UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF FLORIDA

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**AUGUST 29, 2008** 

CASE NO.	

BRECKENRIDGE PHARMACEUTICAL, INC., a Florida corporation,

Plaintiff,

08-CV-80963-Marra-Johnson

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v.

KV PHARMACEUTICAL COMPANY, THER-RX CORPORATION, and ALBION INTERNATIONAL, INC.,

Defendants.		

## **COMPLAINT**

Breckenridge Pharmaceutical, Inc. ("Breckenridge"), by and through its attorneys, states as follows for its Complaint against Defendants:

## **The Parties**

- 1. Breckenridge is a corporation existing under the laws of the State of Florida, with its principal place of business at 1141 South Rogers Circle, Suite 3, Boca Raton, Florida, 33487.
- 2. Defendant KV Pharmaceutical Company ("KV") is a corporation organized and existing under the laws of the State of Delaware, with its principal place of business at 2503 South Hanley Road, St. Louis, Missouri 63144.
- 3. Defendant Ther-Rx Corporation ("Ther-Rx") is a corporation organized and existing under the laws of the State of Missouri, with its principal place of business at 1 Corporate Woods Drive, Bridgeton, Missouri 63044.
- 4. Defendant Albion International, Inc. ("Albion") is a corporation organized and existing under the laws of the State of Nevada, with its principal place of business at 101 North Main Street, Clearfield, Utah 84015.

## **Jurisdiction And Venue**

- 5. Jurisdiction is proper under 28 U.S.C. §§ 1331 and 1338(a) because the subject of the action is the alleged invalidity and non-infringement of a United States patent, arising under an Act of Congress relating to patents. In addition, jurisdiction is proper pursuant to 28 U.S.C. § 2201 because this is an action for a declaratory judgment for the purpose of determining a case of actual controversy between the parties, as hereinafter more fully appears.
- 6. Venue is proper in this District pursuant to 28 U.S.C. §§ 1391 and 1400, because Defendants regularly sell their products in this District.

## FACTUAL BACKGROUND

- 7. Breckenridge is in the business of developing, marketing and selling pharmaceutical products to retailers, wholesalers, distributors, and other purchasers of such products nationwide.
- 8. Before introducing any new pharmaceutical product into the marketplace, Breckenridge invests significant resources to ensure that the formulation, testing, and manufacture of each of its products complies both with internal quality-control release standards as well as with all applicable U.S. Food and Drug Administration regulations, including current Good Manufacturing Practices. This due diligence also includes review and analysis of any intellectual property that may potentially be relevant to the introduction of a new pharmaceutical product.
- 9. Albion is the assignee of U.S. Patent No. 6,716,814, entitled "Enhancing Solubility of Iron Amino Acid Chelates and Iron Proteinates" ("the '814 Patent"), a copy of which is attached as Exhibit A.
  - 10. KV and/or its subsidiary, Ther-Rx, are licensees of the '814 Patent.

11. Pursuant to this license, KV and Ther-Rx manufacture and market certain pharmaceutical products that Defendants claim are covered by the '814 Patent.

## The Prior Litigation

- 12. On or about July 10, 2008, Breckenridge introduced two new pharmaceutical products, Multigen<sup>TM</sup> Caplets and Multigen<sup>TM</sup> Plus Caplets, that compete against Chromagen<sup>®</sup> Caplets and Chromagen<sup>®</sup> Forte Caplets, which are manufactured by KV and marketed by Ther-Rx.
- 13. On July 17, 2008, Defendants filed a lawsuit against Breckenridge in the United States District Court for the Eastern District of Missouri, Case No. 4:08-cv-01052-JCH, in which they alleged that those two Multigen<sup>TM</sup> products infringed the '814 Patent (the "Prior Litigation"). <sup>1</sup>
- 14. As explained in the filings in the Prior Litigation, these allegations of infringement are based on the presence of ferrous asparto glycinate, succinic acid, and ascorbic acid in Breckenridge's products.
- 15. The following day, July 18, 2008, Defendants filed a motion for a temporary restraining order and a preliminary injunction to halt Breckenridge's sale of those two Multigen<sup>TM</sup> products.
- 16. After oral argument on July 28, 2008, the district court denied Defendants' motion for a temporary restraining order, finding that neither likelihood of success on the merits nor irreparable injury had been established.

The Prior Litigation originally involved an additional plaintiff, an additional patent, and an additional Breckenridge product, but these were withdrawn through the filing of a First Amended Complaint.

17. Nevertheless, Defendants continue to maintain that Breckenridge's products containing ferrous asparto glycinate, succinic acid, and ascorbic acid infringe the '814 Patent and continue to seek to bar those products from the marketplace.

