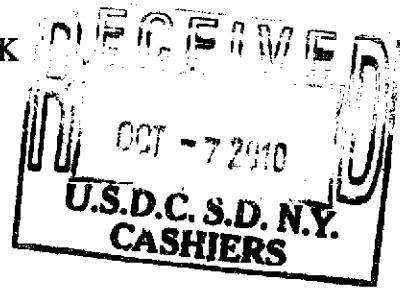


UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK



PAMLAB, L.L.C.,  
METABOLITE LABORATORIES, INC., and  
BRECKENRIDGE PHARMACEUTICAL,  
INC.,

Plaintiffs,

v.

SETON PHARMACEUTICALS, L.L.C.,

Defendant.

10 CV 7680

JURY TRIAL DEMANDED

**COMPLAINT**

Plaintiffs Pamlab, L.L.C., Metabolite Laboratories, Inc., and Breckenridge Pharmaceutical, Inc., by and through their attorneys, state as follows for their Complaint against Defendant Seton Pharmaceuticals, L.L.C.:

**The Parties**

1. Plaintiff Pamlab, L.L.C. ("Pamlab") is a limited liability company existing under the laws of the State of Louisiana, with its principal place of business at 4099 Highway 190, Covington, Louisiana, 70433.

2. Plaintiff Metabolite Laboratories, Inc. ("Metabolite") is a corporation existing under the laws of the State of Colorado, with its principal place of business at 301 Garfield Street, Unit 2-West, Denver, Colorado, 80206.

3. Breckenridge Pharmaceutical, Inc. ("Breckenridge") is a corporation existing under the laws of the State of Florida, with its principal place of business at 1141 South Rogers Circle, Suite 3, Boca Raton, Florida, 33487.

4. Defendant Seton Pharmaceuticals, L.L.C. ("Seton") is a New Jersey limited liability company with its principal place of business at the Atlantic Corporate Center, 2317 Highway 34, Suite 1E, Manasquan, New Jersey, 08736.

#### **Jurisdiction And Venue**

5. This Court has original jurisdiction over the subject matter of this lawsuit under 28 U.S.C. §§ 1331 and 1338(a), because it arises under the patent laws of the United States, as well as under 28 U.S.C. § 1331 and 15 U.S.C. § 1221(a), because it concerns violations of section 43 of the Lanham Act, 15 U.S.C. § 1125.

6. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1400 and 1391. On information and belief, Seton is subject to personal jurisdiction in this district because it markets and sells products to nationwide retail drug store chains, including those with locations within this judicial district, as well as through nationwide distributors and databases that target this judicial district.

#### **STATEMENT OF FACTS**

##### **The Research Leading to the Patent in Suit and Pamlab's Patent License**

7. Homocysteine is an amino acid and a natural byproduct of the human body's conversion of methionine into cysteine. If a body lacks the enzyme necessary to complete that conversion, or if the body lacks vitamins such as folic acid, B<sub>6</sub> and B<sub>12</sub>, the concentration of homocysteine in the blood and urine increases.

8. In recent years, researchers have identified an increased homocysteine level in the blood (hyperhomocysteinemia) as an additional and independent risk factor for arteriosclerosis and coronary heart diseases. Similarly, hyperhomocysteinemia is linked with repeatedly occurring venous thromboses and apoplexy strokes.

9. Studies have shown that a combination of vitamins B<sub>6</sub>, B<sub>12</sub>, and folic acid can lower homocysteine levels in most patients. Thus, doctors increasingly recommend that their patients with elevated homocysteine levels take supplements of vitamin B<sub>6</sub>, vitamin B<sub>12</sub>, and especially folic acid.

10. Several years ago, Plaintiff Pamlab noted the medical interest in treating elevated homocysteine levels with vitamin B<sub>12</sub>, vitamin B<sub>6</sub>, and folic acid (also known as folate), and decided to formulate a product having these vitamins in suitable quantities. During the development of this product, Pamlab discovered the groundbreaking work of two hematology professors at the University of Colorado School of Medicine, Dr. Robert H. Allen and Dr. Sally P. Stabler.

11. Drs. Allen and Stabler have devoted their careers to studying vitamin B<sub>12</sub>, vitamin B<sub>6</sub>, and folate. Their clinical work has been at the forefront of the research examining the relationship between those vitamins and homocysteine. Their studies have been widely cited and published in prestigious scientific journals such as the New England Journal of Medicine, and they have also been awarded a number of United States patents.

12. Among these is United States Patent No. 6,528,496, entitled "Compositions treating, preventing, or reducing elevated metabolic levels" ("the '496 Patent"), which was duly and legally issued to Drs. Allen and Stabler on March 4, 2003. The '496 Patent is attached as Exhibit A.

13. Dr. Allen formed Plaintiff Metabolite under the University of Colorado's guidelines. The patents and applications leading to the '496 Patent, and later the '496 Patent itself, were assigned to Metabolite, so that Metabolite is the owner of all right, title, and interest in the '496 Patent, as well as the related patents.

14. Accordingly, Pamlab approached Metabolite in 1999 and began discussions concerning a patent license for certain products. Pamlab first launched the product at issue (as discussed hereinafter) in the fall of 1999, while these discussions were in progress. Then on January 11, 2000, Pamlab entered into a license agreement with Metabolite (the "Patent License"), under which Metabolite granted Pamlab an exclusive license to certain formulations under several related patents and applications (one of which, through a subsequent continuation application, issued as the '496 Patent). Moreover, under the Patent License (as amended), Pamlab has the right to enforce the '496 Patent.

**Pamlab's Licensed Product Foltx®**

15. Pursuant to the Patent License, Pamlab manufactures and sells a product with the trademarked name of "Foltx®." Pamlab pays Metabolite a royalty based on the value of the sales of Foltx®.

16. Foltx® is marketed to licensed physicians and other healthcare professionals.

17. Foltx® contains three active ingredients, namely vitamin B<sub>12</sub>, vitamin B<sub>6</sub>, and folic acid. When Foltx® was first marketed by Pamlab in October, 1999, it contained 1 mg. of vitamin B<sub>12</sub>, 25 mg. of vitamin B<sub>6</sub>, and 2.5 mg. of folic acid (the "1 mg. Foltx®"). Beginning in June, 2004, Pamlab introduced Foltx® containing 2 mg. of vitamin B<sub>12</sub> instead of 1 mg. (the "2 mg. Foltx®"), and discontinued sales of the 1 mg. Foltx®.

18. After Pamlab launched Foltx® in October, 1999, the market for this product grew steadily as physicians increasingly recognized the relationship between elevated homocysteine and vitamin B<sub>12</sub>, vitamin B<sub>6</sub>, and folate.

19. Much of this recognition is attributable to the huge investment in education that Pamlab has undertaken. Pamlab has spent millions of dollars calling on tens of thousands of physicians through Pamlab's sales force, providing millions of product samples, publishing articles and advertisements in medical journals, and funding additional clinical studies.

20. Pamlab markets Foltx® to physicians as a medical food product intended for the specific dietary management of individuals under a physician's treatment for hyperhomocysteinemia, with particular emphasis on individuals with or at risk for atherosclerotic vascular disease in the coronary, peripheral, or cerebral vessels, or individuals with vitamin B<sub>12</sub> deficiency.

**Breckenridge's Patent Sublicense and Its Licensed Folic Acid Products**

21. In 2007, Breckenridge entered into a patent sublicense with Pamlab under a number of the Metabolite patents, with the express consent of Metabolite.

22. Under the patent sublicense, Breckenridge now markets the only licensed generic versions of both the 1 mg. Foltx® and the 2 mg. Foltx®. Breckenridge markets a product containing 1 mg. of vitamin B<sub>12</sub>, 25 mg. of vitamin B<sub>6</sub>, and 2.5 mg. of folic acid as "Folbee®", and a product containing 2 mg. of vitamin B<sub>12</sub> 25 mg. of vitamin B<sub>6</sub>, and 2.5 mg. of folic acid as "Folbic™".

23. Breckenridge pays a royalty to Pamlab pursuant to the sublicense, which in turn pays a royalty to Metabolite.

**Seton's Folic Acid Product**

24. Upon information and belief, Seton has had manufactured, for sale in the United States, a product which Seton represents to contain 2 mg. of vitamin B<sub>12</sub>, 25 mg. of vitamin B<sub>6</sub>, and 2.5 mg. folic acid ("Seton's Folic Acid Product"), the same active ingredients as 2 mg. Foltx® and Folbic™.

25. Upon information and belief, Seton has offered, or intends in the near future to offer, Seton's Folic Acid Product for sale in commerce in the United States.

26. Upon information and belief, in offering its Folic Acid Product for sale, Seton has represented or will represent, explicitly or implicitly, that its Folic Acid Product is substitutable for Foltx® and/or Folbic™.

27. Upon information and belief, Seton has not scientifically determined whether its Folic Acid Product is substitutable for Foltx® and/or Folbic™.

**COUNT I**  
**Patent Infringement**

28. Plaintiffs incorporate the allegations of the preceding paragraphs as though fully set forth herein.

29. By manufacturing, selling, and/or offering to sell its Folic Acid Product, Seton has infringed and continues to infringe the '496 Patent under 35 U.S.C. section 271(a), and/or by having its Folic Acid Product manufactured to contain the active ingredients as specified above, with both knowledge and intent that its Folic Acid Product would infringe the '496 Patent, Seton has induced infringement of and/or contributed to the infringement of the '496 Patent under 35 U.S.C. section 271 (b) and/or (c).

30. Plaintiffs have been injured thereby, in an amount to be determined at trial.

31. Upon information and belief, the infringement of the '496 Patent by Seton is willful.

32. Upon information and belief, Seton will continue its infringement of the '496 Patent unless its acts of infringement are restrained and enjoined by this Court. Should Seton be permitted to continue its acts of infringement of the '496 Patent, Plaintiffs will suffer irreparable injury for which they have no adequate remedy at law.

**COUNT II**  
**Violation Of The Lanham Act**

33. Plaintiffs incorporate the allegations of the preceding paragraphs as though fully set forth herein.

34. In the alternative, if Seton's Folic Acid Product does not infringe the '496 Patent, then Seton has misrepresented, or intends to misrepresent, the active ingredients contained in this product, which constitutes false and/or misleading descriptions and representations of fact that misrepresent the nature, characteristics, and/or qualities of Seton's Folic Acid Product, and otherwise constitutes false advertising under section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a).

35. In addition, upon information and belief, because Seton has not scientifically determined whether Seton's Folic Acid Product is substitutable for Foltx® and/or Folbic™, the explicit or implied representations by Seton, in commerce, that its Folic Acid Product is substitutable for Foltx® and/or Folbic™ are false and/or misleading descriptions and representations of fact that misrepresent the nature, characteristics, and/or qualities of Seton's Folic Acid Product, and otherwise constitute false advertising in violation of section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a).

36. Plaintiffs have been and/or will be injured thereby, in an amount to be determined at trial.

37. Upon information and belief, Seton will continue its violation of the Lanham Act unless such violations thereof are restrained and enjoined by this Court. Should Seton be permitted to continue its false and misleading descriptions and representations of fact and false advertising, Plaintiffs will suffer irreparable injury for which they have no adequate remedy at law.

**WHEREFORE**, Plaintiffs request that the Court:

- (a) Preliminarily and permanently enjoin Seton, its officers, directors, employees, partners, agents, licensees, servants, successors and assigns, and any and all persons acting in privity or concert with them, from making, having made, using, offering to sell, or selling Seton's Folic Acid Product;
- (b) Enter judgment against Seton for compensatory damages by reason of its infringement of the '496 Patent, as determined at trial, but not less than a reasonable royalty, in an amount to be determined at trial;
- (c) Determine that such infringement was willful, and award treble damages to Plaintiffs by reason thereof;
- (d) Declare this case to be "exceptional" within the meaning of 35 U.S.C. § 285, entitling Plaintiffs to an award of their reasonable attorneys fees, expenses and costs of this action;
- (e) Preliminarily and permanently enjoin Seton, its officers, directors, employees, partners, agents, licensees, servants, successors and assigns, and any and all persons acting in

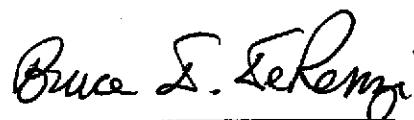
privity or concert with them, from representing that Seton's Folic Acid Product is substitutable for Foltx® and/or Folbic™;

- (f) Enter judgment against Seton for compensatory damages by reason of its violation of the Lanham Act, as determined at trial, in an amount to be determined at trial; and
- (g) Enter an Order granting Plaintiffs such other and additional relief against Seton as may be just and proper in the circumstances.

**DEMAND FOR TRIAL BY JURY**

Pursuant to Rule 38(b) of the Federal Rules of Civil Procedure, Plaintiffs demand a trial by jury of all issues properly triable to a jury in this case.

Dated: October 7, 2010



Bruce D. DeRenzi  
bderenzi@crowell.com  
CROWELL & MORING LLP  
590 Madison Avenue  
New York, NY 10022-2524  
Tel.: (212) 223-4000  
Fax: (212) 223-4134

C. Randolph Ross  
rross@bprix.com  
BRECKENRIDGE PHARMACEUTICAL, INC.  
60 East 42nd Street Suite 5210  
New York, NY 10165  
Tel.: (646) 448-1303  
Fax: (856) 494-1647

Attorneys for Plaintiffs  
Pamlab, L.L.C.,  
Metabolite Laboratories, Inc., and  
Breckenridge Pharmaceutical, Inc.

# **EXHIBIT A**

## (12) United States Patent

Allen et al.

(10) Patent No.: US 6,528,496 B1

(45) Date of Patent: Mar. 4, 2003

## (54) COMPOSITIONS TREATING, PREVENTING OR REDUCING ELEVATED METABOLIC LEVELS

(76) Inventors: Robert H. Allen, 301 Garfield St., Unit 2-West, Denver, CO (US) 80206; Sally P. Stabler, 641 Milwaukee St., Denver, CO (US) 80206

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 09/793,214

(22) Filed: Feb. 26, 2001

## Related U.S. Application Data

(60) Continuation of application No. 09/273,754, filed on Mar. 22, 1999, now Pat. No. 6,297,224, which is a continuation of application No. 09/012,955, filed on Jan. 26, 1998, now Pat. No. 6,207,651, which is a continuation of application No. 08/693,515, filed on Aug. 2, 1996, now Pat. No. 5,795,873, which is a division of application No. 07/999,499, filed on Dec. 29, 1992, now Pat. No. 5,563,126.

