Case 2:12-cv-03911-JS-GRB Document 1 Filed 08/07/12 Page 1 of 30 PageID #: 1

T FILED CLERK
X
: Civil Case No. U.S. DISTRICT COURT
CV 12 OF NEW CORK
SEYBERT. J

BOYIE M.J.

Plaintiff Sanford L.P. (hereinafter "Plaintiff"), through its undersigned counsel, complains of Defendant Dri Mark Products, Inc. (hereinafter "Plaintiff" or "Dri Mark" or "DriMark") as follows:

NATURE OF THE ACTION

1. This is an action for declaratory judgment that Plaintiff and its products do not infringe any claim of United States Patent No. 6,561,713 B2, entitled "Metallic Ink Composition For Wick Type Writing Instruments" (the "'713 patent"), and for declaratory judgment that the '713 patent, and each claim thereof, is invalid and unenforceable.

THE PARTIES

2. Plaintiff Sanford L.P. is an Illinois limited partnership with its principal place of business at 2707 Butterfield Road, # 130, Oak Brook, Illinois 60523. Plaintiff is a wholly-owned subsidiary of Newell Rubbermaid, Inc.

3. Upon information and belief, Defendant Dri Mark Products, Inc. is a New York corporation with its principal place of business at 15 Harbor Park Drive, Port Washington, New

ŝ.

York 11050. From time to time, Dri Mark Products, Inc. alternatively describes itself as DriMark Products, Inc.

4. Defendant asserts that it is the owner by assignment of the '713 patent.

5. A true and correct copy of the '713 patent is attached as Exhibit 1.

JURISDICTION AND VENUE

6. This action arises under the patent laws of the United States, 35 U.S.C. § 1 *et seq.*, and under the Federal Declaratory Judgment Act, 28 U.S.C. §§ 2201 and 2202. This Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.

7. This Court has personal jurisdiction over Defendant because, upon information and belief, Defendant, through its officers, agents and/or employees, transacts or is doing business in this district, including soliciting business in this district, shipping goods into this district, and deriving substantial revenue from intrastate and interstate commerce, which has an effect in this district. In addition, Defendant's principal place of business is within this district.

8. Venue is proper in this district under 28 U.S.C. §§ 1391 and/or 1400 because Defendant resides within this district and is subject to personal jurisdiction within this district.

COUNT I – DECLARATORY JUDGMENT OF NON-INFRINGEMENT

9. Plaintiff realleges and incorporates herein by reference each and every allegation contained in Paragraphs 1 through 8 above.

10. This is a claim for declaratory relief arising under 28 U.S.C. §§ 2201 and 2202 for a declaration that Plaintiff has not infringed and does not infringe in any manner any claim of the '713 patent.

11. Plaintiff offers for sale and sells Sharpie® Metallic Permanent Markers (hereinafter "Plaintiff's Products") to its customers.

12. Defendant has accused Plaintiff's Products of infringing the '713 patent in a written communication to Plaintiff's corporate parent, Newell Rubbermaid, Inc., dated July 26, 2012. Specifically, the written communication states: "Your company is infringing the '713 patent by making, using, selling, offering for sale and/or importing the following markers: *Sharpie® Metallic Permanent Marker*. DriMark demands that your company immediately cease and desist from making, using, selling, offering for sale and/or importing all configurations of the foregoing markers, whether sold separately or in multi-pack configurations." (Emphasis in original.) A copy of the July 26, 2012 written communication is attached as Exhibit 2.

13. In Defendant's July 26, 2012 written communication, Defendant threatened to file a patent infringement lawsuit in this district relating to Plaintiff's offer for sale and sale of Plaintiff's Products. Specifically, the written communication states: "If we do not hear from you by August 9, 2012, DriMark will assume that you have no interest in entering into a license. DriMark reserves all of its rights, including filing litigation in the United States District Court for the Eastern District of New York where DriMark is headquartered."

14. Plaintiff denies that Plaintiff's Products, or any other products of Plaintiff, infringe in any manner any claim of the '713 patent.

15. Because of Defendant's accusation of infringement, its demand for Plaintiff to cease its activities relating to Plaintiff's Products, and its threat of litigation, Plaintiff desires a judicial determination of its rights and duties and a declaration that Plaintiff has not infringed and does not infringe in any manner any claim of the '713 patent.

16. A judicial determination is necessary and appropriate at this time under the circumstances in order that Plaintiff may ascertain its rights and prevent threats of infringement.

17. An actual and justifiable controversy exists between Plaintiff and Defendant with respect the alleged infringement by Plaintiff of the '713 patent.

COUNT II – DECLARATORY JUDGMENT OF PATENT INVALIDITY

Plaintiff realleges and incorporates herein by reference each and every allegation
 contained in Paragraphs 1 through 17 above.

19. The '713 patent, and each claim thereof, is invalid in that the '713 patent does not comply with one or more of the statutory requirements under 35 U.S.C. § 101 *et seq.*, including but not limited to, 35 U.S.C. §§ 101, 102, 103, and/or 112.

20. A judicial determination is necessary and appropriate at this time under the circumstances with respect to the alleged validity of the '713 patent.

21. An actual and justifiable controversy exists between Plaintiff and Defendant with respect to the alleged validity of the '713 patent.

COUNT III – DECLARATORY JUDGMENT OF PATENT UNENFORCEABILITY

22. Plaintiff realleges and incorporates herein by reference each and every allegation contained in Paragraphs 1 through 21 above.

23. The '713 patent, and each claim thereof, is unenforceable due to inequitable conduct before the United States Patent & Trademark Office.

24. The '713 patent issued on May 13, 2003, from U.S. Application No. 10/121,828 (the "'828 application"). The '828 application was filed on April 11, 2002.

25. The '713 patent is related to, and claims priority to, U.S. Patent Nos. 6,402,412 B2 (the "'412 patent") and 6,224,284 B1 (the "'284 patent"). The '713 patent, '412 patent and '284 patent all share the same patent specification.

26. Defendant previously brought an action alleging infringement of the '284 patent against National Ink, Inc. and Dixon Ticonderoga Co., which action was styled *Dri Mark Products Inc. v. National Ink, Inc., et al.*, Civ. Action No. 01-cv-6541 (HB) (S.D.N.Y.) (the "Prior Action").

27. In an Opinion and Order dated April 10, 2002 (the "Order"), and subsequently affirmed by the Federal Circuit, the United States District Court for the Southern District of New York construed the claims of the '284 patent and granted the defendants' motion for summary judgment of non-infringement in the Prior Action.

28. Citing the specification and prosecution history of the '284 patent, the Order held, in pertinent part, that the use of an "anti-settling agent" was an essential component of Defendant's alleged invention.

29. The Court stated: "In short, *Dri Mark's innovation can be described as a wick type writing instrument, or marker, that uses metallic ink vis a vis, among other things, an 'anti-settling agent.*' '284 Pat. col. 1:42-44. Metallic ink, which is able to leave distinct writing on dark surfaces, shiny surfaces or glass, contains metal particles that tend to clog and settle in the interior parts of conventional markers. To accommodate this problem, metallic ink markers prior to Dri Mark's invention utilized a system of valves or pumps that required the user to shake the marker in order to disburse the settled particles. '284 Pat. col. 1:8-17. Without the shaking or the valves, the ink was traditionally unable to properly flow though the writing instrument, thereby preventing the user from writing with an even consistency. In contrast, Dri Mark's

marker requires no shaking, valves or pumps. Instead, it delivers the metallic ink via 'capillary action' that is possible, in part, because Dri Mark utilizes 'an anti-settling agent to keep aluminum flakes in suspension.' '284 Pat. col. 1:56-57." (Emphasis added.) This identical cited specification language appears in the '713 patent.

30. The Order further held that the essential anti-settling agent of the '284 patent was. further limited by the specification and prosecution history of the '284 patent to an anti-settling agent with "ionic and polycarboxylic qualities." The Court cited, *inter alia*, the following language from the specification of the '284 patent, which appears identically in the '713 patent: "The anti-settling agent additive is of particular importance because of the aluminum flakes readiness to settle out. The <u>ionicly charged sites</u> on the additive bond themselves to the aluminum flakes suspending them in solutions for extended period and keeping them tightly adhered to the surfaces of the colored pigments. '284 Pat. col. 4:28-34 (emphasis added)."

