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Attorneys for Plaintiffs
AstraZeneca AB, Aktiebolaget Hässle,
KBI-E Inc., KBI Inc. and
AstraZeneca LP

## UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

	X		
A GUID A ZIDA IN GALARA	:		
ASTRAZENECA AB,	:		
AKTIEBOLAGET HÄSSLE,	:		
KBI-E INC., KBI INC. and	;		
ASTRAZENECA LP,	:		
	:		
Plaintiffs,	:		
	: Civil	l Action No	
	•		
v.	:		
	:		
RANBAXY PHARMACEUTICALS, INC	:		
RANBAXY INC. and	:		
RANBAXY LABORATORIES LTD.	:		
	:		
Defendants.	:		
	X		

#### **COMPLAINT**

#### JURISDICTION AND VENUE

1. This is an action for patent infringement and a declaratory judgment arising under the Patent and Food and Drug laws of the United States, Titles 35 and 21, United States Code. Jurisdiction and venue are based on 28 U.S.C. §§ 1331, 1338(a), 1391(b), 1391(c), 1400(b), 2201, 2202 and 35 U.S.C. § 271.

#### THE PARTIES

- 2. Plaintiff AstraZeneca AB ("Astra") is a company organized and existing under the laws of Sweden, having its principal place of business at Södertälje, Sweden.
- 3. Plaintiff Aktiebolaget Hässle ("Hässle") is a company organized and existing under the laws of Sweden, having its principal place of business at Mölndal, Sweden.
- 4. Plaintiff KBI-E Inc. ("KBI-E") is a Delaware corporation, having its principal place of business at Wilmington, Delaware.
- 5. Plaintiff KBI Inc. ("KBI") is a Delaware corporation having its principal place of business at Whitehouse Station, New Jersey. KBI and KBI-E have exclusive rights in the United States under the patents-in-suit.
- 6. Plaintiff AstraZeneca LP is a limited partnership organized under the laws of Delaware having its principal place of business at Wilmington, Delaware.

  AstraZeneca LP holds an approved New Drug Application from the United States Food and Drug Administration ("FDA") for an omeprazole formulation which it sells under the name PRILOSEC®.
- 7. On information and belief, defendant Ranbaxy Laboratories Ltd. is a public limited liability company incorporated and existing under the laws of India, having corporate headquarters in Haryana, India.
- 8. On information and belief, defendant Ranbaxy Pharmaceuticals, Inc. is a Florida corporation, having a place of business at 600 College Road East, Suite 2100, Princeton, New Jersey.

- 9. On information and belief, defendant Ranbaxy Inc. is a Delaware corporation, having a place of business at 600 College Road East, Suite 2100, Princeton, New Jersey.
- 10. On information and belief, Ranbaxy Pharmaceuticals, Inc. and Ranbaxy Inc. are wholly owned subsidiaries of Ranbaxy Laboratories Ltd. and act as the agents of Ranbaxy Laboratories Ltd. in the United States. On information and belief, Ranbaxy Laboratories Ltd., Ranbaxy Pharmaceuticals, Inc. and Ranbaxy Inc. (jointly and severally "Ranbaxy") are doing business in New Jersey, have engaged in activities together related to the subject matter of this action and are subject to personal jurisdiction in this judicial district.

#### FIRST CLAIM FOR RELIEF: '505 PATENT

- 11. Astra, Hässle, KBI-E, KBI and AstraZeneca LP (collectively, "Plaintiffs") reallege paragraphs 1-10, above, as is set forth specifically here.
- 12. United States Patent No. 4,786,505 (the "'505 patent," copy attached as Exhibit "A"), entitled "New Pharmaceutical Preparation for Oral Use," was issued on November 22, 1988 to Hässle upon assignment from the inventors Kurt I. Lövgren, Åke G. Pilbrant, Mitsuru Yasumura, Satoshi Morigaki, Minoru Oda and Naohiro Ohishi. The '505 patent claims, *inter alia*, pharmaceutical preparations of omeprazole.
- 13. Plaintiff Hässle has been and is still the owner of the '505 patent. The '505 patent will expire on April 20, 2007 and pediatric exclusivity relating to the patent expires on October 20, 2007.
- 14. By notice entitled "Omeprazole Delayed-Release Capsules, 40 mg, ANDA No. 77-398, U.S. Patent Nos. 4,786,505; 4,853,230; 6,147,103; 6,150,380; 6,166,213; and 6,191,148" (hereinafter referred to as the "Certificate and Notice"), Ranbaxy notified

Plaintiffs that it had submitted an Abbreviated New Drug Application ("ANDA") to the FDA under 21 U.S.C. § 355(j), seeking the FDA's approval to manufacture, use, offer to sell and sell Ranbaxy's proposed 40 mg product called "Omeprazole Delayed-Release Capsules, 40 mg," as a generic version of the PRILOSEC® product.

- 15. In the Notices of Certification, Ranbaxy notified Plaintiffs that as part of its ANDA it had filed a certification of the type described in 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV") with respect to the '505 patent. This statutory section requires, *inter alia*, certification by the ANDA applicant that the subject patent, here the '505 patent, "is invalid or will not be infringed by the manufacture, use, or sale of the new drug for which the application is submitted . . . ." The statute (21 U.S.C. § 355(j)(2)(B)(iv)) also requires a Paragraph IV notice to "include a detailed statement of the factual and legal basis of the applicant's opinion that the patent is not valid or will not be infringed." The FDA Rules and Regulations (21 C.F.R. § 314.95(c)(6)) specify that a Paragraph IV notification must include "[a] detailed statement of the factual and legal basis of applicant's opinion that the patent is not valid, unenforceable, or will not be infringed." The detailed statement is to include "(i) [f]or each claim of a patent alleged not to be infringed, a full and detailed explanation of why the claim is not infringed" and "(ii) [f]or each claim of a patent alleged to be invalid or unenforceable, a full and detailed explanation of the grounds supporting the allegation."
- 16. Ranbaxy alleged in the Notices of Certification that the '505 patent is not infringed by its "Omeprazole Delayed-Release Capsules" 40 mg product.

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17. On information and belief, at the time Ranbaxy's Notices of Certification were served, Ranbaxy was aware of the statutory provisions and regulations referred to in paragraph 15, above.

- 18. In the Notices of Certification, Ranbaxy did not provide the detailed statement required by, and therefore fails to comply with, the statutory provisions set forth in paragraph 15, above, as to the '505 patent.
- 19. To further investigate Ranbaxy's Notices of Certification relating to ANDA No. 77-398, Plaintiffs requested, by letter dated July 1, 2005, access to certain documents, information and samples in addition to Ranbaxy's ANDA No. 77-398.
- 20. By letter dated July 5, 2005, Ranbaxy refused to provide Plaintiffs access to the requested documents, information and samples.
- 21. In addition, by letter dated July 1, 2005, Plaintiffs requested that specified technical personnel employed by Plaintiffs be provided access to ANDA No. 77-398.
- 22. Ranbaxy refused to provide Plaintiffs' technical personnel access to ANDA No. 77-398.
- 23. Ranbaxy has infringed the '505 patent under 35 U.S.C. § 271(e)(2) by filing its ANDA seeking approval from the FDA to engage in the commercial manufacture, use or sale of a drug claimed in this patent, or the use of which is claimed in this patent, prior to the expiration of the '505 patent.
- 24. On information and belief, the Ranbaxy "Omeprazole Delayed-Release Capsules" 40 mg product if approved will be administered to human patients in a therapeutically effective amount for the treatment of gastrointestinal disease. On information and belief, this administration will occur at Ranbaxy's active behest and with its intent, knowledge and encouragement. On information and belief, Ranbaxy will actively encourage, aid and abet this administration with knowledge that it is in contravention of Plaintiffs' rights under the '505 patent.

25. On information and belief, the Ranbaxy "Omeprazole Delayed-Release Capsules" 40 mg product is especially made or especially adapted for use in the treatment of gastrointestinal disease via the administration of a therapeutically effective amount of a pharmaceutical preparation containing omeprazole. On information and belief, Ranbaxy is aware that its "Omeprazole Delayed-Release Capsules" 40 mg product is so made or so adapted. On information and belief, Ranbaxy is aware that its "Omeprazole Delayed-Release Capsules" 40 mg product if approved will be used in contravention of Plaintiffs' rights under the '505 patent.

#### **SECOND CLAIM FOR RELIEF: '230 PATENT**

- 26. Plaintiffs reallege paragraphs 1-10 and 14, above, as is set forth specifically here.
- 27. United States Patent No. 4,853,230 (the "'230 patent," copy attached as Exhibit "B"), entitled "Pharmaceutical Formulations of Acid Labile Substances for Oral Use," was issued on August 1, 1989 to Hässle, upon assignment from the inventors Kurt I. Lövgren, Åke G. Pilbrant, Mitsuru Yasumura, Satoshi Morigaki, Minoru Oda and Naohiro Ohishi. The '230 patent claims, *inter alia*, pharmaceutical preparations of acid labile pharmaceutically active substances, include omeprazole.
- 28. Plaintiff Hässle has been and still is the owner of the '230 patent. The '230 patent will expire on April 20, 2007 and pediatric exclusivity relating to the patent expires on October 20, 2007.
- 29. In the Notices of Certification, Ranbaxy notified Plaintiffs that as part of its ANDA it had filed a certification of the type described in 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV") with respect to the '230 patent. This statutory section requires, *inter alia*, certification by the ANDA applicant that the subject patent, here the '230

patent, "is invalid or will not be infringed by the manufacture, use, offer to sale or sale of the new drug for which the application is submitted . . . ." The statute (21 U.S.C. § 355(j)(2)(B)(iv)) also requires a Paragraph IV notice to "include a detailed statement of the factual and legal basis of the applicant's opinion that the patent is not valid or will not be infringed." The FDA Rules and Regulations (21 C.F.R. § 314.95(c)(6)) specify that a Paragraph IV notification must include "[a] detailed statement of the factual and legal basis of applicant's opinion that the patent is not valid, unenforceable, or will not be infringed." The detailed statement is to include "(i) [f]or each claim of a patent alleged not to be infringed, a full and detailed explanation of why the claim is not infringed" and "(ii) [f]or each claim of a patent alleged to be invalid or unenforceable, a full and detailed explanation of the grounds of supporting the allegation."

- 30. Ranbaxy alleged in the Notices of Certification that the '230 patent is not infringed by its "Omeprazole Delayed-Release Capsules" 40 mg product.
- 31. On information and belief, at the time Ranbaxy's Notices of Certification were served, Ranbaxy was aware of the statutory provisions and regulations referred to in paragraph 29, above.
- 32. In the Notices of Certification, Ranbaxy did not provide the detailed statement required by, and therefore fails to comply with, the statutory provisions set forth in paragraph 29, above, as to the '230 patent.
- 33. To further investigate Ranbaxy's Notices of Certification relating to ANDA No. 77-398, Plaintiffs requested, by letter dated July 1, 2005, access to certain documents, information and samples in addition to Ranbaxy's ANDA No. 77-398.
- 34. By letter dated July 5, 2005, Ranbaxy refused to provide Plaintiffs access to the requested documents, information and samples.