## Breckenridge's Newly-Introduced Ferrex Products

- 18. On August, 29, 2008, Breckenridge introduced two new products that compete with other products manufactured by KV and marketed by Ther-Rx: (1) Ferrex 150 Plus Capsules and Ferrex 150 Forte Plus Capsules (collectively, "Breckenridge's Ferrex Products"), which compete against Ther-Rx's Niferex<sup>®</sup> 150 Capsules and Niferex<sup>®</sup> 150 Forte Capsules.
- 19. Breckenridge's Ferrex Products contain active ingredients in different amounts than the products at issue in the Prior Litigation. Nonetheless, Breckenridge's Ferrex Products contain ferrous asparto glycinate, succinic acid, and ascorbic acid, the basis for the allegations of infringement of the '814 Patent in the Prior Litigation.
- 20. In addition, each of the Ther-Rx products with which Breckenridge's Ferrex Products compete has labeling indicating that it is covered, *inter alia*, by the '814 Patent -- the very patent on which Defendants herein have already sued Breckenridge in an effort to keep Breckenridge's competing products off the market.
- 21. Accordingly, because Breckenridge has invested in and begun selling its Ferrex Products, because Defendants have already sued Breckenridge for infringement of the '814 Patent by other products based on the presence of ingredients that are contained in Breckenridge's Ferrex Products (albeit in different amounts), and because Defendants have marked their competing products as covered by the '814 Patent, Breckenridge has a reasonable apprehension that Defendants will commence legal action against it based on alleged

infringement of the '814 Patent by Breckenridge's Ferrex Products, and will seek to bar these products from the marketplace.

22. Thus, in light of the totality of circumstances, an actual case or controversy exists between the parties that this Court may resolve by means of a declaratory judgment.

# COUNT I Declaration of Invalidity of the '814 Patent

- 23. Breckenridge incorporates the allegations of the preceding paragraphs as though fully set forth herein.
- 24. The '814 Patent is invalid for failure to comply with the statutory requirements of patentability under Title 35 of the United States Code, including but not limited to 35 U.S.C. §§ 101, 102, 103, and 112 and/or the requirements of Title 37 of the Code of Federal Regulations.
- 25. Accordingly, Breckenridge is entitled to a declaration that the '814 Patent is invalid.

# COUNT II Declaration of Non-Infringement of the '814 Patent

- 26. Breckenridge incorporates the allegations of the preceding paragraphs as though fully set forth herein.
- 27. Breckenridge's Ferrex Products do not infringe any valid claims of the '814 Patent, nor has Breckenridge induced infringement or contributed to infringement of any valid claim of the '814 Patent.
- 28. Accordingly, Breckenridge is entitled to a declaration that its Ferrex Products do not infringe the '814 Patent.

## WHEREFORE, Breckenridge requests that the Court:

(a) Enter judgment declaring that the '814 Patent is invalid;

(b) Enter judgment declaring that Breckenridge's Ferrex 150 Plus Capsules and Ferrex 150 Forte Plus Capsules do not infringe any valid claim of the '814 Patent, and that Breckenridge has not induced infringement or contributed to infringement of any valid claim of the '814 Patent in the marketing of these products;

(c) Enter an order enjoining Defendants and their privies from asserting the '814 Patent against Breckenridge and/or its privies based on Breckenridge's Ferrex Products;

(d) Declare this case exceptional and enter an order awarding attorneys' fees and expenses to Breckenridge pursuant to 35 U.S.C. § 285;

(e) Enter an order granting Breckenridge the costs of this litigation; and

(f) Enter an order granting Breckenridge such other and additional relief against Defendants as may be just and proper in the circumstances.

## **DEMAND FOR TRIAL BY JURY**

Pursuant to Rule 38(b) of the Federal Rules of Civil Procedure, Breckenridge demands a trial by jury of all issues properly triable to a jury in this case.

Dated: August 29, 2008

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Case: 4:09-cv-00803-JCH Doc. #: 1 Filed: 08/29/08 Page: 8 of 14 PageID #: 8



## (12) United States Patent

Ericson et al.

(10) Patent No.: US 6,716,814 B2 (45) Date of Patent: Apr. 6, 2004

(54)	ENHANCING SOLUBILITY OF IRON AMINO
` '	ACID CHELATES AND IRON PROTEINATES

(75) Inventors: Clayton Ericson, Morgan, UT (US); H. DeWayne Ashmead, Fruit Heights, UT

(US)

(73) Assignee: Albion International, Inc., Clearfield,

UT (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

- (21) Appl. No.: 09/931,397
- (22) Filed:

Aug. 16, 2001

(65) Prior Publication Data

- US 2003/0069172 A1 Apr. 10, 2003 (51) Int. Cl.<sup>7</sup> ...... A23J 3/30; A61K 38/01; C07F 15/02
- (52) U.S. Cl. ...... 514/6; 426/656; 556/148

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\* cited by examiner

Primary Examiner—Jeffrey E. Russel (74) Attorney, Agent, or Firm—Thorpe North & Western, LLP

### (57) ABSTRACT

A method of enhancing the solubility of iron amino acid chelates and iron proteinates is disclosed. This is accomplished by mixing an effective amount of an organic acid solubilizing agent into existing iron amino acid chelates or iron proteinates. The iron amino acid chelates and iron proteinates may have a ligand to metal molar ratio from about 1:1 to 4:1, preferably 2:1 to 3:1.