31. One day following the Order, which confirmed that this specific anti-settling agent was an essential component of Defendant's alleged invention, Defendant, its employees and its representatives filed the '828 application as a continuation. In the '828 application, and the ensuing '713 patent, Defendant, its employees and its representatives purported to remove any express reference to the "anti-settling agent" from the independent claims in an attempt to circumvent the consequences of the Order.

32. During the course of prosecution of the '713 patent, Defendant, its employees and its representatives withheld the Order from the United States Patent & Trademark Office (the "PTO"). While Defendant, its employees and its representatives provided the PTO with certain documents served in the Prior Action, they did not provide the PTO with the Order or otherwise

alert the PTO to the contents of the Order and its impact on the pending prosecution of the claims of the '713 patent.

33. The Order, and its non-disclosure by Defendant, its employees and its representatives, is material to the patentability of the claims of the '713 patent. The '713 patent would not have issued as such but for the non-disclosure of the Order by Defendant, its employees and its representatives, because the PTO would not have allowed the claims of the '713 patent to issue in their current form had the PTO been aware of the undisclosed Order. In the alternative, the conduct of Defendant, its employees and its representatives acts of egregious misconduct for purposes of establishing materiality.

34. Defendant, its employees and its representatives acted with specific intent to deceive the PTO. The timing of the filing of the '828 application, Defendant's purported removal of any express reference in the independent claims of the '828 application and the ensuing '713 patent to the "anti-settling agent" in an attempt to circumvent the consequences of the Order, the contents of the Order and the nature of the non-disclosure of the Order, together demonstrate that Defendant, its employees, and its representatives made a deliberate decision to withhold the Order, which Defendant, its employees and its representatives knew of and knew to be material.

35. A judicial determination is necessary and appropriate at this time under the circumstances with respect to the alleged enforceability of the '713 patent.

36. An actual and justifiable controversy exists between Plaintiff and Defendant with respect to the alleged enforceability of the '713 patent.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for judgment against Defendant as follows:

A. That this Court issue a declaration that Plaintiff has not infringed and does not infringe in any manner any claim of the '713 patent;

B. That this Court issue a declaration that the '713 patent, and each claim thereof, is invalid pursuant to Title 35 of the United States Code;

C. That this Court issue a declaration that the '713 patent, and each claim thereof, is unenforceable due to inequitable conduct before the United States Patent & Trademark Office;

D. For an award to Plaintiff of its costs of suit incurred herein as permitted by law;

E. For an Order declaring that this is an exceptional case, and awarding Plaintiff its costs, expenses, disbursements, and attorney's fees under 35 U.S.C. § 285 and any other applicable statutes and rules.

F. For such other and further relief as this Court may deem just and proper.

Dated: August 7, 2012 New York, New York

Marshall Beil (MB-0992) McGUIREWOODS LLP 1345 Avenue of the Americas 7th Floor New York, NY 10105-0106 Telephone: (212) 548-7004 Facsimile: (212) 715-2319 Email: mbeil@mcguirewoods.com

Counsel for Plaintiff Sanford L.P.

Of Counsel:

David E. Finkelson Derek H. Swanson McGUIREWOODS LLP One James Center 901 East Cary Street Richmond, VA 23219-4030 Telephone: (804) 775-1157 Facsimile: (804) 225-5377 Email: dfinkelson@mcguirewoods.com Email: dswanson@mcguirewoods.com Exhibit 1

î

EXHIBIT 1

.

Case 2:12-cv-03911-JS-GRB Document 1



US006561713B2

(12) United States Patent

Sukhna et al.

(54) METALLIC INK COMPOSITION FOR WICK **TYPE WRITING INSTRUMENTS**

- (75) Inventors: Chhman Sukhna, Oueens, NY (US); Charies Reichmann, Queens, NY (US)
- Assignee: Dri Mark Products, Inc., Port (73)Washington, NY (US)
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

- (21) Appl. No.: 10/121,828
- (22) Filed: Apr. 11, 2002

Prior Publication Data (65)

US 2002/0197096 A1 Dec. 26, 2002

Related U.S. Application Data

- (63) Continuation of application No. 09/839,937, filed on Apr. 19, 2001, now Pat. No. 6,402,412, which is a continuation of application No. 09/416,359, filed on Oct. 12, 1999, now Pat. No. 6,224,284.
- (51) Int. Cl.⁷ B43K 5/00
- (52) U.S. Cl. 401/198; 401/196
- (58) Field of Search 401/198, 199, 401/196

(56) **References Cited**

U.S. PATENT DOCUMENTS

1,752,462	1	4/1930	Smith
2,002,891 A	1	5/1935	Hall
2,144,953 A	1	1/1939	Ziehl
3,190,295 A	1	6/1965	Touey et al.
3,369,543 A	1	2/1968	Ronco
3,393,684 A	1	7/1968	Touey et al.
3,400,998 A	1	9/1968	Daugherty et al.
3,406,137 A	۹.	10/1968	Terry et al.

US 6,561,713 B2 (10) Patent No.:

*May 13, 2003 (45) Date of Patent:

3,425,779	Α	2/1969	Fisher et al.
3,442,739	A	5/1969	Johnson
3,455,856	Α	7/1969	Voedisch et al.
3,558,392	Α	1/1971	Goodenow et al.
3,563,779	Α	2/1971	Higaki et al.
3,565,655	Α	2/1971	Higaki
3,614,245	Α	10/1971	Schwartzman
3.614.247	Α	10/1971	Otsuka

(List continued on next page.)

FOREIGN PATENT DOCUMENTS

EP	0480362	10/1991
EP	0890620	3/1997
EP	0837113	10/1997
EP	0987304	10/1997
EP	0903384	2/1998
wo	WO9312175	6/1993
wo	WO9735935	2/1997

OTHER PUBLICATIONS

International Search Report for Application No. PCT/JP97/ 00984 dated Jun. 17, 1997.

Primary Examiner-David J. Walczak

(74) Attorney, Agent, or Firm-Ostrolenk, Faber, Gerb & Soffen, LLP

(57) ABSTRACT

An ink composition or system for writing instruments constructed with a polyester fiber reservoir and a porous oriented fiber nib. This ink system will write on both black and white surfaces. On black surfaces, it will reveal itself as being opaque and metallic almost iridescent in appearance. On white surfaces, a tint of color is observed with distinct flecks of silver. The ink system is composted of water as a carrier, a permanent water-based binder, a colored pigmented permanent water-based dispersion of sub-micron particle size, a unique aluminum dispersion of specific particle size in a special carrier, a humectant, a surfactant that lowers surface tension, an anti-settling additive, a preservative and a base acting as a pH adjuster.

12 Claims, 2 Drawing Sheets

STANDARD MARKER CONSTRUCTION



⁴ Case 2:12-cv-03911-JS-GRB Document 1 Filed 08/07/12 Page 12 of 30 PageID #: 12

US 6,561,713 B2 Page 2

U.S. PATENT DOCUMENTS

.

