legally issued on April 13, 2004. A true and correct copy of the '640 Patent is attached hereto as Exhibit B.
- 15. SPELLBOUND is the owner of all right, title and interest in and to United States Patent No. 7,726,029 ("the '029 Patent"), entitled "Safety Cutting" Apparatus," which was duly and legally issued on June 1, 2010. A true and correct copy of the '029 Patent is attached hereto as **Exhibit C**.
- The '928 Patent, the '640 Patent and the '029 Patent each have 16. numerous claims drawn to devices for an improved utility knife having a blade guard that intermittently protects a cutting edge of the knife's blade. Many of these claims are directed to various configurations of the mechanism that enables the blade guard to intermittently protect the blade. The '928 Patent, the '640 Patent and the '029 Patent are collectively referred to herein as the "Patents-In-Suit."

## **COUNT ONE**

## INFRINGEMENT OF THE '928 PATENT AGAINST ALL DEFENDANTS

Plaintiff re-alleges and incorporates herein the allegations of 17. paragraphs 1-16 as if fully set forth herein.

- 19. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants has infringed, is currently infringing, and will continue to infringe, either directly or by infringement under the doctrine of equivalents, the '928 Patent, by making, using, offering for sale, selling and/or importing into the United States devices, and specifically, at least PHC's RSC-432 knife, which incorporates and infringes the subject matter protected by at least claim 9 of the '928 Patent.
- 20. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants also contributes to and/or induces infringement of one or more of the claims of the '928 Patent as set forth above.
- 21. As a direct and proximate result of each of the Defendants' acts of infringement as alleged herein, Plaintiff has and will continue to suffer damages in an amount according to proof at trial, and thus Plaintiff is entitled to recover damages adequate to compensate it for such infringement, but in no event less than a reasonable royalty.
- 22. Unless each of the Defendants is enjoined by this Court from continuing their infringement of the '928 Patent, Plaintiff will suffer additional irreparable harm and impairment of the value of its patent rights. Thus, Plaintiff is entitled to an injunction against further infringement.
- 23. Upon information and belief, at least each of Defendants PHC and FRONT LINE has had actual notice of the existence of the '928 Patent at least as of August 12, 2009, and has had constructive notice of the existence of the '928 Patent at least as of the date of issuance, April 15, 2008.
- 24. Upon information and belief, at least each of Defendants PHC's and FRONT LINE's infringement has occurred with knowledge of the '928 Patent and is and has been in deliberate and willful in violation of 35 U.S.C. § 284 entitling Plaintiff to increased damages, and making this case exceptional within the meaning of 35 U.S.C. § 285.

25. Plaintiff does not yet have sufficient knowledge or information to determine whether infringement by the DOE Defendants is willful, but will seek leave of Court to amend this Complaint to so allege if and when it obtains such knowledge and information.

#### **COUNT TWO**

#### **INFRINGEMENT OF THE '640 PATENT AGAINST ALL DEFENDANTS**

- 26. Plaintiff re-alleges and incorporates herein the allegations of paragraphs 1-25 as if fully set forth herein.
  - 27. The '640 Patent is valid and enforceable.
- 28. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants has infringed, is currently infringing, and will continue to infringe, either directly or by infringement under the doctrine of equivalents, the '640 Patent, by making, using, offering for sale, selling and/or importing into the United States devices, and specifically, at least PHC's RSC-432 knife, which incorporates and infringes the subject matter protected by at least one of claims 3-4 of the '640 Patent.
- 29. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants also contributes to and/or induces infringement of one or more of the claims of the '640 Patent as set forth above.
- 30. As a direct and proximate result of each of the Defendants' acts of infringement as alleged herein, Plaintiff has and will continue to suffer damages in an amount according to proof at trial, and thus Plaintiff is entitled to recover damages adequate to compensate it for such infringement, but in no event less than a reasonable royalty.
- 31. Unless each of the Defendants is enjoined by this Court from continuing their infringement of the '640 Patent, Plaintiff will suffer additional irreparable harm and impairment of the value of its patent rights. Thus, Plaintiff is entitled to an injunction against further infringement.

32.

notice of the existence of the '640 Patent at least as of September 4, 2009, and has had constructive notice of the existence of the '640 Patent at least as of the date of issuance, April 13, 2004.

33. Upon information and belief, at least Defendant FRONT LINE has had

Upon information and belief, at least Defendant PHC has had actual

- 33. Upon information and belief, at least Defendant FRONT LINE has had actual notice of the existence of the '640 Patent at least as of the service date of this first amended complaint, and has had constructive notice of the existence of the '640 Patent at least as of the date of issuance, April 13, 2004.
- 34. Upon information and belief, at least each of Defendants PHC's and FRONT LINE's infringement has occurred with knowledge of the '640 Patent and is and has been in deliberate and willful in violation of 35 U.S.C. § 284 entitling Plaintiff to increased damages, and making this case exceptional within the meaning of 35 U.S.C. § 285.
- 35. Plaintiff does not yet have sufficient knowledge or information to determine whether infringement by the DOE Defendants is willful, but will seek leave of Court to amend this Complaint to so allege if and when it obtains such knowledge and information.

## **COUNT THREE**

## **INFRINGEMENT OF THE '029 PATENT AGAINST ALL DEFENDANTS**

- 36. Plaintiff re-alleges and incorporates herein the allegations of paragraphs 1-35 as if fully set forth herein.
  - 37. The '029 Patent is valid and enforceable.
- 38. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants has infringed, is currently infringing, and will continue to infringe, either directly or by infringement under the doctrine of equivalents, the '029 Patent, by making, using, offering for sale, selling and/or importing into the United States devices, and specifically, at least PHC's RSC-432 knife, which incorporates and

infringes the subject matter protected by at least one of claims 1-5 and 8 of the '029 Patent.

- 39. Upon information and belief, in violation of 35 U.S.C. § 271, each of the Defendants also contributes to and/or induces infringement of one or more of the claims of the '029 Patent as set forth above.
- 40. As a direct and proximate result of each of the Defendants' acts of infringement as alleged herein, Plaintiff has and will continue to suffer damages in an amount according to proof at trial, and thus Plaintiff is entitled to recover damages adequate to compensate it for such infringement, but in no event less than a reasonable royalty.
- 41. Unless each of the Defendants is enjoined by this Court from continuing their infringement of the '029 Patent, Plaintiff will suffer additional irreparable harm and impairment of the value of its patent rights. Thus, Plaintiff is entitled to an injunction against further infringement.
- 42. Upon information and belief, at least Defendants have had actual notice of the existence of the '029 Patent at least as of May 20, 2010, and has had constructive notice of the existence of the '029 Patent at least as of the date of publication of the application, January 28, 2010.
- 43. Upon information and belief, at least each of Defendants PHC's and FRONT LINE's infringement has occurred with knowledge of the '029 Patent and is and has been in deliberate and willful in violation of 35 U.S.C. § 284 entitling Plaintiff to increased damages, and making this case exceptional within the meaning of 35 U.S.C. § 285.
- 44. Plaintiff does not yet have sufficient knowledge or information to determine whether infringement by the DOE Defendants is willful, but will seek leave of Court to amend this Complaint to so allege if and when it obtains such knowledge and information.

### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiff SPELLBOUND, for the Patents-In-Suit, prays for judgment and relief as follows:

A) Judgment that the Patents-In-Suit are valid and enforceable;

- B) Judgment that each of the Defendants infringe the Patents-In-Suit and that each of the Defendants' infringement is, and has been, deliberate and willful;
- C) Judgment that each of the Defendants, its directors, officers, employees, attorneys, and agents, and all those persons acting in active concert or in participation with them, and their successors and assigns, be enjoined from further acts that infringe, contributorily infringe or induce infringement of the Patents-In-Suit pursuant to 35 U.S.C. § 283;
- D) Judgment that each of the Defendants individually and collectively be ordered to pay damages adequate to compensate SPELLBOUND for that Defendant's infringement of the Patents-In-Suit pursuant to 35 U.S.C. § 284, together with interest, including pre-judgment interest from the date infringement of the Patents-In-Suit began;
- E) Judgment that the Defendants individually and collectively be ordered to pay all costs and expenses incurred by SPELLBOUND associated with this action pursuant to 35 U.S.C. § 284;
- F) Judgment that the Defendants individually and collectively be ordered to pay treble damages pursuant to 35 U.S.C. § 284 as a result of the willful and deliberate nature of their conduct;

þ	ase 8:0	9-cv-00951-DOC -AN Document 105 Filed 09/01/10 Page 12 of 50 Page ID #:1374							
	PROOF OF SERVICE								
I	I am over the age of eighteen (18) years, employed in the County of Los Angeles, and not a party								
2	to the above-entitled action. My business address is 880 Apollo Street, Suite 301, El Segundo, CA 90245 On September 1, 2010 I served a THIRD-AMENDED COMPLAINT FOR PATENT								
3	INFRINGEMENT								
4	addressed as follows to:								
5		Dean A. Dickie George L. Hampton IV MILLER CANFIELD Colin C. Holley							
6		225 West Washington Street, Suite 2600 HAMPTONHOLLEY LLP							
7		Chicago, Illinois 60606 2101 East Coast Highway, Suite 260 Corona del Mar, California 92625							
8									
9		BY MAIL: I am readily familiar with the Firm's practice of collecting and processing correspondence for mailing. Under that practice, it would be deposited with the United States							
10		Postal Service on the same day with a postage thereon fully prepaid at El Segundo, Camornia, in the ordinary course of business. I am aware that, on the motion of the party served, service is							
11		presumed invalid if the postal cancellation date or postage meter date is more than one (1) day after the date of deposit for mailing shown on this proof of service.							
12 13		BY FEDERAL EXPRESS/OVERNIGHT DELIVERY: I caused a copy of such document to be sent via overnight delivery to the office(s) of the addressee(s) shown above.							
14		BY ELECTRONIC MAIL: I caused a copy of such document to be sent via ELECTRONIC							
15		MAIL to the office(s) of the addressee(s) at the e-mail address(es) shown above.							
16	<del></del>	<b>BY FACSIMILE</b> : I caused a copy of such document to be sent via facsimile machine to the office(s) of the addressee(s) at the phone number(s) shown above.							
17		BY PERSONAL SERVICE							
18		FEDERAL COURT: I caused such envelope to be delivered by hand to the offices of the							
19		addressee(s).  STATE COURT: I caused such envelope to be delivered by hand to the offices of the							
20		addressee(s).							
21	$\boxtimes$	FEDERAL: I declare, under penalty of perjury that the foregoing is true and that I am employed							
22	in the office of a member of the Bar of this Court at whose direction the service was made.								
23	Execut	ted on September 1, 2010, at El Segundo, California.							
24									
25		Steve Allen							
26									
27									
28									

Exhibit A

## (12) United States Patent Votolato

(10) Patent No.:

4,980,977 A

US 7,356,928 B2

(45) Date of Patent:

Apr. 15, 2008

## (54) UTILITY KNIFE WITH SAFETY GUARD HAVING REDUCED PLAY

(75) Inventor: Earl J. Votolato, Newport Beach, CA

(73) Assignee: Earl J. & Kimberly Votolato Trustees of the Votolato Living Trust, Newport

Beach, CA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 396 days.

(21) Appl. No.: 10/936,891

(22) Filed: Sep. 8, 2004

(65) Prior Publication Data

US 2006/0048389 A1 Mar. 9, 2006

(51) Int. Cl. *B26B 29/00* (2006.01)

See application file for complete search history.

U.S. PATENT DOCUMENTS

#### (56) References Cited

## 4,757,612 A \* 7/1988 Peyrot ...... 30/151

5,241,750	A *	9/1993	Chomiak 30/2
5,878,501	A	3/1999	Owens et al.
6,070,326	A *	6/2000	Berns 30/2
6,233,832	B1 *	5/2001	Berns 30/162
6,453,559	B1 *	9/2002	Marshall et al 30/2
6,560,873	B1 *	5/2003	Ortner et al 30/2
2002/0124412	A1*	9/2002	Votolato 30/200

1/1991 Matin et al.

#### OTHER PUBLICATIONS

U.S. Appl. No. 09/804451, filed Sep. 2002, Votolato. U.S. Appl. No. 10/300382, filed May 2004, Votolato.

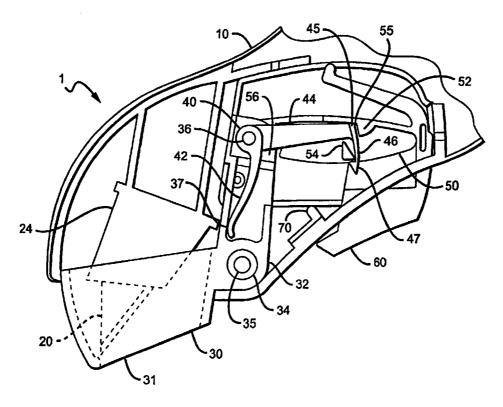
\* cited by examiner

Primary Examiner—Timothy V. Eley (74) Attorney, Agent, or Firm—Fish & Associates, PC

#### (57) ABSTRACT

A utility knife has a protective guard that moves from a locked position to an unlocked position. Preferred mechanisms utilize a pawl that cooperates with a stop to reduce movement of the guard while the guard is in a locked position, and a simple latching mechanism that allows the pawl to bypass the stop. The pawl is disposed with respect to other elements of the mechanism such that the blade guard can only pulled back to a retracted position after operation of a trigger or other actuator, and then only for a single use. Both the stop and the catch can advantageously be carried in a fixed special relation to one another by operation of a trigger or other actuator.

