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16						
17	UNITED STATES DISTRICT COURT					
	NORTHERN DISTRICT OF CALIFORNIA					
18						
19	SAN FRANCISCO DIVISION					
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20						
	SANOFI-AVENTIS DEUTSCHLAND	Case No.: C 08-04909 SI (BZ)				
21	GMBH,	Case No.: C 09-04919 SI				
22		SANOFI-AVENTIS DEUTSCHLAND				
	Plaintiff,	GMBH'S AMENDED COMPLAINT				
23		GMDH 5 AMENDED COM LAINT				
_	V.	JURY TRIAL DEMANDED				
24	CENENTECH INC 1 DIOCEN IDEC					
25	GENENTECH, INC. and BIOGEN IDEC					
<u> </u>	INC.,					
26	Defendants.					
_	Descriudits.					
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1	NOW COMES Plaintiff Sanofi-Aventis Deutschland GmbH ("Sanofi"), and for its Amended	
2	Complaint against Defendants Genentech, Inc. ("Genentech") and Biogen Idec Inc. ("Biogen"), state	
3	as follows:	
4	<u>PARTIES</u>	
5	1. Sanofi is a corporation organized and existing under the laws of Germany, with offices	
6	located at Brüningstrasse 50, D-65929 Frankfurt am Main, Germany.	
7	2. Genentech is a corporation organized and existing under the laws of the State of	
8	Delaware, with offices located at 1 DNA Way, South San Francisco, California.	
9	3. Biogen is a corporation organized and existing under the laws of the State of	
10	Delaware, with offices located at 14 Cambridge Center, Cambridge, Massachusetts. On information	
11	and belief, Biogen was formed by the merger of Biogen, Inc. and IDEC Pharmaceuticals Corporation	
12	in 2003.	
13	JURISDICTION AND VENUE	
14	4. Sanofi's counterclaims allege infringement of two United States Patents under	
15	35 U.S.C. § 271, including at least § 271(a), § 271(b) and § 271(g).	
16	5. This Court has subject matter jurisdiction over these counterclaims pursuant to 28	
17	U.S.C. §§ 1331 and 1338(a).	
18	6. This Court has personal jurisdiction over Genentech and Biogen. Genentech and	
19	Biogen have purposefully availed themselves of the benefits and protections of the laws of the State	
20	of California, and this judicial district, by bringing this action. Genentech has offices in this judicial	
21	district, and Biogen has offices in this State. Genentech and Biogen have also purposefully and	
22	voluntarily placed their infringing products into the stream of commerce with the expectation that	
23	these products will be purchased by consumers in this judicial district. These products have been and	
24	continue to be purchased by consumers in this judicial district.	
25	7. Venue is proper in this judicial district under 28 U.S.C. §§ 1391 and 1400.	
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FACTS

- 8. Sanofi is a part of a leading international pharmaceutical group that strives to meet a wide array of healthcare needs through innovative products. Sanofi's extensive research and development efforts are focused on health care challenges in cardiology, oncology and internal medicine, as well as metabolic diseases, central nervous system disorders and vaccines.
- 9. Sanofi is the owner of all right, title and interest in and to U.S. Patent No. 5,849,522 ("the '522 Patent"), entitled "Enhancer for Eukaryotic Expression Systems," which issued on December 15, 1998. Sanofi is the owner of all right, title and interest in and to U.S. Patent No. 6,218,140 ("the '140 Patent"), entitled "Enhancer for Eukaryotic Expression Systems," which issued on April 17, 2001. The '522 Patent and the '140 Patent both pertain to, among other things, nucleic acid enhancers for cellular expression systems useful for producing drugs and antibodies for human therapy. A true and correct copy of the '522 Patent is attached hereto as Exhibit 1 and a true and correct copy of the '140 Patent is attached hereto as Exhibit 2.
- 10. On August 6, 1992, representatives of Genentech and Behringwerke AG, Postfach 11 40, 3550 Marburg, Federal Republic of Germany, entered into a license agreement with an effective date of January 1, 1991 ("the 1991 License Agreement"). Under the 1991 License Agreement, Behringwerke AG granted to Genentech a nonexclusive license to, *inter alia*, the '522 Patent and the '140 Patent. Subsequently, Sanofi became the owner of all right, title and interest in and to the '522 Patent and the '140 Patent. In a letter dated August 27, 2008, Genentech purported to provide the defunct Behringwerke AG and sanofi-aventis S.A., but not Sanofi, with notice of termination of the License Agreement, the termination intended to be effective on October 27, 2008.
- 11. On information and belief, Genentech is a healthcare company with locations in the United States that uses human genetic information to manufacture and commercialize biotherapeutics.
- 12. On information and belief, Genentech manufactures and/or commercializes multiple biotherapeutics for medical conditions in the areas of oncology, immunology and disorders of tissue growth and repair, including Avastin® (bevacizumab) and Rituxan® (rituximab). On information