3,623,941	A	11/1971	Goodenow et al.
3,767,520	Ä	10/1973	Dick et al.
3,778,495	А	12/1973	Woolley
3,839,254	А	10/1974	Fang
3,864,183	Α	2/1975	Hori
3.875.105	Α	4/1975	Daugherty et al.
3 941 584	A	3/1976	Tundermann et al.
3 045 860	Δ	3/1976	Miller et al
2040 122	2	4/1076	Sorogoly et al
3,949,132	A	4/1970	Deligery et al.
3,969,127	A	//1976	Robhaille et al.
3,972,629	A	8/19/6	Whalen, Jr.
3,985,568	Α	10/1976	Swenson et al.
3,992,559	Α	11/1976	Day et al.
4,056,230	Α	11/1977	Decobert
4.065.060	Α	12/1977	Booz
4 065 215	Α	+ 12/1977	Otsuka 401/199
4 069 188	Δ	1/1978	Canard et al
4,002,100	7	1/1078	Linden et al
4,076,551	A	1/17/0	Deschard at al
4,070,331	A	2/19/0	Bernhald et al.
4,077,727	A	5/1978	Klamer et al.
4,077,807	Α	3/19/8	Kramer et al.
4,082,467	Α	4/1978	Kaplan
4,097,290	Α	6/1978	Muller et al.
4,125,499	Α	11/1978	Howard
4.130.691	Α	12/1978	Canard et al.
4 138 270	Ā	2/1979	Ishiima et al
4 1 47 9 22	7	4/1070	I ovallaa
4,147,625	Ä	4/1272	
4,158,074	A	6/19/9	Uchiyama et al.
4,170,669	Α	10/1979	Okada
4,173,720	Α	11/1979	Megelas
4,180,407	Α	12/1979	Gibson et al.
4,191,581	Α	3/1980	Hamilton
4.214.250	Α	7/1980	Fujita et al.
4 231 146	A	11/1980	Nakagawa et al.
4 233 105	Å	11/1980	Mills
4,233,193	~	1/1001	Niching et al
4,243,365	A	1/1961	Nishino et al.
4,251,164	A	2/1981	Nakagawa et al.
4,269,526	Α	5/1981	Dupre
4,283,730	Α	8/1981	Graf
4,286,005	Α	8/1981	Berger
4,297,260	Α	11/1981	Ferree, Jr. et al.
4.306.819	Α	12/1981	Schüsseler
4 311 403	A	1/1982	Liguori
4 328 042	Δ	5/1982	Ostertao et al
4,320,042	<u>,</u>	5/1982	Muller
4,329,202	A	5/1962	
4,329,264	A	5/1982	Muller
4,349,639	Α	9/1982	Muller
4,350,535	Α	9/1982	Ishijima et al.
4,354,889	Α	10/1982	Berger
4,356,500	Α	10/1982	Graf
4,384,800	Ā	5/1983	Dyama
4 389 400	A	6/1983	Rieseraf
4 300 646	2	6/1083	Ferguson
4 201 007	~	7/1003	Farmer III
4,391,927	A	//1983	
4,401,470	A	8/1983	Bridger
4,407,985	Α	10/1983	Muller
4,410,290	Α	10/1983	Ito et al.
4,410,643	Α	10/1983	Muller
4 471 079	A	9/1984	Enami
4 482 374	Å	11/1984	Osborn et al.
A ARA 051	Å	11/1084	Lichimura et al
4,404,901	A	11/1904	Ocham et al
4,480,223	Ą	12/1984	Chief et al.
4,490,177	A	12/1984	Smol et al.
4,529,329	A	7/1985	Hirabayashi et al.
4,545,819	А	* 10/1985	Shioi et al 106/23
4,567,490	А	1/1986	Afzali-Ardakani et al.
4,577.203	Α	3/1986	Kawamura
4.604.139	A	8/1986	Shioi et al.
4.621 112	A	11/1986	Backhouse et al.
4 629 512	Å	12/1986	Kondis
get and get a fact			

4 654 082	Δ	3/1087	Frilette
4,004,002	~	4/1007	China and
4,657,591	A	4/1987	Shioi et al.
4,666,519	Α	5/1987	Akiyama et al.
4,722,124	A	2/1988	Serikawa et al.
4 729 808	Α	3/1988	Berger
4 738 725	۸	4/1088	Daugherty et al
4,736,725	<u>.</u>	-91200	Daugherry et al.
4,744,826	А	5/1988	lijima
4,761,277	Α	8/1988	Valdes et al.
4,795,286	Α	1/1989	Shimoishi et al.
1 917 407	A	3/1090	Foker at al
4,012,492	?	5/1707	
4,842,433	А	0/1989	Otsuka
4,867,793	А	9/1989	Franz et al.
4.872.905	Α	10/1989	Bourne et al.
4 885 032	Δ	12/1080	Okai et al
4,000,002	~	6/1000	Decelse at al
4,951,095	ņ.	0/1990	Dichke et al.
4,940,628	А	7/1990	Lin et al.
4,978,394	Α	12/1990	Ostertag et al.
5,000,605	Α	3/1991	Schneider
5.009.536	Α	4/1991	Inoue et al.
5 013 543	A	5/1991	Mercado et al
5,019,549	~	7/1001	Treutlein et al
5,026,039	÷.	7/1991	
5,037,475	А	8/1991	Chida et al.
5,039,343	Α	8/1991	Umeda et al.
5.102.458	Α	4/1992	Lent et al.
5 104 922	А	4/1992	Chang
5 106 991	Ā	4/1002	Incure et al
5,100,001	<u>,</u>	4/1772	
5,110,450	A	5/1992	Cutross et al.
5,124,205	Α	6/1992	Raynolds et al.
5,124,405	Α	6/1992	Erickson
5 127 951	Α	7/1992	Imasato et al.
5 131 776	Δ	+ 7/1002	Mott 401/108
5,151,770	2	0/1992	Variation at al
5,151,125	A	9/1992	Kuwajuna et al.
5,174,814	А	12/1992	Burwell et al.
5,176,947	Α	1/1993	Afzali-Ardakani et al.
5.183.504	Α	2/1993	Kuwajima et al.
5 215 570	Δ	6/1993	Keemer et al
5,213,277	÷.	6/1002	Derrich
5,218,008		0/1993	
5,219,560	А	6/1993	Suzuki et al.
5,259,418	Α	11/1993	Hamrick
5.261.955	Α	11/1993	Nadkarni
5 270 430	А	12/1993	Parrish
5 270 850	~	1/1004	DeCrosta et al
5,219,650	^	1/1774	
5,281,261	А	1/1994	Lin
5,288,160	Α	2/1994	Li et al.
5,294,206	Α	3/1994	Makunoki
5 296 032	Α	* 3/1994	Jenkins et al 106/404
5 202 105	~	4/1004	Helbrecht et al
3,302,193	?	4/1774	Deserved et al.
5,308,390	A	5/1994	Реплаг
5,320,673	Α	6/1994	Carpenter
5,324,354	Α	6/1994	Jesse et al.
5.338.351	Α	8/1994	Pennaz
5 347 595	Α	9/1994	Bokser
5 348 570	Δ	0/1004	Jenkins et al
5,346,279	2	10/1004	Jonking et al.
3,330,409	A	10/1994	Jenkins et al.
5,372,638	А	12/1994	Derue et al.
5,373,028	Α	12/1994	McAfee et al.
5.374.306	Α	12/1994	Schlegel et al.
5 374 687	Δ	12/1994	Cooperman et al
5 279 574	~	1/1005	Winnik et al
3,310,314	<u>,</u>	1/1773	TTIMIK CLAI.
5,382,282	Α	1/1995	rennaz
5,383,901	Α	1/1995	McGregor et al.
5,431.721	Α	7/1995	Pennaz et al.
5,451,263	А	9/1995	Linn et al.
5 474 602	Δ	12/1005	Mivashita et al
5,414,005	<u>,</u>	2/100	Vomemote et al
3,494,312	Ä	2/1990	ramamoto et al.
5,520,473	Α	5/1996	Durnam
5,521,722	Α	5/1996	Colvill et al.
5,549,741	Α	8/1996	Pennaz et al.
5,599,853	Α	2/1997	Loftin
5 640 225	A	6/1997	Nakata
5 662 720	Å	9/1007	Schmid et al
	4.3	11	

US 6,561,713 B2 Page 3

5,691,033 A	11/1997	Davies
5,712,328 A	1/1998	Inoue et al.
5,718,753 A	2/1998	Suzuki et al.
5,743,949 A	4/1998	Kainz
5,762,694 A	6/1998	Yokoi et al.
5,767,172 A	6/1998	Fuksasawa
5,802,818 A	9/1998	Doll et al.
5,831,651 A	11/1998	Usui et al.
5,861,558 A	1/1999	Buhl et al.
5,868,511 A	2/1999	Osada
5,876,242 A	3/1999	Furukawa
5,906,446 A	5/1999	McCulloch et al.
5,919,858 A	7/1999	Loftin
5,931,996 A	8/1999	Reisser et al.
5,944,886 A	8/1999	Hashizume
5,961,706 A	10/1999	Bechly

