

## UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

JAMES H. CLARK	)
Plaintiff,	) Case No. 4:05-cv-1344
vs.	) Judge Hamilton
FLOYD CRUES et al.,	)
Defendant(s).	)

# **NOTICE OF APPEAL**

COMES NOW; James H. Clark, Plaintiff, pro se and in forma pauperis on Friday, this 4<sup>th</sup> day of May 2007, with his Notice of Appeal pertaining to the above captioned matter accordingly.

Plaintiff – Appellant filed his Original Complaint with the United States District Court, Eastern District of Missouri; Eastern Division, on August 25<sup>th</sup> 2005, citing the United States Civil Statute under Title 28 U.S.C.A, Section 1343 and Violations of Civil Rights pertaining to a brief description of cause. Although Plaintiff referenced he had a Patent Application Pending noting Application No. 11/153,118, Plaintiff never mentioned the word "infringement" and/or any causes of action pertaining to Patent or Copyright Infringements, the Honorable Judge Hamilton modified Plaintiff's Original Complaint from a Title 28 U.S.C.A, Section 1343

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"Violation of Civil Rights" Cause, into a Title 28 U.S.C.A, Section 1338

"Patent Infringement" Cause (courtesy copy; title page attached, exhibit 1).

Pursuant to Text Docket # 6 on 8/26/2005, the District Court investigated and filed a REPORT to the commissioner for a filing or determination of an action regarding Plaintiff's Patent. Upon the District Courts finding pursuant to Text Docket # 6, the Plaintiff's Original Complaint was interpreted as a Patent Infringement; therefore, modifying the Cause for this matter.

At no fault of his own, Plaintiff filed the Original Complaint as a "pro se" litigant, moving the District Court to Appoint Plaintiff Counsel (Text Doc No. 5). Although the Honorable Judge Hamilton initially denied Appointing Plaintiff Counsel (Text Doc No. 8) claiming the complexity surrounding this complaint didn't warrant an Appointment of Counsel, the District Court changed its decision and Appointed Plaintiff Counsel(s) on (1) June 27<sup>th</sup> 2006 (Text Doc No. 122), on (2) 7/17/2006 (Text Doc No. 138), and lastly on (3) 8/21/2006 (Text Doc No. 153). The Defendants received notice of Plaintiff's Court Appointed Counsels; however, he didn't receive any notice of his Appointed Counsel(s). All three of the District Court Appointed Counsels moved the Court; by Motion, to be dismissed as

Plaintiff's Counsel alleging; "Conflicts of Interests." In addition, Plaintiff didn't receive any rulings, judgments, any Court orders or any other form of "communication" from the District Court after the Rule 16 Conference, which was held on March 29<sup>th</sup> 2006 (Text Doc. No. 89) through October 2006.

For approximately six (6) months, Plaintiff didn't acquire any District Court processes and/or communications; therefore, interfering with justice by drastically minimizing his knowledge pertaining to this matter, while supporting the Defendants with legal empowerment to carry out their defensive strategies.

After the third Court Appointed Counsel filed Motion withdrawing as Plaintiff's Counsel, the Honorable Judge Hamilton didn't grant Plaintiff with a 4<sup>th</sup> Court Appointed Counsel. As determined by the District Court, justice may have been served if Plaintiff had received all Court communications, especially since the District Court modified Plaintiff's Original complaint from a Civil Rights Violation Complaint, into a Patent Infringement.

During the above referenced Rule 16 Conference, the Honorable

Judge Hamilton reviewed Plaintiff's Motion for a More Definitive Statement

and/or Clarification pertaining to which attorney from the Law Firm Lashly

& Baer, P.C, was representing which Defendant. Attorney Kenneth Brostron from the Law Firm Lashly & Baer, P.C, was himself a Defendant. According to Ken Brostrons Entry of Appearances noted on pages 2-5 of the Text Docket, along with; Text Doc Nos. 12, 15, 40 and 104; see exhibit 2), along with exhibit 3 (Brostrons 2<sup>nd</sup> set of interrogatories) Defendant Ken Brostron was representing most but, not all Defendants.

The Honorable Judge Hamilton specifically clarified with counsel James Hetlage, from the Law Firm Lashly & Baer, P.C, during the Rule 16 Conference, which was held and recorded in her Courtroom, that Kenneth Brostron; being a Defendant himself, would not be representing any of the other Defendants. Mr. Hetlage said he (referring to Ken Brostron) would not be representing any of the other Defendants. Ultimately, Kenneth Brostron actively represented all of the Defendants and even acknowledges his representations thereof; therefore, blatantly defying the District Courts specifications.