- and belief, Genentech has manufactured, used, offered for sale and/or sold these products in the United States, including within this judicial district.
- 13. On information and belief, Biogen is a healthcare company with locations in the United States that uses human genetic information to manufacture and commercialize biotherapeutics.
- 14. On information and belief, Biogen manufactures and/or commercializes multiple biotherapeutics for medical conditions in the areas of oncology, immunology and neurology, including Rituxan® (rituximab). On information and belief, Biogen has manufactured, used, offered for sale and/or sold these products in the United States, including within this judicial district.

A. Rituxan® (rituximab)

- 15. On information and belief, Rituxan® (rituximab) is manufactured and promoted by Biogen and Genentech jointly.
- 16. The U.S. Food and Drug Administration issued Department of Health and Human Services Biologics License No. 1235 to IDEC Pharmaceuticals Corporation (Biogen's predecessor-in-interest) on November 26, 1997. Under that license, Biogen is authorized to manufacture and ship for sale the product Rituximab Formulated Bulk (For Further Manufacturing Use). Pursuant to that authorization, Biogen is approved to manufacture Rituximab Formulated Bulk at a facility in San Diego, California for use in the manufacture of Rituxan® (rituximab) by Genentech under a shared manufacturing arrangement. On information and belief, Biogen and its predecessor-in-interest manufactured Rituximab Formulated Bulk at a facility in San Diego, California between 1997 and 2007. On information and belief, Genentech has operated a facility in San Diego, California for the manufacture of Rituximab Formulated Bulk since 2007. On information and belief, Rituximab Formulated Bulk has been manufactured continuously in California since at least 1997.
- 17. The U.S. Food and Drug Administration issued Department of Health and Human Services Biologics License No. 1048 to Genentech on November 26, 1997. Under that authorization, Genentech is approved to manufacture Rituxan® (rituximab) utilizing Formulated Bulk Rituximab (For Further Manufacturing Use) manufactured by IDEC Pharmaceuticals Corp. (Biologics License

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cells were not actually all available in a single 1994 scientific publication. In addition, there is no

map in a 1994 scientific publication that shows exactly where the enhancer sequence is located. On

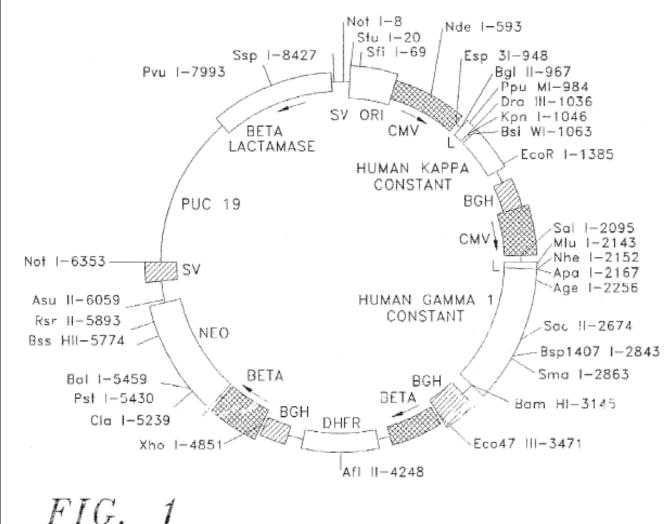
information and belief, no information publicly available before Genentech and Biogen filed this

recombinant DNA plasmid is known as "anti-CD20 in TCAE 8". On information and belief, the

and heavy chain variable regions derived from a monoclonal antibody to CD20, where the