- 35. In addition, by letter dated July 1, 2005, Plaintiffs requested that specified technical personnel employed by Plaintiffs be provided access to ANDA No. 77-398.
- 36. Ranbaxy refused to provide Plaintiffs' technical personnel access to ANDA No. 77-398.
- 37. Ranbaxy has infringed the '230 patent under 35 U.S.C. § 271(e)(2) by filing its ANDA seeking approval from the FDA to engage in the commercial manufacture, use or sale of a drug claimed in this patent, or the use of which is claimed in this patent, prior to the expiration of the '230 patent.
- 38. On information and belief, the Ranbaxy "Omeprazole Delayed-Release Capsules" 40 mg product if approved will be administered to human patients in a therapeutically effective amount for the treatment of gastrointestinal disease. On information and belief, this administration will occur at Ranbaxy's active behest and with its intent, knowledge and encouragement. On information and belief, Ranbaxy will actively encourage, aid and abet this administration with knowledge that it is in contravention of Plaintiffs' rights under the '230 patent.
- 39. On information and belief, the Ranbaxy "Omeprazole Delayed-Release Capsules" 40 mg product is especially made or especially adapted for use in the treatment of gastrointestinal disease via the administration of a therapeutically effective amount of a pharmaceutical preparation containing omeprazole. On information and belief, Ranbaxy is aware that its "Omeprazole Delayed-Release Capsules" 40 mg product is so made or so adapted. On information and belief, Ranbaxy is aware that its "Omeprazole Delayed-Release Capsules" 40 mg product if approved will be used in contravention of Plaintiffs' rights under the '230 patent.

WHEREFORE, Plaintiffs respectfully request the following relief:

- (a) A judgment declaring that the effective date of any approval of Ranbaxy's ANDA under Section 505(j) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. § 355(j)) for the drug product "Omeprazole Delayed-Release Capsules 40 mg" must be later than October 20, 2007, the expiration date of the '505 and '230 patents and pediatric exclusivity relating to those patents;
- (b) A judgment declaring that the '505 and '230 patents remain valid, remain enforceable and have been infringed by defendant Ranbaxy;
- (c) A judgment declaring that Ranbaxy have not complied the requirements of 35 U.S.C. § 271(e)(2), 21 U.S.C. § 355(j)(2)(A)(vii)(IV), 21 U.S.C. § 355(j)(2)(B)(iv), 21 C.F.R. § 314.94 and 21 U.S.C. § 314.95;
- (d) A permanent injunction against any infringement by Ranbaxy of the '505 and '230 patents;

- (e) Attorneys' fees in this action under 35 U.S.C. § 285;
- (f) Costs and expenses in this action; and
- (h) Such other relief as this Court may deem proper.

Dated: July 26, 2005

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# **EXHIBIT A**

### United States Patent [19]

#### Lovgren et al.

[11] Patent Number:

4,786,505

[45] Date of Patent:

Nov. 22, 1988

#### [54] NEW PHARMACEUTICAL PREPARATION FOR ORAL USE

[75] Inventors: Kurt I. Lovgren, Mölnlycke; Ake G. Pilbrant, Kungsbacka, both of Sweden; Mitsuru Yasumura; Satoshi Morigaki, both of Hyogo, Japan; Minoru Oda, Ohita, Japan; Naohiro Ohishi Fukuoka Japan

Ohishi, Fukuoka, Japan
[73] Assignee: Aktiebolaget Hassle, Sweden

[21] Appl. No.: 40,491

[22] Filed: Apr. 20, 1987

[56] References Cited

#### U.S. PATENT DOCUMENTS

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2.540.979	2/1951	Clymer et al 167/82
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4,685,919	8/1987	Amidon et al 427/2 X
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#### FOREIGN PATENT DOCUMENTS

0005129 10/1979 European Pat. Off. .
1204363 8/1964 Fed. Rep. of Germany .
1617615 5/1966 Fed. Rep. of Germany .
2336218 5/1979 Fed. Rep. of Germany .
3046559 12/1980 Fed. Rep. of Germany .
WO85/03436 8/1985 PCT Int'l Appl. .
1485676 9/1977 United Kingdom .

Primary Examiner—Michael Lusignan
Attorney, Agent, or Firm—Brumbaugh, Graves,
Donohue & Raymond

ABSTRACT

Pharmaceutical preparation containing omeprazole together with an alkaline reacting compound or an alkaline salt of omeprazole optionally together with an alkaline compound as the core material, one or more subcoating layers comprising inert reacting compounds which are soluble or rapidly disintegrating in water, or polymeric, water soluble filmforming compounds, optionally containing pH-buffering alkaline compounds and an enteric coating as well as a process for the preparation thereof and the use in the treatment of gastrointestinal diseases.

14 Claims, No Drawings

# parts of the core in the close proximity of the enteric coating layer and there form an alkaline solution inside the coated dosage form. The alkaline solution will inter-

#### NEW PHARMACEUTICAL PREPARATION FOR ORAL USE

#### FIELD OF THE INVENTION

The present invention is related to a new stable pharmaceutical preparation containing omeprazole for oral use, to a method for the manufacture of such a preparation and to a method of affecting gastric acid secretion and providing gastrointestinal cytoprotective effect when using them.

#### BACKGROUND OF THE INVENTION

From e.g. EP-A1-No. 0 005 129 omeprazole, 5methoxy-2(((4-methoxy-3,5-dimethyl-2-pyridinyl)methyl)sulfinyl)-1H-benzimidazole, a potent inhibitor of gastric acid secretion is known. Omeprazole shows a powerful inhibitory action against secretion of gastric juice (Lancet, Nov. 27, 1982, p. 1223-1224) and can be used for the treatment of gastric and duodenal ulcers. 20 Omeprazole is however susceptible to degradation/transformation in acid reacting and neutral media. The half-life of omeprazole in water solutions at pH-values less than four is shorter than ten minutes. Also at neutral pH-values the degration reaction proceeds rapidly, e.g. at pH=7 the half-life of omeprazole is about 14 hours, while at higher pH-values the stability in solution is much better (Pilbrant and Cederberg, Scand. J. Gastroenterology 1985; 20 (supp. 108) p. 113-120). The stability profile is similar in solid phase. The degradation of 30 omeprazole is catalyzed by acidic reacting compounds and is stabilized in mixtures with alkaline reacting compounds. The stability of omeprazole is also affected by moisture and organic solvents.

From what is said about the stability properties of 35 omeprazole, it is obvious that an oral dosage form of omeprazole must be protected from contact with the acid reacting gastric juice in order to reach the small intestine without degradation.

In human pharmacological studies it was found that 40 the rate of release omeprazole from a pharmaceutical dosage form can influence the total extent of absorption of omeprazole to the general circulation (Pilbrant and Cederberg, Scand. J. Gastroenterology 1985; 20 (suppl. 108) p. 113–120). A fully bioavailable dosage form of 45 omeprazole must release the active drug rapidly in the proximal part of the gastrointestinal canal.

In order to obtain a pharmaceutical dosage form of omeprazole which prevents omeprazole from contact with acidic gastric juice, the cores must be enteric 50 coated. Ordinary enteric coatings, however, are made of acidic compounds. If covered with such a conventional enteric coating, omeprazole rapidly decomposes by direct or indirect contact with it, with the result that the preparations become badly discolored and lose in 55 omeprazole content with the passage of time.

In order to enhance the storage stability the cores which contain omeprazole must also contain alkaline reacting constituents. When such an alkaline core is enteric coated with an amount of a conventional enteric 60 coating polymer such as, for example, cellulose acetate phthalate, that permits the dissolution of the coating and the active drug contained in the cores in the proximal part of the small intestine, it also will allow some diffusion of water of gastric juice through the enteric coating 65 into the cores, during the time the dosage form resides in the stomach before it is emptied into the small intestine. The diffused water of gastric juice will dissolve

fere with the enteric coating and eventually dissolve it. An enteric coated dosage form of omeprazole was reported by Pilbrant and Cederberg, in the above cited Scand. J. Gastroenterology 1985; 20 (suppl. 108) p. 113-120. The publication describes a conventional enteric coated dosage form and states that it has an acceptable storage stability—for clinical studies. It was later found that the stability of this dosage form was insufficient during long-term storage required for a marketed

pharmaceutical dosage form.

If a conventional formulation of omeprazole is made, the stability is not satisfactory, particularly in resistance to humidity, and special moisture-proof packing has been adopted to minimize the troubles. However, this provides no satisfactory solution to the problems in today's drug distribution system, and also leads to increased costs. Under the circumstances, there has been a demand for the development of new enteric preparations of omeprazole with better stability.

In DE-A1-No. 3046 559 a way to coat a dosage form 25 is described. First the dosage form is coated with a water insoluble layer containing microcrystalline cellulose and then with a second enteric coating with the aim to achieve a dosage form which releases the active drug in the colon. This method of preparation will not give 30 the desired release of omeprazole in the small intestine.

U.S. Pat. No. 2,540,979 describes an enteric coated oral dosage form, where the enteric coating is combined with a second and/or first coating of a water insoluble "wax" layer. This method of preparation is not applicable on cores containing omeprazole since direct contact between substances such as cellulose acetate phthalate (CAP) and omeprazole causes degradation and discolouration of omeprazole.

DE-B2-No. 23 36 218 describes a method to produce a dialysis membrane consisting of a mixture of one or more conventional enteric coating polymers and one or more insoluble cellulose derivatives. Such a membrane will not give a proper protection of omeprazole in gastric juice.

DE-A1-No. 204 363 describes a three-layer coating procedure. The first layer is soluble in gastric but is insoluble in intestinal juice. The second is water soluble regardless of pH and the third layer is an enteric coating. This preparation as well as the preparation described in DE-A1-No. 1 617 615 result in a dosage form which is not dissolved in gastric juice and which only dissolves slowly in intestinal juice. Such preparations cannot be used for omeprazole, where a rapid release of the drug in the small intestine is needed.

DE-A1 No. 12 04 363 describes coating with three layers to achieve release of a drug in the ileum, an aim which is outside the scope of the present invention.

GB-A-No. 1 485 676 describes a way to obtain a preparation, which effervesces in the small intestine, by enteric coating a core containing the active drug and an effervescing system such as a combination of carbonate and/or bicarbonate salt and a pharmaceutically acceptable acid. The formulation cannot be adopted for a pharmaceutical dosage form containing omeprazole, as the presence of an acid in contact with omeprazole in the cores would give a result that omeprazole was degraded.

4,786,505

WO No. 85/03436 describes a pharmaceutical preparation, wherein cores containing active drugs mixted with for instance buffering components such as sodium dihydrogenphosphate with the aim of maintaining a constant pH and a constant rate of diffusion, are coated 5 with a first coating which controls the diffusion. This formulation cannot be adopted for omeprazole where a rapid release in the small intestive is wanted. Direct application of an enteric coating onto the cores would

#### OUTLINE OF THE INVENTION

dosage forms containing omeprazole.

The object of the present invention is to provide an enteric coated dosage form of omeprazole, which is resistant to dissolution in acid media and which dissolves rapidly in neutral to alkaline media and which has a good stability during long-term storage. The new dosage form is characterized in the following way. Cores containing omeprazole mixed with alkaline com- 20 pounds or an alkaline salt of omeprazole optionally mixed with an alkaline compound are coated with two or more layers, whereby the first layer/layers is/are soluble in water o rapidly disintegrating in water and consist(s) of non-acidic, otherwise inert pharmaceutically acceptable substances. This/these first laver/lavers separates/separate the alkaline core material from the outer layer, which is an enteric coating. The final, enteric coated dosage form is treated in a suitable way 30 to reduce the water content to a very low level in order to obtain a good stability of the dosage form during long-term storage.

#### DETAILED DESCRIPTION OF THE INVENTION

Cores

Omeprazole is mixed with inert, preferably water soluble, conventional pharmaceutical constituents to obtain the preferred concentration of omeprazole in the 40 final mixture and with an alkaline reacting, otherwise inert, pharmaceutically acceptable substance (or substances), which creates a "micro-pH" around each omeprazole particle of not less than pH=7, preferably not less than pH=8, when water is adsorbed to the particles 45 of the mixture or when water is added in small amounts to the mixture. Such substances can be chosen among, but are not restricted to substances such as the sodium, potassium, calcium, magnesium and aluminium salts of phosphoric acid, carbonic acid, citric acid or other 50 suitable weak inorganic or organic acids; substances normally used in antacid preparations such as aluminium, calcium and magnesium hydroxides; magnesium oxide or composite substances, such as Al<sub>2</sub>O<sub>3</sub>,6MgO.-CO<sub>2</sub>.12H<sub>2</sub>O, (Mg<sub>6</sub>Al<sub>2</sub>(OH)<sub>16</sub>CO<sub>3</sub>.4H<sub>2</sub>O), MgO.Al<sub>2</sub>O<sub>3</sub>.- 55 2SiO2.nH2O or similar compounds; organic pH-buffering substances such as trihydroxymethylaminomethane or other similar, pharmaceutically acceptable pH-buffering substances. The stabilizing, high pH-value in the powder mixture can also be achieved by using an alka- 60 line reacting salt of omeprazole such as the sodium, potassium, magnesium, calcium etc. salts of omeprazole, which are described in e.g. EP-A2-No. 124 495, either alone or in combination with a conventional buffering substance as previously described.