26 Claims, No Drawings



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## ENHANCING SOLUBILITY OF IRON AMINO ACID CHELATES AND IRON PROTEINATES

### FIELD OF THE INVENTION

The present invention is drawn to methods of extending and/or improving the solubility of iron amino acid chelates and iron proteinates over longer periods of time, as well as solubilizing otherwise insoluble or less soluble iron amino acid chelates and iron proteinates.

### BACKGROUND OF THE INVENTION

Amino acid chelates are generally produced by the reaction between  $\alpha$ -amino acids and metal ions having a valence of two or more to form a ring structure. In such a reaction, the positive electrical charge of the metal ion is neutralized by the electrons available through the carboxylate or free amino groups of the  $\alpha$ -amino acid.

Traditionally, the term "chelate" has been loosely defined as a combination of a metallic ion bonded to one or more ligands forming heterocyclic ring structures. Under this definition, chelate formation through neutralization of the positive charges of the divalent metal ions may be through the formation of ionic, covalent or coordinate covalent bonding. An alternative and more modern definition of the term "chelate" requires that the metal ion be bonded to the ligand solely by coordinate covalent bonds forming a heterocyclic ring. In either case, both definitions describe a metal ion and a ligand forming a heterocyclic ring.

A chelate is a definite structure resulting from precise requirements of synthesis. Proper conditions must be present for chelation to take place, including proper mole ratios of ligands to metal ions, pH, and solubility of reactants. For chelation to occur, all components are generally dissolved in solution and are either ionized or of appropriate electronic configuration in order for coordinate covalent bonding and/or ionic bonding between the ligand and the metal ion to

Chelation can be confirmed and differentiated from mixtures of components by infrared spectra through comparison
of the stretching of bonds or shifting of absorption caused by
bond formation. As applied in the field of mineral nutrition,
there are two allegedly "chelated" products which are commercially utilized. The first is referred to as a "metal 45
proteinate." The American Association of Feed Control
officials (AAFCO) has defined a "metal proteinate" as the
product resulting from the chelation of a soluble salt with
amino acids and/or partially hydrolyzed protein. Such products are referred to as the specific metal proteinate, e.g., 50
copper proteinate, zinc proteinate, etc. Sometimes, metal
proteinates are even referred to as amino acid chelates,
though this characterization is not completely accurate.

The second product, referred to as an "amino acid chelate," when properly formed, is a stable product having 55 one or more five-membered rings formed by a reaction between the amino acid and the metal. Specifically, the carboxyl oxygen and the  $\alpha$ -amino group of the amino acid each bond with the metal ion. Such a five-membered ring is defined by the metal atom, the carboxyl oxygen, the carbonyl carbon, the  $\alpha$ -carbon and the  $\alpha$ -amino nitrogen. The actual structure will depend upon the ligand to metal mole ratio and whether the carboxyl oxygen forms a coordinate covalent bond or an ionic bond with the metal ion. Generally, the ligand to metal molar ratio is at least 1:1 and 65 is preferably 2:1 or 3:1. However, in certain instances, the ratio may be 4:1. Most typically, an amino acid chelate may

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be represented at a ligand to metal molar ratio of 2:1 according to Formula 1 as follows:

Formula 1

10 In the above formula, the dashed lines represent coordinate covalent bonds, covalent bonds, or ionic bonds. Further, when R is H, the amino acid is glycine which is the simplest of the α-amino acids. However, R could be representative of any other side chain resulting in any of the other twenty or
 15 so naturally occurring amino acids derived from proteins. All of the amino acids have the same configuration for the positioning of the carboxyl oxygen and the α-amino nitrogen with respect to the metal ion. In other words, the chelate ring is defined by the same atoms in each instance, even
 20 though the R side chain group may vary.

The American Association of Feed Control Officials (AAFCO) have also issued a definition for amino acid chelates. It is officially defined as the product resulting from the reaction of a metal ion from a soluble metal salt with amino acids having a mole ratio of one mole of metal to one to three (preferably two) moles of amino acids to form coordinate covalent bonds. The average weight of the hydrolyzed amino acids must be approximately 150 and the resulting molecular weight of the chelate must not exceed 800. The products are identified by the specific metal forming the chelate, e.g., iron amino acid chelate, copper amino acid chelate, etc.