,

5,965,326	Α	10/1999	Ellis
5,988,921	Α	11/1999	Medhin
5,994,494	Α	11/1999	Wakui
6,056,463	Α	5/2000	Nishio et al.
6,063,176	Α	5/2000	Lyen
6,076,987	Α	6/2000	Sekine et al.
6,083,311	Α	7/2000	Kanbayashi et al.
6,099,629	Α	8/2000	Morita et al.
6,114,412	Α	9/2000	Kanbayashi et al.
6,120,590	Α	9/2000	Miyamoto et al.
6,171,381	B1	1/2001	Yoshimura et al.
6,224,284	B1	5/2001	Sukhna et al.
6,267,523	B1	7/2001	Poels et al.
6,283,662	B1	9/2001	Inoue et al.
–			

* cited by examiner





May 13, 2003

Sheet 2 of 2

US 6,561,713 B2



STANDARD MARKER CONSTRUCTION

METALLIC INK COMPOSITION FOR WICK TYPE WRITING INSTRUMENTS

CROSS-REFERENCES

The present application is a continuation under 37 C.F.R. §1.53(b) of prior application Ser. No. 09/839,937 filed Apr. 19, 2001, now U.S. Pat. No. 6,402,412, which is a continuation of application Ser. No. 09/416,359 filed Oct. 12, 1999, now U.S. Pat. No. 6,224,284, by Chhman SUKHNA and Charles Reichmann entitled METALLIC INK COMPOSI-TION FOR WICK TYPE WRITING INSTRUMENTS.

BACKGROUND OF THE INVENTION

The present invention relates to metallic ink based writing 15 instruments and, more particularly, to metallic ink compositions for wick type writing instruments.

Currently, metallic ink writing instruments are enjoying great popularity. These are almost entirely in the form of a conventional style marker currently called a valve action 20 marker (see FIG. 1). From the diagram, one can see that this is a complex device involving elaborate assembly. The valve action marker also involves shaking back and forth and then depressing, or pumping, the nib several times before ink will come down. As one can see, this is a rather cumbersome 25 process.

Historically, metallic pigments have a large particle size greater than 20 microns; therefore, passage through a wickstyle marker has not been possible. Instead, a valve-action marker is constructed with two steel balls in its ink chamber 30 and a spring mechanism at the front in contact with the nib or tip. Since the specific gravity of the aluminum pigment is greater than water, it tends to settle rapidly. This is overcome by shaking back and forth, thereby re-mixing the aluminum particles in the ink. The pumping action on the paper forces 35 the large particle aluminum pigments through the tip by applying pressure through the tip, thereby, producing ink and making writing possible.

Related conventional art includes U.S. Pat. No. 5,013,543 to Mercado; U.S. Pat. No. 5,219,560 to Kazuhiro; U.S. Pat. ⁴⁰ No. 5,106,881 to Hiroshi; U.S. Pat. No. 4,761,277 to Valdes; U.S. Pat. No. 4,872,905 to Bourne and U.S. Pat. No. 4,604,139 to Shoi, the contents of which are incorporated by reference herein. Regardless, the prior art has not delivered to date a wick-style marker which can deliver metallic ⁴⁵ pigments, i.e. inks, in writing instruments. As a result, metallic ink writing instruments have been more expensive, more complex to build and maintain, less reliable and have not fully realized their potential.

SUMMARY OF THE INVENTION

The general object of the present invention is to provide metallic ink compositions that work reliably in wick type writing instruments.

The foregoing and other objects of the invention are ⁵⁵ realized by the present invention which shows how one can overcome the aforementioned drawbacks of the prior art using a comparatively inexpensive wick-style marker that works by capillary action eliminating a costly assembly ₆₀ process.

The objects of the invention are achieved by mainly utilizing:

- 1. A small particle size aluminum dispersion less than 12 microns; 65
- 2. an anti-settling agent to keep aluminum flakes in suspension;

2

3. a densely packed highly porous polyester fiber filler;4. a highly porous oriented polyester fiber nib with many channels;

5. a non-volatile humectant; and

6. a sub-micron colored pigmented dispersion.

This invention will work for a conventional simple wickstyle marker that carries a cylindrical shaped reservoir (filler) that absorbs the ink and transports it to a porous oriented fiber nib that feeds on the filler by capillary action (see FIG. 2).

The invention results in a minimum of metallic particles settling out. The marker can be stored either vertically or horizontally; overcoming a traditional problem associated with pigmented ink systems. This wick-ink system, when filled into the writing device (FIG. 2), will also lay-down a thick opaque line capable of being seen on both black and white surfaces.

Traditional inks that work with pens (FIG. 2) cannot write on black paper since they are translucent, therefore, this market has been occupied by the valve-action marker of FIG. 1 which permits the flow of large particle size pigments. This invention solves this problem in a wick-style writing instrument (FIG. 2) that is user friendly and much simpler, not to mention a cheaper alternative.

The present invention is based on the startling discovery that based on the disclosed, specific ink formulation, a writing instrument can be made that carries a cylindrical shaped reservoir which feeds into a fiber nib by capillary action and remains for extended periods without settling out or blocking the nib or tip. This invention also eliminates the use of an expensive valve type marker.

Thus, an object of the invention is that a single wick-type pen can be used to write on both black and white surfaces and achieve a metallic almost iridescent appearance.

Another object of the invention is a pen that may possibly be used for decorative poster work on both black and white surfaces.

and making writing possible. Related conventional art includes U.S. Pat. No. 5,013,543 to Mercado; U.S. Pat. No. 5,219,560 to Kazuhiro; U.S. Pat. No. 5,106.881 to Hiroshi: U.S. Pat. No. 4.761.277 to Valdes: A further object of the invention is the ability to write on human skin to achieve decorative effects similar to temporary tattoos, with an intense opaque metallic look, and washable with soap and water.

> Still further, an object of this invention is to attain, by changing the permanent binder to a temporary one, a damp erase or dry erase writing instrument that can be made to write on black and white melamine, porcelain and all non porous boards.

> The invention composition includes water as a carrier, an acrylic resin in solution as a binder although a polyvinylpyrrolidone polymer can be substituted to make temporary inks,

50 a pigmented dispersion as a coloring agent, a unique aluminum dispersion of particle size 1 to 12 microns, a surfactant to reduce surface tension, an anti-settling agent to suspend aluminum particles along with a preservative, and a pH adjuster in the form of an amine.

The components of the writing instrument utilized in this invention are of some importance. The polyester filler, or reservoir chosen, must be densely packed and porous to allow flow of aluminum particles and at the same time keep settling to a minimum. Polyester fiber is chosen because it is non-reactive and very porous. The tip, or nib, of the writing instrument must be a porous, oriented polyester nib that has many channels to allow aluminum pigments to pass freely. Many other types of fibers will filter the aluminum and only allow the colored pigment to pass through, thus creating a non-metallic appearance.

One additional detail should be noted about this invention. Dyes are not used in this composition. This is because

dyes wash away easily, they do not provide bonding to the aluminum pigments and are more of an outline as described in U.S. Pat. No. 4,604,139.

This invention utilizes a permanent and semi-permanent opaque metallic ink, which may display iridescent qualities 5 depending on the surface written on-black or white.

Other features and advantages of the present invention will become apparent from the following description of the invention which refers to the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 diagrammatically illustrates a conventional valve action ink dispensing writing implement.

FIG. 2 illustrates a conventional, wick-style writing 15 implement.

DETAILED DESCRIPTION OF THE INVENTION

The present invention illustrates the considerable ease and convenience of an ink system in a simple wick type writing 20 instrument (FIG. 2), compared to the complexity of conventional valve-action markers (FIG. 1) that are currently used to deliver metallic inks.

This invention is accomplished by providing an aqueous one-phase ink composition having a viscosity of 5 cps to 10⁻²⁵. cps at 25° Celsius with a pH of 7.5 to 8.5 suitable for use in a wick-style writing instrument with a porous oriented fiber nib.