The powder mixture is then formulated into small beads i.e. pellets, tablets, hard gelatine or soft gelatine capsules by conventional pharmaceutical procedures.

The pellets, tablets or gelatin capsules are used as cores for further processing.

Separating layer

The omeprazole containing alkaline reacting cores must be separated from the enteric coating polymer(s) containing free carboxyl groups, which otherwise causes degradation/discolouration of omeprazole during the coating process or during storage. The subcoating layer, in the following defined as the separating also adversely influence the storage stability of such 10 layer, also serves as a pH-buffering zone in which hydrogen ions diffusing from the outside in towards the alkaline core can react with hydroxyl ions diffusing from the alkaline core towards the surface of the coated articles. The pH-buffering properties of the separating layer can be further strengthened by introducing in the layer substances chosen from a group of compounds usually used in antacid formulations such as, for instance, magnesium oxide, hydroxide or carbonate, aluminium or calcium hydroxide, carbonate or silicate; composite aluminium/magnesium compounds such as, for instance Al<sub>2</sub>O<sub>3</sub>.6MgO.CO<sub>2</sub>12H<sub>2</sub>O, (Mg<sub>6</sub>Al<sub>2</sub>(OH)<sub>1</sub>. 6CO3.4H2O), MgO.Al2O3.2SiO2.nH2O or similar compounds; or other pharmaceutically acceptable pH-buffering compounds such as, for instance the sodium, potassium, calcium, magnesium and aluminium salts of phosphoric, citric or other suitable, weak, inorganic or organic acids.

> The separating layer consists of one or more water soluble inert layer, optionally containing pH-buffering compounds.

> The separating layer(s) can be applied to the corespellets or tablets-by conventional coating procedures in a suitable coating pan or in a fluidized bed apparatus using water and/or conventional organic solvents for the coating solution. The material for the separating layer is chosen among the pharmaceutically acceptable, water soluble, inert compounds or polymers used for film-coating applications such as, for instance sugar, polyethylene glycol, polyvinylpyrroline, polyvinyl alcohol, hydroxypropyl cellulose, methylcellulose, hydroxymethyl cellulose, hydroxypropyl methylcellulose, polyvinyl acetal diethylaminoacetate or the like. The thickness of the separating layer is not less than 2 µm, for small spherical pellets preferably not less than 4 µm. for tablets preferably not less than 10 µm.

> In the case of tablets another method to apply the coating can be performed by the drycoating technique. First a tablet containing omeprazole is compressed as described above. Around this tablet a layer is compressed using a suitable tableting machine. The outer, separating layer, consists of pharmaceutically acceptable, in water soluble or in water rapidly disintegrating tablet excipients. The separating layer has a thickness of not less than 1 mm. Ordinary plasticizers colorants, pigments, titanium dioxide, tale and other additives may also be included into the separating layer.

In case of gelatin capsules the gelatin capsule itself serves as separating layer.

Enteric coating layer

The eneric coating layer is applied on to the subcoated cores by conventional coating techniques such as, for instance, pan coating or fluidized bed coating using solutions of polymers in water and/or suitable organic solvents or by using latex suspensions of said polymers. As enteric coating polymers can be used, for example, cellulose acetate phthalate, hydroxypropyl methylcellulose phthalate, polyvinyl acetate phthalate, carboxymethylethylcellulose, co-polymerized meth-

acrylic acid/methacrylic acid methyl esters such as, for instance, compounds known under the trade name Eudragit ®L 12,5 or Eudragit ®L 100 (Röhm Pharma), or similar compounds used to obtain enteric coatings. The enteric coating can also be applied using waterbased polymer dispersions, e.g. Aquateric ® (FMC Corporation), Eudragit ®L100-55 (Röhm Pharma), Coating CE 5142 (BASF). The enteric coating layer can optionally contain a pharmaceutically acceptable acid esters such as, for instance, those known under the trade name Citroflex ® (Pfizer), phthalic acid esters, dibutyl succinate or similar plasticizers. The amount of plasticizer is usually optimized for each enteric coating polymer(s) and is usually in the range of 1-20% of the 15 enteric coating polymer(s). Dispersants such as tale, colorants and pigments may also be included into the enteric coating layer.

Thus, the special preparation according to the invention consists of cores containing omeprazole mixed with 20 an alkaline reacting compound or cores containing an alkaline salt of omeprazole optionally mixed with an alkaline reacting compound. The alkaline reacting core material and/or alkaline salt of the active ingredient, omeprazole, enhance the stability of omeprazole. The 25 cores suspended in water forms a solution or a suspension which has a pH, which is higher than that of a solution in which the polymer used for enteric coating is just soluble. The cores are coated with an inert reacting water soluble or in water rapidly disintegrating 30 coating, optionally containing a pH-buffering substance, which separates the alkaline cores from the enteric coating. Without this separating layer the resis-

with enteric coated pellets preferably also contain a desiccant, which reduces the water content of the gelatin shell to a level where the water content of the enteric coated pellets filled in the capsules does not exceed 1.5% by weight.

Process

A process for the manufacturer of the oral dosage form represents a further aspect of the invention. After the forming of the cores the cores are first coated with plasticizer such as, for instance, cetanol, triacetin, citric 10 the separating layer and then with the enteric coating layer. The coating is carried out as described above.

The preparation according to the invention is especially advantageous in reducing gastric acid secretion and/or providing a gastrointestinal cytoprotective effect. It is administered one to several times a day. The typical daily dose of the active substance varies and will depend on various factors such as the individual requirements of the patients, the mode of administration and disease. In general the daily dose will be in the range of 1-100 mg of omeprazole. A method for the treatment of such conditions using the novel oral dosage form respresents a further aspect of the invention.

The invention is described in detail in the following examples:

#### **EXAMPLES**

#### Example 1

The effect of different magnesium compounds was evaluated in the form of enteric coated tablets. Tablet cores were first made by known techniques according to the formulations listed in Table 1, followed by application of separating layers and enteric coating layers as shown in Table 2.

TABLE 1

For	mulations	for the	tablet co	res (mg	)		
Formulations No.	1	2	3		5	6	7
Omeprazol Lactose Hydroxypropyl cellulose (low substitution	15.0 134.0 5.0	15.0 119.0 5.0	15.0 119.0 5.0	15.0 119.0 5.0	118.8	15.0 118.5 5.0	15.0 119.0 5.0
Hydroxypropyl cellulose	1.0	1.0	1.0	1.0	1.0	1.0	1.0
Tale Na <sub>2</sub> HPO <sub>4</sub> Na lauryl sulfate MgO Mg(OH) <sub>2</sub> Synthetic hydrotalcite	5.0 — —	5.0 15.0	5.0 — — 15:0	5.0 — — — 15.0	5.0 0.2 — — 15.0	5.0 0.5  15.0	5.0
[Al <sub>2</sub> O <sub>3</sub> .6MgO.CO <sub>2</sub> .12H <sub>2</sub> O] Total	160.0	160.0	160.0	160.0	160.0	160.0	15.0 160.0

tance towards gastric juice would be too short and/or the storage stability of the dosage form would be unacceptably short. The sub-coated dosage form is finally coated with an enteric coating rendering the dosage form insoluble in acid media, but rapidly disintegrating- 55 /dissolving in neutral to alkaline media such as, for instance the liquids present in the proximal part of the small intestine, the site where dissolution is wanted.

Final dosage form

The final dosage form is either an enteric coated 60 tablet or capsule or in the case of enteric coated pellets, pellets dispensed in hard gelatin capsules or sachets or pellets formulated into tablets. It is essential for the long term stability during storage that the water content of the final dosage form containing omeprazole (enteric 65 \_ coated tablets, capsules or pellets) is kept low, preferably not more than 1.5% by weight. As a consequence the final package containing hard gelatin capsules filled

TARLE 2

IADEL						
Formulations for coatings (mg)						
Formulation No.	I	П	· III	IV		
Separating layer (inner):					-	
Hydroxypropyl cellulose	_	2.0	2.0	2.0		
Magnesium hydroxide			0.3			
Synthetic hydrotalcite		. —	_	0.3		
Separating layer (outer):						
Hydroxypropyl cellulose		2.0	2.0	2.0		
Enteric coating layer:			2.0	2.0		
Hydroxypropyl methylcellulose	7.0	7.0				
phthalate	7.0	1.0	7.0	7.0		
Cetyl alcohol	0.5			٠.		
00031 11003101	0.5	0.5	0.5	0.5		

The tablets thus obtained were stored in open form under so called accelerated conditions, that is 40° C.

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and 75% relative humidity, and the changes in appearance with the passage of time were observed. Storage for six months under these conditions corresponds to storage at normal temperature for three years. This means that high stability sufficient for paractical use 5 may be assured if a drug remains intact for about one week under the mentioned conditions. The result is summerized in Table 3. As may be seen from the table, a remarkable stabilizing effect is achieved when a magnesium compound is contained in the inner separating 10 layer.

TABLE 3

Stabilizing Effect (Appea	гапсе	of F	repa	ratio	ns)			•
Core material								
Coating Layer	1	2	3	4	5	6	7	
I At the start	C	Α	Ą	A	Α	A	A	•
60° C.; after 7 days	Ē	D	C	С	Ç	Ĉ	D	
40° C.: 75% RH; after 7 days	F	Ε	В	В	В	В	E	
II At the start	A	A	Α	Α	Α	A.	Ā	
60° C.; after 7 days	Ε	В	A	A	A	A	Ĉ	
40° C.; 75% RH; after 7 days	E	D	Α	Α	Ā	Ā	Ď	•
II At the start	A	Α	A	A	A	Ā	Ā	
60° C.; after 15 days	В	A	A	A	A	Ā	A	
40° C.; after 30 days	Α	A	A	A	Ā	Ā	A	
40° C.; 75% RH; after 15 days	В	A	Α	A	A	A	Ā	
V At the start	Ā	A	A	Ā	A	Ā	Ä	
60° C.; after 15 days	В	Ā	Ā	Ä	Ä	Ä	Ä	
40° C.; after 30 days	Ā	Ā	Ā	A	Ä	Ä	Ä	
40° C.; 75% RH; after 15 days	В	A	A	Ā	A	Ä	A	

A: white, B: brownish white.

C: faint brown, D: light brown,

E: brown, F: deep brown.

All the samples evaluated as A (white) in the above table showed no descoloration even on split surfaces. The samples evaluated as B (brownish white) showed 35 little change in appearance, but some discoloration was observed on split surfaces.

Table 4 shows the result of a stability test on the omeprazole preparation according to Example 1 (Formulation No 4-IV). The formulation was stored in a closed glass bottle at room temperature for the indicated period of time. This clearly demonstrates that preparations with unusually high stability were obtained.