Plaintiff attempted to settle this matter by way of written communications approximately seven (7) times. Plaintiff offered to settle this matter by way of oral gestures and during the Alternative Dispute Resolution (ADR) and other verbal communications with Defendants

Counsel(s) approximately three or four times. Upon belief, information, and knowledge, Plaintiff's settlement offers were never submitted from the Defendants Counsel(s) to the proper clients accordingly. Mr. Kenneth Brostron, as a Defendant and Counsel, perverted the judicial processes surrounding this matter pertaining to "Ulterior Motives" for his own personal advantages and by way of the Law Firm Lashly & Baer, P.C; "Proffering" off their client SLPS and/or the Board of Education of the City of St. Louis.

Lastly, Plaintiff has been communicating with officials from the Patent and Trademark Office (PTO) in Virginia. A Petition to Expedite the PTO Determination of Patent Application No. 11/153,118 has been submitted accordingly. Since the District Court modified this complaint from a Violation of Civil Rights, into a Patent Infringement, the District Court should not have ruled; whereby, dismissing Plaintiff's Patent Infringement Counts "with prejudice," until the PTO makes a determination pertaining to the validity of Plaintiff's Patent and Patent Application

WHEREFORE, Plaintiff; now, Appellant Prays to the United States

Court of Appeals for the 8<sup>th</sup> Circuit, to review, hears, and ensures justice is

adequately obtained pertaining to the outcome of this matter.

Respectfully Submitted,

8276 Albin Avenue

St. Louis MO, 63114

(314) 426 – 6587 (home phone)

# **CERTIFICATE OF SERVICE**

I hereby certify; a true and accurate copy of the foregoing, was filed with the District Court, hand delivered, faxed and/or mailed postage prepaid on this 4<sup>th</sup> Day of May 2007 to:

Mr. James Hetlage and Mr. Kenneth Brostron LASHLY & BAER, P.C. 714 Locust Street St. Louis MO, 63101