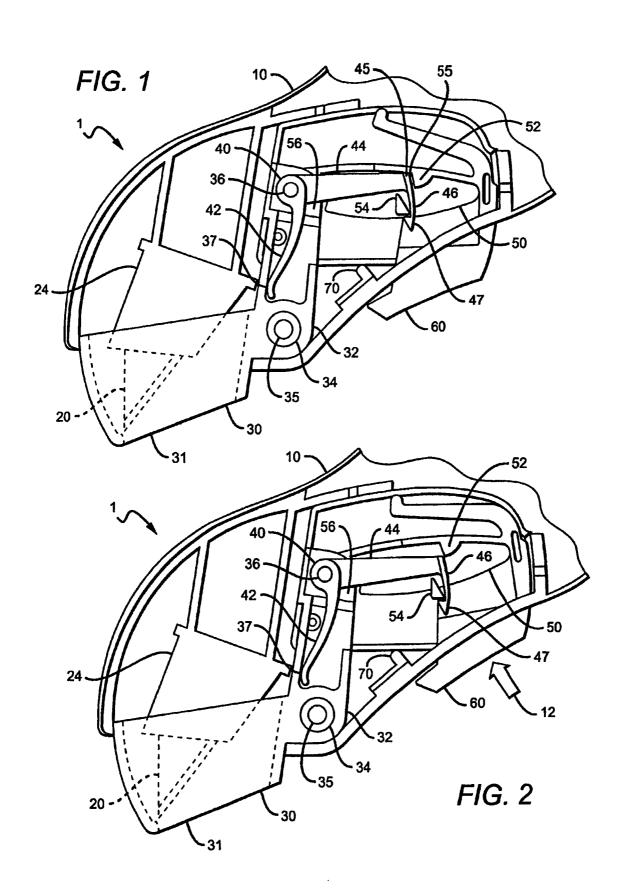
#### 20 Claims, 4 Drawing Sheets





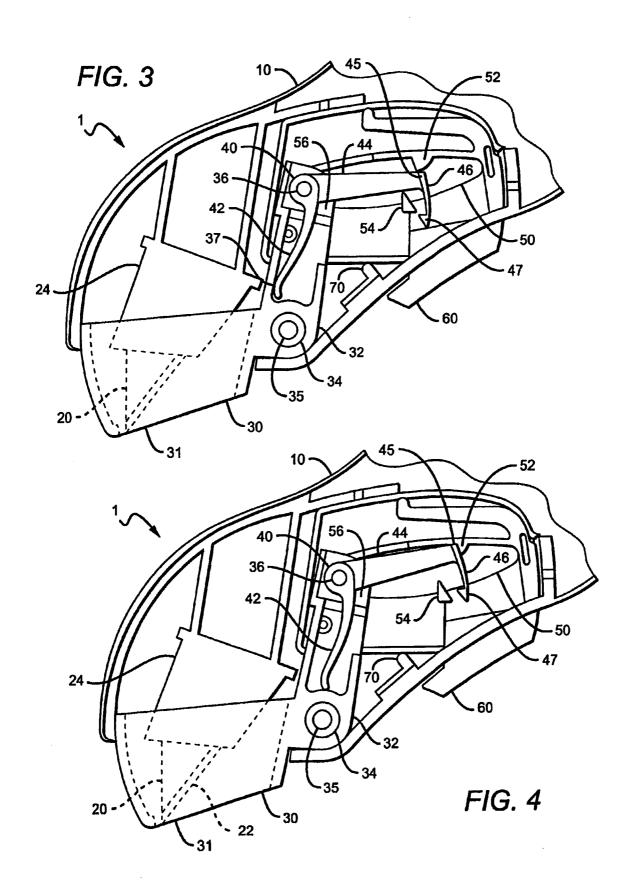
**U.S. Patent** Apr. 15, 2008

Sheet 1 of 4



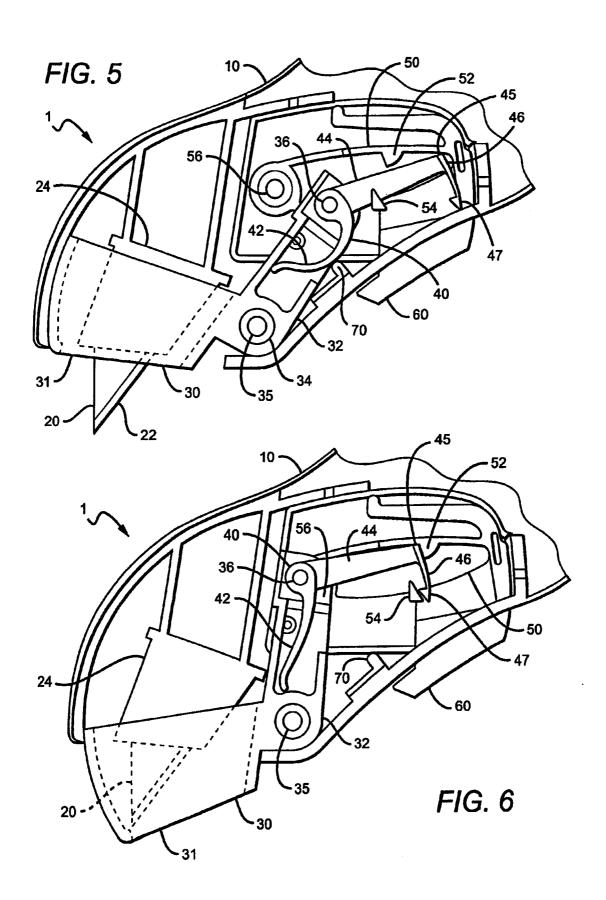
**U.S. Patent** Apr. 15, 2008

Sheet 2 of 4



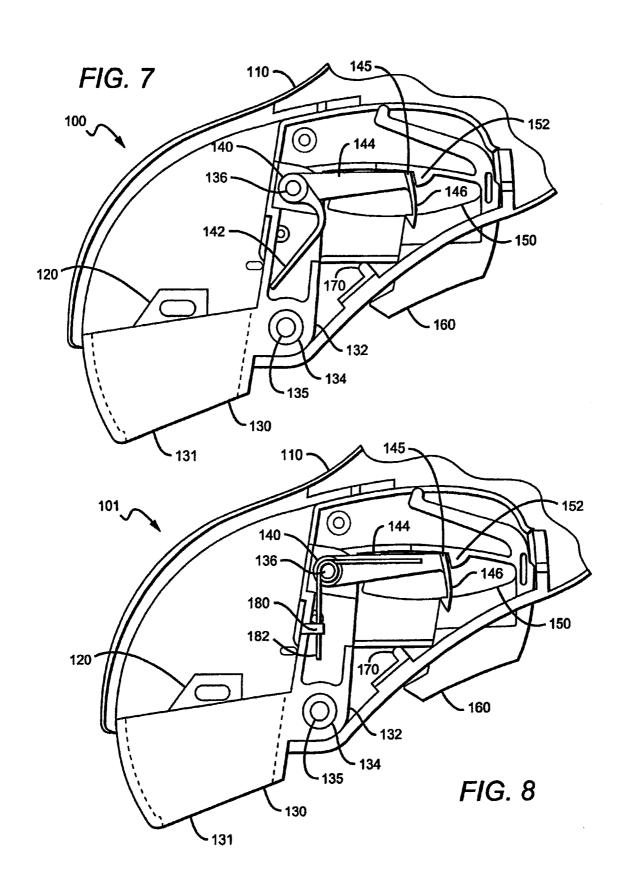
**U.S. Patent** Apr. 15, 2008

Sheet 3 of 4



Apr. 15, 2008

Sheet 4 of 4



US 7,356,928 B2

1

#### UTILITY KNIFE WITH SAFETY GUARD HAVING REDUCED PLAY

#### FIELD OF THE INVENTION

The field of the invention is utility knives.

#### BACKGROUND OF THE SUBJECT MATTER

Utility knives typically have a sharp cutting blade that can either (a) be retracted into a housing, or (b) released to an operating disposition by movement of a protective blade guard. In either case problems arise where the blade is left in an unprotected disposition where it can accidentally cause injury to a user.

The problem of accidental injury has been long recognized, with numerous solutions being put forward at various times. U.S. Pat. No. 4,980,977 to Matin et al. (January 1991), for example, describes a knife having a safety guard that guards the blade when not in use, and automatically 20 retracts as the blade is removed from the workpiece. The guard has a manually triggered self-locking release assembly that automatically relocks the guard when retracted. Unfortunately, Matin's locking mechanism is external to the housing housing, which is dangerous because the mecha- 25 nism is readily subjected to debris that could jam or otherwise interfere with both the locking and unlocking functions. In addition, Matin's safety guard pivots off the blade externally to the housing housing, rather than being retracted into the housing. That operation is dangerous because the 30 pivoted guard can readily interfere with operation of the knife.

U.S. Pat. No. 5,878,501 to Owens et al. (March 1999) uses an internal locking mechanism, but leaves the blade in the "use" position for multiple uses. There is no automatic 35 re-locking mechanism, and withdrawal of the blade into the housing is entirely manual.

More recently the present inventor pioneered utility knives having a mechanism that automatically re-locks the protective blade guarding to prevent more than a single use 40 of the blade. Pending applications include Ser. No. 09/804, 451, published in September 2002 as 2003/0131393, and Ser. No. 10/300,382, published in May 2004 as 2004/0093734. These and all other referenced patents and applications are incorporated herein by reference in their entirety. 45

While providing considerable improvement over the prior art, the preferred embodiments of the utility knives described in the Ser. Nos. 09/804,451 and 10/300,382 applications have more "play" in the blade guard than might be desired in some circumstances. In the Ser. No. 10/300,382 50 application, for example, a preferred locking mechanism utilizes a pawl that rides in a looped pathway. Two ramped steps on the pathway limit the pawl's travel to a one-way direction, so that once the pawl starts along the pathway, it must finish a complete loop. The mechanism, however, 55 allows some slight backward motion of the pawl, and thus introduces potentially undesirable play in the blade guard.

Thus, there is a need for an improved locking/releasing mechanism that automatically re-locks the protective blade guard 30, a pawl 40, a carr guarding to prevent more than a single use of the blade, while reducing the play in the blade guard.

10 (only the front portion of which blade guard 30, a pawl 40, a carr and a catch 54, and a trigger 60. Housing 10 is preferably size

#### SUMMARY OF THE INVENTION

The present invention provides methods and apparatus in 65 which a utility knife has a protective guard that moves from a locked position to an unlocked position. Preferred mecha-

2

nisms utilize a pawl hat cooperates with a stop to reduce movement of the guard while the guard is in a locked position, and a simple latching mechanism that allows the pawl to bypass the stop. The pawl is disposed with respect to other elements of the mechanism such that the blade guard can only pulled back to a retracted position after operation of a trigger or other actuator, and then only for a single use. The guard cannot be retracted a second time until the actuator is released, and then operated anew.

In preferred embodiments pawl has a finger portion that juxtaposes the stop and operates against a pin. Both the stop and the catch can advantageously be carried in a fixed special relation to one another by operation of a trigger or other actuator.

"Play" of the protective guard is limited by the distance between the joint and the stop in the locked position, which distance is preferably less than 5 mm, more preferably less than 3 mm, still more preferably less than 2 mm, and most preferably less than 1 mm.

Various objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of preferred embodiments of the invention, along with the accompanying drawings in which like numerals represent like components.

#### BRIEF DESCRIPTION OF THE FIGURES

FIG. 1 is a side view of a portion of an opened utility knife case, with the blade guard in the deployed (protecting) position, and the pawl in a locked position.

FIG. 2 is a side view of the opened utility knife case of FIG. 1, showing the trigger in a depressed (actuated) position, and the pawl in an unlocked position.

FIG. 3 is a side view of the opened utility knife case of FIG. 1, showing the pawl in an unlocked position, and the blade guard moving away from the deployed position.

FIG. 4 is a side view of the opened utility knife case of FIG. 1, showing the pawl reverted to the locked position upon slight movement of the blade guard.

FIG. 5 is a side view of the opened utility knife case of FIG. 1, showing the blade guard in a retracted position, with the blade exposed.

FIG. 6 is a side view of the opened utility knife case of FIG. 1, showing the blade guard reverted back to a deployed position, and the pawl in a locked position.

FIGS. 7, 8 are side views of an alternative opened utility knife case, with components removed to show the pawl and pawl spring

Various objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of preferred embodiments of the invention, along with the accompanying drawings in which like numerals represent like components.

#### DETAILED DESCRIPTION

In FIG. 1 a utility knife 1 generally comprises a housing 10 (only the front portion of which is shown), a blade 20, a blade guard 30, a pawl 40, a carriage that carries a stop 52 and a catch 54, and a trigger 60.

Housing 10 is preferably sized and dimensioned to fit comfortably in the hand of a user. Housing 10 can be made of any suitable material, including metals, alloys, and plastics, and can have a hollowed out section (not shown) for storing spare blades. Housing 10 is preferably ambidextrous, but alternatively can include contours that would tend to make the device more acceptable to right or left handed use.

US 7,356,928 B2

The reader will note that housing 10 includes numerous structural elements that are not labeled.

Blade 20 is preferably triangular shaped at one or both ends, and has at least one cutting edge 22. Blade 20 is preferably made of non-rusting alloy, but can also be made 5 of other materials, including for example various plastics. Blade 20 is shown here as being held by blade holder 24.

Blade guard 30 generally guards the blade 20 when the guard is in a fully deployed position (as show), and allows use of the blade when the guard is in the retracted position 10 (see FIG. 5). To that end blade guard 30 has a slit along one edge 31 through which at least a portion of the edge 22 of blade 20 can extend. Guard 30 is continuous with guard arm 32, and pivots about pivot 34. The pin 35 for pivot 34 is preferably fixed to or extending from the housing 10. Guard 15 shown in FIG. 4. arm 32 also carries a pin or pin portion 36 about which the pawl 40 pivots. Blade guard 30 is preferably made of transparent or at least translucent plastic, so that the user can see the blade being protected. Alternatively, blade guard 30 can be made of metal or any other suitable material or 20 materials.

Pawl 40 has a first pawl arm 42 that pushes against the guard arm 32 at area 37, and thereby biases the blade guard 30 into the deployed position shown in the Figure. To that end first pawl arm 42 is should have some degree of 25 springiness, whether inherently or through addition of an additional spring (not shown). Pawl 40 also has a second pawl arm 44 that cooperates with stop 52 to prevent guard arm 32 from pivoting about pin 35, and thereby prevents the blade guard 30 from retracting. Second pawl arm 44 has a 30 joint 45 (which could also be called an elbow), and extending from the joint 45 is a finger 46 (which is also referred to herein as a latch) that cooperates with catch 54 in a latching motion. It is the finger 46 and in part the joint 45 that actually juxtapose the stop 52. Pawl 40 is preferably con- 35 structed of a single, continuous piece of metal alloy, or plastic.