TABLE 4

Stability of enteric coated omeprazole preparations (Tablets of Formulation No. 4-IV)					
Storage Period	Appearance	Omeprazole Content (%)			
At the start of test	White	100.0			
l year at room temperature	White	99.9			
2 years at room temperature	White	100.0			

Example 2

		Uncoated pellets		_
	7	Mannitol powder	16 150 g	-
ī	Į	Lactose anhydrous	800 g	6
•	- 1	Hydroxypropyl cellulose	600 g	•
		Microcrystalline cellulose	400 g	
	1	Omeprazole	2 000 g	
II	J	Sodium lauryl sulphate	50 g	
	1	Disodium hydrogen phosphate	80 g	
	_ \	Distilled water	4 400 g	,

The dry ingredients (I) were premixed in a mixer. Addition of a granulation liquid (II) containing sus-

pended omeprazole was made and the mass was wetmixed to a proper consistency. The wet mass was pressed through an extruder and spheronized to pellets. The pellets were dried and classified into suitable particle size ranges.

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		Subcoated pellets	
		Uncoated omeprazole pellets	6 000 g
Ħ	{	Hydroxypropyl methylcellulose	240 g
	1	Distilled water	4 800 g

The polymer solution (III) was sprayed on the un-15 coated pellets in a fluidized bed apparatus. The spray guns were placed above the fluidized bed.

		Enteric-coated pellets	
		Subcoated pellets	500 g
	(	Hydrozypropyl methylcellulose phthalate	57 g
ĮV	- {	Cetyl alcohol	3 g
	Acetone	540 g	
		Ethanol	231 g

The polymer solution (IV) was sprayed on the subcoated pellets in a fluidized bed apparatus with spray guns placed above the bed. After drying to a water content of 0.5% the enteric coated pellets were classified and filled into hard gelatin capsules in an amount of 225 mg, corresponding to 20 mg of omeprazole. 30 capsules were packed in tight containers together with a desiccant.

#### Example 3

This example illustrates that a variety of polymers can be used for subcoating, e.g. hydroxypropyl methylcellulose, hydroxypropyl cellulose, polyvinyipyrrolidone, polyethylene glycol, polyvinyl alcohols.

Uncoated pellets				
	1	Mannitol powder	1 620 g	
τ	J	Lactose anhydrous	80 g	
	)	Hydroxypropyl cellulose	60 g	
		Microcrystalline cellulose	40 g	
	1	Omeprazole	200 g	
II	]	Sodium lauryl sulphate	1.0 g	
u j	Disodium hydrogen phosphate	9.3 g		
		Distilled water	515 g	

The uncoated pellets were prepared as described in Example 2.

		Subcoated pellets	
Щ	{	Uncoated omeprazole pellets Polyvinylpyrrolidone Ethanol	500 g 20 g 400 g

The subcoated pellets were prepared as described in Example 2.

		Enteric-coated pellets	
IV	$\left\{ \right.$	Subcoated peilets Hydroxypropyl methylcellulose phthalate Cetyl alcohol Acetone	500 g 45 g 5 g 219 g

* -	-continued	
	Enteric-coated pellets	
Ethanol		680 g

The enteric-coated pellets were prepared as described in Example 2.

Example 4

		Uncoated pellets	
	ſ	Mannitol powder Lactose anhydrous	1 610 g 80 g
	- (	Hydroxypropyl cellulose Microcrystalline cellulose	60 g 40 g
11		Omeprazole Pluronic F68	200 g 10 g
	<u>\</u>	Disodium hydrogen phosphate Distilled water	24 g 450 g

The uncoated pellets were prepared as described in Example 2.

		Subcoated pellets		
		Uncoated pellets	500 g	4-7
ш	1	Polyvinylpyrrolidone	.30 g	,
	_ 🚶 .	Ethanol	400 g	

The subcoated pellets were prepared as described in Example 2.

		Enteric coated pellets	
īv	{	Subcoated pelleis Hydroxypropyl methylcellulose phthalate Cetyl alcohol Methylene chloride Ethanol	500 g 45 g 5 g 371 g 680 g

The enteric coated pellets were prepared as described in Example 2.

#### Example 5

This example illustrates that a variety of polymers can be used as enteric coating material e.g. cellulose acetate phthalate, poly-(vinyl acetate/vinyl alcohol phthalate), hydroxypropyl methylcellulose phthalate, poly-(methacrylic acid/methacrylic acid methyl esters), poly-(acrylic acid/methacrylic acid methyl esters). The polymers can be applied with/without plasticizer, e.g. polyethylene glycols, triacetin, dimethyl polysiloxan, Citroflex (B), cetyl alcohol, stearyl alcohol, diethyl phthalate.

Enteric-coated pellets can also be manufactured from water-based polymer dispersions, e.g. Aquateric (FMC Corporation), Eudragit ®L 100-55, Coating CE 5142 (BASF).

<u>.                                    </u>					60
_			Uncoated pellets		•
	I	{	Lactose powder Lactose anhydrous Hydroxypropyl cellulose Colloidal silica Omeprazole Sodium lauryl sulphate Disodium hydrogen phosphate Sodium dihydrogen phosphate	277 8 118 g 25 g 25 g 50 g 5 g 2 g 0.1 g	65

<u> </u>	-continued	
	Uncoated pellets	
	Distilled water	170 g

The uncoated pellets were prepared as described above.

Subcoated pellets

The uncoated pellets were subcoated as described in Example 2.

		Enteric coated pellets	
5 III	{	Subcoated pellets Eudragit L 100 Stearyl alcohol Ethanol	500 g 45 g 4.5 g 1 320 g

The enteric coated pellets were prepared as described 20 above.

Example 6

Formulations with the sodium salt of omeprazole.

	·	Uncoated pellets		
	(	Omeprazole sodium salt	339	
Ι.	į	Mannitol powder	2 422	
	- 1	Lactose anhydrous	120	
	l l	Hydroxypropyl cellulose	90	
	`	Microcrystalline cellulose	60	g
II	1	Sodium lauryl sulphate	. 7	8
		Distilled water	650	ġ

The preparation was made as described in Example 2 with the exception that the omeprazole sodium salt was added together with the other ingredients in mixture I.

	Subcoated pellets	-	
Ш	Uncoated pellets Hydroxypropyl methylcellulose Aluminium hydroxide/magnesium carbonate Distilled water		g
· IV	Pellets subcoated with III Hydroxypropyl methylcellulose Distilled water	400 500 20	g

The two subcoat layers, III and IV, were applied to the uncoated pellets in a fluidized bed apparatus in consecutive order as previously described.

	Enteric coated pellets		
	Subcoated pellets Hydroxypropyl methylcellulose phthalate	500 57	
V	Cetyl alcohol		g
	Acetone Ethanol	540 231	g

The preparation of enteric coated pellets was performed as described in Example 2.

#### Example 7 and 8

Formulations with the magnesium salt of omeprazole.

		Uncoated pellet	<u>s :</u>				
				Exa	ımple No	·	
				7		8	_ 5
	$\overline{}$	Omeprazole magnesium salt	222	g	222	g	_ ′
т	]	Mannitol powder	1 673	g	1 473	g	
•	}	Microcrystalline cellulose	100	g	100	g	
		Magnesium hydroxide		_	200	g	
II	1	Sodium lauryl sulphate	5	g	5	g	
11	1	Distilled water	500	g	375	g	10

The preparation was made as described in Example 2 with the exception that the omeprazole magnesium salt was added together with the other ingredients in mix- 15

		Subcoated pellets		
			Example 7 and 8	20
		Uncoated pellets	500 g	
ш	{	Hydroxypropyl methyl- cellulose	20 g	
		Distilled water	400 g	

The pellets were prepared as described in Example 2.

		Enteric coated pellets		30
			Examples 7 and 8	
		Subcoated pellets	500 g	
	1	Hydroxypropyl methyl-	57 g	
		cellulose phthalate		35
IV	1	Cetyl alcohol	3 g	
		Acetone	540 g	
		Ethanol	23 I g	

The enteric coated pellets were prepared as described 40 in Example 2.

Example 9 and 10 Manufacture of tablets.

	Tablet cores					
			Exa	mples No	•	
		9	)		10	
	/ Omeprazole	400	g			<del></del>
	Omeprazole sodium salt, corre- sponding to omeprazole 400 g		٠,	. 426	g	50
I	Lactose, anhydrous	1 420	g	1 409	8	
	Polyvinylpyrrollidone, crosslinked	100	g	100	g	
	Sodium carbonate, anhydrous	15	g	_		
н	Methyl cellulose	12		12	g	55
	Distilled water	200	g	200	g	
	Magnesium stearate	30		30		

The powder mixture I was carefully homogenized 60 and granulated by the solution II. The wet mass was dried in a fluidized bed dryer using an inlet air temperature of +50° C. for 30 minutes. The dried mixture was then forced through a sieve with an apperture of 0.5 mm. After mixing with magnesium stearate the granu- 65 late was tableted on a tableting machine using 6 mm punches. The tablet weight was 100 mg.

Subcoating

12 The tablets containing omeprazole were subcoated

with approximately 10% by weight of hydroxypropyl methylcellulose from a water solution using a perforated coating pan apparatus.

The tablets containing omeprazole sodium salt were subcoated using the dry coating technique. A tablet granulate containing

Lactose anhydrous	4 000	g
Polyvinylpyrrolidone, (PVP)	180	ğ
Ethanol 95%	420	g
Magnesium stearate	42	g

was prepared in the following way. The lactose was granulated with a solution of PVP in ethanol and dried. After drying magnesium stearate was admixed.

The granulate mass was dry coated around the tablet cores of example 9 using a Manesty Dry Cota ® tableting machine. The tablet weight of the dry coated tablets was 475 mg. Each tablet contained 20 mg of omeprazole.

Enteric coating

The subcoated tablets obtained above were enteric coated using the same coating solution:

Hydroxypropyl methylcellulose phthalate	1 500	g
Cetyl alcohol	105	g
Methylene chloride	15 000	
Isopropanol	15 000	
Distilled water	3 150	

The coating was applied in a perforated coating pan 35 apparatus. An approximate amount of one kg of coating solution was applied for each kg of tablets.

#### COMPARATIVE EXAMPLES

#### Examples I, II and III

These examples illustrative that the buffer salt used effects the enteric-coated omeprazole pellets properties when the sub-coating layer is absent. A high amount of buffer salt is needed in order to obtain a long shelf life for the product. At the same time this type of pellets shows inferior acid resistance properties. C.f. also the Example 4 above.

	Unc	coated pelle	ts	
			Examples	No
		Į	II	111
ı	Mannitol powder Lactose anhydrous Hydroxypropyi cellulose Microcrystalline cellulose	1 610 g 80 g 60 g 40 g	1 610 g 80 g 60 g 40 g	610 g 80 g 60 g 40 g
п	Omeprazole Pluronic F68 Disodium hydrogen phosphate Distilled water	200 g 10 g 2 g 450 g	200 g 10 g 8 g 450 g	200 g 10 g 24 g 450 g

The uncoated pellets were prepared as described in Example 2 above.

Enteric coated pellets	
Uncoated pellets Hydroxypropyl methylceilulose	500 g 45 g

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	-continued	
	Enteric coated pellets	
ш	phthaiate Cetyl alcohol Methylene chloride Ethanol	5 g 371 g 680 g

The coated pellets were prepared as described in Example 2 above.

#### Example IV

This formulation is the same as in Example 6 above, but no subcoating layer was used.

		Uncoated pellets		
	(	Omeprazole sodium salt Mannitol powder	339 g 2 422 g	_
1	-	Lactose anhydrous	120 g	
-		Hydroxypropyl cellulose Microcrystalline cellulose	90 g 60 g	
	1	Sodium lauryl sulphate	7 g	
	Ţ	Distilled water	650 g	

The preparation was made as described in Example 6.

		Enteric-coated pellets	
	(	Uncoated pellets Hydroxypropyl methylcellulose phthalate	500 g 57 g
Ш	{	Cetyl alcohol Acetone Ethanol	3 g 540 g 231 g

The enteric coated pellets were prepared as described in Example 2.

#### Example V

This formulation is the same as in Example 8 above, but no subcoating layer was used.