JAMES H. CLARK

Case: 4:05-cv-01344-JCH Doc. #: 250 Filed: 05/04/07 Page: 7 of 11 PageID #: 2337

Exhibit 1

CIVIL COVER SHEET \$≥JS 44 (Rev. 11/04) The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.) AUG 2 5 2005 PLAINTING Omis H. Clark DEFENDANTS 1. Floyd Creas Elamis H. Clark Misciplin Behaved Concepts add; to eastern district OF MO (b) County of Residence of First Listed Plaintiff 51. Louis County of Residence of First Listed Defendant (EXCEPT IN U.S. PLAINTIFF CASES) (IN U.S. PLAINTIFF CASES ONLY) 6 Albin Auc Locis MO 63114 NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE 314 426-658> LAND INVOLVED. (c) Attorney's (Firm Name, Address, and Telephone Number) Attorneys (If Known) II. BASIS OF JURISDICTION III. CITIZENSHIP OF PRINCIPAL PARTIES(Place an "X" in One Box for Plaintiff (Place an "X" in One Box Only) and One Box for Defendant) (For Diversity Cases Only) **O** 1 U.S. Government 1073 Federal Ouestion Plaintiff (U.S. Government Not a Party)  $\square$  2 U.S. Government 4 Diversity Citizen of Another State Incorporated and Principal Place of Business In Another State Defendant (Indicate Citizenship of Parties in Item III) **□** 6 □ 6 Citizen or Subject of a  $\square$  3 3 Foreign Nation Foreign Country NATURE OF SUIT (Place an "X" in One Box Only) OTHER STATUTES CONTRACT FORFETTURE/PENALTY BANKRUPTCY 🗖 110 Insurance PERSONAL INJURY PERSONAL INJURY 422 Appeal 28 USC 158 400 State Reapportionment 610 Agriculture ☐ 120 Marine 410 Antitrust 310 Airplanc 362 Personal Injury -620 Other Food & Drug 423 Withdrawai 315 Airplane Product 130 Miller Act Med. Malpractice 625 Drug Related Seizure 28 USC 157 430 Banks and Banking ☐ 140 Negotiable Instrument Liability 365 Personal Injury of Property 21 USC 881 ☐ 450 Commerce ☐ 150 Recovery of Overpayment 320 Assault, Libel & Product Liability PROPERTY RICHTS 460 Deportation 630 Liquor Laws Ö & Enforcement of Judgmen Stander 368 Asbestos Personal 640 R.R. & Truck ☐ 470 Racketeer Influenced and 820 Copyrights 330 Federal Employers ☐ 151 Medicare Act **Injury Product** 650 Airline Regs. 3830 Patent Corrupt Organizations 3 152 Recovery of Defaulted Liability Liability a. 660 Occupational ☐ 840 Trademark 480 Consumer Credit Studeni Loans PERSONAL PROPERTY Safety/Health 490 Cable/Sat TV 340 Marine (Excl. V :terans) 810 Selective Service 345 Marine Product 690 Other 370 Other Fraud 153 Recovery of Overpayment Liability 850 Securities/Commodities/ 371 Truth in Lending LABOR SOCIAL SECURITY of Veteran's Benefits 350 Motor Vehicle 380 Other Personal ☐ 861 HIA (1395ff) Exchange 710 Fair Labor Standards 160 Stockholders' Suits 355 Motor Vehicle ☐ 862 Black Lung (923) 875 Customer Challenge Property Damage Act 720 Labor/Mgmt. Relations ☐ 190 Other Contract Product Liability ☐ 863 DIWC/DIWW (405(g)) 12 USC 3410 385 Property Damage 360 Other Personal ☐ 195 Contract Product Liability **Product Liability** ₽ 1 864 SSID Title XVI 890 Other Statutory Actions 730 Labor/Mgmt.Reporting B65 RSI (405(g))
FEDERAL TAX SUITS 196 Franchise & Disclosure Act ☐ 891 Agricultural Acts Injury REAL PROPERTY 740 Railway Labor Act CIVIL RIGHTS PRISONER PETITIONS ₫ 3 892 Economic Stabilization Act 441 Voting ☐ 210 Land Condemnation 510 Motions to Vacate σ 790 Other Labor Litigation 870 Taxes (U.S. Plaintiff ☐ 893 Environmental Matters 220 Foreclosure  $\Box$ 442 Employment Sentence 791 Empl. Ret. Inc. or Defendant) 894 Energy Allocation Act ☐ 230 Rent Lease & Ejectment 443 Housing/ Habeas Corpus: Security Act 371 IRS—Third Party ☐ 895 Freedom of Information 240 Torts to Land Accommodations 530 General 26 USC 7609 Act 1 245 Tort Product Liability ☐ 900Appeal of Fee Determination 444 Welfare o 535 Death Penalty 1 290 All Other Real Property 445 Amer, w/Disabilities п 540 Mandamus & Other Under Equal Access Employment a 550 Civil Rights to Justice 446 Amer, w/Disabilities o 555 Prison Condition [] 950 Constitutionality of Other State Statutes 440 Other Civil Rights **ØRIGIN** Appeal to District Judge from (Place an "X" in One Box Only) Transferred from  $\Box$  3 4 Reinstated or 5 0 7 Removed from Original Remanded from Multidistrict another district Magistrate State Court Appellate Court Reopened Judement (specify) Litigation Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): VI. CAUSE OF ACTION Brief description of cause: VII. REQUESTED IN CHECK IF THIS IS A CLASS ACTION CHECK YES only if demanded in complaint: DEMAND S UNDER F.R.C.P. 23 COMPLAINT: JURY DEMAND: Ό√Yes O No VIII. RELATED CASE(S) (See instructions): IF ANY JUDGE DOCKET NUMBER DATE SIGNATURE OF ATTORNEY OF RECORD FOR OFFICE USE ONLY

RECEIPT #

AMOUNT

APPLYING IFP

JUDGE

MAG. JUDGE

### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI FASTERN DIVISION

	LASTERN DIVISION	E, L, b, +2
JAMES H. CLARK, Pro Se, and	)	
ALTERNATIVE DISCIPLINE AN	ID )	
BEHAVIORAL CONCEPTS, LLC	£., )	
	)	
Plaintiff,	) Case No.	4:05CV1344 JCH
	)	
v.	)	
	)	
FLOYD CRUES, et al.,	)	
	)	
Defendants.	)	

#### ENTRY OF APPEARANCE

COME NOW Kenneth C. Brostron, James C. Hetlage and Lashly & Baer, P.C., and enter their appearance on behalf of Floyd Crues.