Carriage 50 pivots about pin 56, which is attached to or extending from housing 10. The pivoting motion is controlled by depression and release of trigger 60. Stop 52 and 40 catch 54 are each preferably attached to or extending from the carriage 50, with their respective positions fixed at a distance of less than 2 cm., depending on the width of second pawl arm 44. Carriage 50, stop 52, and catch 54 can be made from any suitable material or materials, and can be 45 shaped as shown or can have any other suitable shapes.

Trigger 60 is shown on the underside of the housing 10, and is positioned relatively forward so that the trigger is easily operated by the users forefinger. All other suitable positions are contemplated, including positions on the top or 50 side of the housing 10. Those skilled in the art will also appreciate that the trigger 60 is merely emblematic of a more general actuator, which could take the form of a push button, a slider, and so forth. Trigger 60 is preferably constructed from metal or plastic.

In FIG. 1 the utility knife 1 is shown with the blade guard 30 in the deployed (protecting) position, and the pawl 40 in a locked position. Locking is accomplished by the approximate juxtaposition of joint 45 and finger 36 against stop 52. In this position the maximum distance between finger 36 and 60 stop 52 determines the play (slight movement) that blade guard 30 can undergo. As such it is beneficial if the distance 55 is less than 5 mm, more preferably less than 3 mm, even more preferably less than 2 mm, and most preferably less than 1 mm.

In FIG. 2 the trigger 60 has been depressed (squeezed) against the housing 10 in the direction of arrow 12, with the effect that the carriage 50 has rotated upwards (from the point of view of the drawing). That motion has disengaged the finger 46 from the stop 52, which will subsequently

allow the second pawl arm 44 to move to the right past the stop 52. The pawl is thus in an unlocked position in this Figure.

In FIG. 3 the blade guard 30 has been pushed back slightly, enough to displace the joint 47 and finger 46 past the stop 45, but not enough for the blade 22 to protrude through the slit 31 in the blade guard 30. If, from this position the pressure against the blade guard 30 is removed, so that the blade guard 30 reverts back to the fully deployed position of FIG. 1, then the pawl arm 44 at joint 45 and finger 46 would re-lock against the stop 52. That situation is

In FIG. 5 the blade guard 30 has been pushed back to its greatest extent, as limited by the guard arm 32 striking rest 70 attached to or formed as part of the housing 10. In this position the blade 20 extends through slot 31 to a maximal extent, which in preferred embodiments exposes the cutting edge 22 of the blade 20 to depth of at least 8 mm, more preferably at least 9 mm, still more preferably at least 10 mm, and most preferably almost 11 mm. Movement of the blade guard 30 is presumably caused by the user pushing the guard 30 against a cardboard box or other surface being cut (not shown), with the blade guard 30 being retracted and the blade 20 being forced into the box material.

In FIG. 6 the pressure on the blade guard 30 has been removed, and the guard 30 has returned to its fully deployed position. This presumably occurs because the user has made the needed cut, and removed the blade 20 from the surface being cut. Since the blade guard 30 is continuous with guard arm 32, pivoting about pin 35, the portion of guard arm 32 containing pin 36 is also returned to its native position, which carries joint 45 and finger 46 back to engage stop 52.

In this position the blade guard 30 cannot be retracted because there is nothing to disengage the joint 45 and finger 46 from the stop 52. To disengage and restart the cycle, the trigger 60 must be released, which would carry the hooked end 47 of finger 46 to where it would latch against catch 54. This brings us full cycle back to FIG. 1. Of course, the trigger 60 need not be operated during the entire cutting cycle, and can be release as soon as the latching mechanism is unlocked.

In an alternative embodiment of FIGS. 7 and 8, a utility knife 100 generally comprises a housing 100 (only the front portion of which is shown), a blade 120, a blade guard 130, a pawl 140, a carriage that carries a stop 152 and a catch 154, and a trigger 160. Except as noted below, all of the components are substantially similar to those in FIGS. 1-6, with component numbering of FIG. 7 being higher by 100 relative to those of FIGS. 1-6.

Pawl 140 has a first pawl arm 142 that pushes against the guard arm 132 at area 137, and thereby biases the blade guard 130 into the deployed position shown in FIG. 7. To that end first pawl arm 142 is should have some degree of springiness, whether inherently or through addition of an additional spring (not shown). Pawl 140 also has a second pawl arm 144 that cooperates with stop 152 to prevent guard arm 132 from pivoting about pin 135, and thereby prevents the blade guard 130 from retracting. Second pawl arm 144 has a joint 145 (which could also be called an elbow), and extending from the joint 145 is a finger 146 (which could be utilized as a latch, but which is not necessarily utilized in this embodiment). It is the finger 146 and in part the joint 145 that actually juxtapose the stop 152. Pawl 140 is preferably constructed of a single, continuous piece of metal alloy, or

plastic. In FIG. 7, the latch and catch are embodied not by the finger 146, but by a catch 180 operating on spring 182. As will be appreciated, spring 182 exerts a force on the pawl 140 during at least some portion of the operation of the blade mand 130.

It should therefore be appreciated that the two embodiments shown in the Figures are merely exemplary, and only depict one of many possible embodiments corresponding to the disclosed subject matter. What is contemplated herein is the entire class of embodiments of utility knives where a 10 blade guard automatically re-locks after each use, and in which a pawl is used in conjunction with a stop and a catch to limit the play in the blade guard.

Thus, several specific embodiments and applications of utility knives have been described. It should be apparent, 15 however, to those skilled in the art that many more modifications besides those already described are possible without departing from the inventive concepts herein. The inventive subject matter, therefore, is not to be restricted except in the spirit of the appended claims. Moreover, in interpreting 20 both the specification and the claims, all terms should be interpreted in the broadest possible manner consistent with the context. In particular, the terms "comprises" and "comprising" should be interpreted as referring to elements, components, or steps in a non-exclusive manner, indicating 25 that the referenced elements, components, or steps may be present, or utilized, or combined with other elements, components, or steps that are not expressly referenced.

I claim:

1. An improved utility knife having a body, a blade, and 30 a blade guard coupled to the body, the blade guard disposed to intermittently protect a cutting edge of the blade, the improvement comprising:

the blade guard being shorter than, and pivotally coupled to the body;

a pawl coupled to the blade guard; and

the pawl having a first portion that operates against a stop to prevent the blade guard from exposing the cutting edge, and a second portion that operates against a catch to bypass the stop.

- 2. The utility knife of claim 1 wherein the pawl hinges on the blade guard.
- 3. The utility knife of claim 1 wherein the first portion is located at a joint of the pawl.
- 4. The utility knife of claim 1 wherein the second portion 45 comprises a finger located at an end of the pawl.
- 5. The utility knife of claim 1 wherein an arm of the pawl biases the blade guard into a deployed position.
- 6. The utility knife of claim 1 wherein a first arm of the pawl biases the blade guard into a deployed position, and a

6 second arm of the pawl cooperates with the stop and the catch to lock and unlock the blade guard.

- 7. The utility knife of claim 1 wherein the stop is disposed in a fixed special relation to a pin.
- 8. The utility knife of claim 1 wherein the stop and the catch are carried on a member that can be pivoted by operation of an actuator.
- 9. The utility knife of claim 1 wherein the catch acts upon a spring that exerts a force on the pawl.
- 10. The utility knife of claim 1 wherein the actuator comprises a trigger mounted on an underside of the body.
- 11. The utility knife of claim 10 wherein the first portion is maintained within 5 mm of the stop prior to release of an actuator.
- 12. The utility knife of claim 1 wherein the first portion is maintained within 5 mm of the stop prior to release of an actuator.
- 13. The utility knife of claim 1 wherein the first portion is maintained within 3 mm of the stop prior to release of an actuator.
- 14. The utility knife of claim 1 wherein the first portion is maintained within 2 mm of the stop prior to release of an actuator.
- 15. The utility knife of claim 1 wherein the first portion is maintained within 1 mm of the stop prior to release of an actuator
- 16. The utility knife of claim 1 wherein the pawl hinges on the blade guard, the first portion is located at a joint of the pawl, and the second portion comprises a finger located at an end of the pawl.
- 17. The utility knife of claim 1 wherein the stop is disposed in a fixed special relation to a pin, and the stop and the catch are carried on a member that can be pivoted by operation of an actuator.
- 18. The utility knife of claim 1 wherein the pawl is disposed with respect to the stop and the catch such that the pawl locks the blade guard in a protective position, releases the blade guard to an operating position upon operation of an actuator, and automatically re-locks the blade guard to prevent more than a single use of the blade until further operation of the actuator.
- 19. The utility knife of claim 18 wherein movement of the blade guard allows the cutting edge of the blade to be exposed to a depth of at least 8 mm.
- 20. The utility knife of claim 18 wherein movement of the blade guard allows the cutting edge of the blade to be exposed to a depth of at least 10 mm.

\* \* \* \* \*

Exhibit B

## (12) United States Patent John

(10) Patent No.:

US 6,718,640 B1

(45) Date of Patent:

Apr. 13, 2004

(54)	CUTTING TOOL				
(75)	Inventor:	Roger Bernard John, Llanelli (GB)			
(73)	Assignee:	Moving Edge Limited, Barry Vale of Glamorgan (GB)			
(*)		Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.			
(21)	Appl. No.:	10/031,249			
(22)	PCT Filed:	Apr. 17, 2000			
(86)	PCT No.:	PCT/GB00/01493			
	§ 371 (c)(1 (2), (4) Dat	), de: Oct. 30, 2001			
(87)	PCT Pub. 1	No.: <b>WO00</b> /64646			
	PCT Pub. 1	Date: Nov. 2, 2000			
(30)	Foreig	n Application Priority Data			
Apr.	23, 1999 (	GB) 9909317			
(51)	Int. Cl. <sup>7</sup>	<b>B26B 1/00</b> ; B26B 1/08; B26B 1/10			
(52)	U.S. Cl	<b>30/162</b> ; 30/151; 30/320; 30/335; 30/351			
(58)	Field of Se	arch			

References Cited

U.S. PATENT DOCUMENTS

4,319,399 A \* 3/1982 Ciaffone ............................... 30/30 X

(56)

5,014,429 A	. *	5/1991	McNamara	30/162
5,502,896 A	. *	4/1996	Chen	30/162
6.560.873 B	1 *	5/2003	Ortner et al	30/2

#### FOREIGN PATENT DOCUMENTS

DE	26 23 490 A1	12/1977
DE	G 89 12 929.6 U1	2/1990
EP	0395338 A1	10/1990
EP	0963819 A1	12/1999
FR	2572984 A1	5/1986
GB	1523168	8/1978
GB	1547002	6/1979
GB	2050227 A	1/1981
GB	2085790 A	5/1982
GB	2232371 A	12/1990

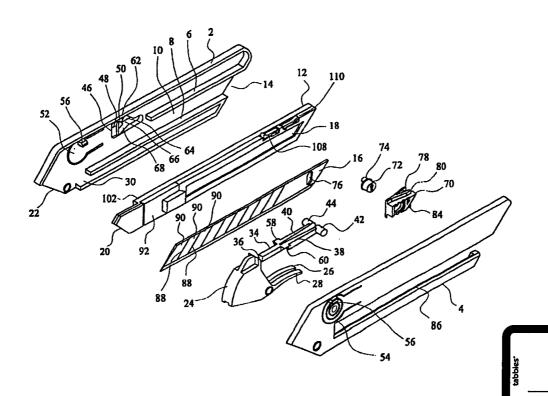
<sup>\*</sup> cited by examiner

Primary Examiner—Douglas D. Watts (74) Attorney, Agent, or Firm—Edwin D. Schindler

#### (57) ABSTRACT

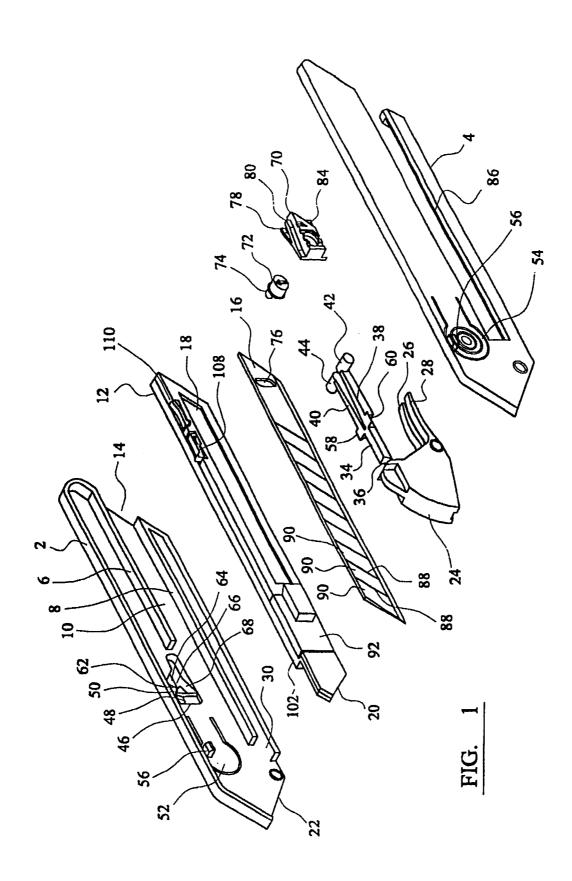
A cutting tool having a blade and a member which is biassed toward a safety position, in which the member forms a guard for the blade, but which may be displaced for exposing the blade by bringing the tool into cutting contact with a workpiece. The cutting tool includes a locking mechanism having a trigger which must be moved from a first position to a second position to release the guard member from its safety position and which is arranged, so that each time the guard member is released from its safety position, it is displaced through a predetermined distance and then returns to its safety position. The guard member will become locked in place regardless of the position of the trigger.