		Uncoated pellets			
ĭ	{	Omeprazole magnesium salt Mannitol powder Microcrystalline cellulose Magnesium hydroxide	222 1 473 100 200	g g	
II	{	Sodium lauryl sulphate Distilled water	5 375	g g	

The preparation was made as described in Example 8.

	Enteric coated pellets	
	Uncoated pellets	500 g
•	Hydroxypropyl methylcellulose phthalate	57 g
III	Cetyl alcohol	3 g
	Acetone	540 g
	Ethanol	231 g

The pellets were prepared as described in Example 2 above.

Properties of the enteric coated pellets

For the preparations according to Examples 2-8 and comparative Examples I-V above one or both of the following studies have been performed.

Acid resistance

The following resistance of the formulations was studied in the following way: The formulations were added to gastric fluid USP (without enzyme), 37° C. (paddle) 100 r/min. After 2 hours the actual amount of omeprazole remaining intact in the formulations was determined.

Rate of dissolution in buffer solution

In order to establish the rate of dissolution in the small intestine, the formulations were added to a buffer solution. Buffer solution 37° C., USP dissolution apparatus No 2 (paddle), 100 r/min. After 10 or 30 minutes the amount of omeprazole dissolved was determined. The results are presented in the following Table 5.

Exam- ple	Omeprazole content	Acid resistance, amount intact omeprazole (%)	at dif	olved om ferent pH r 10 or 30	:s and
No	mg/g	after 2 hours	%	pН	ជារា
2	89.2	95	100	6.8	10
3	90	96	91	6.0	10
4	88	89	(*)		
5	82	93	70	7.5	30
6	81.3	87	93	6.8	10
7	91	95	(44)		
8	89	98	(**)		
I .	93	97	`(*)		
II	92	94	(*)	3.1	
III	94	58	( <del>*</del> )		-
IV	86.5	4	• •		
V	91	93	(**)		

(\*) The stability of the formulation was studied during storage in glass bottles also containing a desiceant device. After one month storage at +50° C, the formulation according to Example 4 was virtually intact with no change in appearance or physicochemical characteristics. Pellets according to Example I and II turned brown due to degradation, while the pellets according to Example III retained to original white colour.

(\*\*) The formulations according to Examples 7 and 8 were white and not affected by the coating process. The enteric coated pellets according to Example V, where the enteric coating was applied directly on the cores according to Example 8, was discoloured already during the enteric coating process.

Further comparative test

This example demonstrates the effect of the moisture content of the preparations according to the invention 45 on storage stability.

The stability of omeprazole pellets according to the invention was compared with that of omeprazole pellets with higher water content. Omeprazole pellets were prepared according to the invention with a water content of 1%. Two other portions of the same formulation were conditioned to a water content of 2% and 5% respectively. The three formulations, packed in tight containers not contining a desiccant, were stored for one month at +50° C. After this time the packages were opened and the pellets were assayed for the amount of omeprazole by HPLC. The formulation according to the invention had an omeprazole content of 98.5% of the initial value. The other two formulations with a water content of 2 and 5% respectively were virtually totally degraded and had only trace amounts of intact omeprazole.

#### DISCUSSION

From the results given in Table 5 it can be seen that formulations containing omeprazole with acceptable acid resistance can be prepared by using a conventional enteric coating technique (see for instance Examples I, II and V). However, it is also obvious that the storage

stability of the formulations according to Examples I. II and V is not acceptable, since a discolouration, showing a degradation of omeprazole, occours during short storage at an elevated storage temperature (Examples I and II) or already during the enteric coating process (Exam- 5 ple V).

If the amount of alkaline substances in the cores is increased to a level where omeprazole has an acceptable storage stability (Example III) or if an alkaline reacting salt of omeprazole is used in the preparation of 10 the cores (Example IV), then, without the separating layer of the invention, the resistance to dissolution in acid media becomes unacceptably low and much or all of the active substance will degrade already in the stomach and thus, it has no effect on the gastric acid secre- 15 tion.

When the preparation is carried out according to the inventon as for instance in Example 4, a good resistance towards gastric juice as well as a good stability during long-term storage is obtained. This is in contrast with 20 the formulations in Examples I, II and III where either an acceptable acid resistance or an acceptable storage stability can be achieved-but not both. The same comparison can be made between the formulations according to Examples 7 and 8 according to the invention and 25. the formulation according to Example V, where the separating layer was omitted. Examples 7 and 8 differ in that a buffering substance, magnesium hydroxide, has been included in the cores of Example 8. This further improves the acid resistance as well as the storage sta- 30 bility of Example 8 in comparison with Example 7.

The further comparative test shows the great importance of a low water content in the preparations.

Thus in order to prepare pharmaceutical formulations of omeprazole for oral use, which exert good 35 stability during long-term storage as well as good stability during the residence in the stomach after administration, the preparation is made in the following way:

- (a) Omeprazole together with an alkaline reacting compound or compounds or an alkaline reacting 40 degradation of omeprazole in the stomach. salt of omeprazole optionally mixed with alkaline reacting compound are included in the core mate-
- (b) The core material is subcoated with one or more inert, in water soluble or in water rapidly disinte- 45 grating layers, which separate the alkaline reacting core from the enteric coating. The subcoating layer may optionally contain pH-buffering compounds.
- (c) The subcoated cores are coated with an acid insoluble enteric coating, optionally containing plasti- 50

Biopharmaceutical studies

The hard gelatin capsules according to Example 2 were administered to 12 healthy, young male volunteers in the following way:

The volunteers came to the laboratory in the morning after having abstained from food since 10 p.m. the night preceeding the experimental day. A zero time blood sample was taken. One omeprazole capsule according to Example 2 was administered together with 150 ml of 60 tap water. Further blood samples were taken during the day.

In another experiment the same volunteers were administered 20 mg of omeprazole in the form of a suspension of micronized omeprazole in a sodium bicarbonate 65 water solution. In order to reduce the degradation of omeprazole in the stomach to a minimum, sodium bicarbonate solution was given to the subjects just before the

administration of the omeprazole suspension and at further four times with a 10-minutes interval after the drug intake. The concentration of omeprazole in blood plasma was assayed by high pressure liquid chromatography (Persson, Lagerström and Grundevik. Scand J Gastroenterol 1985, 20, (suppl 108), 71-77. The mean plasma concentrations are given in Table 6.

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TABLE 6

The plasma concentrations (µmol/I) after 20 mg single oral doses of omeprazole given as hard gelatin capsules according to Example 2 and as a suspension of micronized omeprazole in

Time (min)	Capsules	Suspension
10		0.84
20		0.90
30	0.03	0.84
45		0.64
60	0.22	0.44
90	0.36	0.24
120	0.39	0.13
150	0.29	
180	0.20	0.04
210	0.10	
240	0.05	0.01
300	0.02	0
360	0.01	
420	0	

Although the plasma concentration peak at different times, the two formulations are bioequivalent. The mean relative bioavailability of the capsules in comparision with the suspension was 85% + 23% (S.D.). The comparison was based on the total area under individual plasma concentration versus time curves.

Thus, by preparing capsules according to the invention it is possible to obtain a preparation with the same bioavailability as a suspension containing the same amount of micronized active compound. It is, however, to be noticed that when the suspension is administered. the patients must also be given sodium bicarbonate solution frequently in order to minimize pre-absorption

We claim:

- 1. An oral pharmaceutical preparation comprising
- (a) a core region comprising an effective amount of a material selected from the group consisting of omeprazole plus an alkaline reacting compound, an alkaline omeprazole salt plus an alkaline reacting compound and an alkaline omeprazole salt alone:
- (b) an inert subcoating which is soluble or rapidly disintegrating in water disposed on said core region, said subcoating comprising one or more layers of materials selected from among tablet excipients and polymeric film-forming compounds; and
- (c) an outer layer disposed on said subcoating comprising an enteric coating.
- 2. A preparation according to claim 1 wherein the subcoating layer comprises one or more of magnesium oxide, magnesium hydroxide or composite substance [Al<sub>2</sub>O<sub>3</sub>,6MgO.CO<sub>2</sub>,12H<sub>2</sub>O or MgO.Al<sub>2</sub>O<sub>3</sub>,2SiO<sub>2</sub>,n-H2O], wherein n is not an integer and less than 2.
- 3. A preparation according to claim 1 wherein the subcoating comprises two or more sub-layers.
- 4. A preparation according to claim 3 wherein the subcoating comprises hydroxypropyl methylcellulose, hydroxypropyl cellulose or polyvinylpyrrolidone.
- 5. A preparation according to claim 1 wherein the alkaline core comprises omeprazole and pH-buffering alkaline compound rendering to the micro-environment of omeprazole a pH of 7-12.

- 6. A preparation according to claim 5 wherein the alkaline compound comprises one or more of magnesium oxide, hydroxide or carbonate, aluminium hydroxide, aluminium, calcium, sodium or potassium carbonate, phosphate or citrate, the composite aluminium/magnesium compounds Al<sub>2</sub>O<sub>3</sub>.6MgO.CO<sub>2</sub>.12H<sub>2</sub>O or MgO.Al<sub>2</sub>O<sub>3</sub>.2SiO<sub>2</sub>.nH<sub>2</sub>O, where n is not an integer and less than 2.
- 7. A preparation according to claim 1, wherein the core region comprises a salt of omeprazole selected from along the sodium, potassium, magnesium, calcium and ammonium salts.
- 8. A preparation according to claim 1 wherein the enteric coating comprises hydroxypropyl methylcellulose pthalate, cellulose acetate phthalate, co-polymerized methacrylic acid/methacrylic acid methyl ester or polyvinyl acetate phthalate, optionally containing a plasticizer.
- 9. A preparation according to claim 1 wherein the 20 water content of the final dosage form containing ome-prazole does not exceed 1.5% by weight.
- 10. A method for the treatment of gastrointestinal disease comprising administering to a host in need of such treatment a therapeutically effective amount of a 25 preparation according to claim 1.

- 11. A preparation according to claim 1, wherein the subcoating further comprises an alkaline buffering compound.
- 12. A preparation according to claim 1, wherein the core comprises omeprazole and disodium hydrogen phosphate, and the subcoating comprises hydroxy propyl methyl cellulose.
- 13. A preparation according to claim 1, wherein the alkaline core comprises omeprazole and magnesium hydroxide, the subcoating comprises a layer comprising hydroxypropyl cellulose and synthetic hydrotalcite, and the outer layer comprises hydroxypropyl cellulose.
- 14. A process for the preparation of an oral pharmaceutical preparation containing omeprazole, comprising
  - (a) preparing a core comprising an effective amount of a material selected from the group consisting of omeprazole plus an alkaline reacting compound, an alkaline omeprazole salt plus an alkaline reacting compound and an alkaline omeprazole salt alone:
  - (b) coating the core with one or more layers of an inert subcoating material selected from among tablet excipients and polymeric film-forming compounds to form a subcoated core; and
  - (c) coating the subcoated core with an enteric coating.

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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Page 1 of 2

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November 22, 1988

INVENTOR(S): Kurt I. Lovgren et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby First page, first column, last line, "4,685,919" should read --4,685,918--:

First page, second column, after "1485676 9/1977 United Kingdom" insert

--0077956 5/1983 European 862376 3/1961 Great Britain

Other Documents

Developement of an oral formulation of omeprazole Pilbrant A. and Cederberg C., Department of Pharmaceutics and Medicine, pgs-113-120.--.

Column 1, line 41, after "release" insert --of--;

Column 2, line 45, "204 363" should read -- 1 204 363--;

Column 4, line 39, "polyvinylpyrroline" should read --polyvinylpyrrolidone--;

Column 6, line 20, "1-100 mg" should read --1-400 mg--;

Column 14, between lines 17 and 19, insert -- TABLE 5--;

Column 17, line 11, "along" should read --among--;

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 4,786,505

DATED

: November 22, 1988

INVENTOR(S) : Kurt I. Lovgren et al.

Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 17, line 15, "pthalate" should read -- phthalate --.