(51) Int. Cl. 7 ..... A61N 43/04; A61N 43/58; A61N 43/60; A61N 43/40; A61K 31/70; A61K 31/495; A61K 31/50; A61K 31/44

(52) U.S. Cl. ..... 514/52; 514/249; 514/345

(58) Field of Search ..... 514/52, 249, 345, 514/251, 276, 335, 474, 563, 642, 745; 424/439, 557, 558, 643; 536/26.4; 435/88; 426/648

## (56) References Cited

## U.S. PATENT DOCUMENTS

4,940,658 A	7/1990	Allen
4,945,063 A	7/1990	Jansen, Jr.
5,374,560 A	12/1994	Allen

## OTHER PUBLICATIONS

- Mangiariotti et al., "Hypervitaminosis B12 in maintenance hemodialysis patients receiving massive supplementation of vitamin B12," *The International Journal of Artificial Organs*, vol. 9(6), pp. 417-420 (1986).\*
- Rosenblatt et al., 1987, *Amer. J. Med. Gen.* 26:377-383.
- Brattström et al., 1990, *Atheroscler.* 81:51-60.\*
- Stabler et al., 1990, *Blood* 76:871-881.
- Lee, Richard, M.D. "Megaloblastic and Nonmegaloblastic Macrocytic Anemias," *Wintrobe's Clinical Hematology*, Lea & Febiger, Ninth Edition, vol. 1, pp. 745-790, 1993.
- Peclet, Liberto, "Anemias and Other Red Cell Disorders: Textbook of Primary Care Medicine," Mosby-Yearbook, Inc., St. Louis, MO 2nd Ed., pp 722-734, 1996.
- Ueland, P.M. et al. "Plasma Homocysteine and Cardiovascular Disease." *Arterosclerotic Cardiovascular Disease, Hemostatic, and Endothelial Function*, University of Bergen, vol. 8, pp. 183-234, 1992.
- Ueland, P.M. et al. "Review Article: Plasma homocysteine, a risk factor for vascular disease: plasma levels in health

disease and drug therapy." *The Clinical Pharmacology Unit, Department of Pharmacology and Toxicology, University of Bergen, Bergen, Norway*, pp 473-501, 1989.

Graham, Ian M., et al., "Plasma Homocysteine as a Risk Factor for Vascular Disease." *Journal of American Medical Association*, vol. 227, No. 22, pp 1775-1781, Jun. 11, 1997.

Brattstrom, L.E., et al. "Folic acid—an innocuous means to reduce plasma homocysteine." *Scandinavian Journal Clinical Laboratory Investigation*, vol. 48, pp 215-221, 1988.

Stabler, Sally P., et al. "Elevation of Total Homocysteine in the Serum of Patients with Cobalamin or Folate Deficiency Detected by Capillary Gas Chromatography Mass Spectrometry." *The American Society for Clinical Investigation, Journal of Clinical Investigation*, vol. 81, pp 466-474, Feb. 1988.

Wilcken, David E., et al. "Homocysteine in the plasma of renal transplant recipients: effects of cofactors for methionine metabolism." *Clinical Science*, vol. 61, pp 743-749, 1981.

Wilcken, David E., et al. "Homocystinuria Due to Cystathione B-Synthase Deficiency—The Effects of Betaine Treatment in Pyridoxine-Responsive Patients." *Metabolism*, vol. 34, No. 12, pp 1115-1121, Dec. 1985.

Brattstrom, Lars E., et al. "Folic Acid Responsive Postmenopausal Homocysteinemia." *Metabolism*, vol. 34, No. 11, pp 1073-1107, Nov. 1985.

Olszewski, Andrzej J., et al. "Reduction of plasma lipid and homocysteine levels by pyridoxine, folate cobalamin, choline, riboflavin, and taurine in atherosclerosis." *Atherosclerosis*, vol. 75, pp 1-6, 1989.

(List continued on next page.)

*Primary Examiner*—James Housel

*Assistant Examiner*—Zachariah Lucas

(74) *Attorney, Agent, or Firm*—Gibson, Dunn & Crutcher LLP

## (57) ABSTRACT

A method for orally administering vitamin preparations is described which combine vitamin B<sub>12</sub> (B<sub>12</sub>, cobalamin) and folic acid (folate), with and without pyridoxine (H<sub>6</sub>), for preventing and treating elevated serum homocysteine (HC), cystathione (CT), methylmalonic acid (MMA), or 2-methylcitric acid (2-MCA) levels. These metabolites have been shown to be indicative of B<sub>12</sub> and/or folic acid deficiencies. Further, it is likely that a B<sub>6</sub> deficiency may be present with a B<sub>12</sub> or folate deficiency. The method of the invention is also for use in lowering serum HC, CT, MMA, or 2-MCA in patients with or at risk for neuropsychiatric, vascular, renal or hematologic diseases. The method of the present invention eliminates the costly and time consuming steps of distinguishing between vitamin deficiencies once a deficiency is found by measurement of serum metabolic levels. The present invention is of particular benefit to the populations at risk for elevated serum metabolic levels, such as the people over the age of 65, and populations that have or are at risk for neuropsychiatric, vascular, renal and hematologic diseases.

## OTHER PUBLICATIONS

- Amesen, Egil, et al. "Serum Total Homocysteine and Coronary Heart Disease." *International Journal of Epidemiology*, vol. 24, No. 4, pp 704-709, 1995.
- Mason, Joel B., et al. "Beyond Deficiency: New Views on the Functions and Health Effects of Vitamins." *The Annals of New York Academy of Sciences*, vol. 669, pp 197-204, 1992.
- Franken, Diana G., et al. "Treatment of Mild Hyperhomocysteinemia in Vascular Disease Patients." *Arteriosclerosis and Thrombosis*, vol. 14, No. 3, pp 465-470, Mar. 1994.
- van den Berg, Michiel, et al. "Combined vitamin B<sub>6</sub> plus folic acid therapy in young patients with arteriosclerosis and hyperhomocysteinemia." *Journal of Vascular Surgery*, vol. 20, No. 6, pp 933-940, Dec. 1994.
- "Homocysteine, Folic Acid, and the Prevention of Vascular Disease." *Nutrition Reviews*, vol. 47, No. 8, pp 247-249, Aug. 1989.
- Kang, Soo-Sang, et al., "Hyperhomocyst(e)inemia as a Risk Factor for Occlusive Vascular Disease." *Annual Review of Nutrition*, vol. 12, pp 279-298, 1992.
- Stampfer, Meir J., M.D., et al. "A Prospective Study of Plasma Homocyst(e)ine and Risk of Myocardial Infarction in US Physicians." *Journal of the American Medical Association*, vol. 268, No. 7, pp 877-881, Aug. 19, 1992.
- Stampfer, Meir J., M.D., et al. "Homocysteine and Marginal Vitamin Deficiency." *Journal of the American Medical Association*, vol. 270, No. 22, pp 2726-2727, Dec. 8, 1993.
- Chasan-Taber, Lisa, et al., "A Prospective Study of Volatile and Vitamin B<sub>6</sub> and Risk of Myocardial Infarction in US Physicians." *Journal of the American College of Nutrition*, vol. 15, No. 2, pp 136-143, 1996.
- Harpey, Jean-Paul, M.D., "Homocystinuria caused by 5, 10-methylenetetrahydrofolate reductase deficiency: A case in an infant responding to methionine, folic acid, pyridoxine, and vitamin B<sub>12</sub> therapy." *The Journal of Pediatrics*, vol. 98, No. 2, pp 275-278, Feb. 1981.
- Wilcken, David E., et al., "Folic Acid Lowers Elevated Plasma Homocysteine in Chronic Renal Insufficiency: Possible Implications for Prevention of Vascular Disease." *Metabolism*, vol. 37, No. 7, pp 697-701, Jul. 1988.
- Rosenblatt, D.S., et al. "Vitamin B<sub>12</sub> Responsive homocystinuria and Megloblastic Anemia: Heterogeneity in Methylcobalamin Deficiency." *American Journal of Medical Genetics*, vol. 26, pp 377-383, 1987.
- Brattstrom, Lars, et al. "Impaired Homocysteine Metabolism in Early Onset Cerebral and Peripheral Occlusive Arterial Disease." *Atherosclerosis*, vol. 81, pp 51-60, 1990.
- Stabler, Sally P., et al. "Clinical Spectrum and Diagnosis of Cobalamin Deficiency." *Blood*, vol. 76, No. 5, pp 871-881, Sep. 11, 1990.
- Wilcken, David E., et al. "Homocystinemia, Ischemic Heart Disease and the Carrier State for Homocystinuria." *Metabolism*, vol. 32, No. 4, pp 363-370, Apr. 1983.
- Joosten, Etienne, et al. "Metabolic evidence that deficiencies of vitamin B<sub>12</sub> (cobalamin), folate, and vitamin B<sub>6</sub> occur commonly in elderly people." *American Journal of Clinical Nutrition*, vol. 58, pp 468-476, 1993.
- Pennypacker, Leslie C., M.D., et al., "High Prevalence of Cobalamin Deficiency in Elderly Outpatients." *Journal of the American Geriatrics Society*, vol. 40, pp 1197-1204, 1992.
- Lindenbaum, John, et al., "Prevalence of cobalamin deficiency in the Framingham elderly population." *American Journal of Clinical Nutrition*, vol. 60, pp 2-11, 1994.
- Gilman, et al. "The Pharmacological Basis of Therapeutics." MacMillan Publishing, Inc., New York, NY, 6th Ed, pp 1333-1340, 1980.
- Barness, Lewis A., M.D. "Vitamin B<sub>12</sub> Deficiency with Emphasis on Methylmalonic Acid as a Diagnostic Aid." *American Journal of Clinical Nutrition*, vol. 20, No. 6, pp 573-577, 1967.