The reason a metal atom can accept bonds over and above the oxidation state of the metal is due to the nature of chelation. For example, at the  $\alpha$ -amino group of an amino acid, the nitrogen contributes both of the electrons used in the bonding. These electrons fill available spaces in the d-orbitals forming a coordinate covalent bond. Thus, a metal ion with a normal valency of +2 can be bonded by four bonds when fully chelated. In this state, the chelate can be completely satisfied by the bonding electrons and the charge on the metal atom (as well as on the overall molecule) can be zero. As stated previously, it is possible that the metal ion be bonded to the carboxyl oxygen by either coordinate covalent bonds or ionic bonds. However, the metal ion is preferably bonded to the  $\alpha$ -amino group by coordinate covalent bonds only.

Amino acid chelates can also be formed using peptide ligands instead of single amino acids. These will usually be in the form of dipeptides, tripeptides, and sometimes, tetrapeptides because larger ligands have a molecular weight which is too great for direct assimilation of the chelate formed. Generally, peptide ligands will be derived by the hydrolysis of protein. However, peptides prepared by conventional synthetic techniques or genetic engineering can also be used. When a ligand is a di- or tripeptide, a radical of the formula [C(O) CHRNH], H will replace one of the hydrogens attached to the nitrogen atom in Formula 1. R, as defined in Formula 1, can be H, or the residue of any other naturally occurring amino acid and e can be an integer of 1, 2 or 3. When e is 1 the ligand will be a dipeptide, when e is 2 the ligand will be a tripeptide and so forth.

The structure, chemistry and bioavailability of amino acid chelates is well documented in the literature, e.g. Ashmead et al., Chelated Mineral Nutrition, (1982), Chas. C. Thomas Publishers, Springfield, Ill.; Ashmead et al., Intestinal Absorption of Metal Ions, (1985), Chas. C. Thomas

Publishers, Springfield, Ill.; Ashmead et al., Foliar Feeding of Plants with Amino Acid Chelates, (1986), Noyes Publications, Park Ridge, N.J.; U.S. Pat. Nos. 4,020,158; 4,167,564; 4,216,143; 4,216,144; 4,599,152; 4,774,089; 4,830,716; 4,863,898; 4,725,427; and others.

One advantage of amino acid chelates in the field of mineral nutrition is attributed to the fact that these chelates are readily absorbed in the gut and mucosal cells by means of active transport. In other words, the minerals can be absorbed along with the amino acids as a single unit utilizing 10 the amino acids as carrier molecules. Therefore, the problems associated with the competition of ions for active sites and the suppression of specific nutritive mineral elements by others can be avoided. This is especially true for compounds such as iron sulfates that are typically delivered in relatively 15 large quantities in order for the body to absorb an appropriate amount. This is significant because large quantities often cause nausea and other discomforts as well as create an undesirable taste.

In selecting an iron source for food fortification, the color 20 and taste of the iron source is a major consideration. This is particularly true when fortifying foods that are light in color. Typically, elemental iron and iron salts have been used for food fortification, and both generally have produced offcolor and off-tasting foods, depending on the amount of iron 25 fortificant added. Because of these and other limitations, even some highly bioavailable forms of iron may not be desirable to utilize. For example, though ferrous sulfates are quite soluble with reasonable bioavailability, they often result in off-color and off-tasting foods. This is because 30 when soluble iron salts are added to food matrixes, particularly to wet food or solutions, there is a great propensity for the iron to react with one or more components of the wet food or solution. When the iron reacts, flavors and colors can be modified. This makes the inclusion of iron into many wet 35 foods or solutions a significant problem. Since iron fortification is desirable in many instances, even mandated by law in some instances, it would be desirable to provide an iron fortificant that may be added to food, particularly to a wet food or solution, without producing the above mentioned 40 negative effects. Chelation can provide these advantages.

The chelation of iron with certain ligands is one alternative to maintaining iron solubility. However, selecting a ligand with a desirable stability constant is important. When iron is chelated with ascorbic acid or citric acid, the resulting 45 stability of the chelate is relatively low. Because the stability is low, the unwanted reaction between the iron and certain food ingredients occurs. Thus, iron chelated with ligands that have a low stability constant do not provide adequate protection to the iron when mixed with food matrixes, and 50 thus, the chelate will not retain sufficient solubility. Other chelate ligands, such as EDTA, maintain iron solubility as well and prevent the reaction of the iron cation with food ingredients. This is because the EDTA forms a chelate with iron in a sequestered form in the presence of various food matrixes. However, the problem associated with iron EDTA chelates, though favorably absorbed into the blood from the intestines, results from this high stability. More specifically, the stability is so high that the body cannot easily tear the 60 iron away from the ligand. Further, if the metal ion and the EDTA ligand are separated, the EDTA is such a strong chelating agent that it can actually cause damage to the body. Therefore, even though such a chelate avoids the problems of discoloration and/or unpalatability of the fortified food, 65 the disadvantages associated with the use of EDTA outweighs the advantages.