Signed and Sealed this Eighteenth Day of July, 1989

Auesi.

DONALD J. QUIGG

Attesting Officer

Commissioner of Patents and Trademarks

# **EXHIBIT B**

### United States Patent [19]

#### Lovgren et al.

[11] Patent Number:

4,853,230

[45] Date of Patent:

Aug. 1, 1989

[54]		CEUTICAL FORMULATIONS OF ILE SUBSTANCES FOR ORAL USE
[75]	Inventors:	Kurt I. Lovgren, Mölnlycke; Ake G.

Pilbrant, Kungsbacka, both of Sweden; Mitsuru Yasumura; Satoshi Morigaki, both of Hyogo; Minoru Oda, Ohita; Naohiro Ohishi, Fukuoka, all of Japan

[73] Assignee:

Aktiebolaget Hassle, Molndal,

Sweden

[\*] Notice:

The portion of the term of this patent subsequent to Nov. 22, 2005 has been

disclaimed.

[21] Appl. No.: 40,490

[22] Filed: Apr. 20, 1987

[56]

#### References Cited

#### U.S. PATENT DOCUMENTS

2,540,9	79 2/1951	Clymer et al.	. 167/82
3,131,13	23 4/1964	Masquelier	424/466
		Amidon et al	
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#### FOREIGN PATENT DOCUMENTS

#### OTHER PUBLICATIONS

Pilbrant, A and Cederberg, C, "Development of an Oral Formulation of Omeprazole," Scand. J. Gastroenterology, 1985, pp. 113–120.

Blanchi, A. et al., "Control of Acute Zollinger-Ellison Syndrome with Intravenous Omeprazole", The Lancet, Nov. 27, 1982, pp. 1223-1224.

Primary Examiner—Thurman K. Page Attorney, Agent, or Firm—Brumbaugh, Graves, Donohue & Raymond

#### [7] ABSTRACT

Pharmaceutical preparation containing an acid labile compound together with an alkaline reacting compound or an alkaline salt of an acid labile compound optionally together with an alkaline compound as the core material, one or more subcoating layers comprising inert reacting compounds which are soluble or rapidly disintegrating in water, or polymeric, water soluble filmforming compounds, optionally containing pH-buffering alkaline compounds and an enteric coating as well as a process for the preparation thereof and the use in the treatment of gastrointestinal diseases.

15 Claims, No Drawings

#### PHARMACEUTICAL FORMULATIONS OF ACID LABILE SUBSTANCES FOR ORAL USE

#### FIELD OF THE INVENTION

The present invention is related to new pharmaceutical preparations containing acid labile substances for oral use, to a method for the manufacture of such preparations and to a method of affecting gastric acid secretion and providing gastrointestinal cytoprotective effect when using them.

#### BACKGROUND OF THE INVENTION

Acid labile substances present a problem to the formulator when formulating a pharmaceutical dosage form for oral use. In order to prevent the substances from contact with the acid reacting gastric juice after oral intake, the conventional way to solve this problem is to coat the dosage form with an enteric coating. The coating is a group of substances/polymers with the common feature of being practically insoluble in acid media, while they are soluble in neutral to alkaline media. For substances that are labile in acid media, but have better stability in neutralto alkaline media, it is often advantageous to add alkaline reacting inactive constituents in order to increase the stability of the active compound during manufacture and storage.

A group of comounds exerting these stability properties are substituted benzimidazoles with the geneal formula I

wherein A is an optionally substituted heterocyclic group and  $R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$  are the same or different as defined below and  $R^5$  is H or a lower alkyl, or the compound 2-[(2-dimethylaminobenzyl)sulfinyl]-benzimidazole.

The compounds with the general formula I are virtually biologically inactive as such, but degrade/transform to active inhibitors of certain enzyme systems in acid media.

As examples of compounds with the mentioned properties the compounds described in the patents U.S. Pat. No. 4045 563, EP-1-0 005 129 and BE-898 880 and the patent applications EP-85850258,6, EP-A1-0 080 602, ÊP-0127 736, EP-0 134 400, EP-0 130 729, EP-0 150 586, DE-3415971 GB-2 082 580 and SE-A-8504048-3 may be mentioned. The last application describes 2-(2disubstituted-aminobenzyl)sulfinyl benzimidazoles, e.g. 2-(2-dimethylaminobenzyl)sulfinyl benzimidazole, also called, NC-1300 and presented by Prof. S. Okabe at the Symposium on Drug Activity held on Oct. 17, 1985 in Nagoya, Japan, and which interacts with the H+K+-ATPase after acid degradation within the parietal cells. (See for instance B. Wallmark, A. Brändstroöm and H. Larson "Evidence for acid-induced transformation of omeprazole into an active inhibitor of H+K+-ATPase within the partial cell", Biochemica et Biophysica Acta 778, 549-558, 1984). Other compounds with similar properties are further mentioned in the patent U.S. Pat. No. 4 182 766 and the patent applications GB-2 141 429, EP-O 146 370 and GB-2 082 580. A common feature of these compounds are that they are transformed into the biologically active compounds via rapid degradation/transformation in acid media.

The stability profile of some compounds with the 35 general formula I above is exemplifide in the Table 1 below, where the half-life of the degradation/transformation reaction in solution at pH 2 and 7 are given.

#### TABLE 1

Rate of degradation/transformation of compounds with the general structure

Half-life (mintues) for the transformation to the active moiety  $R^2 R^3$ Compound No at pH = 2at pH = 75-COOCH3;6-CH3 1. 150 CH<sub>3</sub> 2. 5-CH3;H 5.4 1700  $CH_1$ 3. 5-CFxH 1.9 122

Rate of degradation/transformation of compounds with the general structure

$$A-CH_2-S - \bigvee_{N}^{N} - \bigvee_{R^3}^{R^2}$$

		n	transformation t	untues) for the other the sective moiety
Compound No	<u>A</u>	R <sup>2</sup> R <sup>3</sup>	at pH = 2	at pH = 7
4.	OCH3 CH3	5-CF3;H	2.0	8.8
5.	C <sub>2</sub> H <sub>5</sub>	5-OCH3;H	3.7	1620
6.	°-{O}	5-OCH3;H	4.0	3900
7.	$N_{CH_3}$	S-C <sub>2</sub> H <sub>55</sub> H	33	not determined

Substituted sulfoxides, such as for instance the substituted benzimidazoles described in EP-1-0005129 are potent inhibitors of gastric acid secretion. The substituted benzimidazoles are susceptible to degradation/- 45 transformation in acid reacting and neutral media.

It is an inherent property of these compounds to be activated to the active moiety in the acid environment within the parietal cells. The activated compound interacts with the enzyme in the parietal cells, which mediates the production of hydrochloric acid in the gastric mucosa. All compounds of the class of substituted benzimidazoles, containing a sulfoxide grouping, which interferes with the H+K+-ATPase in the parietal cells hitherto known are all also degraded in acid media.

A pharmaceutical dosage form of acid labile substances, which prevents the substances from contact with acidic gastric juice, must be enteric coated. Ordinary enteric coatings, however, are made of acidic compounds. If covered with such a conventional enteric 60 coating, the acid labile substance rapidly decomposes by direct or indirect contact with it, with the result that the preparations become badly discoloured and lose in content of the active compound with the passage of time.

In order to enhance the storage stability, the cores which contain the acid labile substance must also contain alkaline reacting constituents. When such an alka-

line core is enteric coated with an amount of a conventional enteric coating polymer such as, for example, cellulose acetate phthalate, that permits the dissolution of the coating and the active drug contained in the cores in the proximal part of the small intestine, it also will allow some diffusion of water or gastric juice through the enteric coating into the cores, during the time the dosage form resides in the stomach before it is emptied into the small intestine. The diffused water or gastric juice will dissolve parts of the core in the close proximity of the enteric coating layer and there form an alkaline solution inside the coateddosage form. The alkaline solution will interfere with the enteric coating and eventually dissolve it.

In DE-AI-3 046 559 a way to coat a dosage form is described. First the dosage form is coated with a water insoluble layer containing microcrystalline cellulose and then with a second enteric coating with the aim to achieve a dosage form which releases the active drug in the colon. This method of preparation will not give the desired release of the compounds with the general formula I above in the small intestine.

U.S. Pat. No. 2 540 979 describes an enteric coated oral dosage form, where the enteric coating is combined with a second and/or first coating of a water insoluble "wax" layer. This method of preparation is not applica-

DE-B2-23 36 218 describes a method to produce a dialysis membrane consisting of a mixture of one or more conventional enteric coating polymers and one or more insoluble cellulose derivatives. Such a membrane will not give a proper protection of the acid labile com- 10 pounds of the formula I in gastric juice.

DE-A1-1 204 363 describes a three-layer coating procedure. The first layer is soluble in gastric but is insoluble in intestinal juice. The second is water soluble regardless of pH and the third layer is an enteric coat- 15 ing. This preparation as well as the preparation described in DE-A1-1 617 615 result in a dosage form which is not dissolved in gastric juice and which only dissolves slowly in intestinal juice. Such preparations cannot be used for the compounds of the formula I, 20 where a rapid release of the drug in the small intestine is needed. DE-A1 12 04 363 describes coating with three layers to achieve release of a drug in the ileum, an aim which is outside the scope of the present invention. GB-A-1 485 676 describes a way to obtain a preparation 25 which effervesces in the small intestine. This is obtained by the enteric coating of a core containing the active drug and an effervescing system such as a combination of carbonate and/or bicarbonate salt and a pharmaceutically acceptable acid. This formulation cannot be 30 adopted for a pharmaceutical dosage form containing a compound of formula I as the presence of an acid in contact with a compound of formula I in the cores would give as a result that the compound of formula I 35 R13 is was degraded.

WO No. 85/03436 describes a pharmaceutical preparation, wherein cores containing active drugs mixed with for instance buffering components such as sodium dihydrogenphosphate with the aim of maintaining a constant pH and a constant rate of diffusion, are coated with a first coating which controls as the diffusion. This formulation cannot be adopted for acid labile compounds where a rapid release in the small intestive is wanted. Direct application of an enteric coating onto 45 the cores would also adversely influence the storage stability of such dosage forms containing acid labile

Outline of the invention

According to the present invention it has been found 50 that the known acid labile compounds with the general formula I above in which R1, R2, R3 and R4 are the same or different and are

1-6 carbon atoms

#### -continued

-alkylthic containing 1-6 carbon atoms

(*/	-majimo commune i o omoon asomo
(m)	-NO <sub>2</sub>
	-alkylsulfinyl containing 1-6 carbon atoms
(6)	or wherein adjacent groups R1, R2, R3 and R4
` '	together with the adjacent carbon atoms in the
	benzimidazole ring form a 5-, 6-, 7-membered
	monocyclic ring or a 9-, 10- or 11-membered
	bicyclic ring, which rings may be saturated
	or unsaturated and may contain 0-3 hetero
	atoms selected from -N- and -O-, and which
	rings may be optionally substituted with 1-4
	substituents selected from alkyl groups with 1-3
	carbon atoms, alkylene radicals containing 4-5
	carbon atoms giving spiro compounds, or two or
	four of these substituents together form one or
	tura ara groups
	(B) (B) (O)

aryl containing up to 10 carbon atoms

alkoxy containing 1-4 carbon atoms **(b)** alkoxyaikoxy containing 1-3 carbon atoms in (c) each alkoxy part

arylalkoxy containing 1-2 carbon atoms in the (d) alkoxy part and up to 10 carbon atoms in the arvl part

aryloxy containing up to 10 carbon atoms dialkylamino containing 1-3 carbon atoms in the (f) alkyl parts, or

pyrrolidino or piperidino, optionally (g) substituted with alkyl containing 1-3 carbon

alkyl containing 1-4 carbon atoms, or alkylene containing 2-3 carbon atoms;

n is

0 or 1: (a) alkylene containing 1-6 carbon atoms (b) cycloalkylene containing 3-6 carbon atoms alkenylene containing 2-6 carbon atoms (c) (d) cycloalkylene containing 3-6 carbon atoms, alkynylene containing 2-6 carbon atoms; (e) (a) -CN (b)