\* cited by examiner

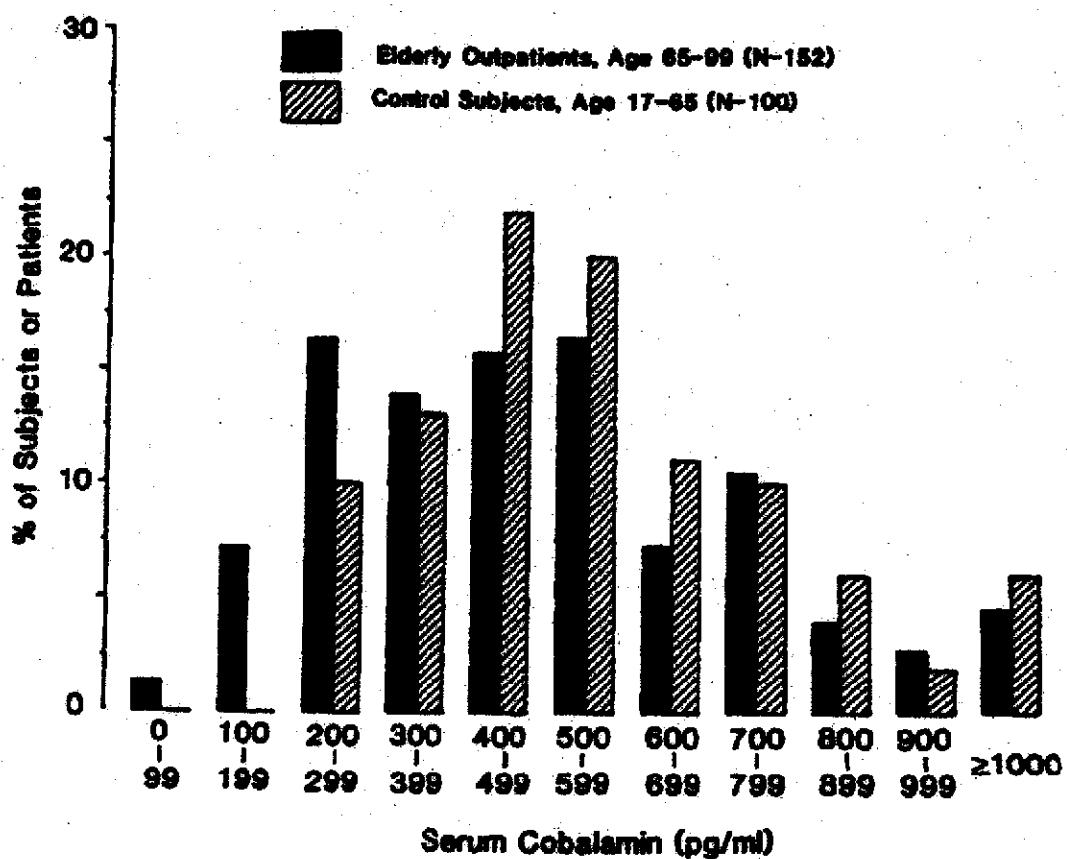


FIGURE 1

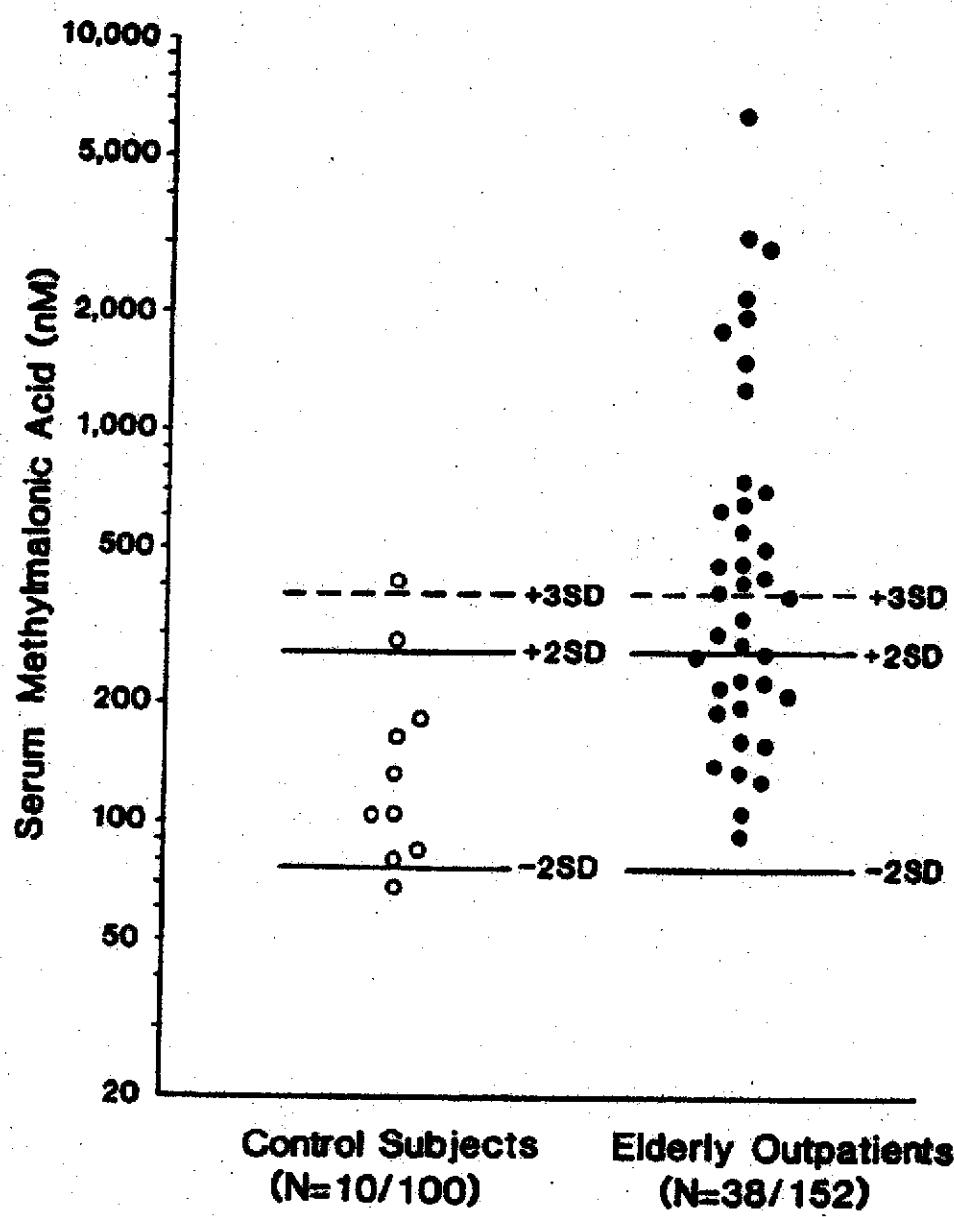
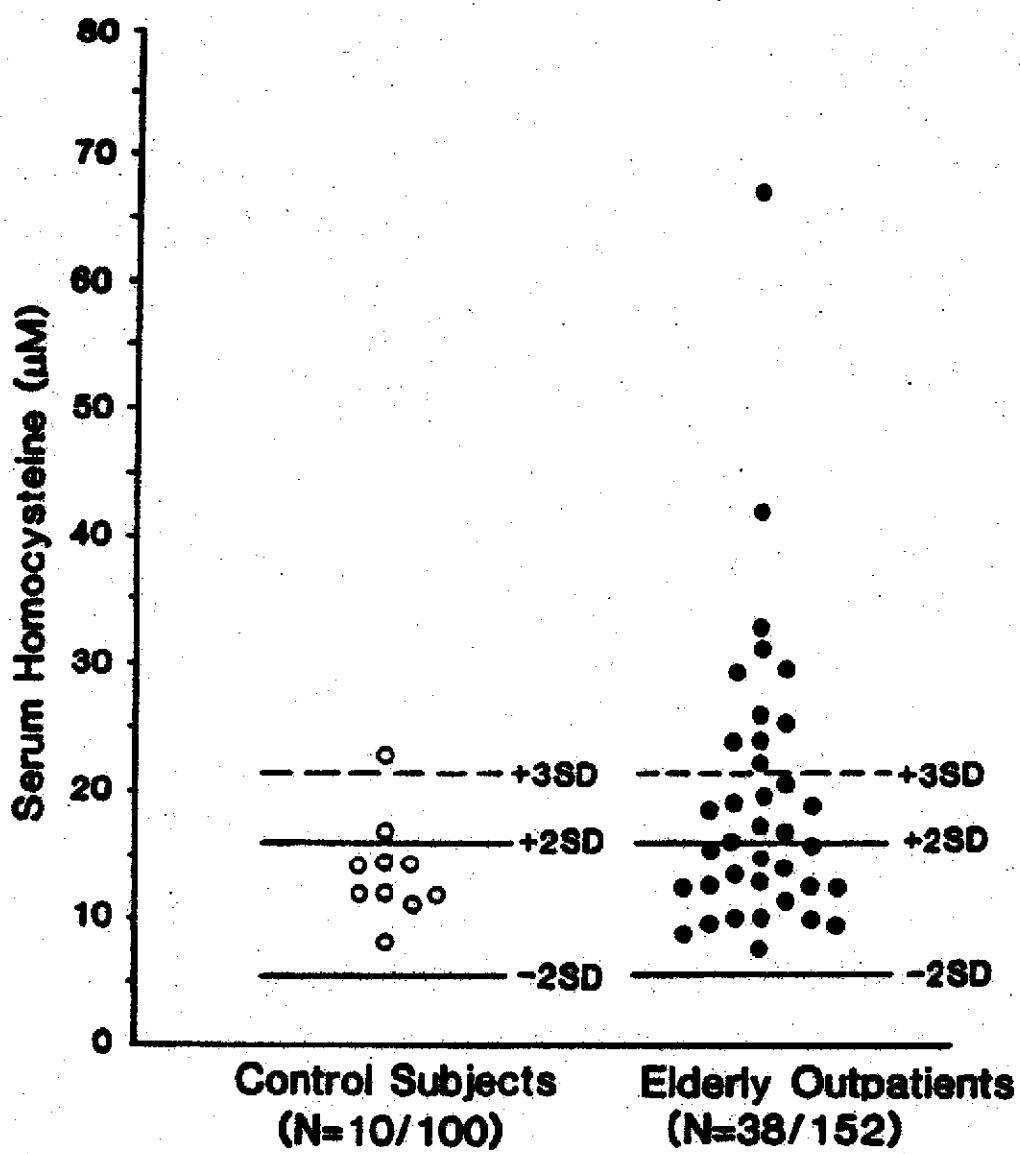