#### 24 Claims, 7 Drawing Sheets



Apr. 13, 2004

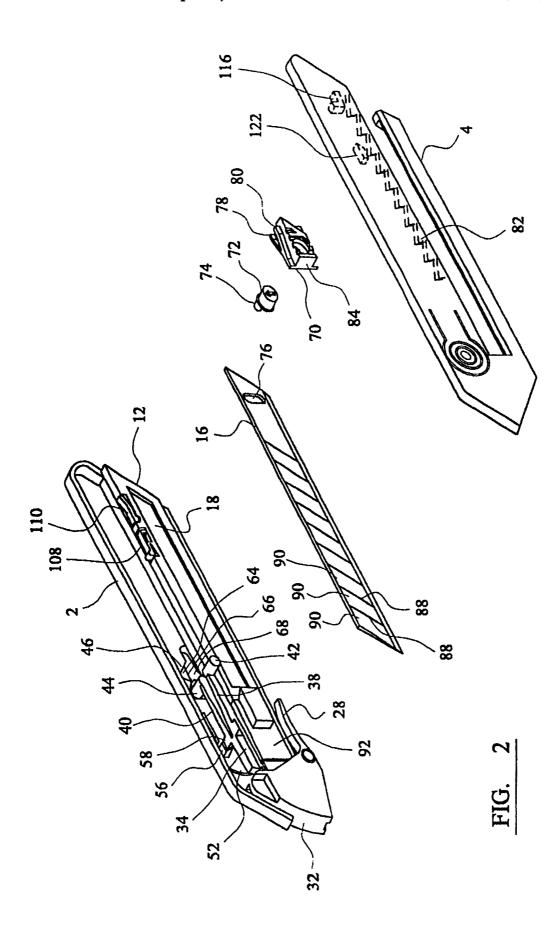
#:1386 Sheet 1 of 7



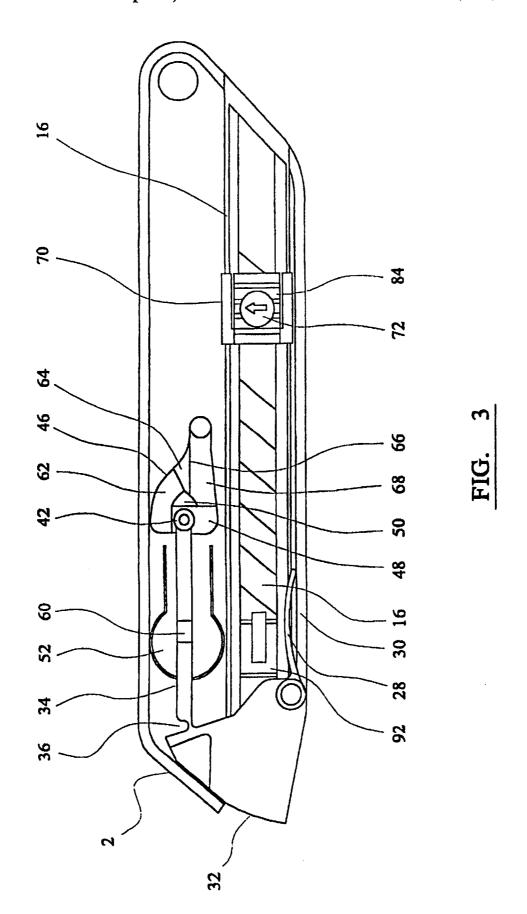
Apr. 13, 2004

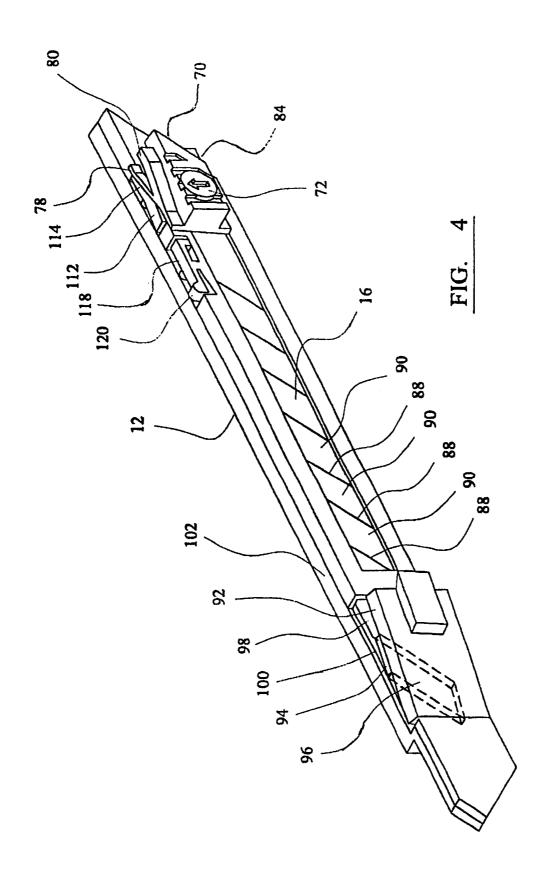
#Sheet 2 of 7

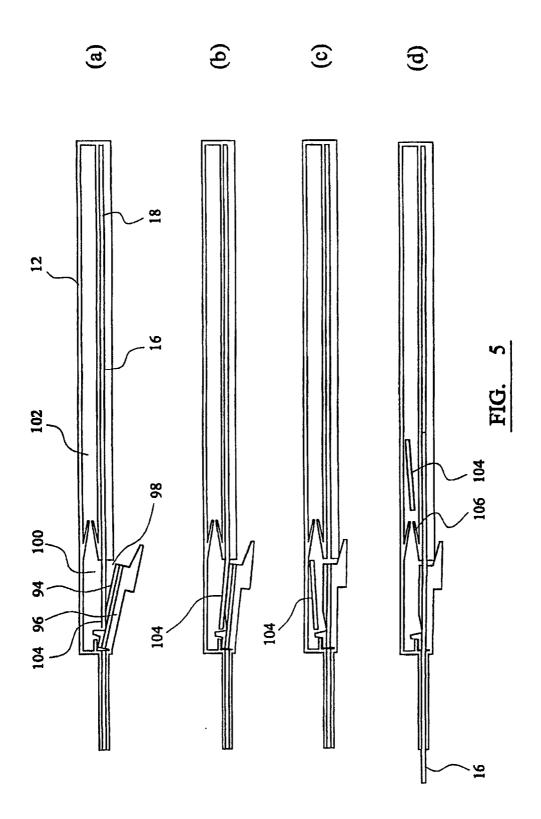
US 6,718,640 B1

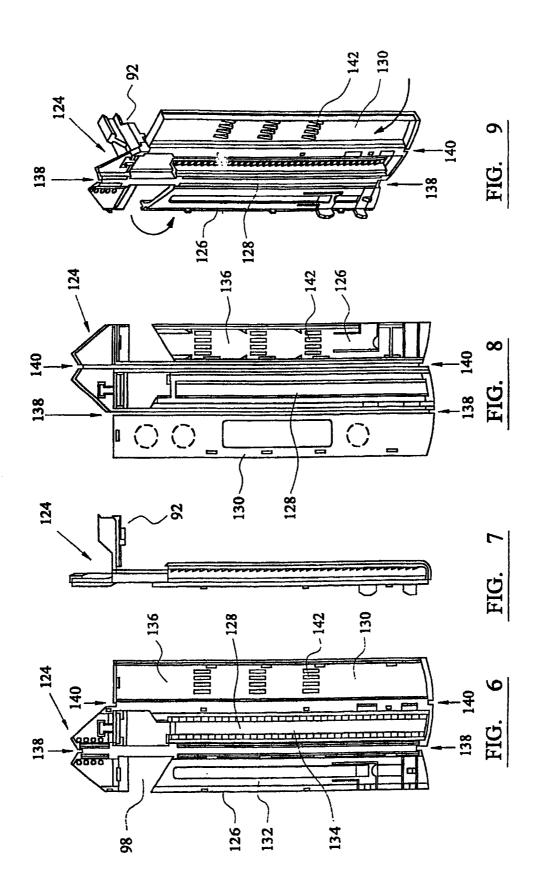


Apr. 13, 2004









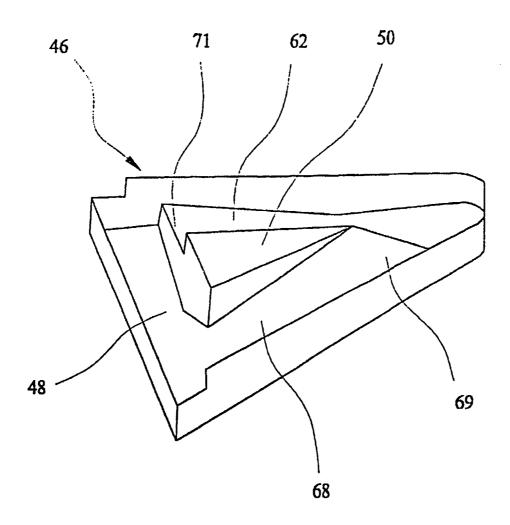


FIG. 10

20

#### 1 CUTTING TOOL

#### BACKGROUND OF THE INVENTION

1. Technical Field of the Invention

The present invention relates to a cutting tool.

2. Description of the Prior Art

The majority of existing cutting tools comprise an elongate handle and a blade portion having a cutting edge extending axially from the handle.

When using such a tool to perform a cutting operation, it is usual for a person to grip the handle of the tool and to draw the blade of the tool towards himself or sideways through a workpiece.

However, as the blade of the tool is released from the workpiece at the end of a cut, the person holding the tool often finds it difficult to maintain control of the tool, thus creating a serious safety hazard both to himself and to those around him.

An arrangement has been proposed wherein a tool is provided with a member which is biassed towards a safety position in which the member forms a guard for the blade of the tool, but which may be displaced to expose the blade by bringing the tool into cutting contact with a workpiece. The 25 tool is further provided with a latch which must be released by depressing a trigger to allow the guard member to be displaced. Thus, inadvertent exposure of the blade is prevented.

However, in order to overcome the safety hazard <sup>30</sup> described above, the trigger must be released before the end of a cut to allow the latch to re-engage the guard member as soon as the tool is released from a workpiece: we have found that there is a tendency for persons using such tools not to release the trigger in sufficient time to prevent accidental <sup>35</sup> cuts from occurring.

We have now devised an arrangement which overcomes the above-mentioned limitations of existing cutting tools.

#### SUMMARY OF THE INVENTION

According to a first aspect of the present invention, there is provided a cutting tool having a blade and a member which is biassed towards a safety position in which the member forms a guard for the blade, but which may be displaced to expose the blade by bringing the tool into cutting contact with a workpiece, and a locking mechanism having a trigger which must be moved from a first position to a second position to release the guard member from its safety position and arranged such that each time the guard member is released from its safety position, is displaced through a predetermined distance, and then returns to its safety position, the guard member will become locked in place regardless of the position of the trigger.

Thus, as the blade of the tool is released from a workpiece at the end of a cut, the guard member is re-deployed and locked in place without the operator having to release the trigger.

Preferably the guard member is pivotally mounted to the tool.

Preferably the locking action of the cutting tool is provided by a strut which is pivotally joined to the guard member, the distal end of the strut being arranged to follow a loop. Most preferably the strut is arranged to pivot in a vertical plane.

Preferably a lug at the distal end of the strut slidably locates within a looped recess. Preferably the recess com-

2

prises a guide-channel which extends substantially perpendicularly to the axis of the longitudinal axis of the strut and within which the lug prevents substantial axial movement of the strut. Preferably as the trigger is displaced from said first position to said second position, the strut is displaced such that lug slides out of one end of the channel.

Preferably the locking mechanism is arranged such that the guard member cannot be released from said safety position unless the tool is in contact with the workpiece.

Preferably the blade is provided in a replaceable cartridge.

Cutting tools are also known in which a blade is mounted to a sliding blade-carriage for advancing and retracting the blade in predetermined increments. For example, it is known to form a blade with a number of lines of weakness defining successive blade sections which may be detached, one at a time, from the end of the blade to maintain a sharp edge to the blade. The blade-carriage may therefore be arranged to be advanced in increments equal to the width of each blade segment.

However, in many circumstances, it would be desirable for the blade to be advanced both in predetermined increments, for example as successive sections of the blade are detached, but also for the position of blade to be adjusted by a much finer degree, to control the depth of cut.

We have now devised an arrangement which provides both coarse and fine adjustment of the blade position.

According to a second aspect of the present invention, there is provided a cutting tool having a blade and a sliding blade-carriage formed with an elongate projection which extends substantially perpendicularly through a slot formed in the blade, the projection being rotatable about an axis parallel to its longitudinal axis and the slot being of substantially the same diameter as the projection and extending substantially perpendicularly to the axis of movement of the carriage, so that as the projection is rotated, the blade is correspondingly advanced or withdrawn relative to the carriage.

Thus the carriage may be slid to-and-fro to provide coarse
40 adjustment of the blade position and the elongate projection
may be rotated to provide fine adjustment of the blade
position.

Preferably the blade is provided in a replaceable cartridge to which the blade-carrier is preferably mounted.

As mentioned above, it is known to provide a cutting tool with a blade formed with a number of lines of weakness defining successive blade sections.

However, in order to detach successive blade sections from the end of the blade it is typically necessary to press the end of the blade sideways against a hard surface to snap the blade along a line of weakness. The blade must therefore be exposed as the end section is detached and, should the cutting tool slip, injury may result. There is also the possibility that the detached blade portion may contaminate a product or cause injury as it is disposed of.

We have now devised an arrangement which overcomes these limitations of existing cutting tools.

According to a third aspect of the present invention, there is provided a cutting tool having a passageway for receiving a elongate blade formed with one or more lines of weakness defining successive blade sections, a portion of the tool being displaceable to detach a distal section of the blade from the remainder of the blade such that the detached blade section is retained within a containment region of the tool.

Preferably the displaceable portion comprises a portion of the passageway formed by opposed first and second walls

3

pivotally mounted such that they may be displaced to one side of the passageway to allow an end section of the blade to be introduced into the gap thus formed, and then brought back into line with the passageway to detach the end section from the remainder of the blade.

Preferably the portion of the passageway formed by the first and second walls is arranged to pivot away from the passageway about the forward edge of the portion.