(c)

$$\begin{array}{ccc}
\text{d} & & \text{O} \\
& & & \\
& -(Y)_m - (C)_r - R^{10}
\end{array}$$

erein	•
is	(a) alkoxy containing 1-5 carbon atoms, or
	<ul> <li>(b) dialkylamino containing 1-3 carbon atoms in the alkyl parts;</li> </ul>
is	0 or 1;
	0 or 1;
s	(a) -O-
	(b) -NH-
	(c) -NR <sup>10</sup> -;
0 is	(a) H
_	(b) alkyl containing 1-3 carbon atoms
	(c) arylaikyl containing 1-2 carbon atoms in the
	alkyl part and up to 10 carbon atoms in the aryl part
	(d) aryl containing up to 10 carbon atoms;
is	H, CH <sub>3</sub> or C <sub>2</sub> H <sub>5</sub> ;

(a)	hydrogen halogen, e.g. F, Cl, Br, I	55	whe R <sup>9</sup> is
(b)	-CN		
(c)	-CHO		
(d)	-Cno -CF <sub>3</sub>		m is
(e)	-Cr3		r is
<b>(f)</b>	0	60	Y is
	  -C-R <sup>11</sup>		
	—Ĉ—R <sup>11</sup>		R <sup>10</sup>
			K
(g)	-o-c-R12		
(h)	$-CH(OR^{13})_2$		
(i) (j)	$-(Z)_{z}$ $-B$ $-D$	65	
(j)	aryl containing up to 10 carbon atoms		
(k)	aryloxy containing up to 10 carbon atoms,		
	optionally substituted by alkyl containing		R i

-continued

A is expecially a pyridyl group in which R<sup>6</sup> and R<sup>8</sup> are the same or different, are

R<sup>7</sup> is

H or alkyl containing 1-6 carbon atoms;

(A)(A)(A) alkyl containing 1-8 carbon atoms alkoxy containing 1-8 carbon atoms alkenyloxy containing 2-5 carbon atoms

alkynyloxy containing 2-5 carbon atoms (e) (f) alkoxyalkoxy containing 1-2 carbon atoms in each alkoxy group

aryl containing up to 10 carbon atoms arylalkyl containing 1-6 carbon atoms in the alkyl part and up to 10 carbon atoms in the aryl

(i) aryloxy containing up to 10 carbon atoms optionally substituted by alkyl containing 1-6 carbon atoms

**(i)** arylalkoxy contiaining 1-6 carbon atoms in the alkoxy part and up to 10 carbon atoms in the

dialkylaminoalkoxy containing 1-2 carbon atoms in the alkyl substituents on the amino nitrogen (k) and 1-4 carbon atoms in the alkoxy group (1) oxacycloalkyl containing one oxygen atom and

3-7 carbon atoms oxacycloalkoxy containing two oxygen atoms (m)

and 4-7 carbon atoms oxacycloalkylalkyl containing one oxygen atom and 4-7 carbon atoms

oxacycloalkylalkoxy containing two oxygen (a)

atoms and 4-6 carbon atoms, or R<sup>6</sup> R<sup>7</sup>, or R<sup>7</sup> and R<sup>8</sup> together with the adjacent carbon atoms in the pyridine ring form a ring wherein the part constituted by R<sup>6</sup> and R<sup>7</sup>, or R<sup>7</sup> and R<sup>8</sup>, is сн=сн-сн=сн--O-(CH<sub>2</sub>)<sub>p</sub>--S-(CH<sub>2</sub>)<sub>y</sub>-

-СH<sub>2</sub>(СН<sub>2</sub>), — -О—СН=СН-NH-CH=CH--сн=сн-

ĊH3

wherein p is 2, 3 or 4, v is 2 or 3 and the O and N atoms always are attached to position 4 in the pyridine ring; provided that not more than one of R6, R7 and R8 is hydrogen can be formulated into an enteric coated dosage form.

The object of the present invention is thus an enteric coated dosage form of acid labile compounds with the general formula I defined above except the compound omeprazole, 5-methoxy-2-(4-methoxy-3,5dimethyl-2pyridinyl methyl sulfinyl-1H-benzimidazole. Another 55 compound, which may be enteric coated according to the invention is 2-(2-dimethylaminobenzyl)sulfinyl-benzimidazole. The new preparations are resistant to dissolution in acid media, dissolve rapidly in neutral to alkaline media and have a good stability during long-term 60 or in combination with a conventional buffering substorage. The new dosage form is characterized in the following way. Cores containing the acid labile compound mixed with alkaline compounds or an alkaline salt of the acid labile compound optionally mixed with an alkaline compound are coated with two or more 65 are used as cores for further processing. layers, whereby the first layer/layers is/are soluble in water or rapidly disintegrating in water and consist(s) of non-acidic, otherwise inert pharmaceutically acceptable

substances. This/these first layer/layers separates/separate the alkaline core material from the outer layer, which is an enteric coating. The final, enteric coated dosage form is treated in a suitable way to reduce the water content to a very low level in order to obtain a good stability of the dosage form during long-term storage.

8

As examples of compounds especially suitable for the pharmaceutical dosage form according to the invention 10 the compounds listed in Table 1 can be mentioned.

The half-life of degradation of the compounds 1-6 in Table 1 in water solution at pH-values less than four is in most cases shorter than ten minutes. Also at neutral pH-values the degradation reaction proceeds rapidly, e.g. at pH=7 the half-life of degradation is between 10 minutes and 65 hours while at higher pH-values the stability in solution for most compounds is much better. The stability profile is similar in solid phase. The degradation is catalyzed by acid reacting substances. The acid labile compounds are stabilized in mixtures with alkaline reacting substances.

From what is said about the stability properties of the acid labile compounds listed above it is obvious that an oral dosage form of the said compounds must be protected from contact with the acid reacting gastric juice in order to reach the small intestine without degradation.

#### DETAILED DESCRIPTION OF THE INVENTION

Cores

30

The acid labile active compound is mixed with inert, preferably water soluble, conventional pharmaceutical constituents to obtain the preferred concentration of the active compound in the final mixture and with an alkaline reacting, otherwise inert, pharmaceutically acceptable substance (or substances), which creates a "micropH" around each particle of active compound of not less than pH=7, preferably not less than pH=8, when water is adsorbed to the particles of the mixture or when water is added in small amounts to the mixture. Such substances can be chosen among, but are not restricted to substances such as the sodium, potassium, calcium, magnesium and aluminium salts of phosphoric acid, carbonic acid, citric acid or other suitable weak inorganic or organic acids; substances normally used in antacid preparations such as aluminium, calcium and magnesium hydroxides; magnesium oxide or composite substances such as Al<sub>2</sub>O<sub>3</sub>.6MgO CO<sub>2</sub>.12H<sub>2</sub>O<sub>3</sub> (Mg<sub>6</sub>Al<sub>2</sub>. (OH)16CO34H2O), MgO.Al2O3.2SiO2.nH2O, wherein n not is an integer and less than 2 or similar compounds: organic pH-buffering substances such as trishydroxymethylaminomethane or other similar, pharmaceutically acceptable pH-buffering substances. The stabilizing, high pH-value in the powder mixture can also be achieved by using an alkaline reacting, salt of the active compound such as the sodium, potassium, magnesium, calcium etc. salts of acid labile compounds, either alone stance as previously described.

The powder mixture is then formulated into small beads i.e. pellets or tablets, by conventional pharmaceutical procedures. The pellets, tablets or gelatin capsules

Separating layer

The alkaline reacting cores containing an acid labile compound must be separated from the enteric coating

polymer(s) containing free carboxyl groups, which otherwise causes degradation/discolouration of the acid labile compound during the coating process of during storage. The subcoating layer, (the separating layer), also serves as a pH-buffering zone in which hydrogen 5 ions diffusing from the outside in towards the alkaline core can react with hydroxyl ions diffusing from the alkaline core towards the surface of the coated articles. The pH-buffering properties of the separating layer can be further strengthened by introducing in the layer 10 substances chosen from a group of compounds usually used in antacid formulations such as, for instance, magnesium oxide, hydroxide or carbonate, aluminium or calcium hydroxide, carbonate or silicate; composite aluminium/magnesium compounds such as, for instance 15 Al<sub>2</sub>O<sub>3</sub>.6MgO CO<sub>2</sub>.12H<sub>2</sub>O, (Mg<sub>6</sub>Al<sub>2</sub>(OH)<sub>16</sub>CO<sub>3</sub>, 4H<sub>2</sub>O), MgO.Al<sub>2</sub>O<sub>3</sub>.2SiO<sub>2</sub>.nH<sub>2</sub>O, wherein n not is an integer and less than 2 or similar compounds; or other pharmaceutically acceptable pH-buffering substances such as. for instance the sodium, potassium, calcium, magnesium 20 and aluminium salts of phosphoric, citric or other suitable, weak, inorganic or organic acids.

The separating layer consists of one or more water soluble inert layers, optionally containing pH-buffering substances.

The separating layer(s) can be applied to the corespellets or tablets—by conventional coating procedures in a suitable coating pan or in a fluidized bed apparatus using water and/or conventional organic solvents for the coating solution. The material for the separating 30 layer is chosen among the pharmaceutically acceptable, water soluble, inert compounds or polymers used for film-coating applications such as, for instance sugar, polyethylene glycol, polyvinylpyrrollidone, polyvinyl alcohol, hydroxypropyl cellulose, hydroxymethyl cellulose, hydroxypropyl methylcellulose or the like. The thickness of the separating layer is not less than 2 µm, for small spherical pellets preferably not less than 4 µm, for tablets preferably not less than 10 µm.

In the case of tablets another method to apply the 40 coating can be performed by the drycoating technique. First a tablet containing the acid labile compound is compressed as described above. Around this tablet another layer is compressed using a suitable tableting machine. The outer, separating layer, consists of pharmaceutically acceptable, in water soluble or in water rapidly disintegrating tablet excipients. The separating layer has a thickness of not less than 1 mm. Ordinary plasticizers, pigments, titanium dioxide tale and other additives may also be included into the separating layer. 50

In the case of gelatin capsules the gelatin capsule itself serves as separating layer.

Enteric coating layer

The enteric coating layer is applied on to the subcoated cores by conventional coating techniques such as, for instance, pan coating or fluidized bed coating using solutions of polymers in water and/or suitable organic solvents or by using latex suspensions of said polymers. As enteric coating polymers can be used, for example, cellulose acetate phthalate, hydroxypropyl methylcellulose phthalate, polyvinyl acetate phthalate, co-polymerized methacrylic acid/methacrylic acid methyl esters such as, for instance, compounds known under the trade name Eudragit ® L 12,5 or Eudragit ® The investment of the substance.

The enteric coating can also be applied using waterbased polymer dispersions, e.g. Aquateric (FMC Corporation), Eudragit (® L 100-55 (Röhm Pharma), Coating CE 5142 (BASF). The enteric coating layer can optionally contain a pharmaceutically acceptable plasticizer such as, for instance, cetanol, triacetin, citric acid esters such as, for instance, those known under the trade name Citroflex (®) (Pfizer) phthalic acid esters, dibutyl succinate or similar plasticizers.

10

The amount of plasticizer is usually optimized for each enteric coating polymer(s) and is usually in the range of 1-20% of the enteric coating polymer(s). Dispersants such as talc, colourants and pigments may also be included into the enteric coating layer.