FIGURE 2

**FIGURE 3**

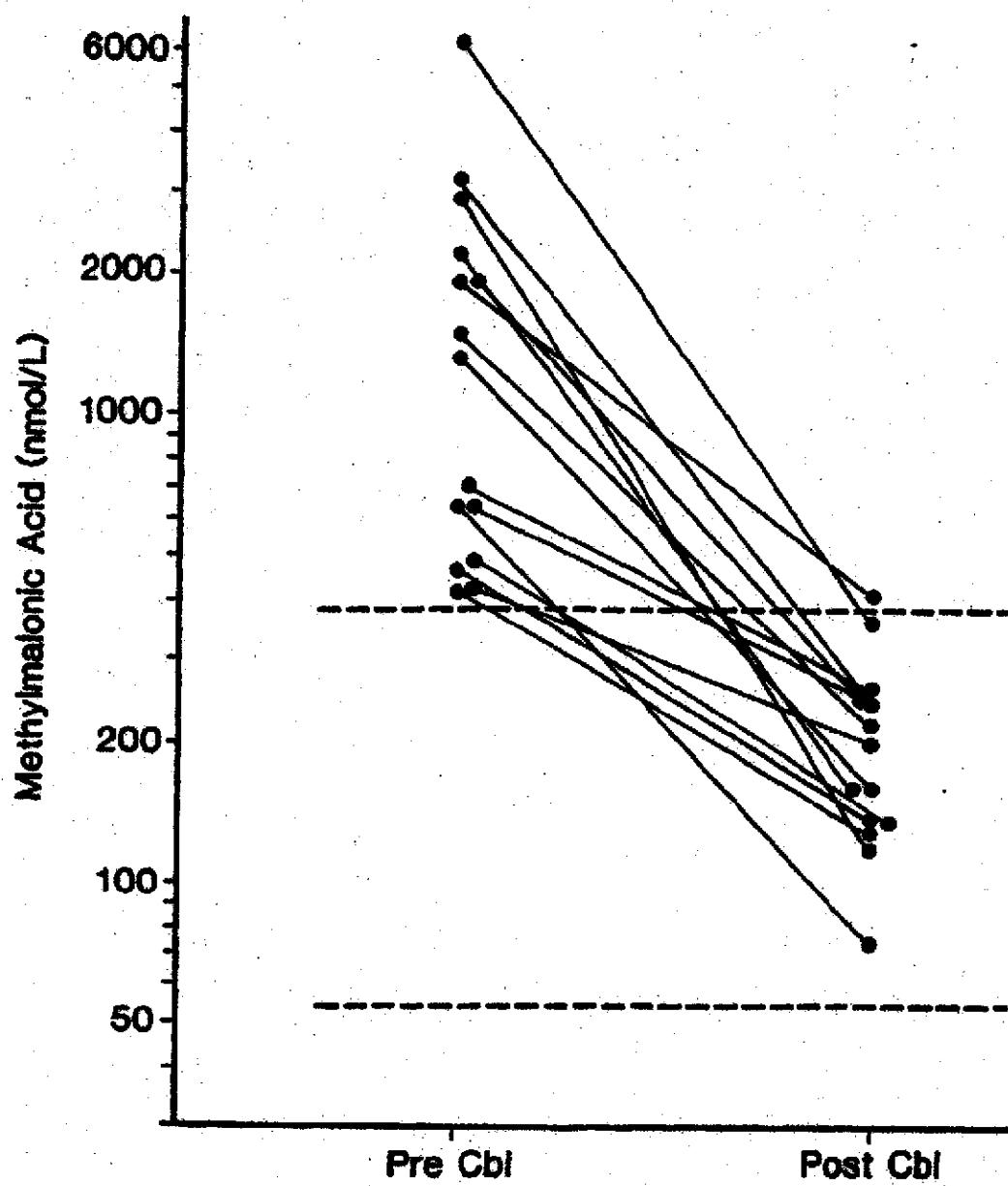


FIGURE 4

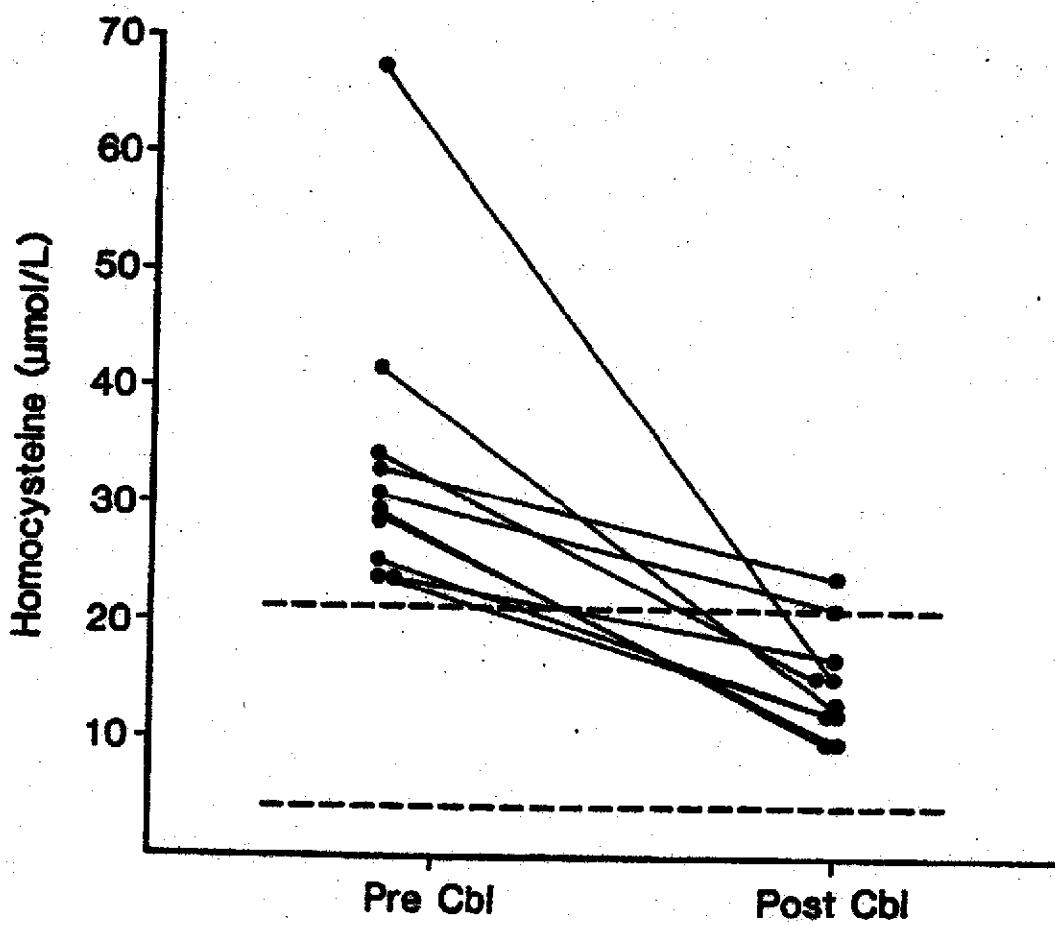


FIGURE 5

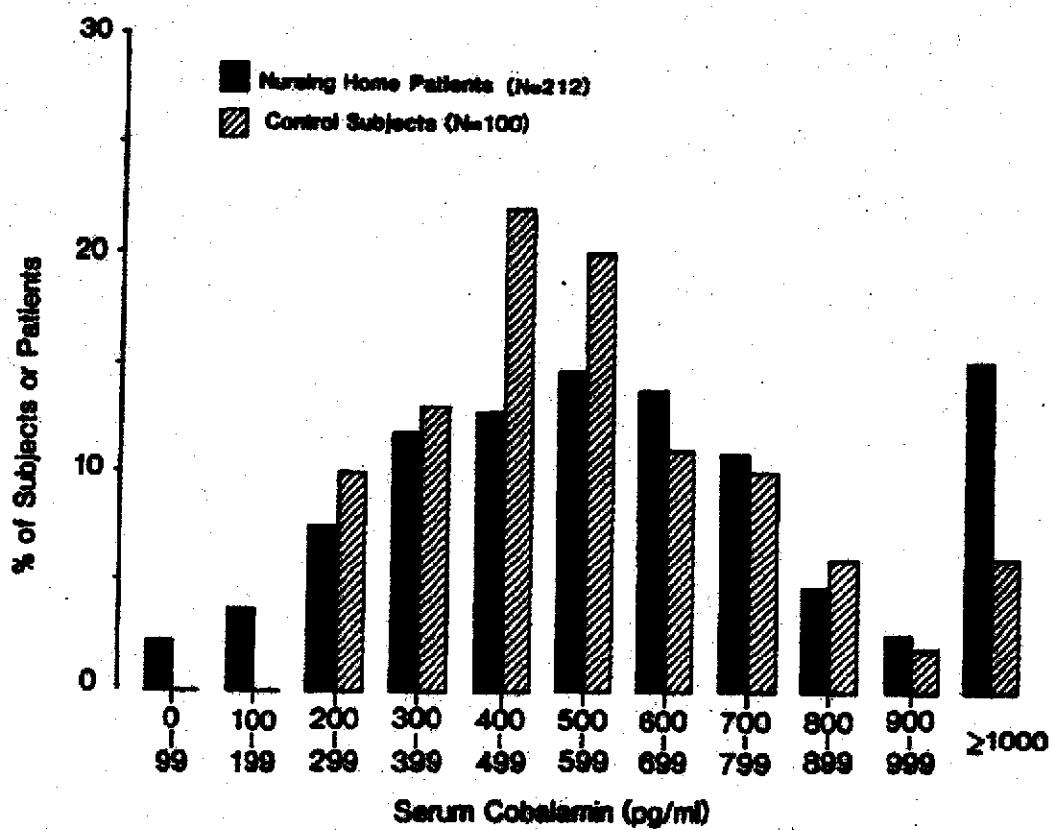


FIGURE 6

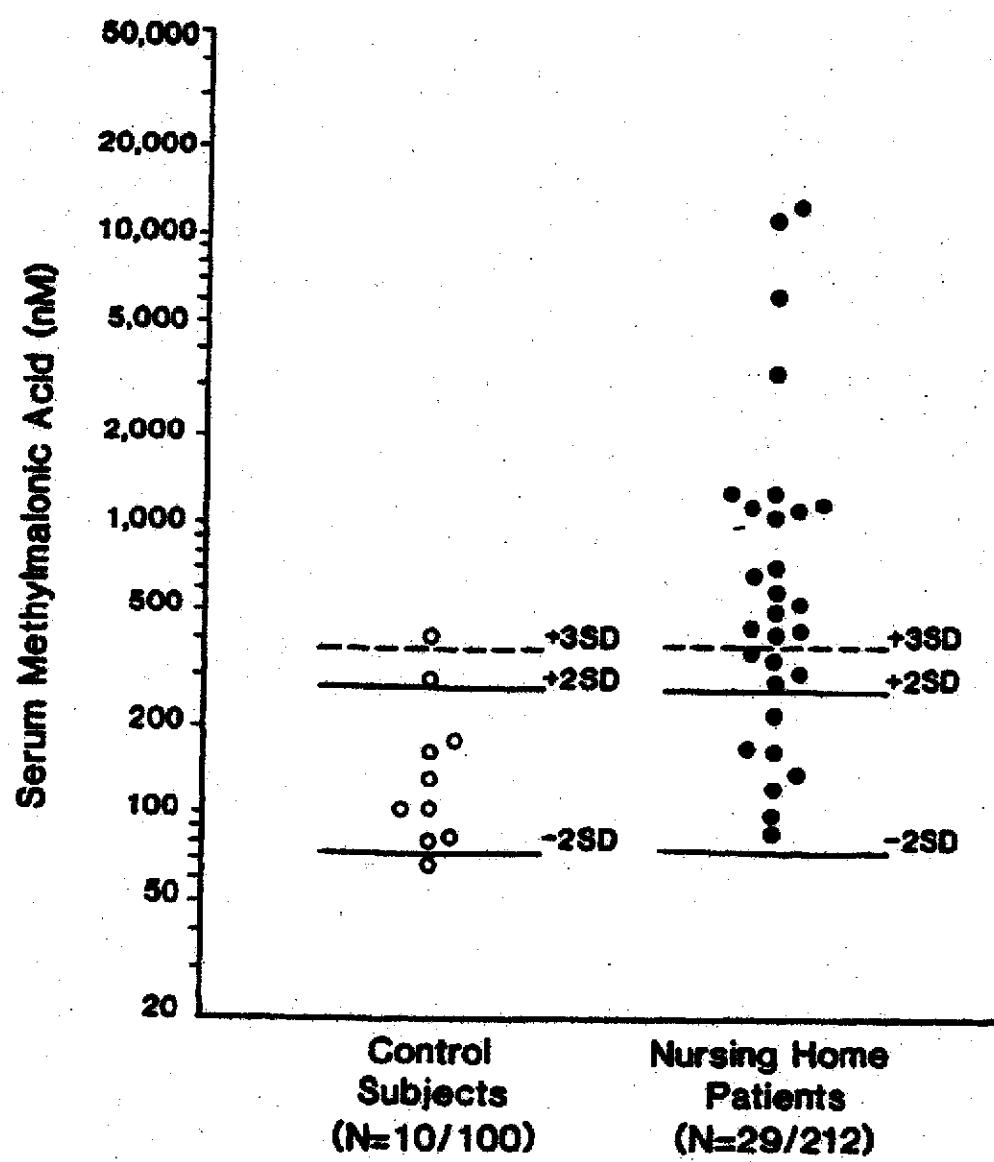


FIGURE 7

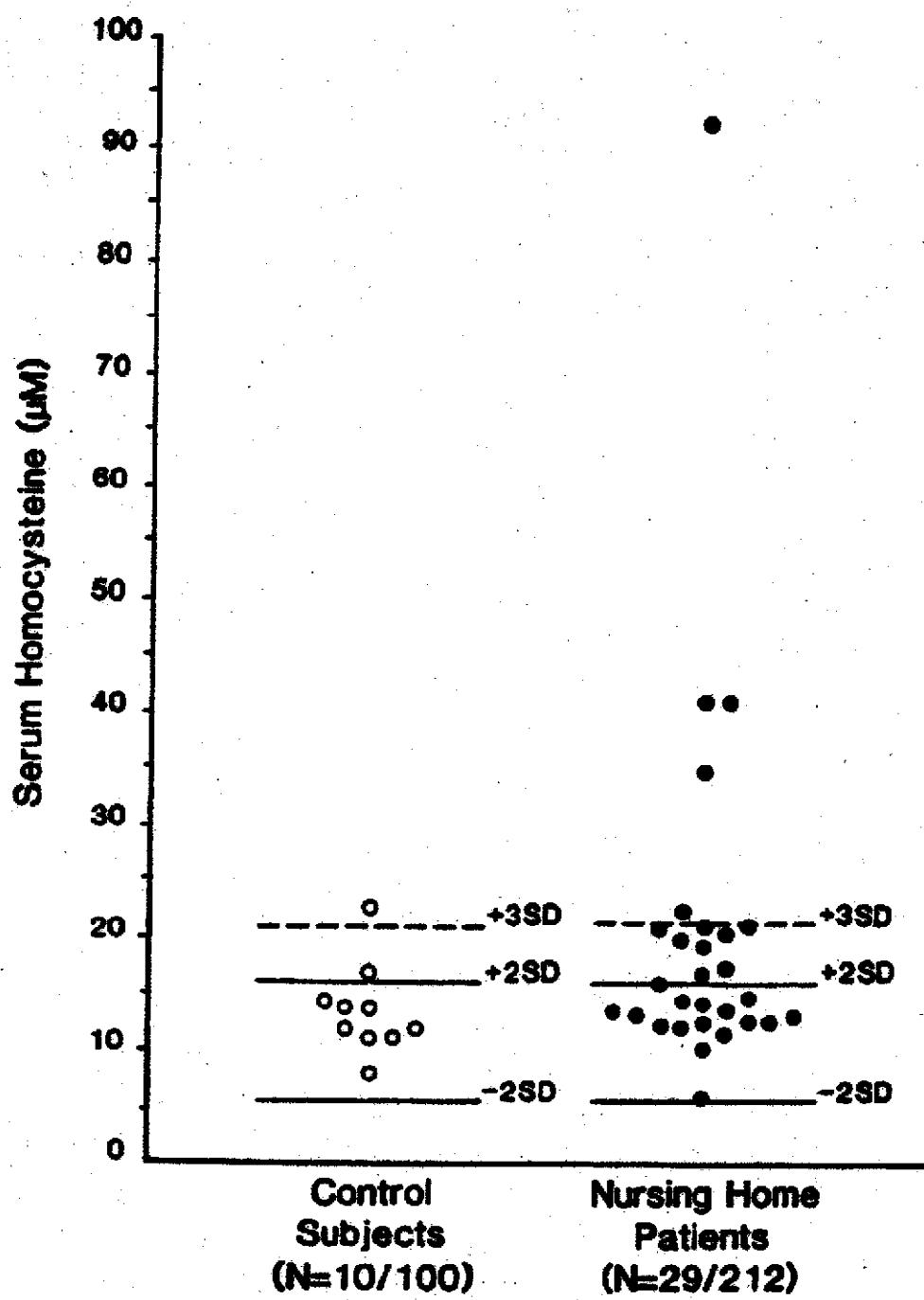


FIGURE 8

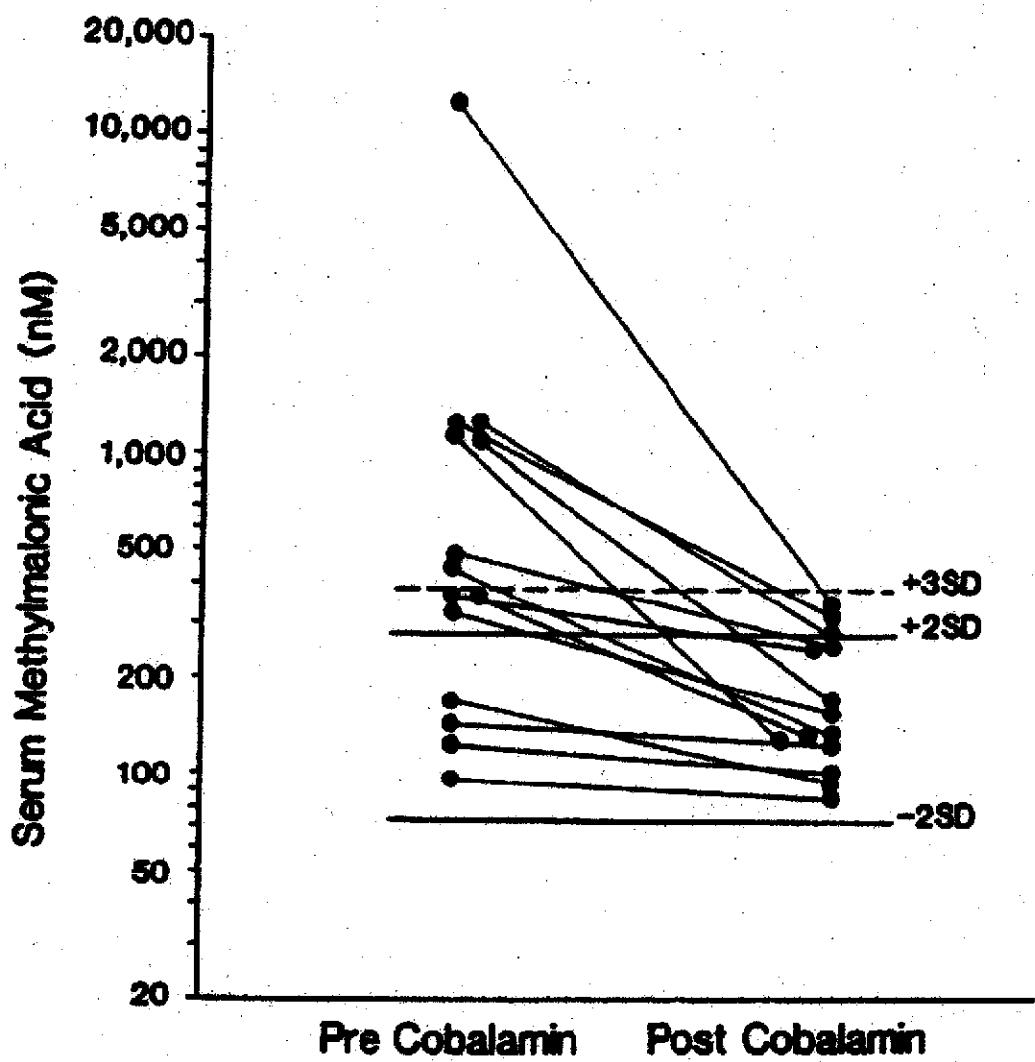


FIGURE 9

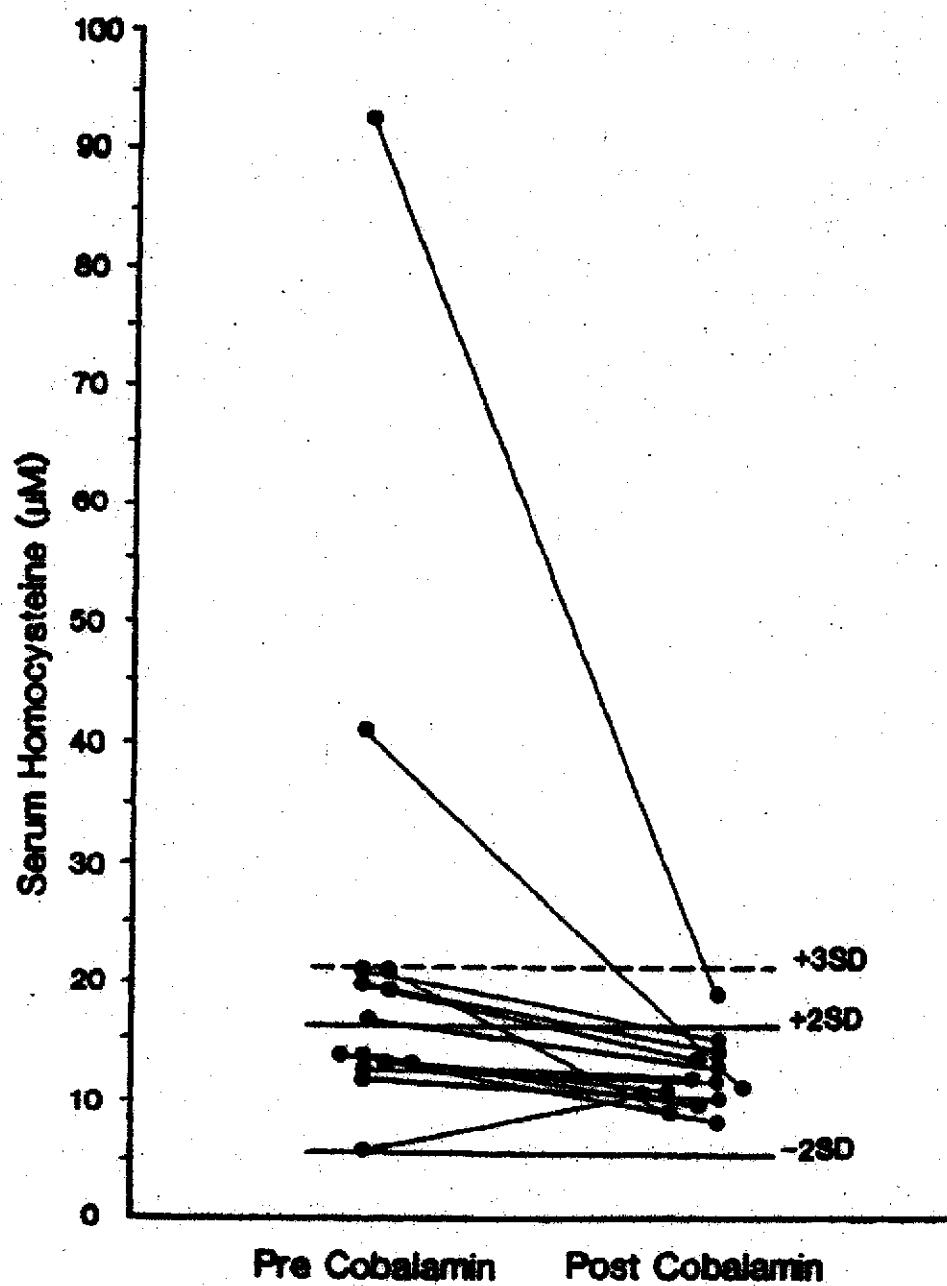


FIGURE 10

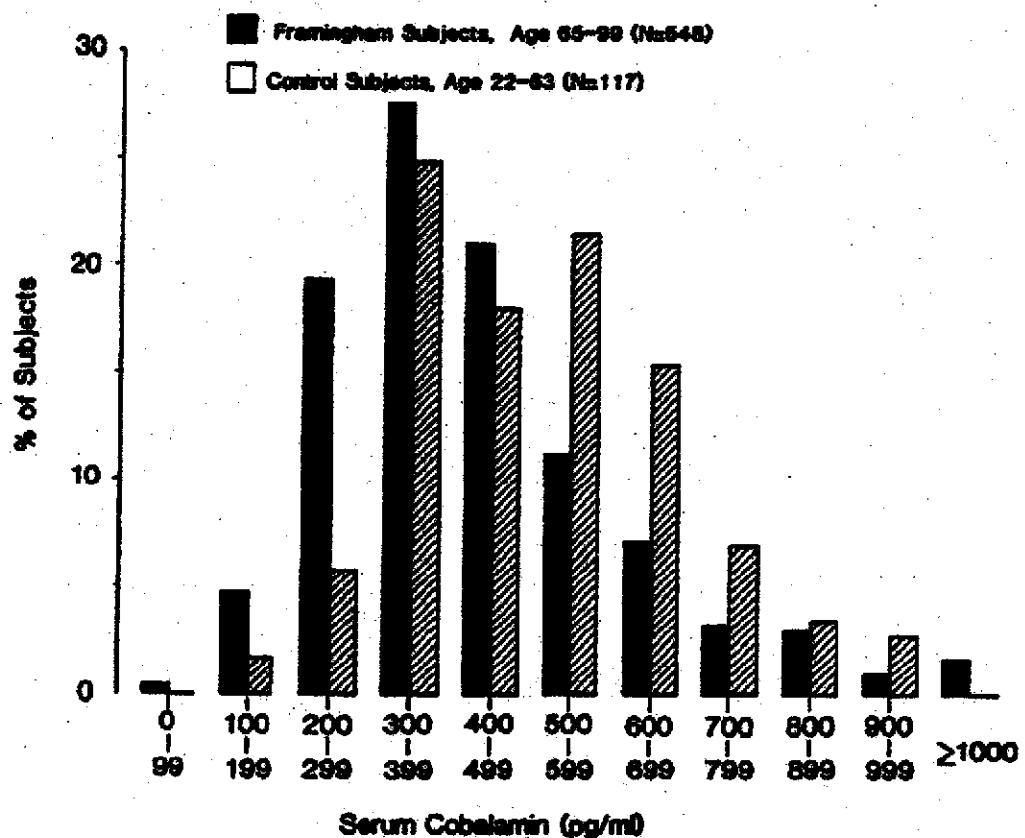


FIGURE 11

**COMPOSITIONS TREATING, PREVENTING  
OR REDUCING ELEVATED METABOLIC  
LEVELS**

This application is a continuation of application Ser. No. 09/273,754, filed Mar. 22, 1999, now issued as U.S. Pat. No. 6,297,224, which is a continuation of application Ser. No. 09/012,955 filed Jan. 26, 1998, now issued as U.S. Pat. No. 6,207,651, which is a continuation of application Ser. No. 08/693,515, filed Aug. 2, 1996, now issued as U.S. Pat. No. 5,795,873, which is a divisional of application Ser. No. 07/999,499 filed Dec. 29, 1992, now U.S. Pat. No. 5,563,126.

**FIELD OF THE INVENTION**

This invention relates to the field of nutrition. Specifically, the invention is comprised of new oral vitamin preparations combining vitamin B<sub>12</sub> (B<sub>12</sub>, cobalamin) and folic acid (folate), and vitamin B<sub>12</sub>, folate, and pyridoxine (B<sub>6</sub>) for use in patients with elevated serum metabolite levels of homocysteine (HC), cystathione (CT), methylmalonic acid (MMA), or 2-methylcitric acid (2-MCA). The elevation of these metabolites has been shown to be indicative of tissue deficiencies of B<sub>12</sub> and/or folate and/or B<sub>6</sub>, and related to increased risk of neuropsychiatric, vascular, renal and hematologic diseases. One embodiment of the present invention uses a non-prescription formulation comprising between 0.3–10.0 mg B<sub>12</sub> and 0.1–0.4 mg folate, with the preferred embodiment using 2.0 mg B<sub>12</sub> and 0.4 mg folate. Another embodiment of the non-prescription formulation uses 0.3–10 mg B<sub>12</sub>, 0.1–0.4 mg folate, and 5–75 mg B<sub>6</sub>, with the preferred embodiment using 2.0 mg B<sub>12</sub>, 0.4 mg folate, and 25 mg B<sub>6</sub>. Another embodiment of the present invention uses a prescription strength formulation comprising between 0.3–10.0 mg B<sub>12</sub> and 0.4–1.0 mg folate, with the preferred embodiment using 2 mg B<sub>12</sub> and 1.0 mg folate. In a further embodiment of the present invention, a prescription strength formulation is used comprising 0.3–10 mg B<sub>12</sub>, 0.4–1.0 mg folate, and 5–75 mg B<sub>6</sub>, with the preferred embodiment using 2 mg B<sub>12</sub>, 1.0 mg folate, and 25 mg B<sub>6</sub>. The formulations of the present invention eliminate the costly and time-consuming steps of distinguishing between vitamin deficiencies once a deficiency is found by measurement of serum metabolite levels. The present invention is of particular benefit to the populations at risk for tissue deficiencies of B<sub>12</sub>, folate, and B<sub>6</sub>, such as people over the age of 65, and populations that have or are at risk for neuropsychiatric, vascular, renal and hematologic diseases.

**BACKGROUND**

Vitamins B<sub>12</sub>, folate, and B<sub>6</sub> are required cofactors in metabolic pathways involving methionine, homocysteine, cystathione, and cysteine. B<sub>12</sub> in the form of S<sup>-</sup>deoxyadenosylcobalamin is an essential cofactor in the enzymatic conversion of methylmalonylCoA to succinyl-CoA. The remethylation of homocysteine (HC) to methionine catalyzed by methionine synthase requires folate (methyltetrahydrofolate) and B<sub>12</sub> in the form of methylcobalamin. HC is condensed with serine to form cystathione (CT) in a reaction catalyzed by cystathione  $\beta$ -synthase which requires B<sub>6</sub> (pyridoxal phosphate). CT is hydrolyzed in another B<sub>6</sub>-dependent reaction to cysteine and  $\alpha$ -ketobutyrate.

It is important to diagnose and treat B<sub>12</sub>, folate, and B<sub>6</sub> deficiencies because these deficiencies can lead to life-threatening hematologic abnormalities which are completely

reversible by proper treatment. B<sub>12</sub> deficiency is a multisystem disorder with extremely varied clinical presentation which has been thought to occur in 0.4% of the population, e.g., about 1 million people in the United States. Symptoms of B<sub>12</sub> deficiency include significant anemia, displayed for example in decreased hemoglobin (e.g., <25%) or hemoglobin (e.g.,  $\leq 8$  g %), with macrocytic red blood cells (i.e., mean cell volume generally greater than 100 fl), or neurologic symptoms of peripheral neuropathy and/or stasis. See, for example, Babior and Bunn (1983) in *Harrison's Principles of Internal Medicine*, (Petersdorf et al., eds.), McGraw-Hill Book Co., New York; Lee and Gardner (1984) in *Textbook of Family Practice*, 3rd Ed. (Rakel, ed.), Saunders & Co., Philadelphia). The hematological abnormalities seen are due to intracellular folate deficiency since folate is required for a number of essential enzymatic reactions involved in DNA and RNA synthesis and since the form of folate in serum (5-methyltetrahydrofolate) must be metabolized to tetrahydrofolate by the B<sub>12</sub>-dependent enzyme methionine synthase before it can be utilized by the RNA- and DNA-related enzymes. While it has been well recognized that individuals with B<sub>12</sub> deficiency could display neurologic disorders in the absence of anemia, such situations were believed to be exceptional and rare. See, Beck (1985) in *Cecil Textbook of Medicine*, 17th Ed., (Wyngaarden and Smith, eds.), W. B. Saunders, Philadelphia, pp. 893–900; Babior and Bunn (1987) in *Harrison's Principles of Internal Medicine*, 11th Ed., (Braunwald et al., eds.) McGraw-Hill, New York, pp. 1498–1504; Walton (1985) in *Brain's Diseases of the Nervous System*, 9th Ed., Oxford University Press, Oxford, UK. The neurologic symptoms of B<sub>12</sub> deficiency were considered to be late manifestations of the disease most typically occurring after the onset of anemia or, if they occurred first, were soon to be followed by the onset of anemia. See, Woltmann (1919) *Am. J. Med. Sci.* 157:400–409 Victor and Lear (1956) *Am. J. Med.* 20:896–911.

However, it has recently been shown that the textbook description of severe megaloblastic anemia and combined systems disease of the nervous system is the rarest presentation of B<sub>12</sub> deficiency at the present time (Stabler et al. (1990) *Blood* 76:871–881; Carmel (1988) *Arch. Int. Med.* 148:1712–1714 Allen (1991) in *Cecil Textbook of Medicine*, 19th Ed., (Wyngaarden and Smith, et al. eds.), W. B. Saunders, Philadelphia, pp. 846–854.). Therefore, contrary to previous teachings, patients that may benefit from B<sub>12</sub> therapy may have minimal to no hematologic changes while manifesting a wide variety of neurologic and psychiatric abnormalities (Lindenbaum et al. (1988) *N. Engl. J. Med.* 318:1720–1728; Greenfield and O'Flynn (1933) *Lancet* 2:62–63). This is particularly true for populations at risk for B<sub>12</sub> deficiency, such as the elderly population (Pennypacker et al. (1992) *J. Am. Geriatric Soc.* 40: (in press)).