When iron is chelated to amino acids or small peptides, particularly with amino acid ligands at a 2:1 ligand to metal molar ratio, these ligands tend to keep the iron soluble when added to most food. The body is also able to absorb and metabolize these forms of chelates efficiently. However, the solubility of an iron amino acid chelate or iron proteinate tends to decline over time when the iron amino acid chelate or iron proteinate is added to certain foods, particularly those with a high moisture content. Further, iron amino acid chelates and iron proteinates having a ligand to metal molar ratio of about 3:1 are much less soluble than 2:1 amino acid

As such, it would be desirable to provide a method of enhancing the solubility of existing iron amino acid chelates and iron proteinates by increasing the time that the chelate remains soluble and/or solubilizing otherwise insoluble or less soluble chelates, even in the presence of a sugar such as glucose or sucrose.

### SUMMARY OF THE INVENTION

A method of enhancing the solubility of iron amino acid chelates and iron proteinates is disclosed which comprises admixing an effective amount of a solubilizing agent with an iron amino acid chelate or iron proteinate having a ligand to metal molar ratio from about 1:1 to 4:1, preferably from about 2:1 to 3:1. Alternatively, a method of enhancing the solubility of an iron amino acid chelate- or iron proteinatesugar complex comprises admixing an effective amount of an organic acid solubilizing agent into said iron amino acid chelate- or iron proteinate-sugar complex. Further, a method of enhancing the solubility of an iron amino acid chelate or iron proteinate-containing aqueous solution in the presence of a sugar comprises admixing an effective amount of an organic acid solubilizing agent into the aqueous iron amino acid chelate or iron proteinate solution prior to adding the sugar to the solution.

### DETAILED DESCRIPTION OF THE INVENTION

Before the present invention is disclosed and described, it is to be understood that this invention is not limited to the particular process steps and materials disclosed herein because such process steps and materials may vary somewhat. It is also to be understood that the terminology used herein is used for the purpose of describing particular embodiments only. The terms are not intended to be limiting because the scope of the present invention is intended to be limited only by the appended claims and equivalents thereof.

It must be noted that, as used in this specification and the appended claims, the singular forms "a," "an," and "the" include plural referents unless the content clearly dictates

The phrase "enhancing the solubility" refers to improving iron that has a very high stability constant, thus keeping the 55 the solubility of existing iron amino acid chelates and iron proteinates, even in the presence of a sugar, or when complexed to a sugar. This may be manifest by extending the solubility time of a soluble iron amino acid chelate or iron proteinate or solubilizing an otherwise insoluble or less soluble iron amino acid chelate or iron proteinate.

> The term "amino acid chelate" is intended to cover both the traditional definitions and the more modern definition of chelate as cited previously. Specifically, for purposes of the present invention, chelate is meant to include metal ions bonded to amino acids or proteinaceous ligands forming heterocyclic rings. The bonds may be coordinate covalent, covalent, and/or ionic at the carboxyl oxygen group.

However, at the \alpha-amino group, the bond is typically a coordinate covalent bond.

The term "proteinate" when referring to an iron proteinate is meant to include compounds where iron is chelated or complexed to hydrolyzed or partially hydrolyzed protein 5 forming a heterocyclic ring. Coordinate covalent bonds, covalent bonds and/or ionic bonding may be present in the chelate or chelate/complex structure.

The method of the present invention involves the enhancing of the solubility of iron amino acid chelates and iron 10 proteinates by (a) prolonging of the solubility of a soluble iron amino acid chelate or proteinate and/or (b) solubilizing an otherwise insoluble or less soluble iron amino acid chelate or proteinate. The method of enhancing the solubility of iron amino acid chelates and iron proteinates comprises admixing an effective amount of a solubilizing agent with one or more iron amino acid chelate or iron proteinate having a ligand to metal molar ratio from about 1:1 to 4:1, preferably from about 2:1 to 3:1. The organic acid solubilizing agent can be selected from the group consisting of acetic acid, ascorbic acid, citric acid, lactic acid, malic acid, succinic acid, and combinations thereof.