This ink system contains an aluminum pigmented disper-30 sion of no greater particle size than about 12 microns, a sub-micron pigmented colored aqueous dispersion to impart a tint and contribute to permanency, an anti-settling agent to keep aluminum particles from settling out, and a binder to adhesion, water resistance, gloss, and permanency to differ-at surfactant to a particle size less than 0.5 micron enhancing their bonding ability to the ab ent surfaces.

In addition to the foregoing important ingredients, this ink system may contain other additives including a preservative, a surfactant to reduce surface-tension, a pH adjuster in the 40 form of an amine, and a rheology modifier such as a starch or clay.

As noted before, one of the important aspects of the present invention is the particle size of the aluminum pigments. If the particle size is too large, greater than 12 45 microns, they will not flow through the pathways of the filler and nib. This is one of the main reasons why metallic inks have been confined to be used only in valve markers (FIG. 1), which is essentially a free-flowing system, and the forcing them through the nib.

The present invention solves the problem by using a carefully monitored particle size aluminum dispersion, along with a customized filler of high density with many pathways, and a highly porous oriented fiber nib with many 55 channels.

The aluminum flakes are dispersed initially in water and binder of starch with the pH adjusted anywhere between 7.0 and 8.0. This prevents the build up of hydrogen gas, which is a notorious and dangerous problem associated with alu- 60 minum pigments. The finished ink system is adjusted to a pH of 7.5 to 8.5; this is to ensure this problem does not occur.

The dispersion used in the present invention is of the type Stapa Hydrolac AW from Eckart and Roto Vario from Eckart. The amount needed to impart a metallic look varies 65 from about 10% to 25% by weight depending upon the desired intensity of the color.

It is very interesting to note that on black surfaces, the pigmented color and the aluminum pigments bond together to impart a uniform metallic line. On white paper, the color is separated from the metallic flakes showing a line that is tinted in color and flecked with aluminum to impart a silver appearance.

The aluminum dispersions of the present invention are very stable at temperatures of 100° F. to 125° F. and do not dry up easily. This contributes to the long shelf life of the marker. The ink system is more stable because starch which bonds the aluminum flakes in the dispersion has even more cohesion with the marker binder of the system thereby contributing to it staying in solution and keeping the aluminum flakes suspended which, of course, provides an anti-settling effect.

The binder in the present invention is an acrylic resin solution of about 40% to 50% solids. This binder forms a clear film at room temperature on drying. It is resistant to water and adheres well to most surfaces. The pH is between 7.5 and 8.5. The viscosity is 100 to 150 cps and the glass transition temperature t.g. °C30. The binder must be able to form a film at room temperature. This is the only way the aluminum flakes will bond to writing surfaces on drying. Typical resins of this type include GA-1590 from B. F. Goodrich and Joneryl 537 from S. C. Johnson.

Many other polymers will come to mind of those skilled in the art. The binder is used at about 10% to 25% by weight depending on the desired resistance properties.

The pigmented colored dispersion is of great importance since this dispersion imparts the desired color to the overall metallic ink system and makes it pleasing to the eye. The present invention utilizes a dispersion of about 35% to 45% solids, pH 8.0 to 9.0, and viscosity of 100 to 150 cps at 25° C. The pigments are grounded in an acrylic binder or dispersions used in the invention include Heucosperse colors from Heucotech and Acroverse colors from Penn Color. A percentage of 10% to 20% by weight is used depending on the desired color intensity.

The anti-settling agent additive is of particular importance because of the aluminum flakes readiness to settle out. The ionicly charged sites on the additive bond themselves to the aluminum flakes suspending them in solutions for extended periods and keeping them tightly adhered to the surfaces of the colored pigments. This ensures that the ink traveling down the polyester filler into the nib and onto the writing surfaces is metallized. Without the anti-settling agent, the aluminum flakes would separate very quickly from the pumping action imparts pressure on the aluminum particles 50 colored pigments. The resulting system will be one that writes very non-uniform by, with colored lines appearing with no metallic appearance. The anti-settling agent utilized in this invention is ionic in nature and derived from a polycarboxylic acid. Examples are phtalic acid, adipic acid and tri-metallic acid. A percentage of 0.25% to 1.5% by weight is utilized, an example of this agent is BYK105 from Byk Chemie.

> A humectant is utilized in this invention to keep the finished writing instrument from drying out on extended storage in warm and humid conditions. Polyglycols are particularly adept in these applications, although glycerin may be utilized. This invention uses Polyglycols of molecular weight of 400 to 725 at about 5% to 10% by weight. Examples are Carbowax 400 and Carbowax 700 distributed by Pride Chemicals.

> With many water based ink systems the surface tension is very high. A surfactant helps reduce this property so that

35

proper flow through the filler and wetting on different surfaces are achieved. This ink composition has a surface tension of about 37 to 40 dynes/cm and utilizes a fluro chemical surfactant similar to one provided by 3M FC-170C and zonyl FSN supplied by DuPont Chemicals. A percentage 5 of 0.5% to 0.75% by weight is used in this invention.

To discourage improper use of the marker, a water-soluble organic solvent such as denatured ethanol is utilized in this invention. This also contributes to its drying speed on different surfaces. An example would be SOF-642 distrib- ¹⁰ uted by Pride Chemicals. A quantity of 3% to 10% is utilized.

As mentioned before, the ink system needs to be maintained at a pH of 7.0 to 8.5 so as to avoid the build up of hydrogen gas. Therefore, an amine pre-mixed with water to avoid shocking the aluminum flakes is utilized to adjust and stabilize the pH. This invention uses Triethanolamine (99%) at about 0.25% to 1.0% by weight, distributed from Pride Chemicals. Other common amines such as AMP-95 distributed by Angus Chemicals can also be used. 20

Finally, a preservative is utilized to keep fungi and bacteria from build up in the writing instrument on extended storage. A number of preservatives will come to mind of one skilled in the art. The preferred product in this invention is $_{25}$ Germaben II from ISP Polymers used at 1.0% to 3.0% by weight.

The following examples will demonstrate this ink system that utilizes a simple wick-style marker (FIG. 2).

All figures are percentage by weight.

	Distilled Water	57.00
B. F. Goodrich	GA1594	10.00
Pride Chemical	Carbowax 400	5.00
Eckart	Stapa Hydolac AW	15.00
Penn Color	Acroverse Red 5123	10.50
ISP Polymers	Germaben II	1.25
Pride Chemical	Triethylanolamine 99%	0.25
Byk Chemie	Byk 105	1.00
		100.00
Blue Permanent Me	tallic Ink	
	Distilled Water	42.25
B. F. Goodrich	GA1594	10.00
Pride Chemical	Carbowax 400	5.00
Eckart	Roto Vario RV5000	25.00
Heuback	Heucosperse BS5525	15.00
ISP Polymers	Germaben II	1.25
Pride Chemical	Triethylanolamine 99%	0.50
		1 00
Byk Chemie	Byk 105	1.00
Byk Chemie	Byk 105	100.00
Byk Chemie Green Permanent M	Byk 105	1.00
Byk Chemie Green Permanent M	Byk 105 etallic Ink Distilled Water	1.00 100.00 50.25
Byk Chemie Green Permanent M S. C. Johnson	Byk 105 etallic Ink Distilled Water Joncryl 537	1.00 100.00 50.25 11.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400	1.00 100.00 50.25 11.00 4.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008	100 100.00 50.25 11.00 4.00 20.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120	100.00 50.25 11.00 4.00 20.00 12.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II	1.00 100.00 50.25 11.00 4.00 20.00 12.00 1.25
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95	1.00 100.00 50.25 11.00 4.00 20.00 12.00 1.25 0.25
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical Byk Chemie	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Gernaben II AMP-95 Byk 105	1.00 100.00 50.25 11.00 4.00 20.00 12.00 1.25 0.25 1.25
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical Byk Chemie	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Gernaben II AMP-95 Byk 105	1.00 100.00 50.25 11.00 4.00 20.00 12.00 1.25 0.25 1.25 100.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical Byk Chemie Gold Permanent Me	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105 tallic Ink	1.00 100.00 50.25 11.00 4.00 20.00 12.00 1.25 0.25 1.25 100.00
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical Byk Chemie Gold Permanent Me	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105 tallic Ink Distilled Water	1.00 100.00 50.25 11.00 4.00 20.00 12.20 0.25 1.25 100.00 44.50
Byk Chemie Green Permanent M S. C. Johnson Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical Byk Chemie Gold Permanent Me S. C. Johnson	Byk 105 etallic Ink Distilled Water Joncryl 537 Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Gernaben II AMP-95 Byk 105 tallic Ink Distilled Water Joncryl 537	1.00 100.00 50.25 11.00 4.00 20.00 1.25 0.25 1.25 100.00 44.50 10.00