The incidence of folate deficiency in the population is unknown, but has been thought to occur commonly in individuals with various degrees of alcoholism. The hematologic abnormalities seen with folate deficiency, such as macrocytic anemia, are indistinguishable from those seen with B<sub>12</sub> deficiency. Folate is required for a number of essential enzymatic reactions involved in DNA and RNA synthesis, and is particularly important in rapidly dividing cells like those in the bone marrow.

B<sub>6</sub> is required for the first step in heme synthesis and serves a major role in transamination reactions of amino acid metabolism, in decarboxylations, and in the synthesis of the neuroactive amines histamine, tyramine, serotonin, and  $\gamma$ -aminobutyric acid (GABA). Clinical manifestations

include microcytic hypochromic anemia, characteristic skin changes of dermatitis and acrodynia, muscular weakness, and a variety of neuropsychiatric abnormalities including hyperirritability, epileptiform convulsions, depression and confusion (Newbeme and Conner (1989) in Clinical Biochemistry of Domestic Animals, Academic Press, San Diego, pp. 796-834).

Vitamin deficiencies are generally determined by measurement of serum levels. Normal serum  $B_{12}$  levels are 200-900 pg/ml, with levels of less than 100 pg/ml being said to indicate clinically significant deficiency (Beck (1963) *supra*). However, serum  $B_{12}$  levels are a relatively insensitive determinant of  $B_{12}$  deficiency in that only 50% of patients with clinically confirmed  $B_{12}$  deficiency have levels less than 100 pg/ml, 40% are 100-200 pg/ml, and at least 5-10% have values in the 200-300 pg/ml range. Diagnosis is further complicated by the fact that 2.5% of normal subjects (6,250,000 people in the U.S.) have low serum  $B_{12}$  levels (Allen (1991) *supra*), with no evidence of  $B_{12}$  deficiency and are unlikely to benefit from  $B_{12}$  therapy (Schilling et al. (1963) Clin. Chem. 29:582; Stabler (1990) *supra*).

Normal serum folate levels are 2.5-20 ng/ml, with levels less than 2.5 ng/ml indicating the possibility of clinically significant deficiency. Like  $B_{12}$  serum levels, however, serum folate levels are a relatively insensitive measure in that only 50-75% of patients with folate deficiency have levels less than 2.5% ng/ml, with most of the remaining 25-50% being in the 2.5-5.0 ng/ml range (Allen (1991) in *Cecil Textbook of Medicine*, 19th Ed., *supra*).

The development of sensitive serum metabolite assays for HC, CT, MMA, and 2-MCA has allowed the relationship between metabolite levels and vitamin deficiencies to be investigated (Stabler et al. (1987) Anal. Biochem. 162:185-196; Stabler et al. (1986) J. Clin. Invest. 77:1606-1612; Stabler et al. (1988) J. Clin. Invest. 81:466-474). It has been found that elevated serum levels of HC and MMA are clinically useful tests of functional intracellular deficiencies of  $B_{12}$  and folate, with elevated HC levels seen with both  $B_{12}$  and folate deficiencies, and elevated MMA levels seen with a  $B_{12}$  deficiency (Allen et al. (1990) Am. J. Hematol. 34:909; Lindenbaum et al. (1990) Am. J. Hematol. 34:99-107; Lindenbaum et al. (1988) N. Engl. J. Med. 318:1720-1728; Beck (1991) in *Neuropsychiatric Consequences of Cobalamin Deficiency*, Mosby Year Book 36:33-56; Moelby et al. (1990) 228:373-378; Ueland and Refsum (1989) J. Lab. Clin. Med. 114:473-501; Pennypacker et al. (1992) *supra*). Increased serum levels of CT are seen in both deficiencies and 2-MCA is elevated in  $B_{12}$  deficiency (Allen et al. (1991) in *Proceedings of the 1st International Congress on Vitamins and Biofactors in Life Science, Kobe (Japan)*; Allen et al. (1993) *Metabolism* (in press)). HC and CT may be elevated in patients with intracellular deficiency of  $B_6$ , but this has not been as well documented (Park and Linkswiler (1970) J. Nutr. 100:110-116; Smolin and Benvange (1982) J. Nutr. 112:1264-1272).

Elevated serum metabolite levels are observed in disease states other than classic vitamin deficiencies. For example, elevated HC levels have been observed in the presence of vascular disease. The homocysteine theory of atherosclerosis, formulated by McCully and Wilson (1975) Atherosclerosis 22:215-227, suggests that high levels of HC are responsible for the vascular lesions seen in homocystinuria, a genetic defect caused by a deficiency in the enzyme cystathione  $\beta$ -synthase. The theory also implies that moderate elevations of HC might be associated with increased risk for vascular disease (Ueland et al. (1992)

in *Atherosclerotic Cardiovascular Disease, Hemostasis, and Endothelial Function* (Francis, Jr., ed.), Marcel Dekker, Inc., New York, pp. 183-236). Moderate hyperhomocysteinemia has been shown to be frequently present in cases of stroke and to be independent of other stroke risk factors (Brattstrom et al. (1992) Eur. J. Clin. Invest. 22:214-221). Clinical and experimental evidence demonstrates that patients who are homozygotes for cystathione  $\beta$ -synthase deficiency have a markedly increased incidence of vascular disease and thrombosis. A number of studies (see, Clarke et al. (1991) N. Engl. J. Med. 324:1149-1155) strongly suggest that heterozygotes for a deficiency of cystathione  $\beta$ -synthase also have an increased incidence of vascular disease and thrombosis and that such heterozygotes may constitute as many as one-third of all patients who develop strokes, heart attacks, or peripheral vascular disease under age 50. It is also likely that such heterozygotes are also at increased risk for vascular disease and thrombosis after age 50. Since the incidence of heterozygosity for cystathione  $\beta$ -synthase deficiency is estimated to be 1 in 60-70, this means that there are approximately 4 million heterozygotes in the U.S. It is also possible that patients with vascular disease due to other causes, such as hypercholesterolemia, would also benefit from a decrease in their serum HC levels even if their existing levels are only slightly elevated or actually within the normal range.

Renal disease is another condition that gives rise to elevated levels of serum metabolites. Approximately 75% of patients with renal disease have elevated serum concentrations of HC, CT, MMA, and 2-MCA. Since patients with renal disease have a significant incidence and marked acceleration of vascular disease, it might be beneficial to lower their serum metabolite levels, especially that of HC.