To illustrate several preferred embodiments, the following guidelines are useful in determining how much of each organic acid can be added to the iron chelates in order to 25 enhance solubility. If ascorbic acid is being added to the iron amino acid chelate or iron proteinate, the ascorbic acid to iron content ratio can be from about 5:1 to 1:1 by weight. If citric acid is being added to the iron amino acid chelate or iron proteinate, the citric acid to iron content ratio can be from about 3:1 to 1:1 by weight. Likewise, for acetic acid, the organic acid to iron content ratio can be from about 3:1 to 1:1 by weight; for lactic acid, the organic acid to iron content ratio can be from about 3:1 to 1:1 by weight; for malic acid, the organic acid to iron content ratio can be from 35 Sample 2 in two phases. about 3:1 to 1:1 by weight; and for succinic acid, the organic acid to iron content ratio can be from about 3:1 to 1:1 by weight.

Though these ratios ranges are useful in practicing the invention, the invention is not limited by their values. Any of these organic acids could be used outside of these preferred ranges with a more limited usefulness. Further, acids may be combined. For example, ascorbic acid and citric acid may be added in combination having an ascorbic acid to citric acid molar ratio from about 10:1 to 1:1, and 45 wherein the total solubilizing agent to iron content weight ratio is from about 5:1 to 1:1.

Preferably, the iron amino acid chelate or iron proteinate and the solubilizing agent can be homogeneously mixed together in particulate form to be subsequently hydrated for 50 food fortification. However, the iron amino acid chelate or iron proteinate and the solubilizing agent may be hydrated prior to the mixing step, forming a liquid mixture rather than a particulate mixture. Further, other combinations are also possible such as mixing the iron amino acid chelate or iron 55 proteinate in a particulate form with the solubilizing agent in a liquid form, or conversely, mixing the iron amino acid chelate or iron proteinate in a liquid form with the solubilizing agent in a particulate form.

A method of enhancing the solubility of an iron amino 60 acid chelate- or iron proteinate-sugar complex is also disclosed which comprises admixing an effective amount of an organic acid solubilizing agent into an iron amino acid chelate- or iron proteinate-sugar complex. The iron amino acid chelate- or iron proteinate-sugar complex to be solubi- 65 lized generally comprises iron, an amino acid or proteinate ligand, and a sugar such as glucose and/or sucrose.

Additionally, a method of enhancing the solubility of an iron amino acid chelate or iron proteinate-containing aqueous solution in the presence of a sugar is disclosed which comprises admixing an effective amount of an organic acid solubilizing agent into the aqueous iron amino acid chelate or iron proteinate solution prior to adding sugar to the solution.

In both of these methods involving an iron amino acid chelate or iron proteinate and a sugar, the ligand to iron molar ratio can be from about 1:1 to 4:1, preferably 2:1 to 3:1, and the sugar to iron content molar ratio can be from about 1:1 to 3:1. Again, the solubilizing agent may be selected from the group consisting of citric acid, ascorbic acid, acetic acid, lactic acid, malic acid, succinic acid, and combinations thereof, and the solubilizing agent to iron content weight ratio can be from about 4:1 to 1:1.

### **EXAMPLES**

The following examples illustrate this preparative 20 method. The following examples should not be considered as limitations of the present invention, but should merely teach how to make the best known amino acid chelates based upon current experimental data.

### Example 1

Ferrous iron was chelated in solution by glycine at a glycine to iron molar ratio of 2:1 (ferrous bisglycinate). The chelate was dried by spray drying. The final chelate contained 18% iron. The dried iron amino acid chelate was divided into two samples. Sample 1 acted as the control. Sample 2 was mixed with ascorbic acid at a rate of 30% ascorbic acid to 70% iron amino acid chelate by weight. To show that the inclusion of ascorbic acid promotes solubility of iron amino acid chelates, Sample 1 was compared with

### Phase 1

After hydrating Sample 1 and Sample 2 with an amount of water sufficient to dissolve the respective powders and allowing 4 hours to pass, a small amount of each solution was placed on filter paper with a pipette. By placing the two solutions on paper in this manner, the respective solutions were allowed to disperse away from the point of application while leaving behind any solids that may have formed. The iron amino acid chelate of Sample 1 left behind a small clump of precipitate. The iron amino acid chelate of Sample 2 left no visual precipitate behind.

### Phase 2

Both remaining solutions were retained in test tubes for 20 additional hours. At the end of that time, a visual examination of the two samples indicated that approximately 50% of Sample 1 had precipitated from solution. Conversely, Sample 2 remained in solution.

### Example 2

Ferric iron was chelated by glycine in solution at a glycine to iron molar ratio of 3:1 (ferric trisglycinate). The chelate was dried by spray drying. The final chelate contained 19% iron. Typically, this type of iron amino acid chelate has very little solubility. A mixture of ferric trisglycinate (95% by weight) and citric acid (5% by weight) was prepared. This mixture was then added to an excess of water and left standing. About 24 hours later, 50% of the once insoluble chelate had gone into solution.