Preferably, the detached end section of the blade is pressed through an opening into the containment region.

Preferably the passageway is provided in a replaceable blade-cartridge, which may also provide the containment region for receiving detached blade sections.

Preferably the tool or, where the tool comprises a replaceable blade-cartridge, the blade-cartridge, is formed from a blank comprising three collinear elongate portions arranged to be folded together along their adjoining edges such that the passageway for receiving an elongate blade is formed between the opposed faces of two adjacent portions of the blank, and the containment region is formed between the opposed faces of one of the two adjacent portions and a face of the remaining portion.

Preferably the blank is arranged for two adjacent portions of the blank to be folded together, to form the passageway between their opposed faces, and for the portions on opposite sides of the blank to then be folded together, to form the containment region between their opposed faces.

Preferably the blank is formed from a plastics material having fold lines formed by compressed regions of the blank

Preferably the opposed faces of the two portions which form the containment region are each formed with a plurality of projections for inhibiting movement of detached blade sections within the containment region.

It is known to provide a cutting tool with a replaceable cartridge from which a blade may be extended and retracted. However, such cartridges present a serious safety hazard where it is possible for the blade of the cartridge to be exposed when the cartridge is outside of the tool.

We have now devised an arrangement which overcomes this problem.

According to a fourth aspect of the present invention, there is provided a cutting tool comprising a replaceable blade-cartridge within which a blade is carried such that the blade may be slid from an exposed position to a retracted position and comprising means for preventing the cartridge from being removed from the tool whilst the blade is in its exposed position and means which lock the blade in its retracted position as the cartridge is removed from the tool. 50

Preferably the tool comprises a blade-carrier and the means for preventing the cartridge from being removed from the tool whilst the blade is in its exposed position comprises a pivoting catch having a first portion which engages a part of the tool body when the blade is in its exposed position, 55 and a second portion which is displaced by the blade-carrier when the blade is in its retracted position to disengage the first portion from said part of the tool body.

Preferably the tool comprises a blade-carrier and the means which lock the blade in its retracted position as the 60 cartridge is removed from the tool comprises a pivoting catch having a first portion which engages the blade-carrier when the blade is in its retracted position and the cartridge is outside of the tool, and a second portion which is displaced by a part of the tool body when the blade is 65 inserted into the tool to disengage the first portion from the blade-carrier.

4

The arrangement thus significantly reduces the risk of the blade being exposed when the cartridge is outside of the tool.

## BRIEF DESCRIPTION OF THE DRAWING FIGURES

Embodiments of the present invention will now be described by way of examples only and with reference to the accompanying drawings, in which:

FIG. 1 is a exploded view of the various parts which form a cutting tool in accordance with the present invention;

FIG. 2 is a view of a partially assembled cutting tool;

FIG. 3 is a side elevation of a partially assembled cutting tool;

FIG. 4 is a view of a blade-cartridge in accordance with the present invention;

FIG. 5 is a series of sectional plan views showing the sequence of operations for detaching a blade section; and

FIGS. 6 to 9 are respectively a top plan view, a side view, a bottom plan view and a perspective view of a blank from which a blade cartridge is formed; and

FIG. 10 is a perspective view of a recessed portion of a tool in accordance with the present invention.

## DETAILED DESCRIPTION OF THE DRAWING FIGURES

Referring to FIGS. 1 to 3 of the drawings, a cutting tool 30 is shown to comprise a pair of opposed cover plates 2,4, which when brought together provide a handle for the device.

Projections 6,8 formed on the inner surface of each of the cover plates 2,4 provide a passageway 10 which extends longitudinally through the handle for receiving a replaceable blade-cartridge 12, which may be slid into the passageway 10 via an opening 14 formed between the cover plates 2,4 at the rear of the handle.

A blade 16 is contained within the cartridge 12 in a passageway 18 which is closed at its rear end but is formed with an opening 20 at its forward end, through which a limited portion of the blade 16 may be extended, so that, when the cartridge 12 is inserted into the handle, the extended portion will project through an opening 22 at the forward end of the handle.

A guard member 24 is arranged to be pivotally mounted at the forward end of the handle to conceal the extended blade portion of a cartridge 12 inserted into the handle.

The guard member 24 may be spring biassed or, as shown in the drawings, may be formed with a pair of resilient arms 26,28 which, in the assembled device, extend rearwardly into the handle and bear upon the base wall 30 of the handle to bias the guard member 24 such that a portion 32 of the guard member normally projects through the opening 22 at the forward end of the handle, but may be displaced into the handle by pressing the guard member 24 against a work-piece.

A strut 34 is pivotally joined by a thin membrane 36 or may be hinged to the top of the guard member 24 and is bifurcated to provide a pair of resilient arms 38,40, each of which is formed at its distal end with a respective lug 42,44.

The lugs 42,44 are positioned to locate within respective profiled recesses 46 formed in the inner surfaces of the opposed cover plates 2,4.

A guide-channel 48 at the forward end of each profiled recess 46 decreases in depth both upwardly and downwardly

5

away from its midpoint, thereby forming a trough into which a lug 42,44 is normally biassed by its respective arm 38,40. In this position, a buttress 50, to the rear of each lug 42,44, prevents the projecting portion 32 of the guard member 24 from being displaced into the handle.

A pair of triggers for releasing the guard member are provided in the form of respective tongues 52,54 formed in the two cover plates 2,4. By providing triggers on the opposite sides of the handle, the tool may be operated by either left or right-handed persons.

Each tongue 52,54 is formed on its inner surface with a projection 56, which aligns with a corresponding tapered projection 58,60 formed on a respective side of the strut 34. Thus, by pressing upon either of the tongues 52,54, the strut 34 may be deflected upwards to release the guard member 24. With the lugs 42,44 clear of their respective buttresses 50 the projecting portion 32 of the guard member 24 may be displaced into the handle by pressing the guard member 24 against a workpiece.

By displacing the projecting portion 32 of the guard member 24 into the handle, the lugs 42,44 are driven backwards along upper guide-channels 62 of their respective recesses 46. The depth of each recess 46 increases towards the rear of its upper guide-channel 62, across a region 64. An abrupt increase in the depth of each recess 46 at the lower edge 66 of the region 64 defines a lower guide-channel 68 into which the lugs 42,44 are driven by the recoil action of the resilient arms 38,40.

The abrupt increase in the depth of each recess 46 between its upper and lower guide-channels 62,68 ensures oneway travel of the lugs 42,44 around the circuits formed by their respective guide-channels 48,62,68.

As the guard member 24 is released from the workpiece and pivots forwards under the recoil action of the arms 26,28, the lugs 42,44 are drawn forwards along the lower guide-channels 68 of their respective recesses 46. A gradual decrease, followed by an abrupt increase in the depth of each recess 46, towards the forward end of its lower guide-channel 68, ensures that, when the guard member 24 is fully re-deployed, the lugs 42,44 are prevented from returning along the lower guide-channels 68 of their respective recesses 46. The guard member 24 is thus locked in place regardless of whether pressure on the tongues 52,54 has been released.

If pressure is maintained on one or both of the tongues 52,54, whilst the tool is removed from a workpiece, then as that pressure is released, the lugs 42,44 slide upwards into the troughs formed in the guide-channels 48 at the forward ends of their respective recesses 46, under the recoil action of the resilient arms 38,40.

Alternatively, the projections 56 formed on the inner surfaces of the tongues 42,54 and the tapered projections 58,60 of the strut 34 may be arranged such that by pressing upon either of the tongues 52,54, the strut will be deflected downwards (rather than upwards) out of the trough in its respective forward recess 48, to release the guard member 24.

In this embodiment, each recess 46 is profiled as shown in FIG. 10 such that by subsequently displacing the projecting portion 32 of the guard member 24 into the handle, by pressing the tool against a workpiece, the lugs 42,44 are driven backwards along the lower guide-channels 68 of their respective recesses 46.

Each of the lower guide-channels 68 decreases in depth 65 towards the rear of its respective recess 46, with an abrupt increase 69 in the depth at the rear of each channel 68

6

forcing the lugs 42,44 to follow the upper guide-channels 62 of their respective recesses 46 when the tool is released from the surface of a workpiece. The upper guide-channels 62 decrease in depth towards the front of their respective recesses 46, with an abrupt increase 71 in depth at the front of each channel 62 preventing the lugs 42,44 from entering the upper guide-channels 62 when the guard member is fully deployed.

A carriage 70 is mounted to one side of the cartridge 12 by means of a retaining portion (not shown) which locates within the passageway 18. The carriage 70 is provided with a rotatable cylindrical core 72 from which an eccentric axial projection 74 extends across the passageway 18 and though a slot 76 formed in the blade 16. The slot 76 is of substantially the same diameter as the axial projection 74 and extends substantially perpendicularly to the axis of movement of the blade 16, so that as the cylindrical core 72 of the carriage 70 is rotated, the blade 16 is correspondingly advanced or withdrawn by a small distance relative to the carriage 70.

The carriage 70 comprises a resilient portion 78 which, when the cartridge 12 is inserted into the handle, biases a catch 80 into engagement with a corresponding pawl 82 formed in the inner surface of the cover plate 4. A button 84 extends through an elongate slot 86 formed along one side of the cover plate 4 to allow the carriage 70 to be slid backwards and forwards along the cartridge 12 to move the blade 16.

The catch 80 and the opposed indentations which form the pawl 82 are shaped to provide a ratchet action which requires the button 84 to be pressed inwards to allow the blade 16 to be withdrawn into the cartridge 12.

The blade 12 is formed with a number of lines of weakness 88 which define successive blade sections 90, and the cartridge 12 of the present invention provides a convenient means for detaching a blunted section from the forward end of the blade 16 to expose a fresh blade section.

As shown in FIG. 4, with the cartridge 12 removed from the handle and with the blade 16 withdrawn, a portion 92 of the passageway 18 formed by opposed walls 94 and 96 may be pivoted outwards from the cartridge 12 to provide a gap 98. The displacement of the wall 94 also forms an opening 100 leading to a containment region 102 in the rear of the cartridge 12.

By advancing the blade 16 into the gap 98, as shown in FIG. 5a, so that its end section 104 overlies the opening 100 and then pressing the displaced portion 92 of the cartridge 12 back into its normal position, as shown in FIGS. 5b and 5c, the end section 104 is detached from the remainder of the blade by the wall 94, with the spent section 104 being pressed through the opening 100 and into the containment region 102. The remainder of the blade 16 may then be re-introduced between the walls 94 and 96, as shown in FIG.

Barbs 106 formed on the interior wall of the containment region 102 serve to hold spent blade sections away from the opening 100.

The cartridge 12 is further provided with a pair of pivoting safety catches 108,110. The rearmost catch 110 comprises a downwardly projecting portion 112, which is deflected upwards by the retaining portion of the carriage 70, when the carriage 70 is fully retracted, to retract an upwardly projecting portion 114 of the catch. A recess 116 is formed in the cover plate 4 into which the upwardly projecting portion 114 may extend, when the cartridge 12 is inserted into the handle and the carriage 70 is slid forwards, so that there is no

possibility of the cartridge 12 being removed from the handle whilst the blade 16 is exposed.

The foremost catch 108 comprises a downwardly projecting portion 118 which, when the cartridge 12 is removed from the handle acts as a stop against which the retaining 5 portion of the carriage 70 abuts, to prevent the carriage 70 from sliding forwards to expose the blade 16. The catch 108 also comprises and an upwardly projecting portion 120 which, when the cartridge 12 is inserted into the handle, is depressed by a corresponding projection 122 formed in the 10 is provided in a replaceable cartridge. cover plate 4, thereby raising the downwardly projecting portion 118 of the catch to allow the carriage 70 to slide along the cartridge 12.

The cartridge 12 is formed from a blank 124 comprising a single piece of injection-moulded plastics material as shown in FIGS. 6 to 9. The blank comprises three collinear portions 126,128,130 and is formed into a cartridge by first folding faces 132 and 134 of portions 126 and 128 towards one another, to form a passageway therebetween for receiving a blade, and then folding face 136 of portion 130 towards face 138 of portion. 126, to form a containment region therebetween for receiving detached blade sections though an aperture formed between the passageway and the containment region by a gap 98 in portion 126.

To allow the portions 126,128 and 130 to be readily 25 folded together, a pair of fold-lines 138,140 are preferably pre-formed along the adjoining edges of the portions by compressing those regions of the blank between respective rollers.

When the blank is folded as described above, a plurality 30 of rearwardly inclined projections 142 formed on face 136 of portion 126 and the opposed face 136 of portion 130 prevent detached blade portions, having already fallen away from the aperture formed by gap 98, from returning towards the aperture.

The cutting tool thus described comprises a number of features which make it significantly safer to use than existing tools.

What is claimed is:

- 1. A cutting tool comprising a blade and a member which 40 is biassed towards a safety position in which the member forms a guard for the blade, but which may be displaced to expose the blade by bringing the tool into cutting contact with a workpiece, and a locking mechanism having a trigger which must be moved from a first position to a second 45 portion of the passageway formed by the first and second position to release the guard member from its safety position and arranged such that each time the guard member is released from its safety position, the guard member is displaced through a predetermined distance, and then returns to its safety position, the guard member will become locked 50 opening into the containment region. in place regardless of the position of the trigger.
- 2. A cutting tool as claimed in claim 1, wherein the guard member is pivotally mounted to the tool.
- 3. A cutting tool as claimed in claim 1, wherein the locking action of the cutting tool is provided by a strut which 55 region for receiving detached blade sections. is pivotally joined to the guard member, the distal end of the strut being arranged to follow a loop.
- 4. A cutting tool as claimed in claim 3, wherein the strut is arranged to pivot in a vertical plane.
- 5. A cutting tool as claimed in claim 3, wherein a lug at 60 the distal end of the strut slidably locates within a looped
- 6. A cutting tool as claimed in claim 5, wherein the recess comprises a guide-channel which extends substantially perpendicularly to the axis of the longitudinal axis of the strut 65 and within which the lug prevents substantial axial movement of the strut.