	U U
	-continued
ıbach	Heucosperse III YS5345

Heubach	Heucosperse III YS5345	15.00
Eckhart	Roto Vario RV5008	20.00
ISP Polymers	Germaben II	2.00
Angus Chemical	AMP-95	0.25
Byk Chemie	Byk 105	1.25

6

Semi-Permanent Wet Erase Metallic Ink For Black and White Melamine, Porcelain and All Non Porous Boards

	Distilled Water	63.75
Pride Chemical	Carbowax 400	7.00
ISP Technologies	PVP/VA630	2.00
Heubach	Heucosperse 33S5528	10.00
Eckhart	Roto Vario RV5008	15.00
ISP Technologies	Germaben II	1.00
Angus Chemical	AMP-95	0.25
0		
Byk Chemie	Byk 105	1.00
Byk Chemie Red Ink Wet Erase I	Byk 105 Metallic	1.00 100.00
Byk Chemie Red Ink Wet Erase I	Byk 105 Metallic Distilled Water	1.00 100.00 67.75
Byk Chemie <u>Red Ink Wet Erase I</u> Pride Chemical	Byk 105 Metallic Distilled Water Carbowax 400	<u> </u>
Byk Chemie Red Ink Wet Erase J Pride Chemical Penn Color	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123	1.00 100.00 67.75 4.00 15.00
Byk Chemie <u>Red Ink Wet Erase J</u> Pride Chemical Penn Color ISP Technologies	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123 Germaben II	1.00 100.00 67.75 4.00 15.00 1.00
Byk Chemie Red Ink Wet Erase J Pride Chemical Penn Color ISP Technologies Eckart	Byk 105 Metallic Distilled Water Carbowax 400 Hencosperse Red 5123 Germaben II Roto Vario RV5308	1.00 100.00 67.75 4.00 15.00 1.00 10.00
Byk Chemie Red Ink Wet Erase J Pride Chemical Penn Color ISP Technologies Eckart Pride Chemical	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123 Germaben II Roto Vario RV5308 Trietbanolamine 99%	1.00 100.00 67.75 4.00 15.00 10.00 10.00 0.50
Byk Chemie Red Ink Wet Erase J Pride Chemical Penn Color ISP Technologies Eckart Pride Chemical Byk Chemie	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123 Germaben II Roto Vario RV5308 Triethanolamine 99% Byk 105	1.00 100.00 67.75 4.00 15.00 10.00 0.00 0.50 0.75

Summarizing, the present invention comprises a metallizing system for writing instruments having a densely packed polyester fiber reservoir and a porous polyester oriented fiber nib with channels allowing passage of specific

40 particle size aluminum pigment (less than 12 microns). This nib is in contact with the reservoir shown in the writing instrument illustrated in FIG. 2 and the system functions by capillary action.

As described, the ink system includes: distilled water as a carrier, at about 40% to 70% by weight; a water soluble 45 organic solvent having an evaporation rate greater than 1.0 at 25° C. using butyl acetate at about 1.0 as a basic; a liquid acrylic resin solution at about a 40% to 50% solids and present in the ink system from about 10% to 20% by weight; said humectant being selected from a group of polyglycol 50 molecular weight 400 to 1025 and present in the ink system from about 5% to 15% by weight; an aluminum pigmented dispersion of about 10% to 15% solids at less than about 12 microns particle size and present at 10% to 25% by weight with a pH of about 7.0 to 8.5; a fluro chemical surfactant with a pH of about 9.0 and surface tension of about 25 55 dynes/cm and present at 0.25% to 1.0% by weight; an anti-settling agent chosen from a lower molecular weight unsaturated polycarboxylic acid that has an ionic charge present in an amount 0.25% to 1.25% by weight; and an ammoniacal base for adjusting the pH of the ink system to about pH 7.5 to 8.5 present in an amount 0.25% to 1.5% by weight; and a colored water-based pigmented dispersion of about 35% to 45% solids having a particle size less than about 0.5 microns and present in amount 10% to 20% by 65 weight.

The ink system also includes a binder than is an acrylic resin solution present at about 10% to 25% by weight

selected from a group or class of acrylic resin solutions that have a t.g. °C -16 to 30, MFFT °C<25°C., a viscosity of about 50 cps to 150 cps, and a solid content of about 40% to 50%

Although the present invention has been described in 5 relation to particular embodiments thereof, many other variations and modifications and other uses will become apparent to those skilled in the art. It is preferred, therefore, that the present invention be limited not by the specific disclosure herein, but only by the appended claims. 10

What is claimed is:

- 1. A writing instrument, comprising:
- a fibrous reservoir with passageways defined therein and a porous nib with channels allowing passage of an ink 15 therethrough;
- an ink formulation in the fibrous reservoir, the ink formulation including a color pigmented dispersion and a metallic dispersion; and
- the nib being in contact with the fibrous reservoir and 20 being able to absorb the metallic dispersion solely by capillary action and the ink formulation being formulated to permit the metallic dispersion to pass through the fibrous reservoir passageways and the channels in the nib without clogging or blocking the passageways 25 and the channels.
- 2. The writing instrument of claim 1, including;
- an anti-settling agent to keep the metallic particles in suspension;
- a humectant: and
- said color pigmented dispersion having a sub-micron particle size.

3. The writing instrument of claim 2, wherein the metallic dispersion comprises an aluminum-pigmented water-based dispersion whose particle size is no greater than 12 microns 35 made from bright leafing aluminum flakes.

4. The writing instrument of claim 3, wherein said aluminum flakes are dispersed in a medium of starch and water to prevent settling and a pH of 8.0 and 8.5 to prevent as to prevent drying out of the writing instrument.

5. The writing instrument of claim 2, wherein said ink formulation includes, as an anti-settling agent based on a

8

lower molecular weight, unsaturated polycarboxylic acid with ionic activity that will bond with aluminum pigments and keep them in suspension for extended periods in a low viscosity ink system.

6. The writing instrument of claim 2, wherein said antisettling agent increases color strength and prevents hard settling of the metallic dispersion.

7. The writing instrument of claim 2, wherein said ink formulation utilizes a humectant chosen from a group of polyglycol of molecular weight 400 to 1025.

8. The writing instrument of claim 2, wherein said ink formulation includes a pH adjuster in the form of a base capable of stabilizing said formulation to a pH of 8.0 to 8.5.

9. The writing instrument of claim 2, wherein said fibrous reservoir is made of polyester that is tightly bonded in a wrapped polypropylene skin and the reservoir is in contact with the nib which is a multi-channel highly porous oriented fiber nib said ink formulation having a viscosity of 5 cps to 10 cps at 25° C., a surface tension of less than 40 dynes/cm at 25° C., a pH about 7.0 to 8.5 capable of making permanent or semi-permanent metallic lines on black and white surfaces.

10. The writing instrument of claim 2, wherein said writing instrument is capable of making semi-permanent opaque metallic lines on human skin and nails that are washable with soap and water.

11. A writing instrument, comprising:

- a non valve-action barrel with an opening that is closed off by a fiber nib;
- a fibrous reservoir in physical contact with the nib;
- an ink formulation in the fibrous reservoir, the ink formulation containing a metallic pigmented dispersion of not greater particle size than about 12 microns, a pigmented dispersion to impart a tint, and a binder; the ink formulation flowing to the nib solely by capillary action.