An increasing prevalence of low serum  $B_{12}$  concentrations with advancing age has been found by many but not all investigators (Bailey et al. (1980) J. Am. Geriatr. Soc. 28:276-278; Eishorg et al. (1976) Acta Med. Scand. 200:309-314; Nilsson-Ehle et al. (1989) Dig. Dis. Sci. 34:716-723; Norman (1985) 33:374; Hitzhusen et al. (1986) Am. J. Clin. Pathol. 85:3236), folate (Magnus et al. (1982) Scan. J. Haematol. 28:360-366; Bhandell et al. (1985) J. Clin. Pathol. 38:1179-1184; Ellwood et al. (1971) Br. J. Haematol. 21:557-563; Garry et al. (1984) J. Am. Geriatr. Soc. 32:71926; Hanger et al. (1991) J. Am. Geriatr. Soc. 39:1155-1159), and  $B_6$  (Ranki et al. (1960) J. Gerontol. 15:41-44; Rose et al. (1976) Am. J. Clin. Nutr. 29:847-853; Baker et al. (1979) J. Am. Geriatr. Soc. 27:444450). Moreover, prevalence estimates for these vitamin deficiencies vary widely depending on the population groups studied. It has been unclear whether this increased prevalence is a normal age related phenomena or a true reflection of tissue vitamin deficiency and whether the low serum vitamin concentrations are a reliable indicator of functional intracellular deficiency.

It is difficult, expensive and time-consuming to distinguish between deficiencies of vitamins  $B_{12}$ , folate, and  $B_6$ . The hematologic abnormalities seen with  $B_{12}$  deficiency are indistinguishable from those seen with folate deficiency. Similarly to a  $B_{12}$  deficiency,  $B_6$  deficiencies also result in hematologic as well as neuropsychiatric abnormalities. The traditional methods of determining deficiencies by measurement of serum vitamin levels are often insensitive. As a result, in order to determine if and which vitamin deficiency is present, a patient will be treated with one vitamin at a time and the response to that vitamin determined by normalization of serum vitamin levels and the correction of hematologic abnormalities. These steps are then repeated with each

vitamin. This method of treatment is both expensive and time-consuming. In the presence of multiple deficiencies, the diagnosis of vitamin deficiencies is further confused and give rise to the dangerous possibility that only one deficiency will be treated. For example, the hematologic abnormalities seen with a  $B_{12}$  deficiency will respond to treatment with folate alone. However, the neuropsychiatric abnormalities caused by the  $B_{12}$  deficiency will not be corrected and may indeed be worsened.

It has now been discovered for the first time that the prevalence of intracellular deficiencies of vitamins  $B_{12}$ , folate, and  $B_6$ , alone or in combination, is substantially higher than that previously estimated by measurement of serum vitamin concentrations. The present disclosure establishes that tissue deficiencies of one or more of the vitamins  $B_{12}$ , folate and  $B_6$ , as demonstrated by the elevated metabolic concentrations, occurs commonly in the elderly population even when serum vitamin levels are normal. Based on this new discovery, the present invention addresses the problem of distinguishing between vitamin deficiencies when low, low-normal, or normal serum vitamin concentrations are found by providing formulations for the treatment of high serum metabolites and at-risk populations for combinations of one or more tissue deficiencies of vitamins  $B_{12}$ , folate, and  $B_6$ .

Hathcock and Troendle (1991) JAMA 265:96-97, have suggested the treatment of pernicious anemia with an oral pill containing 300 to 1000  $\mu$ g or more per day of  $B_{12}$ . However, contrary to the present invention, Hathcock and Troendle teach away from combining  $B_{12}$  therapy with folate, since "if the oral cobalamin therapy should fail to maintain adequate levels, folate might provide protection against development of anemia while permitting nerve damage from cobalamin deficiency."

U.S. Pat. No. 4,945,063, issued Jul. 31, 1990 to Jansen, entitled: Safe Oral Folic-Acid-Containing Vitamin Preparation, describes a oral vitamin preparation comprising 0.1-1.0 mg  $B_{12}$  and 0.1-1.0 mg folate for the treatment or prevention of megaloblastic anemia. This formulation presents a problem in the case of a  $B_{12}$  deficient patient, in that the 0.5 mg folate may correct the hematologic abnormalities present, but the 0.5 mg  $B_{12}$  dose may be insufficient to correct a  $B_{12}$  deficiency due to inadequate intrinsic factor. By contrast, the formulation of the present invention teaches the use of the combination of  $B_{12}$  and folate, and of  $B_{12}$ , folate and  $B_6$ , sufficient to treat either single or multiple deficiencies of  $B_{12}$ , folate, and  $B_6$ . The present invention does not rely on the determination of vitamin deficiencies by the measurement of serum vitamin levels, but uses the more sensitive measurement of elevated serum metabolites of HC, CT, MMA, and 2-MCA, shown to be related to the presence of  $B_{12}$  and/or folate and/or to  $B_6$  deficiencies or to the presence of the increased risk of neuropsychiatric, vascular, renal, and hematologic diseases.

It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention as claimed.

#### SUMMARY OF THE INVENTION

This invention includes a method for orally administering two new vitamin preparations containing vitamin  $B_{12}$  and folate, and vitamin  $B_{12}$ , folate and  $B_6$ , for the treatment of patients with elevated serum metabolites, such as homocysteine, cystathione, methylmalonic acid, and 2-methylcitric acid, as well as populations at risk for tissue

deficiencies in one or more of the vitamins  $B_{12}$ , folate, and  $B_6$  or for neuropsychiatric, vascular, renal, or hematologic diseases.

One embodiment of the present invention uses an over-the-counter formulation comprised of between 0.3-10 mg CN-cobalamin ( $B_{12}$ ) and 0.1-0.4 mg folate. Another embodiment of the non-prescription formulation uses 0.3-10 mg  $B_{12}$ , 0.1-0.4 mg folate, and 5-75 mg  $B_6$ . Preferred embodiments of the over-the-counter formulation are comprised of about 2.0 mg  $B_{12}$  and 0.4 mg folate, and 2.0 mg  $B_{12}$ , 0.4 mg folate, and 25 mg  $B_6$ , respectively.

Another embodiment of the present invention uses a prescription formulation comprised of between 0.3-10 mg CN-cobalamin ( $B_{12}$ ) and 0.4-10.0 mg folate. Another embodiment of the prescription formulation of the present invention uses 0.3-10 mg  $B_{12}$ , 0.4-10.0 mg folate, and 5-75 mg  $B_6$ . Preferred embodiments of the prescription formulation use about 2.0 mg  $B_{12}$  and 1.0 mg folate, and 2.0 mg  $B_{12}$ , 1.0 mg folate, and 25 mg  $B_6$ , respectively.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the distribution of serum  $B_{12}$  levels for a population of elderly outpatients (ages 65-99, n=152) and a normal population (ages 17-65, n=100).

FIG. 2 shows serum MMA levels for a population of elderly outpatients with serum  $B_{12}$  values<300 pg/ml (ages 65-99, n=38/152) and a normal population with serum  $B_{12}$  values<300 pg/ml (ages 17-65, n=10/100).

FIG. 3 shows serum HC levels for a population of elderly outpatients with serum  $B_{12}$  values<300 pg/ml (ages 65-99, n=38/152) and a normal population with serum  $B_{12}$  values<300 pg/ml (ages 17-65, n=10/100).

FIG. 4 shows serum MMA levels before and after treatment with parenteral cobalamin for a population of elderly outpatients with elevated MMA values and serum  $B_{12}$  values<300 pg/ml (ages 65-99, n=15/38).

FIG. 5 shows serum HC levels before and after treatment with parenteral cobalamin for a population of elderly outpatients with elevated HC values and serum  $B_{12}$  values<300 pg/ml (ages 65-99, n=10/38).

FIG. 6 shows the distribution of serum  $B_{12}$  levels for a population of elderly nursing home patients (ages 55-107, n=212) and a normal population (ages 17-65, n=100).

FIG. 7 shows serum MMA levels for a population of elderly nursing home patients with serum  $B_{12}$  values<300 pg/ml (ages 55-107, n=29/212) and a normal population with serum  $B_{12}$  values (ages 17-65, n=10/100).

FIG. 8 shows serum HC levels for a population of elderly nursing home patients with serum  $B_{12}$  values<300 pg/ml (ages 55-107, n=29/212) and a normal population with serum  $B_{12}$  values<300 pg/ml (ages 17-65, n=10/100).

FIG. 9 shows serum MMA levels before and after treatment with parenteral cobalamin for a population of elderly nursing home patients with serum  $B_{12}$  values<300 pg/ml (ages 55-107, n=14/29).

FIG. 10 shows serum HC levels before and after treatment with parenteral cobalamin for a population of elderly nursing home patients with serum  $B_{12}$  values<300 pg/ml (ages 55-107, n=14/29).

FIG. 11 shows the distribution of serum  $B_{12}$  levels for a population of elderly patients (ages 65-99, n=548) and a normal population (ages 22-63, n=117) (Framingham study).

#### DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made in detail to the presently preferred embodiments of the invention, which, together

with the following examples, serve to explain the principles of the invention.

This invention uses new oral vitamin formulations combining vitamin  $B_{12}$  ( $B_{12}$ , cobalamin) and folic acid (folate), and vitamin  $B_{12}$ , folate and pyridoxine ( $B_6$ ). The formulations of the present invention are for use in the treatment of elevated serum levels of one or more of the metabolites homocysteine (HC), cystathione (CT), methylmalonic acid (MMA), or 2-methylcitric acid (2-MCA). The use of the formulations of the present invention further include as a method of lowering serum metabolic levels of one or more of HC, CT, MMA, or 2-MCA, where these metabolic levels are not elevated but the patients are at risk for or have neuropsychiatric, vascular, renal, or hematologic diseases.

One embodiment of the present invention uses a non-prescription formulation comprised of between about 0.3–10 mg CN-cobalamin ( $B_{12}$ ) and 0.1–0.4 mg folate. Another embodiment of the present invention uses a non-prescription formulation comprised of between about 0.3–10 mg  $B_{12}$ , 0.1–0.4 mg folate, and 5–75 mg  $B_6$ . Preferred embodiments of the non-prescription formulation are comprised of about 2.0 mg  $B_{12}$  and 0.4 mg folate, and 2.0 mg  $B_{12}$ , 0.4 mg folate, and 25 mg  $B_6$ , respectively.

Another embodiment of the present invention is comprised of a prescription formulation comprised of between about 0.3–10 mg  $B_{12}$  and 0.4–10.0 mg folate, with the preferred embodiment comprised of about 2.0 mg  $B_{12}$  and 1.0 mg folate. Another embodiment of the prescription strength formulation is comprised of about 0.3–10 mg  $B_{12}$ , 0.4–10.0 mg folate, and 5–75 mg  $B_6$ , with a preferred embodiment comprised of about 2.0 mg  $B_{12}$ , 1.0 mg folate, and 25 mg  $B_6$ .

The formulations of the present invention are for the treatment and prevention of elevated metabolic levels in at risk populations, such as the elderly, and people that have or are at risk for neuropsychiatric, vascular, renal and hematologic diseases. The present invention eliminates the costly and time consuming need to differentiate between  $B_{12}$ , folate, and  $B_6$  deficiencies.

The administration of a daily dose of the vitamin formulations of the present invention provides better long-term normalization of serum HC and other metabolites than prior art formulations, and eliminates the difficulty in differentiating between deficiencies of two or three of the vitamins, the difficulty in diagnosing multiple deficiencies of two or three of the vitamins, and the expense of doing so. Further, the administration of an oral preparation of  $B_{12}$  and folate, with or without  $B_6$ , is preferred over intramuscular injections for patient convenience and ease of administration.

For example, the inclusion of  $B_{12}$  will be useful as a safeguard for patients misdiagnosed folate deficient, even though they are actually  $B_{12}$  deficient, since treatment with folate alone in such patients is extremely dangerous. The danger arises from the fact that treating a  $B_{12}$  deficient patient with folate alone may reverse or prevent the hematologic abnormalities seen in  $B_{12}$  deficiency, but will not correct the neuropsychiatric abnormalities of a  $B_{12}$  deficiency and may actually precipitate them. Even in the absence of intrinsic factor, approximately 1% of a 2.0 mg oral dose of  $B_{12}$  is absorbed by diffusion. Thus, approximately 20 µg of  $B_{12}$  would be absorbed from the formulations of the present invention which would be more than adequate even in patients with pernicious anemia who have lost their intrinsic factor-facilitated absorption mechanism for  $B_{12}$ . The inclusion of folate will be of benefit since  $B_{12}$  deficiency causes a secondary intracellular deficiency of

folate. The inclusion of folate and  $B_6$  will also be of benefit in patients with mixed vitamin deficiencies.

The formulations of the present invention may be administered as a non-injectable implant or orally. Non-injectable use may be as a patch. Formulations for oral administration are preferably encapsulated. Preferably, the capsule is designed so that the formulation is released gastrically where bioavailability is maximized. Additional excipients may be included to facilitate absorption of the vitamin formulations. Diluents, flavorings, low melting point waxes, vegetable oils, lubricants, suspending agents, tablet disintegrating agents, and binders may also be employed.

Example 1 describes the methods used to measure serum vitamin and metabolic levels. Example 2 describes a new study conducted with 412 subjects over the age of 65 with a variety of medical conditions correlating the incidence of low serum vitamin levels with elevated serum metabolic levels. A study determining the incidence of undetected  $B_{12}$  deficiency and response of serum MMA and HC to  $B_{12}$  treatment in a geriatric outpatient population is described in Example 3. Example 4 describes a similar study conducted with a geriatric nursing home population, and Example 5 describes a similar study conducted with another geriatric population.

#### EXAMPLE 1

##### Methods for Measurement of Serum Vitamin and Metabolic Levels

Serum vitamin assays. Serum vitamins  $B_{12}$  and folate were measured by a quantitative radioassay method using purified intrinsic factor and purified folate binding protein. Vitamin  $B_6$  was measured by a radioenzymatic assay method wherein serum is incubated with apoenzyme tyrosine-decarboxylase,  $C_{14}$  labelled tyrosine is added to start the enzymatic reaction which is stopped with HCl. Subsequently the free  $C_{14}$ -labelled CO<sub>2</sub> is adsorbed by a KOH impregnated filtering paper. The measured  $C_{14}$  activity is directly proportional to the  $B_6$  (pyridoxal phosphate) concentration (Laboratory Biosciences, Germany).

Serum metabolic assays. Serum metabolic assays for homocysteine and methylmalonic acid were conducted by the capillary gas chromatography and mass spectrometry methods of Marcell et al. (1985) Anal. Biochem. 150:58; Stabler et al. (1987) supra, and Allen et al. (1990) Am. J. Hematol. 34:90–98. Serum cystathione levels were assayed by the method of Stabler et al. (1992) Blood (submitted). Serum 2-methylcitric acid was assayed by the method of Allen et al. (1993) Metabolism supra.

Statistical methods. Statistical analysis was done with the SAS statistical package (version 6.06). Nonparametric data for two or more groups were tested with the two sample Wilcoxon rank sum test (with Bonferroni's correction for the significance level  $\alpha$ ) and the Kruskall Wallis test. From the results of the healthy young subjects reference intervals were calculated. Since the frequency distribution of the values of each parameter were markedly abnormal they were transformed to normal distributions using log transformation. The sample prevalence  $p$  with 95% confidence intervals of low serum vitamins  $B_{12}$ , folate, and  $B_6$  concentrations was calculated as  $(pa2 p(1-p)/n)^{1/2} \times 100$  wherein  $n$  is the total sample size,  $p$  is the number of low serum vitamin concentrations/n; low serum concentrations are defined as<mean -2 S.D.