- 7. A cutting tool as claimed in claim 6, arranged such that as the trigger is displaced from said first position to said second position, the strut is displaced such that the lug slides out of one end of the channel.
- 8. A cutting tool as claimed in claim 1, wherein the locking mechanism is arranged such that the guard member cannot be released from said safety position unless the tool is in contact with the workplace.
- 9. A cutting tool as claimed in claim 1, wherein the blade
- 10. A cutting tool comprising a blade and a sliding blade-carriage formed with an elongate projection which extends substantially perpendicularly through a slot formed in the blade, the projection being rotatable about an axis parallel to its longitudinal axis and the slot being of substantially the same diameter as the projection and extending substantially perpendicularly to the axis of movement of the carriage, so that as the projection is rotated, the blade is correspondingly advanced or withdrawn relative to the carriage.
- 11. A cutting tool as claimed in claim 10, wherein the blade is provided in a replaceable cartridge.
- 12. A cutting tool as claimed in claim 11, wherein the blade-carrier is mounted to the replaceable cartridge.
  - 13. A cutting tool, comprising:
  - a passageway for receiving an elongate cutting blade formed with at least one line of weakness defining successive blade sections;
- a displaceable portion having a first wall and a second wall with said first wall and said second wall being opposed to one another and forming a portion of said passageway, said first wall and said second wall being pivotally mounted for displacement to one said of said passageway for forming a gap in said passageway, enabling an end section of said elongate cutting blade to be introduced into said gap, said displaceable portion being then returnable into line with said passageway for detaching said end section of said elongate cutting blade from a remainder of said elongate cutting blade;
- a containment region for retaining said end section of said elongate cutting blade following said detaching of said
- 14. A cutting tool as claimed in claim 13, wherein the walls is arranged to pivot away from the passageway about the forward edge of the portion.
- 15. A cutting tool as claimed in claim 13, wherein the detached end section of the blade is pressed through an
- 16. A cutting tool as claimed in claim 13, wherein the passageway is provided in a replaceable blade-cartridge.
- 17. A cutting tool as claimed in claim 16, wherein the replaceable blade carriage also provides the containment
- 18. A cutting tool as claimed in claim 13, wherein the tool or, where the tool comprises a replaceable blade-cartridge, the blade-cartridge, is formed from a blank comprising three collinear elongate portions arranged to be folded together along their adjoining edges such that the passageway for receiving an elongate blade is formed between the opposed faces of two adjacent portions of the blank, and the containment region is formed between the opposed faces of one of the two adjacent portions and a face of the remaining
- 19. A cutting tool as claimed in claim 18, wherein the blank is arranged for two adjacent portions of the blank to

be folded together, to form the passageway between their opposed faces, and for the portions on opposite sides of the blank to then be folded together, to form the containment region between their opposed faces.

20. A cutting tool as claimed in claim 18, wherein the 5 blank is formed from a plastic material having fold lines formed by compressed regions of the blank.

21. A cutting tool as claimed in claim 18, wherein the opposed faces of the two portions which form the containment region are each formed with a plurality of projections 10 for inhibiting movement of detached blade sections within the containment region.

22. A cutting tool comprising a replaceable blade-carriage within which a blade is carried such that the blade may be slid from an exposed position to a retracted position and 15 comprising means for preventing the cartridge from being removed from the tool while the blade is in its exposed position and means for locking the blade in its retracted position as the cartridge is removed from the tool.

10

23. A cutting tool as claimed in claim 22, comprising a blade-carrier and wherein the means for preventing the cartridge from being removed from the tool while the blade is in its exposed position comprise a pivoting catch having a first portion which engages a part of the tool body when the blade is in its exposed position, and a second portion which is displaced by the blade-carrier when the blade is in its retracted position to disengage the first portion from said part of the tool body.

24. A cutting tool as claimed in claim 22, comprising a blade-carrier and wherein the means which lock the blade in its retracted position as the cartridge is removed from the tool comprise a pivoting catch having a first portion which engages the blade-carrier when the blade is in its retracted position and the cartridge is outside of the tool, and a second position which is displaced by a part of the tool body when the blade is inserted into the tool to disengage the first

portion from the blade-carrier.

# **Exhibit C**

# (12) United States Patent **Votolato**

# (10) Patent No.: (45) Date of Patent:

# US 7,726,029 B2 Jun. 1, 2010

(54)	SAFETY	CUTTING APPARATUS						
(76)	Inventor: Earl Votolato, P.O. Box 1639, Newport Beach, CA (US) 92659							
(*)	Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.						
(21)	Appl. No.	: 12/582,108						
(22)	Filed:	Oct. 20, 2009						
(65)		Prior Publication Data						
•	US 2010/	0018061 A1 Jan. 28, 2010						
	Re	elated U.S. Application Data						
(60)	27, 2009,	of application No. 12/383,677, filed on Mar. which is a continuation of application No. 32, filed on Nov. 19, 2002, now Pat. No. 2.						
(51)	Int. Cl. B26B 3/0 B26B 29/							
(52)	U.S. Cl.	30/151; 30/286						
(58)		Classification Search						
	See appli	cation file for complete search history.						
(56)		References Cited						

5,241,750 A *	9/1993	Chomiak 30/2
5,250,064 A *	10/1993	Schneider 30/151
5,293,791 A *	3/1994	Allen et al 30/90.4
5,330,494 A *	7/1994	van der Westhuizen
2,500,121		et al 30/151
5,522,135 A *	6/1996	Votolato 30/2
5,581,893 A *	12/1996	Ouellette 30/286
5,662,669 A *	9/1997	Abidin et al 30/151
5,697,157 A *	12/1997	Votolato 30/2
5,843,107 A *	12/1998	Landis et al 30/155
5,852,874 A *	12/1998	Walker 30/2
5,870,828 A *	2/1999	Polites 30/162
5,878,501 A *	3/1999	Owens et al 30/286
5,890,290 A *	4/1999	Davis 30/2
6,070,326 A	6/2000	Berns
	1/2001	Votolato 30/2
6,178,640 BI *	9/2002	Marshall et al 30/2
6,453,559 B1 *	5/2002	Ortner et al.
6,560,873 B1	6/2003	Chomiak 30/2
6,578,266 B2 *	0.2000	Carlson et al 30/162
6,643,936 B2 *	11/2003 4/2004	John
6,718,640 B1	6/2004	Street 30/2
6,748,659 B1 *	0/2004	DUGG

#### (Continued)

# FOREIGN PATENT DOCUMENTS

3400850 A1 \* 7/1985

#### (Continued)

Primary Examiner-Jason Daniel Prone (74) Attorney, Agent, or Firm-Robert J. Lauson; Lauson & Tarver LLP

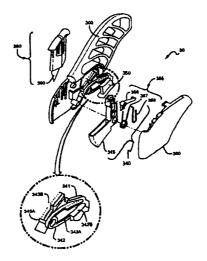
#### **ABSTRACT** (57)

A cutting apparatus has a unidirectionally-locking blade cover that automatically snaps back over the exposed blade after each cut, and a dependent, index finger operated unlocking trigger.

8 Claims, 7 Drawing Sheets

# U.S. PATENT DOCUMENTS

365,714 A 1,452,893 A 2,209,751 A 2,376,887 A 2,387,032 A 2,730,800 A 3,781,988 A 4,086,698 A 4,091,537 A	• • • • • • •	4/1923 7/1940 5/1945 10/1945 1/1956 1/1974 5/1978 5/1978	Warner       30/286         Porth       30/286         Wulff       30/2         Walters       30/151         Lowry       30/286         Bailey       30/2         Jones       30/2         Sparks       30/2         Stevenson, Jr.       30/286         Ministry       30/280
4,091,537 A 4,987,682 A		5/1978 1/1991	Minnick 30/289



DE



# US 7,726,029 B2 Page 2

	IENTS	2009/0223066 2009/0271988	* 1A	11/2009	Votolato         30/286           Votolato         30/161           Wei         30/286		
7,082,688 B2 *			30/2	2009/0313830	) A1	122007	
7,356,928 B2 *	4/2008	Votolato	30/2	E/	אום ער	N PATE	NT DOCUMENTS
7,475,480 B2 *	1/2009	Votolato	30/2	r,	JKEK	MINI	NI DOCCI.
7,509,742 B2 *	3/2009	Votolato	30/151	DE	311	6354 C2	<b>*</b> 1/1987
2002/0124412 A1*	9/2002	Votolato	30/200	EP		2031 A1	
2002/0124418 A1*			30/294	wo v	O 940	4324 A1	<b>*</b> 3/1994
2008/0163493 A1*			30/154				
2009/0172889 A1*			30/337	* cited by exa	miner	•	

Jun. 1, 2010

Sheet 1 of 7

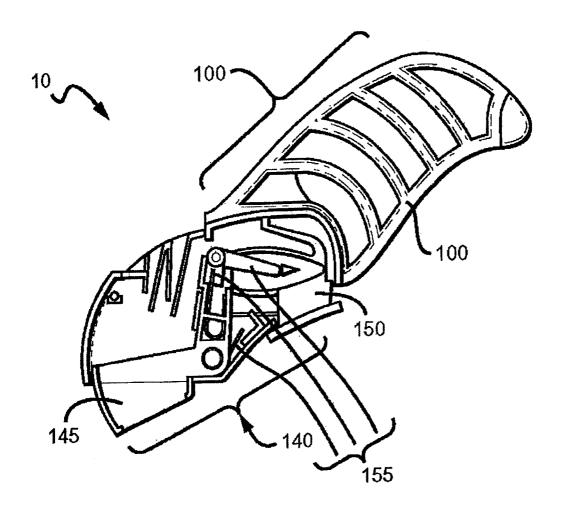
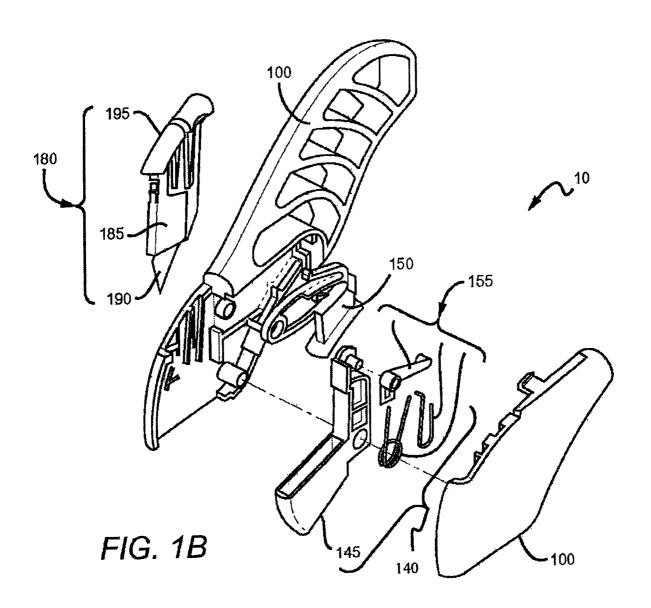