12. The writing instrument of claim 11, further including hydrogen gas build up and is stable at 100° F. to 125° F. so ⁴⁰ a preservative, a surfactant to reduce surface-tension, and a pH adjuster.

j

Exhibit 2

.

.

EXHIBIT 2

.

Case 2:12-cv-03911-JS-GRB Document 1 Filed 08/07/12 Page 22 of 30 PageID #: 22

Merchant & Gould

An Intellectual Property Law Firm

1050 Seventeenth Street Suite 1950 Denver, Colorado 80265-0100 USA тв. 303.357.1670 еах 303.357.1671 www.merchantgould.com

A Professional Corporation

Direct Contact | 303.357.1646 pgergely@merchantgould.com

VIA UPS EXPRESS

July 26, 2012

Newell Rubbermaid Inc. Mr. John K. Stipancich, Senior VP General Counsel and Corporate Secretary 3 Glenlake Parkway Atlanta, Georgia 30328

Re: Infringement and Licensing of U.S. Patent No. 6,561,713

Dear Mr. Stipancich:

Our firm represents DriMark Products, Inc. in patent litigation and licensing matters. DriMark is the owner of U.S. Pat. No. 6,561,713 titled "Metallic Ink Composition for Wick Type Writing Instruments" (the '713 patent), a copy of which is enclosed.

Your company is infringing the '713 patent by making, using, selling, offering for sale and/or importing the following markers:

Sharpie® Metallic Permanent Marker.

DriMark demands that your company immediately cease and desist from making, using, selling, offering for sale and/or importing all configurations of the foregoing markers, whether sold separately or in multi-pack configurations. DriMark has licensed the '713 patent previously for a reasonable royalty. Please contact me to discuss licensing the '713 patent from DriMark. A license is required to avoid liability for past damages and/or future damages for infringement of the '713 patent.

If we do not hear from you by August 9, 2012, DriMark will assume that you have no interest in entering into a license. DriMark reserves all of its rights, including filing litigation in the United States District Court for the Eastern District of New York where DriMark is headquartered.

Sincerely,

Peter Bergery

Atlanta

Case 2:12-cv-03911-JS-GRB Document 1 Filed 08/07/12 Page 23 of 30 PageID #: 23



(12) United States Patent

Sukhna et al.

(54) METALLIC INK COMPOSITION FOR WICK TYPE WRITING INSTRUMENTS

- (75) Inventors: Chhman Sukhna, Queens, NY (US); Charles Reichmann, Queens, NY (US)
- Dri Mark Products, Inc., Port (73) Assignce: Washington, NY (US)
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

- (21) Appl. No.: 10/121,828
- (22) Filed: Apr. 11, 2002

(65) **Prior Publication Data**

US 2002/0197096 A1 Dec. 26, 2002

Related U.S. Application Data

- (63) Continuation of application No. 09/839,937, filed on Apr. 19, 2001, now Pat. No. 6,402,412, which is a continuation of application No. 09/416,359, filed on Oct. 12, 1999, now Pat. No. 6,224,284.
- (51) Int. Cl.⁷ B43K 5/00
- 401/196

(56) **References** Cited

U.S. PATENT DOCUMENTS

1,7.52,462	Α	4/1930	Smith
2,002,891	Α	5/1935	Hall
2,144,953	Α	1/1939	Ziehl
3,190,295	Α	6/1965	Touey et al.
3,369,543	Α	2/1968	Ronco
3,393,684	A	7/1968	Touey et al.
3,400,998	А	9/1968	Daugherty et al.
3,406,137	Α	10/1968	Terry et al.

US 6,561,713 B2 (10) Patent No.: (45) Date of Patent: *May 13, 2003

3,425,779 A 2/1969 Fisher et al. 3,442,739 A 5/1969 Johnson 3,455,856 A 7/1969 Vocdisch et al. 3,558,392 A 1/1971 Goodenow et al. 3,563,779 A 2/1971 Higaki et al. 2/1971 Higaki 3,565,655 A 3,614,245 A 10/1971 Schwartzman 3,614,247 A 10/1971 Otsuka

(List continued on next page.)

FOREIGN PATENT DOCUMENTS

EP	0480362	10/1991
EP	0890620	3/1997
EP	0837113	10/1997
EP	0987304	10/1997
EP	0903384	2/1998
wo	WO9312175	6/1993
wo	WO9735935	2/1997

OTHER PUBLICATIONS

International Search Report for Application No. PCT/JP97/ 00984 dated Jun. 17, 1997.

Primary Examiner-David J. Walczak

(74) Attorney, Agent, or Firm-Ostrolenk, Faber, Gerb & Soffen, LLP

(57) ABSTRACT

An ink composition or system for writing instruments constructed with a polyester fiber reservoir and a porous oriented fiber nib. This ink system will write on both black and white surfaces. On black surfaces, it will reveal itself as being opaque and metallic almost iridescent in appearance. On white surfaces, a tint of color is observed with distinct flecks of silver. The ink system is composted of water as a carrier, a permanent water-based binder, a colored pigmented permanent water-based dispersion of sub-micron particle size, a unique aluminum dispersion of specific particle size in a special carrier, a humectant, a surfactant that lowers surface tension, an anti-settling additive, a preservative and a base acting as a pH adjuster.

12 Claims, 2 Drawing Sheets

STANDARD MARKER CONSTRUCTION



Case 2:12-cv-03911-JS-GRB Document 1 Filed 08/07/12 Page 24 of 30 PageID #: 24

US 6,561,713 B2 Page 2

U.S. PATENT DOCUMENTS

.

3.623.941	A	11/1971	Goodenow et al.
2 767 520		10/1072	Dick et al
5,101,520	<u></u>	10/17/2	DICK CE UI,
3,778,495	Α	12/19/3	Woolley
3.839.254	Α	10/1974	Fang
2 964 192	٨	2/1075	Hori
2,001,103	<u>.</u>	21913	11011
3,875,105	Α	4/1975	Daugherty et al.
3.941.584	Α	3/1976	Tundermann et al.
2 045 960		2/1076	Miller et al
3,543,005	<u>.</u>	5/1310	IVILIEI Et al.
3,949,132	Α	4/1976	Seregely et al.
3.969.127	Α	7/1976	Robitaille et al.
2 072 620		0/1074	Whaten Jr.
3,972,029	<u>.</u>	0/1970	whatch, Jl.
3,985,568	A	10/1976	Swenson et al.
3.992.559	Α	11/1976	Dav et al.
1 056 220		11/1077	Decohert
4,050,250	n.	11/19/7	Decobert
4,065,060	Α	12/1977	Booz
4.065 215	A	* 12/1977	Otsuka
4 060 100		1/1079	Canad at al
4,009,100	<u>n</u>	11210	Canalu el al.
4,071,487	Α	1/1978	Linden et al.
4 076 551	A	2/1978	Bernhard et al.
4 077 707	1	2/1070	Wramov et al
4,011,121	А	3/1978	Klamer et al.
4,077,807	Α	3/1978	Kramer et al.
4.082 467	Α	4/1978	Kanlan
4,007,300	1	48070	Muller et al
4,097,290	A	0/19/8	ividite et al.
4,125,499	A	11/1978	Howard
4 130 691	A	12/1978	Canard et al.
4 129 270	Υ.	0/1070	Tabling of all
4,138,270	А	2/19/9	isijuna et al.
4,147,823	A	4/1979	Lavallee
4 158 074	A	6/1979	Uchivama et sl
4,100,000	-	1011070	Olada
4,170,009	А	10/17/9	OKAUA
4,173,720	Α	11/1979	Megclas
4 180 407	A	12/1979	Gibson et al.
4 101 601	~	24000	Thereiter
4,191,381	A	3/1980	Hammon
4,214,250	A	7/1980	Fujita et al.
4 231 146	A	11/1980	Nakagawa ci al.
4 222 105	~	11/1000	kanagana or m.
4,233,195	Α	11/1980	MILLIS
4,243,565	Α	1/1981	Nishino et al.
4 251 164	A	2/1981	Nakapawa ct al.
1060 500		E/1001	Deres
4,209,520	<u>A</u>	5/1981	Dupre
4,283,730	Α	8/1981	Graf
4.286.005	Α	8/1981	Berger
4 207 260	۸	11/1981	Ferree Ir et al
4,206,010	~	1011001	Salisania
4,500,819	А	12/1981	Schusselei
4,311,403	Α	1/1982	Liguori
4.328.042	Α	5/1982	Ostertag et al.
4 220 241		\$/1002	Muller
4,527,202	<u>A</u>	J/1762	withing.
4,329,264	A	5/1982	Muller
4.349.639	Α	9/1982	Muller
4 350 535	٨	0/1092	Ichijime et sl
4 00 4 000	÷.	1011000	Bauna Cran,
4,554,889	A	10/1982	Derger
4,356,500	Α	10/1982	Graf
4.384 800	A	5/1983	Dyama
4 200 400	<u>, </u>	C11000	Diagon
4,307,479	A	0/1983	2 VICSRIM
4,390,646	Α	6/1983	Ferguson
4 391 927	A	7/1983	Farmer, III
A 401 470		9/1003	Beidges
4,401,4 <i>1</i> U	A	0/1793	nundet
4,407,985	Α	10/1983	Muller
4,410,290	Α	10/1983	Ito et al.
A 410 642		10/1092	Muller
-,-10,045	Å.	70(1902	
4,471,079	А	9/1984	Enami
4,482,374	А	11/1984	Oshorn et al.
4 484 051	Å	11/1004	Lahimura et al
4,404,931	A	11/1904	
4,486,225	Α	12/1984	Osborn et al.
4,490.177	Λ	12/1984	Shioi et al.
4 520 220		7/1095	Hirabauashi et al
	<u>_</u>	COCT //	
4,040,819	A	10/1985	Shioi et al 106/23
4,567,490	Α	1/1986	Afzali-Ardakani et al.
4577 2m	Ā	3/1086	Kawamuta
4 604 120	1	8/1094	Shiqi at al
7,004,139	÷.	0/1900	
4,621,112	Α	11/1986	Backhouse et al.
4,629,512	Α	12/1986	Kondis