#### EXAMPLE 2

##### Incidence of Elevated MMA, 2-MCA, HC, and CT Levels in the Geriatric Population

The serum concentrations of  $B_{12}$ , folate, and  $B_6$  were measured in 412 subjects over the age of 65 (subgroups

A-D), and in 99 healthy control subjects aged 20-55 years (subgroup E). The geriatric subgroups were defined as follows: A, 110 patients with atherosclerosis; B, 98 patients with neuropsychiatric disorders; C, 102 patients with atherosclerosis and multiple diseases including rheumatoid arthritis and diabetes; D, 102 subjects who were healthy.

Venous blood was obtained from all subjects in the morning after an overnight fast. The blood was spun within one hour after collection and the serum was transported in dry ice to the central laboratory. Serum vitamins  $B_{12}$  and folate were measured as described in Example 1 with a vitamin  $B_{12}$ /folate dual RIA kit (CT301/CT302 Amersham Buchler, UK). Vitamin  $B_6$  and serum metabolites were measured as described in Example 1.

Since renal function can influence serum metabolite concentrations (Ueland and Refsum (1989) *supra* Moisly et al. (1992) Scand. J. Clin. Lab. Invest. 52:351-354), serum creatinine concentrations were measured in all subjects by the Jaffe photometric method (Laboratory Bioscience, Germany). Normal range was 62-124  $\mu\text{mol/L}$ . Creatinine clearance was calculated using the formulation of Cockcroft and Gault (1976) Nephron 16:31-41.

Normal ranges for serum vitamin and metabolic levels were determined by the mean $\pm$ 2 standard deviations after log normalization using the values from subgroup E. Results are shown in Table 1:

TABLE 1

Group	INCIDENCE OF LOW SERUM VITAMIN AND HIGH METABOLITE LEVELS IN GERIATRIC POPULATIONS A-D AND A YOUNGER HEALTHY POPULATION E						
	$B_{12}$	Folic Acid	$B_6$	MMA	2-MCA	HC	CT
A	6%	12%	48%	36%	44%	55%	64%
B	6%	19%	53%	47%	39%	59%	6%
C	3%	10%	50%	32%	45%	39%	73%
D	6%	6%	17%	26%	23%	38%	41%
E	2%	1%	1%	3%	6%	2%	4%

There was a rough correlation with low vitamin levels and elevated metabolites, but many of the patients with elevated metabolites had low normal or normal vitamin levels. Correlations between clinical abnormalities within groups A, B, and C were not present. Patients were treated with weekly injections of a multi-vitamin preparation containing 1.0 mg  $B_{12}$ , 1.1 mg folate, and 5 mg  $B_6$ , resulting in a marked lowering or normalization of elevated metabolite levels in virtually every elderly patient.

These data support the conclusions that there is an increased incidence of low levels of serum  $B_{12}$ , folate, and  $B_6$  in the geriatric population, and that serum MMA, 2-MCA, HC and CT are elevated in an even higher percentage of geriatric patients. The presence of elevated levels of one or more of the metabolites HC, CT, MMA, or 2-MCA indicate a tissue or intracellular deficiency of one or more of the vitamins  $B_{12}$ , folate and  $B_6$ . It is not possible to tell without expensive, time-consuming, and extensive testing which one vitamin or pair of vitamins, or whether all three vitamins are deficient. These observations, together with the fact that elevated metabolite levels are corrected by parenteral therapy with a combination of vitamins  $B_{12}$ , folate, and  $B_6$ , indicate that a tissue deficiency of one or more of these vitamins occurs commonly in the geriatric population and that measurement of serum vitamin levels alone is an inadequate method for identifying such deficiencies.

## EXAMPLE 3

Determination of Serum  $B_{12}$ , Folate, MMA, HC, CT and 2-MCA Levels in a Geriatric Outpatient Population

A study was conducted with 152 elderly outpatient subjects to measure the prevalence of  $B_{12}$  deficiency in geriatric

outpatients as determined by both low serum  $B_{12}$  levels and elevations of MMA and HC, and to determine the response to  $B_{12}$  treatment. Blood samples were obtained on 152 consecutive geriatric outpatients, ages 65-99. Control values were determined from 100 subjects, ages 17-65. Serum  $B_{12}$ , folate, MMA, HC, CT, and 2-MCA levels were obtained for each patient, shown in Table 2. The significance of the results marked as \*\*\* in Table 2 are as follows:  $B_{12}$  levels of <200 pg/ml; folate<3.8 ng/ml; homocysteine>16.2 nM; MMA>271 nM; CT>342 nM; and 2-MCA>228 nM. Serum MMA, HC, CT, and 2-MCA levels were measured as described in Example 1. Serum  $B_{12}$  and folate were measured as described in Example 1 using a Corning Immophase kit (CIBA-Corning, Medfield, Mass.) with the normal range defined as 200-800 pg/ml for  $B_{12}$  and 3.8 ng/ml for folate. After evaluation, patients received weekly parenteral cyanocobalamin injections (1,000 ug IM) for 8 weeks, followed by monthly injections. Repeat laboratory and clinical assessments were administered at 8 weeks and at 6 months.

Results show that 25% of the subjects had a serum  $B_{12}$  level $\leq$ 300 pg/ml and 8.5% had a low level of <200 pg/ml. FIG. 1 shows the shift seen in elderly subject towards lower serum  $B_{12}$  levels. More than half of the subjects with low or low-normal serum  $B_{12}$  levels had elevations of MMA (FIG. 2) and/or HC (FIG. 3) greater than 3 S.D. above the means in normals and representing 14.5% of the total screened population.

Patients with low and low/normal serum  $B_{12}$  levels were treated with weekly injections of 1.0 mg  $B_{12}$ . Parenteral  $B_{12}$  administration caused elevated metabolite levels to fall to or towards normal (FIGS. 4 and 5) in every subject treated with  $B_{12}$ . It appears that the true prevalence of previously unrecognized  $B_{12}$  deficiency in this elderly population was at least 14.5%.

It can be seen from the data presented in Table 2 that serum  $B_{12}$  levels are insensitive for screening  $B_{12}$  deficiencies since similar numbers of patients with low normal serum  $B_{12}$  levels of 201-300 pg/ml compared with patients with low  $B_{12}$  levels ( $\leq$ 200 pg/ml) had markedly elevated metabolites which fell with  $B_{12}$  treatment. Further, this study shows that elderly patients have a high incidence (at least 14.5%) of unrecognized  $B_{12}$  deficiency, detectable by measurement of serum HC and MMA levels in patients with serum  $B_{12}$  levels<300 pg/ml.

A further finding in this study emphasizes the need to treat elevated metabolite levels with a combination of vitamin  $B_{12}$  and folate with or without  $B_6$ . Some of the patients exhibiting elevated metabolite levels did not fully respond to  $B_{12}$  treatment. This may indicate a concomitant deficiency of folate and/or  $B_6$ . The lack of response to  $B_{12}$  treatment could result from a deficiency of one, a pair, or all three vitamins. However, it would be expensive and time-consuming to attempt to distinguish between the vitamin deficiencies.

Another, and perhaps the most important, finding in this study is the large number of patients with serum  $B_{12}>300$  pg/ml who have elevated values for one or more metabolites as indicated by a \*\*\* next to the individual values. As can readily be seen in Table 2, there are many examples of elevated value for MMA and/or 2-MCA at all levels of serum  $B_{12}$  including the mid-normal (300-600 pg/ml), the high-normal (600-800 pg/ml), and even the elevated (>800 pg/ml) ranges. The same is true for elevations of HC and CT. In some patients the serum folate is low, indicating that folate deficiency may be present, but in many cases both  $B_{12}$

and folate levels are normal.  $B_6$  levels were not performed in this study, but  $B_6$  deficiency would not be expected to cause elevations of MMA or 2-MCA. Thus in many patients it is not clear which vitamin, or pair of vitamins, or whether all three vitamins is or are deficient. One could pick a single vitamin, often at random, with which to treat a patient for several weeks or months, and then repeat measurement of metabolite levels to determine if a partial or full correction had occurred. If there was no response, one could try another vitamin, or if there was a partial response one could add a second vitamin, and then repeat metabolite measurement after several weeks or months. If there was still no response, one could try the third vitamin, or if there was a partial response, one could try a different pair of vitamins. Eventually one could determine whether an individual vitamin, a particular pair of vitamins, or all three vitamins were required to normalize or maximally reduce the metabolite levels, but it would often require months or even a year to make this determination. Such a determination would be expensive. In addition, a patient who was optimally treated with a single vitamin or pair of vitamins might subsequently develop a deficiency of one or even two of the other vitamins as evidenced by a re-elevation or increase in the levels of one or more metabolites. Therapeutic testing could be reinitiated and continued as described above, although this would also be time-consuming and expensive.

It requires less time and expense to treat patients with elevated metabolite levels with a combination of vitamin  $B_{12}$  and folate, or a combination of vitamin  $B_{12}$ , folate and vitamin  $B_6$ . The utility of the approach of the present invention is appreciated only after it is taught, for the first time in the present disclosure, that a deficiency of one or more of the three vitamins occurs commonly in the elderly population as evidenced by elevation of one or more metabolites, i.e., MMA, 2-MCA, HC and CT.

#### EXAMPLE 4

##### Determination of Serum $B_{12}$ , Folate, MMA, and HC Levels in a Geriatric Nursing Home Population

A study was conducted with 212 elderly nursing home patients to determine serum  $B_{12}$ , folate, MMA, and HC levels (Table 3). The significance of the results shown in Table 3 marked with "\*\*\*\*" are as described for Table 2 (Example 3). The control group consisted of 100 subjects between the ages of 17-65 years. As in the study described in Example 3, the elderly population exhibited a shift to lower serum  $B_{12}$  levels (FIG. 6), elevated serum MMA (FIG. 7) and HC (FIG. 8) levels. Parenteral administration of  $B_{12}$  1 mg per week for 8 weeks to those with serum  $B_{12}<300$  pg/ml caused elevated MMA (FIG. 9) and HC (FIG. 10) levels to fall to or towards normal.

As in the study reported in Example 3, a further finding in this study emphasizes the need to treat elevated metabolite levels with a combination of vitamins  $B_{12}$  and folate, with or without  $B_6$ . Some of the patients exhibiting elevated metabolite levels did not fully respond to  $B_{12}$  treatment. This may indicate a concomitant deficiency of folate and/or  $B_6$ . The lack of response to  $B_{12}$  treatment could result from a deficiency of one, a pair, or all three vitamins. However, it would be expensive and time-consuming to attempt to distinguish between the vitamin deficiencies.

Again, an important finding in this study is the large number of patients with serum  $B_{12}>300$  pg/ml who have elevated values for one or more metabolites as indicated by a "\*\*\*\*" next to the individual values. As is seen in Table 3,

there are many examples of elevated values for MMA at all levels of serum  $B_{12}$  including the mid-normal (300-600 pg/ml), the high-normal (600-800 pg/ml), and even the elevated (>800 pg/ml) ranges. The same is true for elevations of HC. In some patients the serum folate is low, indicating that folate deficiency may be present, but in many cases both  $B_{12}$  and folate levels are normal.  $B_6$  levels were not performed in this study, but  $B_6$  deficiency would not be expected to cause elevations of MMA. Thus, again it is not clear which vitamin, or pair of vitamins, or whether all three vitamins is or are deficient. One could pick a single vitamin with which to treat a patient for several weeks or months, and then repeat measurement of metabolite levels to determine if a partial or full correction had occurred. If there was no response, one could try another vitamin, or if there was a partial response one could add a second vitamin, and then repeat metabolite measurement after several weeks or months. If there was still no response, one could try the third vitamin, or if there was a partial response, one could try a different pair of vitamins. Eventually one could determine whether an individual vitamin, a particular pair of vitamins, or all three vitamins were required to normalize or maximally reduce the metabolite levels, but it would often require months or even a year to make this determination. Such a determination would be expensive. In addition, a patient who was optimally treated with a single vitamin or pair of vitamins might subsequently develop a deficiency of one or even two of the other vitamins as evidenced by a re-elevation or increase in the levels of one or more metabolites. Therapeutic testing could be reinitiated and continued as described above, although this would also be time-consuming and expensive.

It requires less time and expense to treat patients with elevated metabolite levels with a combination of vitamin  $B_{12}$  and folate, or a combination of vitamin  $B_{12}$ , folate and vitamin  $B_6$ . The utility of the approach of the present invention is appreciated only after it is taught, for the first time in the present disclosure, that a deficiency of one or more of the three vitamins occurs commonly in the elderly population as evidenced by elevation of one or more metabolites, i.e., MMA, 2-MCA, HC and CT.

#### EXAMPLE 5

##### Determination of Serum $B_{12}$ , Folate, MMA, and HC Levels in a Geriatric Population

A study was conducted with 348 elderly subjects from the Framingham study between the ages of 65-99 to determine serum  $B_{12}$ , folate, MMA, and HC levels (Table 4). The significance of the results shown in Table 4 (marked with "\*\*\*\*") are as described for Table 2 (Example 2).

As in the study described in Examples 3 and 4, the elderly population exhibited a shift to lower serum  $B_{12}$  levels (FIG. 11), and elevated serum MMA and HC levels. The elderly population also exhibited a high incidence (9.5%) of low serum folate levels (Table 4). As in the studies reported in Examples 2, 3 and 4, the incidence of tissue or intracellular vitamin deficiencies based on elevated metabolite levels was higher than that predicted from measurement of serum vitamin levels.

As in Examples 3 and 4 above, these results confirm the importance of the finding that there are a large number of patients with serum  $B_{12}>300$  pg/ml who have elevated values for one or more metabolites as indicated by a "\*\*\*\*" next to the individual values. As is seen in Table 4, there are many examples of elevated MMA values at all levels of

serum B<sub>12</sub> including the mid-normal (300–600 pg/ml), the high-normal (600–800 pg/ml), and even the elevated (>800 pg/ml) ranges. The same is true for elevations of HC. In some patients the serum folate is low, indicating that folate deficiency may be present, but in many cases both B<sub>12</sub> and folate levels are normal. B<sub>6</sub> levels were not performed in this study, but B<sub>6</sub> deficiency would not be expected to cause elevations of MMA. Thus, again it is not clear which vitamin, or pair of vitamins, or whether all three vitamins is or are deficient. One could pick a single vitamin with which to treat a patient for several weeks or months, and then repeat measurement of metabolite levels to determine if a partial or full correction had occurred. If there was no response, one could try another vitamin, or if there was a partial response one could add a second vitamin, and then repeat metabolite measurement after several weeks or months. If there was still no response, one could try the third vitamin, or if there was a partial response, one could try a different pair of vitamins. Eventually one could determine whether an individual vitamin, a particular pair of vitamins, or all three vitamins were required to normalize or maximally reduce the metabolite levels, but it would often require months or even a year to make this determination. Such a determination would be expensive. In addition, a patient who was optimally treated with a single vitamin or pair of vitamins might subsequently develop a deficiency of one or even two of the other vitamins as evidenced by a re-elevation or increase in the levels of one or more metabolites. Therapeutic testing could be reinitiated and continued as described above, although this would also be time-consuming and expensive.