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Both the Reff et al. article and the Anderson et al. patent describe the use of a tandem chimeric antibody expression vector to transform mammalian host cells for the expression of the desired antibody. The diagrammatic representation of the TCAE 8 tandem chimeric antibody expression vector shown above is Figure 1 from the Anderson et al. patent. Figure 3 from the Reff et al. article also shows a schematic representation of the TCAE 8 expression vector. On information and belief, the complete DNA sequence of the TCAE 8 tandem chimeric antibody expression vector is shown in

Figures 2A through 2F of the Anderson et al. patent. On information and belief, the complete DNA
sequence of the recombinant DNA plasmid used to transform the Rituxan Host Cells, known as "anti-
CD20 in TCAE 8," is shown in Figures 3A through 3F of the Anderson et al. patent.

- 24. On information and belief, the recombinant DNA plasmid used to transform the Rituxan Host Cells includes two DNA molecules isolated from the immediate early ("IE") promoter/regulatory region of human cytomegalovirus ("HCMV"). In the diagrammatic representation of the TCAE 8 tandem chimeric antibody expression vector shown in the paragraph 23 above, those DNA molecules isolated from the IE promoter/regulatory region of HCMV are identified as "CMV." Figure 1 from the Anderson *et al.* patent identifies DNA molecules isolated from the IE promoter/regulatory region of HCMV as "CMV." Figures 2A, 2B, 3A, and 3B from the Anderson *et al.* patent identify DNA molecules isolated from the IE promoter/regulatory region of HCMV as "CMV PROMOTER-ENHANCER". Figure 3 from the Reff *et al.* article identifies DNA molecules isolated from the IE promoter/regulatory region of HCMV as "CMV."
- 25. On information and belief, the recombinant DNA plasmid used to transform the Rituxan Host Cells includes a heterologous gene positioned downstream from each of the DNA molecules isolated from the IE promoter/regulatory region of HCMV. In the diagrammatic representation of the TCAE 8 tandem chimeric antibody expression vector shown in the paragraph 23 above, portions of heterologous genes are identified as "HUMAN KAPPA CONSTANT" and "HUMAN GAMMA 1 CONSTANT." Figure 1 from the Anderson *et al.* patent identifies portions of heterologous genes as "HUMAN KAPPA CONSTANT" and "HUMAN GAMMA 1 CONSTANT." Figures 3A and 3B from the Anderson *et al.* patent identify additional portions of heterologous genes as "LIGHT CHAIN VARIABLE REGION," and "HEAVY CHAIN VARIABLE REGION," respectively. Figure 3 from the Reff *et al.* article identifies portions of heterologous genes as "HUMAN KAPPA CONSTANT" and "HUMAN GAMMA 1 CONSTANT."
- 26. On information and belief, the heterologous genes positioned downstream from each of the DNA molecules isolated from the IE promoter/regulatory region of HCMV in the recombinant DNA plasmid used to transform the Rituxan Host Cells are operatively linked to the DNA molecules

isolated from the IE promoter/regulatory region of HCMV. On information and belief,	the portions
of heterologous genes identified as "HUMAN KAPPA CONSTANT" and "HUMAN C	SAMMA 1
CONSTANT" in Figures 1, 2A, 2B, 3A, and 3B from the Anderson et al. patent are open	eratively
linked to the DNA molecules isolated from the IE promoter/regulatory region of HCM	V and
identified as "CMV." On information and belief, the portions of heterologous genes identified as "CMV."	entified as
"HUMAN KAPPA CONSTANT" and "HUMAN GAMMA 1 CONSTANT" in Figure	3 from the
Reff et al. article are operatively linked to the DNA molecules isolated from the IE	
promoter/regulatory region of HCMV and identified as "CMV."	