FIG. 1A

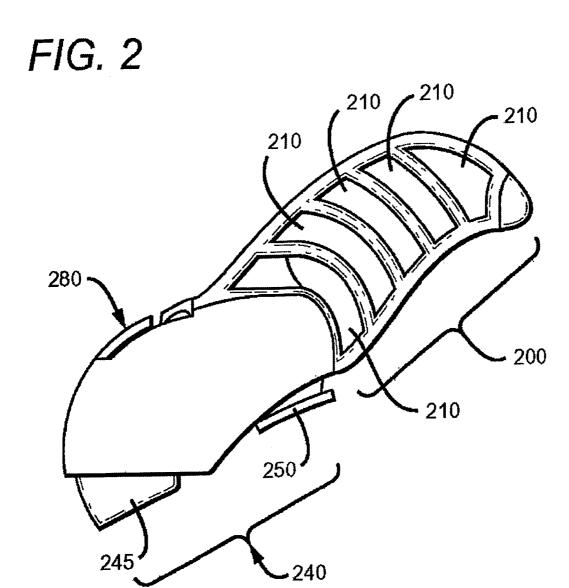
Jun. 1, 2010

Sheet 2 of 7



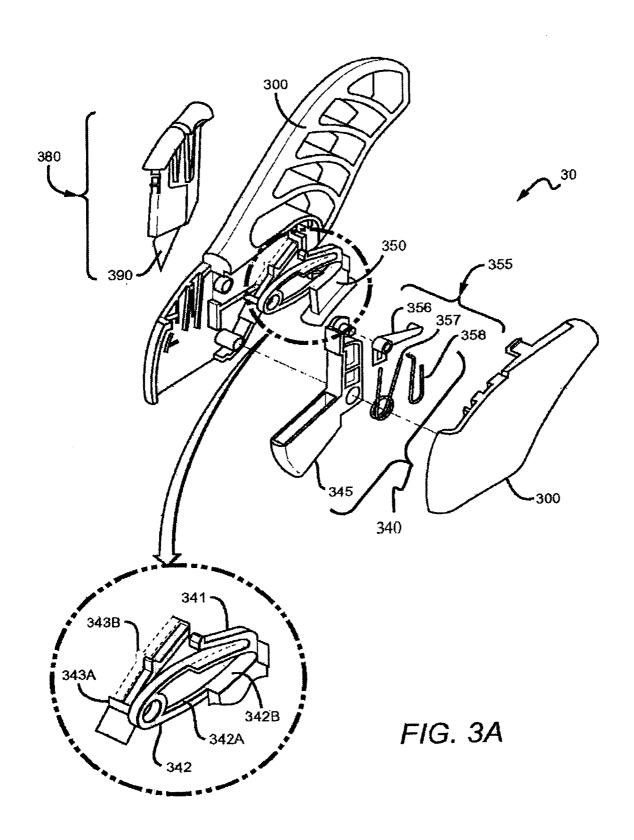
Jun. 1, 2010

Sheet 3 of 7



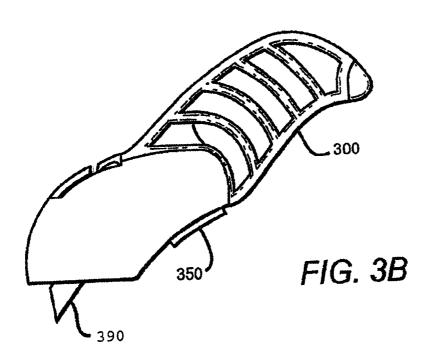
Jun. 1, 2010

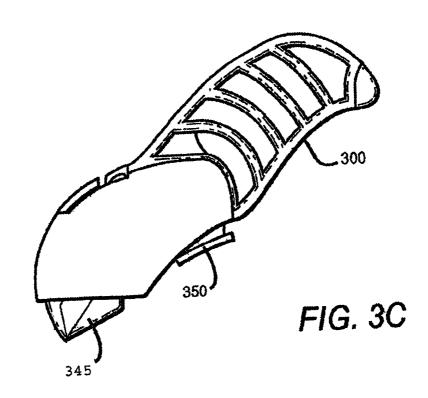
Sheet 4 of 7



Jun. 1, 2010

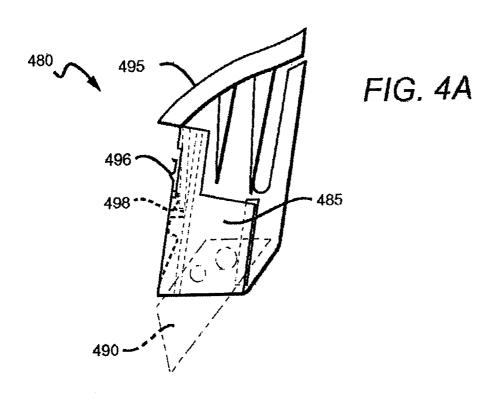
Sheet 5 of 7

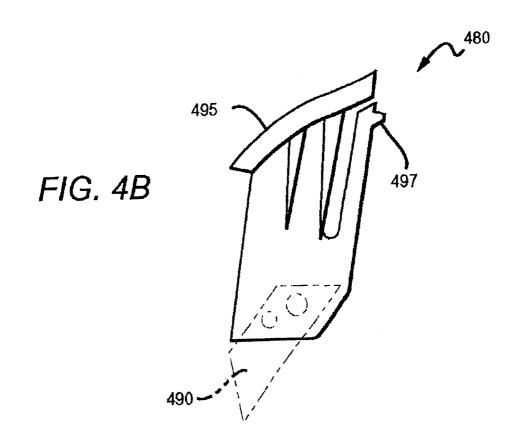




Jun. 1, 2010

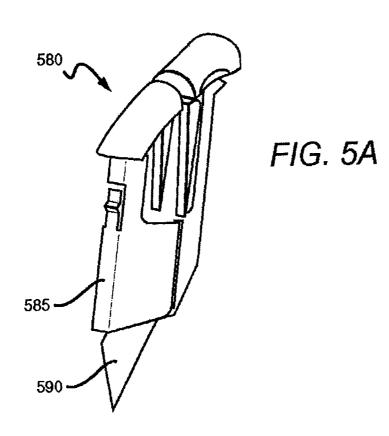
Sheet 6 of 7

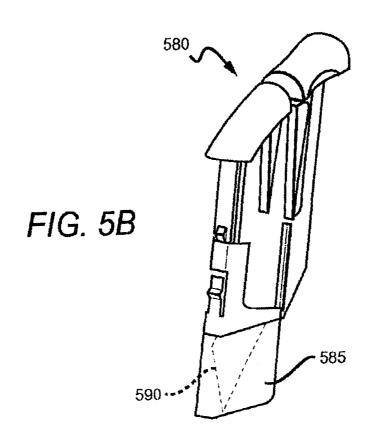




Jun. 1, 2010

Sheet 7 of 7





## 1 SAFETY CUTTING APPARATUS

This application is a divisional of U.S. patent application having Ser. No. 12/383,677 filed on Mar. 27, 2009, which is continuation of U.S. patent application having Ser. No. 50/300,382 filed on Nov. 19, 2002, now issued U.S. Pat. No. 7,509,742 on Mar. 31, 2009. These and all other extrinsic materials discussed herein are incorporated by reference in their entirety. Where a definition or use of a term in an incorporated reference is inconsistent or contrary to the definition of that term provided herein, the definition of that term provided herein applies and the definition of that term in the reference does not apply.

#### FIELD OF THE INVENTION

The field of the invention is cutting devices and apparatus, knives and utility knives.

### BACKGROUND OF THE SUBJECT MATTER

Industries that utilize cutting devices and apparatus in everyday and/or routine activities, such as opening boxes and bags, cutting and sizing cardboard, rope, heavy paper, fabric, plastic bags and the like and any other activity or task that requires the use of a cutting device or apparatus requires or mandates that the cutting device or apparatus meet certain minimum safety criteria, and ultimately, wants a cutting device or apparatus that maximizes safety features for the operator, while allowing the operator to easily perform the 30 desired tasks with the cutting device or apparatus.

There are many reasons that industries want safer cutting devices and safer conditions for employees, including a) minimizes workplace accidents, b) minimizes lost time on the job of employees, c) acts as a possible marketing tool for the amployer to potential employees, d) reduces risk from an insurance standpoint and could contribute to lower insurance premiums or additional coverage and e) reduces liability-based legal actions and arbitrations.

There have been many attempts to manufacture a safer 40 utility knife or cutting device. U.S. Pat. No. 5,878,501 issued to Owens et al. on Mar. 9, 1999 describes one such attempt to create a safer utility knife. The Owens utility knife comprises a blade cover that shields the operator from an exposed blade edge when the utility knife is not in use. The operator exposes 45 the cutting surface of the blade by depressing two buttons on the side of the utility knife that are connected to the blade cover. Once the buttons are depressed, they can be pulled back away from the blade, thus pulling back the blade cover and exposing the cutting surface of the blade. However, once the 50 cutting surface of the blade is exposed, only a conscious movement by the operator of depressing the buttons and pulling them towards the cutting surface can pull the blade cover over the cutting surface of the blade protecting the operator from further exposure to the cutting surface.

In U.S. patent application Ser. No. 09/804,451 filed on Mar. 12, 2001, which is commonly assigned and is incorporated herein by reference in its entirety, Votoloto improved on the Owens utility knife by providing a blade cover that can be pulled back from the cutting surface of the blade by using a trigger lever. If the trigger lever is depressed too quickly, such as what might occur in a panic situation, an intercept member causes disengagement of the blade cover from the trigger lever, thus causing the blade cover to return to a position where the cutting surface of the blade is covered by the blade cover. While the Votolato utility knife is an advancement in safety for utility knives and cutting tools, there are still

2

aspects of that knife that could be improved. For example, there is no automatic function that closes the blade cover over the cutting surface in non-panic-type of situations, such as completion of a cutting job.

In addition to safety requirements, companies that utilize cutting devices and apparatus also would like to see certain ergonomic, sanitary and aesthetic features incorporated into the cutting device or apparatus, as mentioned previously herein. With respect to the sanitary requirement, industries that rely on the cutting device to be sanitary are the food service, food preparation and food sales industries, along with any other industries or companies where utility knives could contact food or food preparation surfaces. Another requirement or focus would be to eliminate loose razor blade contamination of food, food stuff, food preparation areas, food processing batches, pharmaceutical batches, chemical batches and other products that are easily contaminated by loose razor blades and razor blade pieces.

Therefore, there is a need for a cutting device or apparatus that a) is safe to use by the operator, b) reduces workplace accidents and the risk of workplace accidents, c) is ergonomically safe and effective, d) is sanitary for use around and in preparing consumer products, e) is aesthetically pleasing in an environment, such that it will be regularly used, and f) eliminates or greatly minimizes contamination of consumer products by loose blades and loose blade pieces.

## SUMMARY OF THE INVENTION

A cutting apparatus has been produced that eliminates the common occurrence of raw razor blades contaminating everything from food and food products to garbage cans to shelves in retail stores. Furthermore, the cutting apparatus comprises a guard assembly that, when activated, opens the blade cover and allows only one cut to be made with the exposed blade before the unidirectionally-locking blade cover snaps back over the exposed blade and locks into a closed position, thus preventing laceration-related accidents. In addition, if the operator continues to activate the guard assembly (squeezing, pulling and/or depressing the trigger and/or releasing the trigger and continuing to hold it in the released position during and after the cut has been made) after one cut has been made with the exposed blade, the unidirectionally-locking blade cover will still snap back over the exposed blade, despite the position of the trigger. Once the blade cover snaps back over the exposed blade and locks into the closed position, the locking device is activated and acts to hold the blade cover securely over the blade until the blade assembly is further activated by releasing the trigger from the depressed position and depressing or pulling the trigger once

As described herein, a cutting apparatus comprises a) a handle assembly; b) a guard assembly coupled to the handle assembly, wherein the guard assembly comprises a unidirectionally-locking blade cover, a trigger and a locking device; and c) a removable blade assembly coupled to the handle assembly, wherein the blade assembly comprises a blade guard, a blade and a holder apparatus.

Also as described herein, a method of using a safety cutting apparatus comprises a) providing a surface; b) providing the safety cutting apparatus described herein; c) releasing the

3

trigger; d) applying the blade to the surface; and e) cutting the surface, wherein cutting comprises making only one continuous cut in the surface.

#### BRIEF DESCRIPTION OF THE FIGURES

FIG. 1A-1B are contemplated embodiments of the safety cutting apparatus.

FIG. 2 shows a contemplated embodiment of the safety cutting apparatus.

FIG. 3A-3C shows contemplated embodiments of the safety cutting apparatus.

FIG. 4A-4B shows contemplated embodiments of the blade assembly.

FIG. 5A-5B shows contemplated embodiments of the 15 blade assembly.

#### **DETAILED DESCRIPTION**

A cutting apparatus has been produced that eliminates the 20 common occurrence of raw razor blades contaminating everything from food and food products to garbage cans to shelves in retail stores. Furthermore, the cutting apparatus comprises a guard assembly that, when activated, opens the blade cover and allows only one cut to be made with the 25 exposed blade before the unidirectionally-locking blade cover snaps back over the exposed blade and locks into place, thus preventing laceration-related accidents. In addition, if the operator continues to activate the guard assembly after one cut has been made with the exposed blade, the unidirectionally-locking blade cover will still snap back and lock into place over the exposed blade, despite the position of the trigger. As used herein, the phrase "if the operator continues to activate" means that if the operator is releasing, squeezing, depressing and/or pulling the trigger or releasing the trigger and continuing to hold it in the released position during and after the cut has been made, the unidirectionally-locking blade cover will still snap back and lock into place over the exposed blade, despite the position of the trigger. Once the blade cover snaps back over the exposed blade, the locking 40 device is activated and acts to hold the blade cover securely over the blade until the blade assembly is further activated by releasing the trigger from the depressed position and depressing, releasing, squeezing or pulling the trigger once again.

As described herein, a contemplated cutting apparatus 10 is shown in FIGS. 1A-1B and comprises a) a handle assembly 100; b) a guard assembly 140 coupled to the handle assembly 100, wherein the guard assembly 140 comprises a unidirectionally-locking blade cover 145, a trigger 150 and a locking device 155; and c) a removable blade assembly 180 coupled to the handle assembly 100, wherein the blade assembly 180 comprises a blade guard 185, a blade 190 and a holder apparatus 195.

The handle assembly 200 of the cutting apparatus, as shown in FIG. 2, is designed to a) comfortably and ergonomically fit the hand of the operator for ease of use, b) couple with the blade assembly 280 and c) couple with the guard assembly 240, where the blade cover 245 and trigger 250 are shown. The handle assembly 200 can be designed as shown to have venting openings 210 or "pass-throughs" throughout the handle allowing for the hand holding it to "breath", thus resulting in a cooling effect on the hand holding it. The vents 210 in the handle assembly 200 also contribute to the light weight of the knife. In other contemplated embodiments, the handle assembly 200 may comprise a solid handle—i.e. without vents 210 or pass-throughs. In this case, a removable gripper cover (not shown) comprising a breathable material

may cover the handle. For example, the breathable material may comprise holes or pores that allow the material to stay dry during long periods of use. Furthermore, the gripper cover can be removable and either disposable or washable, so that the handle stays clean during use by several operators over a period of time or during prolonged use by one user. In these embodiments, the removable gripper cover would slip onto the distal end of the handle assembly away from the blade assembly and cover the portion of the handle assembly up to

the trigger and trigger opening. Also, as contemplated and as shown in FIGS. 3A-3C, the cutting apparatus 30 comprises a guard assembly 340 coupled to the handle assembly 300, wherein the guard assembly 340 comprises a unidirectionally-locking blade cover 345, a trigger 350 and a locking device 355 which comprises a pawl 356. In some contemplated embodiments, the blade assembly 380 is covered by a movable, spring-loaded unidirectionallylocking blade cover 345. A locking device 355 contained within the handle assembly 300 locks the blade cover 345 over the blade 390. As mentioned, releasing by squeezing, pulling and/or depressing a trigger 350 on the exterior of the handle assembly 300 unlocks the blade cover 345 and allows only one cut to be made in a material or on a surface (not shown). This safety feature is activated by a) releasingsqueezing, pulling and/or depressing—the trigger 350 on the exterior of the handle assembly 300, thus deactivating the locking device 355; b) pressing the unidirectionally-locking blade cover 345 against a surface in order to make a cut into a surface or material; and c) exposing the blade 390 by rotating the blade cover 345 back into the handle assembly 300. The exposed is shown in FIG. 3B. Once the cut is made and the operator pulls the blade 390 out of the material or surface, pressure is removed from the blade cover 345 and the blade cover 345 rotates back over the blade 390 and locks. The locked blade cover 345 over the blade 390 is shown in FIG. 3C. In order to make another cut, the trigger 350 must be released-depressed, pulled and/or squeezed again. Therefore, as used herein, the "unidirectionally-locking" blade cover 345 is defined, in that the blade cover 345 only locks in place in one direction, and that direction is when the blade cover 345 is covering the blade 390. When the blade cover 345 is unlocked and the blade 390 is exposed, the blade cover 345 is not locked into place exposing the blade 390, but is instead held into an open position (exposing the blade 390) by the pressure exerted on the blade cover 345 by the surface or material being cut.