/s/ James C. Hetlage
Kenneth C. Brostron #2715
James C. Hetlage #3391
LASHLY & BAER, P.C.
714 Locust Street
St. Louis, Missouri 63101
(314) 621-2939
(314) 621-6844/Fax

Attorneys for Floyd Crues

## **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on this 4th day of May, 2006, a true and correct copy of the foregoing was mailed first class, postage prepaid to: Mr. James H. Clark, Plaintiff, Pro Se, 8276 Albin Avenue, St. Louis, MO 63114.

/s/ James C. Hetlage	/s/	James	C.	Hetlage	
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	EASTERN DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION	Exhibits
CLARK,	)	

JAMES H. CLARK,

Plaintiff,

v.

FLOYD CRUES, et al.,

Defendants.

)

Case No. 4:05-CV-1344-JCH

)

Case No. 4:05-CV-1344-JCH

)

Defendants.

# <u>DEFENDANT KENNETH BROSTRON'S ANSWERS AND OBJECTIONS TO</u> PLAINTIFF'S SECOND SET OF INTERROGATORIES

COMES NOW Defendant Kenneth Brostron and for his Answers and Objections to Plaintiff's Second Set of Interrogatories, states as follows:

#### RELEVANT TIME PERIODS

Unless otherwise noted, all relevant time periods shall be recognized after as accruing after October 1, 2006.

#### INTERROGATORIES

1. Identify the month you became aware of the Plaintiff's "Out of Area" program.

ANSWER: I have no knowledge of Plaintiff's "Out of Area" program except for what is referenced in Plaintiff's original Complaint and Amended Complaint. I first became aware that Plaintiff claimed to have created an "Out of Area" program in August 2005, when I first received a copy of Plaintiff's Complaint.

2. Identify whether or not you provided legal advice to any of the referenced Defendants to include Defendant Board of Education of the City of St. Louis regarding any potential unlawful infringement consequences surrounding Plaintiff's "Out of Area" program during the 2003-04 school year including the summer of 2004, and the 2004-05, school years.

ANSWER: No.

3. Identify which Defendants you represent in Case No. 4:05cv1344; as you referenced in your response to Plaintiff's 18<sup>th</sup> interrogatory, in this matter.

ANSWER: I, along with James C. Hetlage and Lashly & Baer, P.C., represent Defendants Board of Education of the City of St. Louis, Brostron, Warmack, Crues, Shead, Gunter and Hopper, which are all of the Defendants named in Plaintiff's Amended Complaint.

4. In your affidavit, Exhibit C, accompanied with Defendant's Responses to Plaintiff's Statement of Uncontroverted Facts, there is no signature identifying the notary public official to include the notaries expiration date of commission. Identify the proper acknowledgments required by a Notary Public for an affidavit to include the Notary Public's identity.

ANSWER: Objection. Defendant objects that this interrogatory is vague, ambiguous and not reasonably calculated to lead to the discovery of admissible evidence.

5. Identify all legal counsel(s)/entities, other than Mr. Hetlage, that you have corresponded with regarding issues surrounding the Plaintiff's Intellectual Property.

ANSWER: None to the best of my knowledge.

Case: 4:05-cv-01344-JCH Doc. #: 250 Filed: 05/04/07 Page: 11 of 11 PageID #: 2341

STATE OF MISSOURI )
CITY OF ST. LOUIS )

The undersigned, being first duly sworn, deposes and says:

That the information set forth in the foregoing Answers and Objections to Plaintiff's Second Set of Interrogatories are true to the best of my knowledge, information and belief.

Kenneth C. Brostron

Subscribed and sworn to before me this  $\frac{2qU}{d}$  day of December, 2006.

Notary Public

#2715

#3391

My Commission Expires:

CAROLE A. BOLLER
Notary Public - Notary Seal
STATE OF MISSOURI
ST. LOUIS CITY
COMMISSION # 08435733
COMMISSION EXPIRES 3/30/2010

Kenneth C. Brostron

James C. Hetlage

LASHLY & BAER, P.C.

714 Locust Street

St. Louis, Missouri 63101

(314) 621-2939

(314) 621-6844/Fax

Attorneys for Defendant

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing was served this 29<sup>14</sup> day of December, 2006 via certified mail and regular U.S. Mail to James Clark, Plaintiff, 8276 Albin, St. Louis, MO 63114.

DUI--