- 27. On information and belief, one of the DNA molecules isolated from the IE promoter/regulatory region of HCMV in the recombinant DNA plasmid used to transform the Rituxan Host Cells has 567 base pairs. On information and belief, the 567 base pair DNA molecule isolated from the IE promoter/regulatory region of HCMV in the recombinant DNA plasmid used to transform the Rituxan Host Cells is identified in the Anderson *et al.* patent as a "CMV promoter/enhancer" and is shown in Figure 3A of the Anderson *et al.* patent as extending from position 361 to position 927. On information and belief, that "CMV promoter/enhancer" is in front of the DNA encoding for the immunoglobulin light chain of Rituxan® (rituximab).
- 28. On information and belief, one of the DNA molecules isolated from the IE promoter/regulatory region of HCMV in the recombinant DNA plasmid used to transform the Rituxan Host Cells has 334 base pairs. On information and belief, the 334 base pair DNA molecule isolated from the IE promoter/regulatory region of HCMV in the recombinant DNA plasmid used to transform the Rituxan Host Cells is identified in the Anderson *et al.* patent as a "CMV promoter-enhancer" and is shown in Figure 3B of the Anderson *et al.* patent as extending from position 2018 to position 2351. On information and belief, that "CMV promoter/enhancer" is in front of the DNA encoding for the immunoglobulin heavy chain of Rituxan® (rituximab). According to the Anderson *et al.* patent,

The CMV promoter/enhancer in front of the immunoglobulin heavy chain is a truncated version of the promoter/enhancer in front of the light chain from the Nhe I site at -350 [of the HCMV genome] to the Sst I site [of the HCMV genome] at -16 (see 41 *Cell* 521, 1985).

On information and belief, each of the "CMV promoter/enhancers" is less than 3,000 base pairs upstream of the DNA encoding for an immunoglobulin chain.

- 29. On information and belief, both of the "CMV promoter/enhancers" from the "anti-CD20 in TCAE 8" recombinant DNA plasmid are incorporated into the genome of the Rituxan Host Cells. On information and belief, the "CMV promoter/enhancers" enhance the transcription of DNA in the Rituxan Host Cells. Specifically, on information and belief, the "CMV promoter/enhancers" enhance the transcription of DNA coding for portions of Rituxan® (rituximab) in the Rituxan Host Cells, leading to increased expression of Rituxan® (rituximab) from the Rituxan Host Cells.
- 30. On information and belief, the "CMV promoter/enhancers" that enhance the transcription of DNA in the Rituxan Host Cells were derived from samples of HCMV DNA originally received from the laboratory of Dr. Bernhard Fleckenstein, the first named inventor on the '522 and '140 patents.
- 31. Genentech and Biogen are commercialization partners with respect to the product known as Rituxan® (rituximab) in the United States, which is known as MabThera® (rituximab) in certain other countries. Genentech and Biogen co-promote Rituxan® (rituximab) in the United States. On information and belief, Genentech licenses Rituxan® (rituximab) and MabThera® (rituximab) to F. Hoffman-LaRoche Ltd. for sale worldwide, excluding the United States and Japan. On information and belief, Genentech licenses Rituxan®/MabThera® (rituximab) to Zenyaku Kogyo Co., Ltd. and Chugai Pharmaceutical Co. Ltd. for sale in Japan. On information and belief, Rituxan® (rituximab) and MabThera® (rituximab) are manufactured only in the United States.