As mentioned and as shown in FIG. 3A, the guard assembly 340 comprises three active parts—the trigger 350, a locking device 355 which comprises a pawl 356, and the blade cover 345. In one contemplated embodiment, two springs and/or spring-like devices, one spring 357 for the blade cover and one spring 358 for the pawl, activate these parts (a "spring and pawl assembly"). The trigger 350 is activated via its own integral, molded spring arm 342, which includes components 341, 342A and 342B. The handle assembly 300 provides the pivots and stops 343A, 343B necessary for mounting and limiting the travel of the active parts and springs. The blade cover 345 and the trigger 350 pivot on the handle assembly 300; the pawl 356 and its spring 358 pivot on the blade cover 345. The pawl 356 links rotary motion from the blade cover 345 to the trigger 350. The configuration and material of the pawl 356 allow it to flex sideways and spring back even though it is rigid in all other directions. A portion of the pawl 356 rides in a looped pathway on the trigger 350. Two ramped steps on the pathway limit the pawl's 356 travel to one direction. This forces it, once it starts along the pathway, to finish

5

a complete loop. This one-direction travel is what allows locking of the blade cover 345 to be accomplished independent of the trigger position.

Normally, the trigger 350 rests where the pawl 356 cannot enter the pathway. Because the pawl 356 cannot enter the 5 pathway, or move anywhere else within the handle assembly 300, the blade cover 345 cannot move from covering the blade 390. Releasing the trigger 350 positions the pathway where the pawl 356 can enter it, which allows the blade cover 345 to rotate, thus exposing the blade 390 when pressure is exerted 10 on the blade cover 345 from the surface and/or material to be cut (not shown). If the trigger 350 is released at this point, before the blade cover 345 is moved at all, the blade cover 345 relocks. If however, the blade cover 345 is pressed against a surface and/or material to make a cut, the blade cover 345 is 15 rotated into the handle assembly 300 exposing the blade 390. As the blade cover 345 rotates, it moves the pawl 356 and causes the pawl 356 to travel along the pathway. As it does, it flexes laterally to ride up and over the ramped steps, and springs back once past the ramped steps.

After the pawl 356 travels over the first step, it cannot retrace its path and enters the return segment of the pathway. Now, when pressure is taken off the blade cover 345, its return spring rotates it back over the blade 390. This rotation causes the pawl 356 to continue over a second step. If the trigger 350 has already been released, the pawl 356 simply returns to the locked starting position. However, if the trigger 350 has not been released, the pawl 356 could return to the unlocked starting position. To prevent this, the pathway is configured to hold the pawl 356 against the second step, which also keeps it from retracing its path. As a result, the blade cover 345 is locked, and remains so until the trigger 350 is completely released and squeezed again.

The blade assembly 480 is shown in FIG. 4A and is completely removable from the handle assembly (not shown) and 35 comprises a blade guard 485, a blade 490 and a holder apparatus 495. Furthermore, the blade assembly 480 is designed to hold only one blade 490 at a time. The blade 490 is fixedly coupled to the holder apparatus 495, and therefore, moves only when the holder apparatus 495 moves. The blade assembly 480 is disposable in relation to the cutting apparatus (not shown) and is safe to handle by the operator prior to coupling to, during coupling to and upon removal from the handle assembly (not shown). The blade guard 485 is designed to effectively cover and lock over either the cutting surface of or 45 the entire blade 490 until the blade assembly 480 is coupled to the handle assembly (not shown). As the blade assembly 480 is being coupled to the handle assembly, the blade guard 485 retracts from covering the cutting surface of or the entire blade 490 and locks into place by coupling with a latch 496 The latch 496 holds the blade guard 485 in place and away from the cutting surface of the blade 490 until the blade assembly 480 is removed from the handle assembly. The blade guard 485 effectively eliminates all the injuries and contamination-related issues caused from raw blade handling 55 and also from someone reaching down into a trash receptacle and getting cut by an exposed blade. And as mentioned earlier, the herein-described blade assembly and ultimately the cutting apparatus eliminates loose razor blade contamination of food, food stuff, food preparation areas, food processing 60 batches, pharmaceutical batches, chemical batches and other products that are easily contaminated by loose razor blades and razor blade pieces.

In some embodiments, and as shown in FIG. 4B, however, the blade assembly 480 is not removable from the handle 65 assembly (not shown), but is instead fixed into the handle assembly, such that when the blade life expires and/or the

6

blade 490 dulls, the entire cutting apparatus (not shown) can be disposed of by the operator. In these embodiments, the entire cutting apparatus becomes the blade assembly—meaning that the entire cutting apparatus is removable and disposable. In those embodiments where the blade assembly 480 is not removable from the handle assembly, there will not be a blade guard 485 coupled to the blade assembly 480, since there is no assembly step or removal step of the blade assembly to and from the handle assembly.

As an example of one contemplated embodiment and as shown in FIGS. 4A and 4B, the holder apparatus 495 of the blade assembly 480 provides spring snaps that 1) latch (latch 496) the blade guard 485 over the blade 490 when the blade assembly 480 is out of the handle assembly (not shown), and 2) latch (latch 497) the blade assembly 480 into the handle assembly. The blade guard 485 incorporates an additional latch 498 that latches the shield into the handle assembly independent of the latch 497 for the handle assembly. This additional latch 498 is to insure, as described below, that the 20 blade guard 485 recovers the blade 490 as the blade assembly 480 is being removed from the handle assembly. A stop tab on the blade guard 485 travels in a track on the holder apparatus 495 of the blade assembly 480 and prevents the blade guard 485 from being pulled off of or detached from the blade assembly 480 in part or altogether.

When the blade assembly 480 is first inserted into the handle assembly, the blade assembly 480 travels freely until stops on the blade guard 485 hit the handle assembly and latch 498 engages. As more pressure is applied to the blade assembly 480, latch 496 is over-ridden and the holder apparatus 495 of the blade assembly 480 continues to slide into the handle assembly uncovering the blade 490 as it does. When the blade assembly 480 reaches the limit of its travel, latch 497 engages locking the blade assembly 480 into the handle assembly.

To remove the blade assembly 480, the user operates latch 497 and pulls the holder apparatus 495 of the blade assembly 480 out of the handle assembly (not shown). Because the blade guard 485 is still latched by latch 496, the holder apparatus 495 moves independent of the blade guard 485, recovering the blade 490. When the stop tab reaches the end of its travel, latch 496 re-latches and latch 498 is over-ridden allowing the entire blade assembly 480, with the blade 490 now recovered by the blade guard 485, to be pulled free of the handle assembly.

FIGS. 5A and 5B show another contemplated blade assembly 580 where in FIG. 5A the blade guard 585 is locked in the open position exposing the blade 590 and in FIG. 5B the blade guard 585 is covering the blade 590 in the closed position. In FIG. 5B the blade 590 is shown as dotted lines to indicate that its covered by the blade guard 585.

In contemplated embodiments, the blade assembly will, in part or in total, be a bright florescent color to aid in finding them should the assembly be left on shelves or fall into product. In other embodiments, the blade assembly may be suitably marked with any color that will make the assembly readily visible to the naked eye when the assembly is on a shelf, in a consumer product or in a trash can. This prominent color marking or treatment results in the drastic reduction and/or elimination of the blade assemblies contaminating food, retail shelves, and other products. Prominent color marking and/or color treatment will also result in fewer injuries to consumers and the high legal and medical costs associated with those injuries.

In some contemplated embodiments, the blade may be set into the blade cartridge such that the blade is exposed at differing potential cutting depths. For example, in some instances, the blade may be exposed only a few millimeters,

7

in order to cut thin surfaces. In other instances, the blade may be exposed at least a centimeter or more in order to cut corrugated cardboard surfaces or other thick surfaces. In these instances, the color coding of the blade cartridge may be set such that different colors indicate different blade cutting 5 depths. For example, fluorescent green may indicate a cutting depth of 4 mm, while cherry red indicates a cutting depth of 1 cm, and so forth. In other instances, the number of stripes or dots on the blade cartridge may indicate cutting depth of the blade. For example, a fluorescent green blade cartridge with 4 10 bright orange dots may mean a cutting depth of 4 mm (1 mm corresponding for each dot, 1 stripe every 1 cm), while a cherry red blade cartridge with one bright yellow stripe means 1 cm cutting depth. This stripe and dot color coding will help those who are color blind or who otherwise have trouble 15 distinguishing one color.

In a contemplated embodiment, the blade comprises metal while the remaining components of the cutting apparatus comprise an organic or inorganic-based material, such as a particular kind of plastic, composite material or other suitable 20 material. However, it is contemplated that every component of the cutting apparatus may comprise metal, a metal-based material, an organic-based material, an inorganic-based material, an organometallic-based material, a composite material and/or a combination thereof. Materials contemplated herein may further comprise polymers and/or monomers. It is contemplated that suitable materials are those materials that can be used to form a cutting apparatus capable of cutting or slicing into a layer or layers of matter, such as paper, cardboard, plastic, metal sheeting, wood, glass, drywall and the like.

As used herein, the term "metal" means those elements that are in the d-block and f-block of the Periodic Chart of the Elements, along with those elements that have metal-like properties, such as silicon and germanium. As used herein, 35 the phrase "d-block" means those elements that have electrons filling the 3d, 4d, 5d, and 6d orbitals surrounding the nucleus of the element. As used herein, the phrase "f-block" means those elements that have electrons filling the 4f and 5f orbitals surrounding the nucleus of the element, including the 40 lanthanides and the actinides. Preferred metals include titanium, silicon, cobalt, copper, nickel, zinc, vanadium, aluminum, chromium, platinum, gold, silver, steel and stainless steel. More preferred metals include titanium, silicon, copper, aluminum, nickel, platinum, gold, silver and tungsten. Most 45 preferred metals include titanium, aluminum, silicon, copper and nickel. The term "metal" also includes alloys, metal/ metal composites, metal ceramic composites, metal polymer composites, as well as other metal composites.

As used herein, the term "monomer" refers to any chemical 50 compound that is capable of forming a covalent bond with itself or a chemically different compound in a repetitive manner. The repetitive bond formation between monomers may lead to a linear, branched, super-branched, or three-dimensional product. Furthermore, monomers may themselves 55 comprise repetitive building blocks, and when polymerized the polymers formed from such monomers are then termed "blockpolymers". Monomers may belong to various chemical classes of molecules including organic, organometallic or inorganic molecules. The molecular weight of monomers 60 may vary greatly between about 40 Dalton and 20000 Dalton. However, especially when monomers comprise repetitive building blocks, monomers may have even higher molecular weights. Monomers may also include additional groups, such as groups used for crosslinking.

As used herein, the term "crosslinking" refers to a process in which at least two molecules, or two portions of a long molecule, are joined together by a chemical interaction. Such interactions may occur in many different ways including formation of a covalent bond, formation of hydrogen bonds, hydrophobic, hydrophilic, ionic or electrostatic interaction.

Furthermore, molecular interaction may also be characterized by an at least temporary physical connection between a molecule and itself or between two or more molecules.

Contemplated polymers may also comprise a wide range of functional or structural moieties, including aromatic systems, and halogenated groups. Furthermore, appropriate polymers may have many configurations, including a homopolymer, and a heteropolymer. Moreover, alternative polymers may have various forms, such as linear, branched, super-branched, or three-dimensional.

There are several benefits and advantages to using the cutting apparatus described herein, including but not limited to:

inexpensive to manufacture due to minimal use of material and parts

built in safety mechanisms that allow for one single cut or slice into a material

eliminates loose razor blades and associated medical, insurance, financial and time losses because of razor blade-related accidents

minimizes many of the lacerations associated with the knives and cutting devices on the market today, especially the lacerations that result from the cutting device slipping off of the surface and into the operator's leg, arm, abdomen, etc.

ergonomically sound in that the cutting apparatus is lightweight and easy to handle based on design modifications

In some additional embodiments of the cutting apparatus, the apparatus comprises a tape piercing member that is located on the distal end of the handle assembly. The tape piercing member is designed to break or pierce tape found holding box flaps or other surface areas closed on most boxed items or otherwise contained items. This tape piercing member is a safe and easy way to cut open a box without having to use the blade. The tape piercing member is also used to eliminate the damage to the contents of the box or container caused by a blade opening the box or container with the contents being cut by the blade.

Thus, several specific embodiments and applications of the cutting apparatus have been disclosed. It should be apparent, however, to those skilled in the art that many more modifications besides those already described are possible without departing from the inventive concepts herein. The inventive subject matter, therefore, is not to be restricted except in the spirit of the appended claims. Moreover, in interpreting both the specification and the claims, all terms should be interpreted in the broadest possible manner consistent with the context. In particular, the terms "comprises" and "comprising" should be interpreted as referring to elements, components, or steps in a non-exclusive manner, indicating that the referenced elements, components, or steps may be present, or utilized, or combined with other elements, components, or steps that are not expressly referenced.

The invention claimed is:

- 1. A cutting apparatus comprising:
- a handle
- a blade at least partially disposed within the handle;
- a blade cover configured to move between a locked safety position covering the blade and an unlocked operating position where the blade can be uncovered;
- a pawl coupled to the blade cover, the pawl configured to engage a stop when the blade cover is in the locked safety position;

9

- a trigger coupled to the handle and configured, upon actuation, to disengage the pawl from the stop allowing movement of the blade cover to uncover the blade; and
- the trigger comprising a trigger pathway in which at least a portion of the pawl travels as the blade cover covers or uncovers the blade.
- 2. The apparatus of claim 1, wherein the travel of the at least a portion of the pawl along the trigger pathway allows locking the blade cover independently of the trigger position 10 after actuation.
- 3. The apparatus of claim 1, wherein the trigger pathway is configured to allow the pawl to return to a locked position when the trigger has not been released.

10

4. The apparatus of claim 1, wherein the blade cover is pivotally coupled to the handle.

5. The apparatus of claim 4, wherein rotation of the blade cover cause the travel of the at least a portion of the pawl along the trigger pathway.

6. The apparatus of claim 1, wherein the trigger pathway comprises a looped trigger pathway.

7. The apparatus of claim 1, wherein the trigger comprises

8. The apparatus of claim 1, further comprising a return spring coupled to the blade cover and configured to return the blade cover back over the blade when pressure is taken off of the blade cover.

. . . .