Thus the special preparation according to the invention consists of cores containing the acid labile compound mixed with an alkaline reacting compound or cores containing an alkaline salt of the acid labile compound optionally mixed with an alkaline reacting compound. The cores suspended in water forms a solution or a suspension which has a pH, which is higher than that of a solution in which the polymer used for enteric coating is just soluble. The cores are coated with a water soluble or in water rapidly disintegrating coating, optionally containing a pH-buffering substance, which separates the alkaline cores from the enteric coating. Without this separating layer the resistance towards gastric juice would be too short and the storage stability of the dosage form would be unacceptably short. The sub-coated dosage form is finally coated with an enteric coating rendering the dosage form insoluble in acid media, but rapidly disintegrating/dissolving in netural to alkaline media such as, for instance the liquids present in the proximal part of the small intestine, the site where dissolution is wanted.

Final dosage form

The final dosage form is either an enteric coated tablet or capsule or in the case of enteric coated pellets, pellets dispensed in hard gelatin capsules or sachets or pellets formulated into tablets. It is essential for the long term stability during storage that the water content of the final dosage form containing acid labile compound (enteric coated tablets, capsules or pellets) is kept low, preferably not exceeding 1.5% by weight.

**Process** 

A process for the manufacture of the oral dosage form represents a further aspect of the invention. After the forming of the cores the cores are first coated with the separating layer and then with the enteric coating layer. The coating is carried out as described above.

The preparation according to the invention is especially advantageous in reducing gastric acid secretion and/or providing a gastrointestinal cytoprotective effect. It is usually administered one to several times a day. The typical daily dose of the active substance varies and will depend on various factors such as for example the individual requirement of the patients, the mode of administration and the disease. In general the dosage will be in the range of 1 to 400 mg per day of active substance. A method for the treatment of such conditions using the vovel oral dosage form represents a further aspect of the invention.

The invention is described in detail in the following examples:

#### **EXAMPLES**

Examples 1-3 exemplify the invention.

#### EXAMPLE 1

	Uncoated pellets			
	/ Lactose powder	253	g	_ ,
I	Lactose anhydrous	167	g	
	Hydroxypropyl cellulose	25	g	
	Compound I, Table I	50	g	
	Sodium lauryl sulphate	5	g	
II	Disodium hydrogen phosphate	1.5	g	16
	Sodium dihydrogen phosphate	0.1	g	-
	Distilled water	125	g	

The dry ingredients (I) were premixed in a mixer. Addition of a granulation liquid (II) containing the sus- 15 pended active compound was made and the mass was wet-mixed to a proper consistency. The wet mass was pressed through an extruder and spheronized to pellets. The pellets were dried and classified into suitable particle size ranges.

	Subcoated pellets		_
***	Uncoated pellets / Hydroxypropyl methyl-	500 g	
Ш	{ cellulose	20 g	
	Distilled water	400 g	

The polymer solution (III) was sprayed onto the uncoated pellets in a fluidized bed apparatus. The spray 30 This example gives the composition of one unit dose guns were placed above the fluidized bed.

	Enteric coated pellets			
	Subcoated pellets / Hydroxypropyl methylcellulose	500	g	35
	phthalate	57	g	
ľV	Cetyl alcohol	3	g	
	Acetone	540	g	
	Ethanol	231	g	
				<del>-</del> 40

The polymer solution (IV) was sprayed on the subcoated pellets in a fluidized bed apparatus with spray guns placed above the bed. After drying to a water content of 0.5% the enteric coated pellets were classified and filled into hard gelatin capsules in an amount of 284 mg, corresponding to 25 mg of active compound 1. 30 capsules were packed in tight containers together with a desiccant.

#### **EXAMPLE 2**

Formulation with the sodium salt of compound 2 according to Table I.

	Uncoated pellets			
I	Compound 2, Table I sodium salt Mannitol powder Lactose anhydrous Hydroxypropyl cellulose Microcrystalline cellulose	339 2 422 120 90 60	g g g	60
п	Sodium lauryl sulphate Distilled water	7 650	8 e	

with the exception that the sodium salt of compound 2 was added together with the other ingredients in mixture I.

Subcoated pellets Uncoated pellets 500 g Hydroxypropyi methylcellulose 20 g Aluminium hydroxide/magnesium 4 g 777 carbonate 400 g Distilled water Pellets subcoated with III 500 g

Hydroxypropyl methylcellulose

Distilled water

12

The two subcoat layers, III and IV, were applied to the uncoated pellets in a fluidized bed apparatus in consecutive order as previously described.

20 g

400 g

•		Enteric coated pellets	
•	Hydroxyprop phthalate	Subcoated peliets / Hydroxypropyl methylcellulose	500 g
)		phthalate	57 g
	V	Cetyl alcohol	3 g
		Acetone	540 g
		Ethanol	231 g

25 The preparation of enteric coated pellets was performed as described in Example 1.

#### EXAMPLE 3

Formulation with compound 6, according to Table 1. according to the invention.

Tablet core		
Compound 6, Table 1	15	mg
Lactose	119	mg
Hydroxypropyl cellulose		
(low substitution)	5	mg
Hydroxypropyl cellulose	1	mg
(low substitution)		
Talc	5	mg
Mg(OH) <sub>2</sub>	15	mg
Total	160	mg

Tablet cores having the composition above and each weighing 160 mg were first made by known techniques.

	Separating layer (inner)		
	Hydroxypropyl cellulose	2	mg
	Synthetic hydrotalcite	0.3	mg
•	[Al <sub>2</sub> O <sub>3</sub> .6MgO.CO <sub>2</sub> .12H <sub>2</sub> O]		
	Separating layer (outer)		
	Hydroxypropyl cellulose	2	mg

The two separating layers were applied to the cores by known coating techniques.

 Enteric coating layer		
Hydroxypropyl methylcellulose		
phthalate	7	mg
Cetyl alcohol	0.5	mg

The preparation was made as described in Example 1 65 The enteric coating solution was sprayed on the cores coated by the two separating layers by known enteric coating techniques.

We claim:

A pharmaceutical preparation comprising:
 (a) an alkaline reacting core comprising an acid-labile pharmaceutically active substance and an alkaline reacting compound different from said active substance, an alkaline salt of an acid labile pharmaceutically active substance, or an alkaline salt of an acid labile pharmaceutically active substance and an alkaline reacting compound different from said

active substance;
(b) an inert subcoating which rapidly dissolves or 10 disintegrates in water disposed on said core region, said subcoating comprising one or more layers comprising materials selected from the group consisting of tablet excipients, film-forming compounds and alkaline compounds; and

(c) an enteric coating layer surrounding said subcoating layer, wherein the subcoating layer isolates the alkaline reacting core from the enteric coating layer such that the stability of the preparation is enhanced.

2. A preparation according to claim 1, wherein the acid labile compound has the general formula I,

$$\begin{array}{c|c} & & & & R^1 \\ A-CH-S & & & & \\ R_5 & & & & \\ R_7 & & & & \\ \end{array}$$

wherein A is an optionally substituted heterocyclic group, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and select from among hydrogen, lower alkyl, lower alkoxy, —CF<sub>3</sub>,

aikyl or halogen and R<sup>5</sup> is H or a lower alkyl group wherein "lower" denotes 1-6 carbon atoms except the compound omerprazole, 5-methoxy-2[[(4-methoxy-3,5 dimethyl-2-pyridinyl)methyl]sulfinyl]-1H-benzimidazole; or the acid labile compound is 2-[(2-dimethylaminobenzyl)sulfinyl]-benzimidazole.

3. A preparation according to dlaim 1 wherein the subcoating layer comprises one or more of magnesium oxide, magnesium hydroxide or composite substance [Al<sub>2</sub>O<sub>3</sub>.6MgO.CO<sub>2</sub>.12H<sub>2</sub>O or MgO.Al<sub>2</sub>O<sub>3</sub>.2SiO<sub>2</sub>.n-50 H<sub>2</sub>O], wherein n is not an integer and less than two.

4. A preparation according to claim 2 wherein the subcoating comprises two or more sub-layers.

5. A preparation according to claim 4 wherein the subcoafting comprises hyroxypropyl methylcellulose, hyroxypropyl cellulose or polyvinyl-pyrrolidone.

6. A preparation according to claim 1, wherein an alkaline core comprises the acid labile compound and a pH-buffering alkaline reacting compound which renders to the micro-environment of the acid labile compound a pH of 7-12.

7. A preparation according to claim 6 wherein the alkaline reacting compound comprises one or more of magnesium oxide, hydroxide or carbonate, aluminium hydroxide, aluminium, calcium, sodium or potassium carbonate, phosphate or citrate, the composite aluminium/magnesium compounds Al<sub>2</sub>O<sub>3</sub>,6MgO.CO<sub>2</sub>,12H<sub>2</sub>O or MgO.Al<sub>2</sub>O<sub>3</sub>,2SiO<sub>2</sub>,nH<sub>2</sub>O, wherein n is not an integer and less than two.

8. A preparation according to claim 1, wherein the alkaline core comprises an alkaline salt of the acid labile compound such as the sodium, potassium, magnesium 20 calcium or ammonium salt.

9. A preparation according to claim 7 wherein the alkaline core comprises an alkaline salt of the acid labile compound mixed with an inert, alkaline compound.

10. A preparation according to claim 1, wherein the enteric coating comprises hydroxypropyl methylcellulose phthalate, cellulose acetate phthalate, co-polymerized methacrylic acid/methacrylic acid methyl ester or polyvinyl acetate phthalate, optionally containing a plasticizer.

11. A preparation according to claim 1, wherein the water content of the final dosage form containing the acid labile compound does not exceed 1.5% by weight.

12. Process for the preparation of an oral pharmaceutical formulation containing an acid labile compound in which cores containing the acid labile compound mixed with an alkaline reacting compound or compounds or an alkaline salt of the acid labile compound optionally mixed with an alkaline reacting compound or compounds are coated with one or more inert reacting sub
coating layers whereafter the subcoated cores are further coated with an enteric coating layer.

13. A method for the treatment of gastrointestinal disease characterized in that a preparation according to claim 1 is administered to a host in the need of such treatment in a therapeutically effective amount.

14. A preparation according to claim 8, wherein the salt of the acid labile compound is selected from among the sodium, potassium, magnesium, calcium and ammonium salts.

15. A preparation according to claim 1, wherein the subcoating further comprises an alkaline buffering compound.

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 4,853,230

DATED : August 1, 1989

INVENTOR(S): Kurt I. Lovgren; Ake G. Pilbrant; et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
Col. 1, line 24, "neutralto" should read --neutral to--;
Col. 1, line 29, "comounds" should read --compounds--;
Col. 1, line 30, "geneal" should read --general--;
Col. 2, line 23, "Brandstroom" should read --Brandstrom--;
Col. 2, line 24, "Larson" should read --Larsson--;
Col. 2, line 26, "partial" should read --parietal--;
Col. 2, line 35, "exemplifide" should read --exemplified--;
Col. 5, line 41, "controls as the diffusion" should read
--controls the diffusion--;
Col. 7, line 33, "R R " should read --R and R --;

Col. 9, line 34, "polyvinylprrollidone" should read
--polyvinylprollidone--;
Col. 12, line 39, Example 3, "(low substitution)" should be deleted.
Col. 13, line 34, claim 2, "select" should read --selected--;
Col. 13, line 47, claim 3, "dlaim" should read --claim--;
Col. 14, lines:2+3, claim 5, "hyroxypropyl" should read
--hydroxypropyl-- in each instance;
```

Signed and Sealed this
Twenty-third Day of June, 1992

Attest:

DOUGLAS B. COMER

Attesting Officer

Acting Commissioner of Patents and Trademarks

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. :

4,853,230

DATED

August 1, 1989

INVENTOR(S):

Kurt I. Lovgren, et al.

It is certified that error appears in the above-indentified patent and that said Letters Patent is hereby corrected as shown below:

Cover page, first column, under "Notice", change "Nov. 22, 2005" to --April 20, 2007--.

Signed and Sealed this Fifteenth Day of July, 1997

Attest:

BRUCE LEHMAN

Attesting Officer

Commissioner of Patents and Trademarks