B. Avastin® (bevacizumab)

- 32. On information and belief, Avastin® (bevacizumab) is manufactured by Genentech in South San Francisco, California.
- 33. The U.S. Food and Drug Administration issued a license related to Bevacizumab under existing Department of Health and Human Services Biologics License No. 1048 to Genentech on February 26, 2004. Under that license, Genentech is authorized to manufacture and introduce or deliver for introduction into interstate commerce the product Bevacizumab. Pursuant to that

promoter/regulatory region of HCMV is incorporated into the genome of the Avastin Host Cells. On

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information and belief, the DNA molecule isolated from the IE promoter/regulatory region of HCMV				
enhances the transcription of DNA coding for portions of Avastin® (bevacizumab) in the Avastin				
Host Cells, leading to increased expression of Avastin® (bevacizumab) from the Avastin Host Cells.				
41. On information and belief, the DNA molecule isolated from the IE				
promoter/regulatory region of HCMV that enhances the transcription of DNA in the Avastin Host				
Cells was derived from samples of HCMV DNA originally received from the laboratory of Dr.				
Bernhard Fleckenstein, the first named inventor on the '522 and '140 patents.				
PATENT INFRINGEMENT				
COUNT I				
42. Sanofi incorporates herein the allegations of paragraphs 1 through 41 above, as if set				
forth herein in full.				
43. On information and belief, Genentech and Biogen are infringing and will continue to				
infringe the '522 Patent by making, using, selling and/or offering for sale in the United States,				
including within this judicial district, certain biotherapeutics made in the United States in mammalian				
cell suspension cultures utilizing the invention claimed in one or more claims of the '522 Patent,				
including Avastin® (bevacizumab) and Rituxan® (rituximab).				
44. As a result of the infringement by Genentech and Biogen, Sanofi is being and will				
continue to be irreparably harmed.				
45. Genentech and Biogen were well aware of the '522 Patent prior to the commission of				
the infringing acts alleged herein, and their infringement is and will continue to be reckless, egregious				
and willful.				
46. Sanofi has no adequate remedy at law.				
47. On information and belief, Genentech and Biogen will continue their infringing				
activities, and continue to damage Sanofi, unless enjoined by this Court. Sanofi's damages from the				
aforesaid actions of Genentech and Biogen are not yet determined.				
48. Genentech and Biogen's reckless, egregious and willful infringement of the '522				
Patent makes this an exceptional case under 35 U.S.C. § 285.				