### Example 3

Iron bisglycinate was prepared and used to determine which organic acids aided in enhancing the solubility of iron

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amino acid chelates. Glucose and sucrose were also tested likewise. The iron bisglycinate was then hydrated, and a pH level of about 8 was measured. The aqueous iron bisglycinate was then placed on filter paper with a pipette and the rings were characterized after drying. Staggered brown rings were formed (after the droplet dried) from the center and in an outward direction. However, no notable rings were formed at the location where the waterfront had existed.

After characterizing the iron bisglycinate as a control, 10 citric acid, ascorbic acid, acetic acid, lactic acid, malic acid, and succinic acid were added to separate samples of the iron bisglycinate. Each showed enhancement of mobility and solubility on the filter paper. Conversely, the addition of sugars to the iron bisglycinate decreased solubility as characterized by a visual inspection. The results of the visual inspection and filter paper characterization of each potential solubilizing agent are illustrated by Table 1 as follows:

TABLE 1

COMPOUND ADDED	pН	VISUAL	RING APPEARANCE
Ascorbic Acid		no precipitation	dark waterfront ring
Acetic Acid		no precipitation	dark waterfront ring
Citric Acid		no precipitation	dark waterfront ring
Lactic Acid		no precipitation	dark waterfront ring
Malic Acid		no precipitation	dark waterfront ring
Succinic Acid		no precipitation	dark waterfront ring
Glucose		precipitated solids	
Sucrose	7.0	precipitated solids	light staggered ring

In Table 1, the ratio of each of the listed compounds to iron content was about 1.8:1 by weight. The pH value describes the pH level of the solution at this ratio.

Table 1 above shows that the mobility and solubility of the iron bisglycinate in water, even at low pH levels, was 35 enhanced with the addition of organic acids as solubilizing agents as is evidenced by the appearance of darker rings corresponding to the location of the waterfront (after drying). Conversely, the addition of sugars decreased the solubility as is shown by the visual presence of precipitated 40 solids.

Though not shown in Table 1, when one of the organic acids is added to an iron amino acid chelate-sugar precipitate, the solids dissolve back into solution. Further, when one of the organic acids is added to an iron amino acid chelate prior to the addition of any sugar, a precipitate will not form when the sugar is added. This suggests that a solution containing an iron amino acid chelate is stable in an organic acid-sugar environment such as that found in fruit or in juice.

### Example 4

An iron proteinate or iron protein hydrolysate which was hydrolyzed from a vegetable protein was formed having an iron content of about 10%. The proteinate was hydrated and the pH measured at about 3.0. Visually, the color of the solution was brown and contained particulates. A small amount of the solution was placed on filter paper with a pipette. When the water evaporated, brown staggered rings were left behind.

Next, several different organic acids and sugars were added to various samples of the solution. Each was inspected both visually and by placing a small amount of solution on 65 filter paper with a pipette. Table 2 below illustrates what was observed:

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TABLE 2

COMPOUND	pН	VISUAL	RING APPEARANCE
Ascorbic Acid	4.5	no change	dark brown waterfront ring
Acetic Acid	3.0	no change	dark brown waterfront ring
Citric Acid	1.5	no change	dark brown waterfront ring
Lactic Acid	1.0	no change	dark brown waterfront ring
Malic Acid	2.0	no change	dark brown waterfront ring
Succinic Acid	2.0	no change	dark brown waterfront ring
Glucose	7.0	no change	brown staggered rings
Sucrose	7.0	no change	brown staggered rings

In Table 1, the ratio of each of the listed compounds to iron content was about 1:1 by weight. The pH value describes the pH level of the solution at this ratio.

Table 2 above shows that the mobility and solubility of the iron proteinate in water, even at low pH levels, was enhanced with the addition of organic acids as solubilizing agents. This is evidenced by darker rings remaining on the filter paper which corresponded to location of the waterfront. However, the rings left behind after adding glucose or sucrose were similar to the control iron proteinate rings.

The above examples show that the addition of ascorbic acid, citric acid, acetic acid, malic acid and or succinic acid help iron amino acid chelates and iron proteinates retain 25 their solubility, or alternatively, aid in solubilizing otherwise insoluble or less soluble forms of iron amino acid chelates and iron proteinates. Further, even in the presence of a sugar such as glucose or sucrose, the organic acid solubilizing agents enhance the solubility of the iron amino acid chelate-30 and iron proteinate-sugar complexes.