It requires less time and expense to treat patients with elevated metabolite levels with a combination of vitamin B<sub>12</sub> and folate, or a combination of vitamin B<sub>12</sub>, folate and vitamin B<sub>6</sub>. The utility of the approach of the present invention is appreciated only after it is taught, for the first time in the present disclosure, that a deficiency of one or more of the three vitamins occurs commonly in the elderly population as evidenced by elevation of one or more metabolites, i.e., MMA, 2-MCA, HC and CT.

TABLE 2-continued

SERUM METABOLITE & VITAMIN LEVELS IN A GERIATRIC OUTPATIENT POPULATION							Total MC
Patient	B <sub>12</sub>	Folate	Homo-cysteine	MMA	CT	Total MC	
055	258	6.8	7.5	189	342	185	
102	259	10.9	23.9**	1894**	423**	400**	
026	260	18.5	20.4**	1949**	295	248**	
107	262	13.1	10.1	231	628**	153	
038	269	7.6	15.7	222	152	152	
140	277	4.0	29.1**	744**	602**	254**	
074	278	5.2	24.1**	699**	296	187	
002	278	14.6	14.8**	554**	259	277**	
019	282	8.5	12.4	329**	262	161	
035	287	5.8	9.8	230	390**	218	
049	290	3.9	33.0**	140	275	138	
078	290	10.9	12.5	197	240	209	
045	291	8.7	9.5	162	613**	132	
092	294	14.9	19.3**	500**	246	167	
137	297	6.8	10.1	631**	340	184	
072	298	6.7	19.7**	375**	302	246**	
149	310	8.3	16.1	314**	199	149	
047	312	4.9	15.9	277**	271	173	
060	312	9.4	8.0	100	228	203	
046	314	6.5	16.2	142	336	125	
093	318	6.4	16.5**	304**	361**	130	
014	321	14.5	10.7	275**	233	170	
188	327	7.1	17.8**	263	507**	258**	
032	340	6.6	8.6	150	133	133	
147	347	7.6	18.2**	305**	219	265**	
001	351	4.7	20.8**	199	402**	223	
090	353	4.9	20.7**	144	419**	178	
008	358	5.4	11.6	372**	529**	177	
104	360	12.7	12.1	260	89	77	
110	370	3.0**	17.1**	456**	297	150	
103	371	18.7	14.5	257	219	180	
056	373	6.5	12.4	236	415**	189	
048	374	3.6**	9.7	167	237	230**	
131	377	10.9	13.6	256	220	85	
122	378	7.6	21.9**	906**	227	196	
004	385	8.6	10.3	109	288	92	
120	390	9.8	22.9**	499**	529**	260**	
138	405	6.9	14.7	334**	238	188	
141	407	8.1	14.3	168	259	263**	
101	408	5.9	9.2	160	134	40	
145	410	3.7**	25.4**	567**	590**	349**	
027	415	11.1	10.6	169	278	164	
028	418	5.6	34.6**	608**	589**	351**	
011	420	10.6	18.8**	683**	1014**	282**	
081	421	6.6	16.5**	861**	641**	531**	
033	423	4.2	16.3**	156	194	170	
057	425	18.3	13.5	209	381**	321**	
021	427	18.9	12.1	223	524**	168	
	135	430	8.8	13.5	284**	412**	180
	097	435	15.4	10.9	353**	465**	119
	052	438	6.8	15.2	281**	372**	238**
	132	448	12.6	16.8**	1931**	394**	250**
	086	451	12.1	6.6	139	208	107
	148	458	13.9	11.4	187	322	238**
	012	466	15.3	8.3	560**	250	144
	083	466	12.0	13.7	366**	214	193
	133	470	13.8	10.8	290**	275	55
	017	475	4.0	36.6**	196	467**	220
	053	476	13.4	12.3	226	206	125
	009	482	6.5	25.3**	240	470**	214
	066	498	9.6	12.9	374**	233	92
	081	507	11.0	14.8	173	278	220
	099	507	10.4	9.6	124	233	63
	128	507	4.6	9.4	294**	324	176
	013	514	11.3	15.9	163		
	151	522	7.8	14.3	370**	324	215
	077	523	6.8	17.7**	184	210	214
	079	523	15.6	13.0	316**	223	251**
	054	524	4.9	10.0	146	230	123
	020	524	9.9	14.2	235	366**	190
	069	528	7.0	9.7	257	261	83
	083	536	4.0	22.5**	97	191	114
	084	551	14.2	12.5	166	179	131









TABLE 4-continued

SERUM METABOLITE & VITAMIN LEVELS IN A GERIATRIC POPULATION				
Patient	B <sub>12</sub>	Folate	Homocysteine	MMA
116	410	6.8	14.5	218
396	410	5.6	16.1	190
356	410	1.9**	27.6**	149
237	410	3.6**	16.6**	122
112	410	5.5	8.9	107
259	410	4.7	11.6	99
176	415	5.2	21.9**	453**
193	415	10.5	11.3	163
323	415	6.1	9.6	163
202	415	11.5	9.4	150
398	415	8.0	12.6	134
321	420	5.2	10.7	383**
142	420	29.0	8.3	234
327	420	3.2**	14.6	203
342	420	7.3	9.4	156
170	420	20.5	10.3	142
345	420	29.5	13.2	136
302	420	9.6	8.8	128
115	425	6.3	22.2**	628**
97	425	12.5	19.8**	313**
246	425	8.7	15.1	241
72	425	10.5	13.5	241
365	425	6.7	16.7**	237
139	425	12.5	10.4	224
143	425	8.1	13.5	216
426	425	19.5	14.5	201
303	425	3.0**	14.5	154
368	425	6.2	12.3	135
127	425	6.7	8.4	100
262	430	10.0	12.1	323**
270	430	4.8	12.9	293**
514	430	4.3	12.9	197
341	430	3.5**	19.9**	190
278	430	5.2	10.8	182
370	430	11.0	15.3	174
55	430	7.6	11.0	162
274	430	5.0	8.2	131
367	430	17.5	8.0	126
98	430	13.5	12.8	125
337	435	13.5	14.1	395**
309	435	8.7	12.9	349**
305	435	17.5	15.4	187
144	435	25.0	8.9	167
34	435	8.6	7.6	157
234	435	9.7	9.2	116
123	440	9.6	12.2	622**
260	440	4.8	12.4	257
250	440	7.5	12.9	248
107	440	6.3	14.7	183
300	440	6.5	7.9	123
374	445	5.4	14.0	247
372	445	11.0	11.0	181
36	445	4.0	10.0	181
271	445	7.2	10.4	124
242	445	15.5	9.6	112
264	445	6.0	10.7	100
172	450	11.5	14.9	607**
32	450	11.5	13.6	362**
346	450	13.5	15.8	330**
41	450	8.5	11.4	194
95	450	5.1	12.5	182
357	455	6.3	14.4	296**
319	455	17.0	10.2	147
308	455	15.0	9.8	131
235	455	23.0	9.0	124
349	455	9.2	8.3	82
178	460	5.6	20.6**	473**
312	460	4.7	14.4	197
79	460	5.0	10.4	173
131	460	18.0	10.2	162
243	460	2.6**	11.6	160
261	465	7.7	10.6	252
378	465	5.4	13.2	221
49	465	47.0	10.8	179

TABLE 4-continued

SERUM METABOLITE & VITAMIN LEVELS IN A GERIATRIC POPULATION				
Patient	B <sub>12</sub>	Folate	Homocysteine	MMA
226	465	7.7	10.2	173
377	465	5.6	8.5	143
253	465	10.0	7.0	138
263	470	15.0	7.6	233
296	470	23.5	11.0	161
382	470	5.3	11.1	109
6	475	10.5	12.5	232
75	475	9.4	10.0	144
290	475	14.0	9.1	143
128	475	5.9	9.3	133
124	475	6.0	13.5	111
177	475	8.8	9.1	106
126	480	11.0	11.0	212
283	480	5.2	10.6	173
209	480	10.5	10.5	175
293	480	6.8	15.3	135
121	485	4.7	20.0**	343**
282	485	12.0	10.9	236
71	485	13.5	8.1	168
385	485	9.0	14.1	128
190	495	9.9	10.4	410**
210	495	8.6	12.0	243
155	495	5.9	10.4	219
217	500	6.4	9.6	166
90	500	7.5	8.5	106
164	510	5.2	23.8**	408**
343	510	4.5	13.7	284**
42	510	4.9	7.4	233
351	510	8.5	11.0	207
299	510	12.0	8.0	104
99	520	10.5	25.8**	322**
114	520	30.0	10.9	220
369	520	29.0	16.7**	206
37	520	10.5	8.6	191
251	520	6.7	16.8**	151
403	520	7.5	12.6	148
229	520	7.9	11.0	116
135	520	3.2**	8.3	88
81	530	6.8	14.8	372**
91	530	14.5	10.6	228
167	530	23.5	9.2	176
181	530	5.5	9.3	171
56	530	20.0	8.3	163
5	530	13.5	8.1	159
180	540	12.0	9.0	216
311	540	4.1	13.3	214
389	540	3.9	13.9	169
125	540	5.5	13.0	159
35	540	22.5	11.0	123
104	550	10.5	16.5**	544**
393	550	4.9	11.9	339**
394	550	23.0	14.0	278**
292	550	6.9	16.2	263
66	550	6.7	14.3	219
29	550	17.5	9.6	191
227	550	7.9	11.7	154
36	550	7.5	11.9	152
241	550	10.5	9.8	100
102	550	9.7	8.6	91
77	560	24.0	14.8	554**
162	560	10.5	11.8	275**
273	560	8.7	9.4	180
80	560	6.3	11.2	108
255	560	8.8	9.9	93
122	570	66.0	13.8	304**

TABLE 4-continued

SERUM METABOLITE & VITAMIN LEVELS IN A GERIATRIC POPULATION				
Patient	B <sub>12</sub>	Folate	Homocysteine	MMA
208	570	34.0	10.2	255
23	570	21.5	8.3	241
447	570	25.0	10.0	164
225	570	5.7	12.2	154
174	570	7.1	11.0	127
11	570	19.0	8.9	119
165	580	10.5	14.8	226
182	580	8.9	8.2	189
245	590	15.5	10.6	262
83	590	17.5	6.3	199
166	590	11.5	9.4	188
158	590	7.3	10.7	166
187	590	4.5	11.0	146
156	590	23.5	11.3	112
231	600	9.5	9.0	192
78	600	11.5	9.4	151
329	630	15.0	7.3	312**
57	610	16.0	11.9	286**
7	610	12.0	10.4	195
277	610	9.5	7.8	153
108	620	13.5	8.4	191
205	620	18.0	7.5	145
263	620	9.8	10.2	101
9	620	4.9	11.4	300**
111	630	8.3	11.1	276**
68	630	11.5	8.9	143
399	630	14.0	11.0	90
266	640	5.1	15.7	364**
12	640	24.5	9.0	233
152	640	8.1	10.0	209
405	640	7.0	12.8	186
27	640	22.5	8.4	136
238	640	8.3	11.2	120
249	640	8.7	9.1	81
297	650	16.0	10.0	279**
192	650	4.9	14.9	213
257	650	3.3**	16.3**	208
184	650	12.5	9.9	193
58	650	18.5	10.7	172
301	650	16.0	15.5	162
397	650	12.5	8.4	146
272	650	11.0	7.4	120
153	650	7.1	13.1	116
406	650	6.6	5.8	81
10	660	9.0	7.6	154
26	660	22.0	8.3	132
265	670	3.9	19.3**	509**
359	670	21.0	8.3	269
48	670	32.0	9.9	262
335	670	11.5	8.1	121
189	680	6.6	17.9**	358**
220	680	15.5	10.9	115
15	690	13.5	13.4	159
44	700	20.0	12.7	244
21	700	13.5	10.2	129
74	700	15.0	7.1	65
4	710	29.0	8.5	266
353	710	11.5	11.4	206
281	710	10.5	9.6	185
2	710	6.0	8.5	109
212	740	20.0	11.1	250
8	740	12.0	11.5	216
206	750	12.5	8.3	116
101	770	14.5	12.7	372**
344	770	32.0	11.7	297**
20	770	35.0	10.1	245
407	770	10.5	12.0	110
360	780	2.7**	20.9**	157

TABLE 4-continued

SERUM METABOLITE & VITAMIN LEVELS IN A GERIATRIC POPULATION				
Patient	B <sub>12</sub>	Folate	Homocysteine	MMA
5	232	790	15.5	10.1
141	790	12.5	9.5	74
129	800	8.7	11.7	211
10	188	800	15.0	12.3
400	800	12.5	10.3	156
24	810	23.0	7.5	194
173	830	35.0	11.4	243
214	830	21.5	12.0	187
63	830	13.8	8.8	185
15	148	830	45.0	7.1
84	830	23.5	7.0	136
179	830	16.5	6.6	96
171	840	23.5	11.2	193
28	870	5.8	15.9	197
233	870	7.9	12.7	169
221	870	40.0	7.0	126
371	880	20.0	8.5	152
213	890	10.5	18.0**	231
358	900	21.0	8.3	149
296	910	15.5	10.2	221
118	910	100.0	9.7	170
479	930	11.5	12.1	188
30	950	6.2	10.5	170
159	1000	9.5	8.7	281**
219	1050	37.0	14.3	313**
103	1050	12.5	10.3	154
59	1150	17.5	7.3	180
157	1250	12.0	14.0	206
30	1363	28.0	10.4	190
22	1400	13.5	10.4	233
64	1400	31.0	9.7	149
169	1450	15.0	9.5	150

35 What is claimed is:

1. An oral vitamin formulation comprising approximately 2.0 mg vitamin B<sub>12</sub> and 0.4 mg folic acid.
2. The formulation of claim 1, further comprising 5-75 mg vitamin B<sub>6</sub>.
3. The formulation of claim 2, having approximately 25 mg vitamin B<sub>6</sub>.
4. An oral vitamin formulation, comprising approximately 2 mg vitamin B<sub>12</sub> and 1.0 mg folic acid.
5. The formulation of claim 4, further comprising 5-75 mg vitamin B<sub>6</sub>.
6. The formulation of claim 3, having approximately 25 mg vitamin B<sub>6</sub>.
7. An oral vitamin formulation comprising approximately 2.0 mg vitamin B<sub>12</sub> and 0.1-0.4 mg folic acid.
8. The formulation of claim 7, further comprising 5-75 mg vitamin B<sub>6</sub>.
9. The formulation of claim 8, having approximately 25 mg vitamin B<sub>6</sub>.
10. An oral vitamin formulation, comprising approximately 2 mg vitamin B<sub>12</sub>, 0.4-10.0 mg folic acid, and further comprising 5-75 mg vitamin B<sub>6</sub>.
11. The formulation of claim 10, having approximately 25 mg vitamin B<sub>6</sub>.

\* \* \* \* \*