1	COUNT II			
2	49. Sanofi incorporates herein the allegations of paragraphs 1 through 41 above, as if set			
3	forth herein in full.			
4	50. On information and belief, Genentech and Biogen are infringing and will continue to			
5	infringe the '140 Patent by making, using, selling and/or offering for sale in the United States,			
6	including within this judicial district, certain biotherapeutics made in the United States in mammalian			
7	cell suspension cultures utilizing the invention claimed in one or more claims of the '140 Patent,			
8	including Avastin® (bevacizumab) and Rituxan® (rituximab).			
9	51. As a result of the infringement by Genentech and Biogen, Sanofi is being and will			
10	continue to be irreparably harmed.			
11	52. Genentech and Biogen were well aware of the '140 Patent prior to the commission of			
12	the infringing acts alleged herein, and their infringement is and will continue to be reckless, egregious			
13	and willful.			
14	53. Sanofi has no adequate remedy at law.			
15	54. On information and belief, Genentech and Biogen will continue their infringing			
16	activities, and continue to damage Sanofi, unless enjoined by this Court. Sanofi's damages from the			
17	aforesaid actions of Genentech and Biogen are not yet determined.			
18	55. Genentech and Biogen's reckless, egregious and willful infringement of the '140			
19	Patent makes this an exceptional case under 35 U.S.C. § 285.			
20	<u>DECLARATORY JUDGMENT</u>			
21	COUNT III			
22	56. Sanofi incorporates herein the allegations of paragraphs 1 through 41 above, as if set			
23	forth herein in full.			
24	57. On information and belief, Genentech and Biogen are infringing and will continue to			
25	infringe the '522 Patent by making, using, selling and/or offering for sale in the United States,			
26	including within this judicial district, certain biotherapeutics which were made in the United States in			
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1	mammalian cell suspension cultures utilizing the invention claimed in one or more claims of the '522		
2	Patent including Avastin® (bevacizumab) and Rituxan® (rituximab).		
3	58. Genentech and Biogen's activities related to the making, using, selling and/or offering		
4	for sale certain biotherapeutics, including Avastin® (bevacizumab) and Rituxan® (rituximab) are an		
5	infringement of the '522 Patent under 35 U.S.C. § 271.		
6	59. There is an ongoing and justiciable case and controversy based on Genentech and		
7	Biogen's infringement of the '522 Patent. Sanofi is entitled to a declaratory judgment that Genentec		
8	and Biogen infringe or will infringe one or more claims of the '522 Patent.		
9	COUNT IV		
10	60. Sanofi incorporates herein the allegations of paragraphs 1 through 41 above, as if set		
11	forth herein in full.		
12	61. On information and belief, Genentech and Biogen are infringing and continue to		
13	infringe the '140 Patent by making, using, selling and/or offering for sale in the United States,		
14	including within this judicial district, certain biotherapeutics which were made in the United States in		
15	mammalian cell suspension cultures utilizing the invention claimed in one or more claims of the '140		
16	Patent, including Avastin® (bevacizumab) and Rituxan® (rituximab).		
17	62. Genentech and Biogen's activities related to the making of certain biotherapeutics,		
18	including Avastin® (bevacizumab) and Rituxan® (rituximab), are an infringement of the '140 Patent		
19	under 35 U.S.C. § 271.		
20	63. There is an ongoing and justiciable case and controversy based on Genentech and		
21	Biogen's infringement of the '140 Patent. Sanofi is entitled to a declaratory judgment that Genentech		
22	and Biogen infringe or will infringe one or more claims of the '140 Patent.		
23	WHEREFORE, Sanofi prays for judgment that:		
24	A. Determines and declares that Genentech and Biogen have infringed claims of the '522		
25	Patent;		
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1	В.	Genentech and Biogen, their of	fficers, agents, servants and employees, and those
2	persons in active concert and participation with any of them, be preliminarily and permanently		
3	enjoined from further infringement of the '522 Patent;		
4	C.	C. Determines and declares that Genentech and Biogen have infringed claims of the '140	
5	Patent;		
6	D.	O. Genentech and Biogen, their officers, agents, servants and employees, and those	
7	persons in active concert and participation with any of them, be preliminarily and permanently		
8	enjoined from further infringement of the '140 Patent;		O Patent;
9	E. Sanofi be awarded damages sufficient to compensate it for the infringement, but in no		
10	event less than a reasonable royalty for such infringement, and that such damages be increased to		
11	three times the amount found or assessed pursuant to 35 U.S.C. § 284, together with prejudgment		
12	interest;		
13	F.	This case be declared exceptional pursuant to 35 U.S.C. § 285 and that Sanofi-Aventis	
14	be awarded its attorney's fees, costs and expenses in this action; and		nses in this action; and
15	G.	Sanofi-Aventis be awarded such other and further relief as the Court may deem just.	
16	DEMAND FOR JURY TRIAL		FOR JURY TRIAL
17	Sano	fi hereby demands a jury trial on	all issues.
18	Dated: April	11,2010	Respectfully submitted,
19			HARVEY SISKIND LLP
20			D. PETER HARVEY RAFFI V. ZEROUNIAN
21			FITZPATRICK, CELLA, HARPER & SCINTO
22			WILLIAM E. SOLANDER (pro hac vice) DOMINICK A. CONDE (pro hac vice)
23			PETER D. SHAPIRO (pro hac vice)
24			JOSHUA A. DAVIS (pro hac vice)
25			By: William E. Solander
26			
27			Attorneys for Plaintiff SANOFI-AVENTIS DEUTSCHLAND GMBH
28			-14-