We claim:

- 1. A method of enhancing the solubility of iron amino acid chelates and iron proteinates comprising admixing an effective amount of an organic acid solubilizing agent with an iron amino acid chelate or iron proteinate having a ligand to metal molar ratio from about 1:1 to 4:1 to form a mixture of iron amino acid chelate or iron proteinate and organic acid, wherein the solubilizing agent to iron content weight ratio is from about 5:1 to 1:1.
- 2. A method as in claim 1 wherein the ligand to metal molar ratio is from about 2:1 to 3:1.
- 3. A method according to claim 1 wherein said solubilizing agent is selected from the group consisting of citric acid, ascorbic acid, acetic acid, lactic acid, malic acid, succinic acid, and combinations thereof.
- 4. A method according to claim 1 wherein said solubilizing agent is acetic acid and wherein the acetic acid to iron content weight ratio is from about 3:1 to 1:1.
- 5. A method according to claim 1 wherein said solubilizing agent is ascorbic acid and wherein the ascorbic acid to iron content weight ratio is from about 5:1 to 1:1.
  - 6. A method according to claim 1 wherein said solubilizing agent is citric acid and wherein the citric acid to iron content weight ratio is from about 3:1 to 1:1.
- 7. A method according to claim 1 wherein said solubiliz-55 ing agent is lactic acid and wherein the lactic acid to iron content weight ratio is from about 3:1 to 1:1.
  - 8. A method according to claim 1 wherein said solubilizing agent is malic acid and wherein the malic acid to iron content weight ratio is from about 3:1 to 1:1.
  - 9. A method according to claim 1 wherein said solubilizing agent is succinic acid and wherein the succinic acid to iron content weight ratio is from about 3:1 to 1:1.
  - 10. A method according to claim 1 wherein said solubilizing agent is a combination of ascorbic acid and citric acid at a molar ratio from 10:1 to 1:1, and wherein the iron content to solubilizing agent ratio is from about 5:1 to 1:1 by weight.

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- 11. A method according to claim 1 wherein said iron amino acid chelate or iron proteinate and said solubilizing agent are in a particulate form and wherein said mixing step produces a particulate homogenous mixture prior to hydration.
- 12. A method according to claim 11 wherein said particulate mixture is hydrated.
- 13. A method according to claim 1 wherein said iron amino acid chelate or iron proteinate and said solubilizing agent are hydrated prior to said admixing step.
- 14. A method according to claim 1 wherein said iron amino acid chelate or iron proteinate is in a particulate form and wherein said solubilizing agent is in a liquid form when admixed.
- 15. A method according to claim 1 wherein said iron 15 amino acid chelate or iron proteinate is in a liquid form and wherein said solubilizing agent is in a particulate form when mixed
- 16. A method of enhancing the solubility of an iron amino acid chelate- or iron proteinate-sugar complex comprising 20 admixing an effective amount of an organic acid solubilizing agent into said iron amino acid chelate- or iron proteinate-sugar complex to form a mixture of iron amino acid chelate- or iron proteinate-sugar complex and organic acid, wherein the solubilizing agent to iron content ratio is from about 4:1 25 to 1:1 by weight.
- 17. A method according to claim 16 wherein said iron amino acid chelate- or iron proteinate-sugar complex comprises iron, an amino acid or proteinate ligand, and a sugar, wherein said ligand to iron molar ratio is from about 1:1 to 30 4:1 and wherein said sugar content to said iron content molar ratio is from about 1:1 to 3:1.
- 18. A method according to claim 17 wherein said ligand to iron molar ratio is from about 2:1 to 3:1.

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- 19. A method according to claim 17 wherein said solubilizing agent is selected from the group consisting of citric acid, ascorbic acid, acetic acid, lactic acid, malic acid, succinic acid, and combinations thereof.
- 20. A method according to claim 17 wherein said sugar is selected from the group consisting of glucose, sucrose, and combinations thereof.
- 21. A method of enhancing the solubility of an iron amino acid chelate or iron proteinate-containing aqueous solution in the presence of a sugar comprising admixing an effective amount of an organic acid solubilizing agent into said iron amino acid chelate or iron proteinate aqueous solution to form a mixture of iron amino acid chelate or iron proteinate and organic acid prior to adding said sugar to said solution.
- 22. A method according to claim 21 wherein said iron amino acid chelate or iron proteinate has a ligand to iron molar ratio from about 1:1 to 4:1 and wherein said sugar content to said iron content molar ratio is from about 1:1 to 3:1.
- 23. A method according to claim 22 having a ligand to iron molar ratio from about 2:1 to 3:1.
- 24. A method according to claim 21 wherein said solubilizing agent is selected from the group consisting of citric acid, ascorbic acid, acetic acid, lactic acid, malic acid, succinic acid, and combinations thereof.
- 25. A method according to claim 21 wherein the solubilizing agent to iron content ratio is from about 4:1 to 1:1 by weight.
- 26. A method according to claim 21 wherein said sugar is selected from the group consisting of glucose, sucrose, and combinations thereof.

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