4,654,082 A	3/1987	Frilette
4,657,591 A	4/1987	Shioi et al.
4,666,519 A	5/1987	Akiyama et al.
4,722,124 A	2/1988	Serikawa et al.
4,729,808 A	3/1988	Berger
4,738,725 A	4/1988	Daugherty et al.
4,744,826 A	5/1988	lijima
4,761,277 A	8/1988	Valdes et al.
4,795,286 A	1/1989	Shimoishi el al.
4,812,492 A	3/1989	Eckes et al.
4,842,433 A	0/1939	USUKA Espat of al
4,007,795 A	10/1080	Press, Cras, Rourne et al
4 885 032 A	12/1080	Okai et al
4.931.093 A	6/1990	Brenke et al.
4,940,628 A	7/1990	Lin et al.
4,978,394 ∧	12/1990	Ostertag et al.
5,000,605 A	3/1991	Schneider
5,009,536 A	4/1991	Inoue et al.
5,013,543 A	5/1991	Mercado et al.
5,028,039 A	7/1991 8/1001	freutiern et al.
5 030 343 A	8/1001	Limeda et al.
5.102.458 A	4/1992	Lent et al.
5,104,922 A	4/1992	Chang
5,106,881 A	4/1992	inoue et al.
5,110,450 A	5/1992	Culross et al.
5,124,205 A	6/1992	Raynolds et al.
5,124,405 A	6/1992	Erickson
5,127,951 A	7/1992	imissio clai.
5,151,770 A	- 7/1994 0/1007	Will an array array 404/190
5 174.814 A	12/1992	Burwell et al.
5.176.947 A	1/1993	Afzali-Aıdakani et al.
5,183,504 A	2/1993	Kuwajima et al.
5,215,579 A	6/1993	Keemer et al.
5,218,008 A	6/1993	Parrish Consulting at all
5,219,300 A	11/1003	Jazari et st. Hemrick
5.261.955 A	11/1993	Nadkarni
5,270,430 A	12/1993	Partish
5,279,850 A	1/1994	DeCrosta et al.
5,281,261 A	1/1994	Lin
5,288,160 A	2/1994	Li et al.
5,294,200 A	★ 2/1994	Makunoki 106/000
5,200,032 A	4/1994	Helbrecht et al
5.308.390 A	5/1994	Pesgaz
5,320,673 A	6/1994	Carpenter
5,324,354 A	6/1994	Jesse et al.
5,338,351 A	8/1994	Pronaz
5,347,595 A	9/1994	Bokser
5,348,579 A	9/1994	Jenkins et al. Inskine at al
5,350,409 M	10/1224	Define et al
5.373.028 A	12/1994	McAlee et al.
5,374,306 A	12/1994	Schlegel et al.
5,374,687 A	12/1994	Cooperman et al.
5,378,574 A	1/1995	Winnik et al.
5,382,282 A	1/1995	i'canaz
5 431 721 A	1/1770	Propez et al
5.451.263 A	9/1995	Linn et al.
5,474,603 A	12/1995	Miyashita et al.
5,494,512 A	2/1996	Yamamoto et al.
5,520,473 A	5/1996	Dusham
5,521,722 A	S/1996	Colvill et al.
5,347,741 A	5/1995 2/1007	FCANAZ CL AL Locaio
5,640,225 A	6/1997	Nakata
5,662,738 A	9/1997	Schmid et al.



U.S. Patent

May 13, 2003



1 METALLIC INK COMPOSITION FOR WICK TYPE WRITING INSTRUMENTS

CROSS-REFERENCES

The present application is a continuation under 37 C.F.R. ⁵ §1.53(b) of prior application Ser. No. 09/839,937 filed Apr. 19, 2001, now U.S. Pat. No. 6,402,412, which is a continuation of application Ser. No. 09/416,359 filed Oct. 12, 1999, now U.S. Pat. No. 6,224,284, by Chhman SUKIINA and Charles Reichmann entitled METALLIC INK COMPOSI-TION FOR WICK TYPE WRITING INSTRUMENTS.

BACKGROUND OF THE INVENTION

The present invention relates to metallic ink based writing 15 instruments and, more particularly, to metallic ink compositions for wick type writing instruments.

Currently, metallic ink writing instruments are enjoying great popularity. These are almost entirely in the form of a conventional style marker currently called a valve action $_{20}$ marker (see FIG. 1). From the diagram, one can see that this is a complex device involving elaborate assembly. The valve action marker also involves shaking back and forth and then depressing, or pumping, the nib several times before ink will come down. As one can see, this is a rather cumbersome $_{25}$ process.

Historically, metallic pigments have a large particle size greater than 20 microns; therefore, passage through a wickstyle marker has not been possible. Instead, a valve-action marker is constructed with two steel balls in its ink chamber 30 and a spring mechanism at the front in contact with the nib or tip. Since the specific gravity of the aluminum pigment is greater than water, it tends to settle rapidly. This is overcome by shaking back and forth, thereby re-mixing the aluminum particles in the ink. The pumping action on the paper forces 35 the large particle aluminum pigments through the tip by applying pressure through the tip, thereby, producing ink and making writing possible.

Related conventional art includes U.S. Pat. No. 5,013,543 to Mercado; U.S. Pat. No. 5,219,560 to Kazuhiro; U.S. Pat. ⁴⁰ No. 5,106,881 to Hiroshi; U.S. Pat. No. 4,761,277 to Valdes; U.S. Pat. No. 4,872,905 to Bourne and U.S. Pat. No. 4,604,139 to Shoi, the contents of which are incorporated by reference herein. Regardless, the prior art has not delivered to date a wick-style marker which can deliver metallic pigments, i.e. inks, in writing instruments. As a result, metallic ink writing instruments have been more expensive, more complex to build and maintain, less reliable and have not fully realized their potential.

SUMMARY OF THE INVENTION

The general object of the present invention is to provide metallic ink compositions that work reliably in wick type writing instruments.

The foregoing and other objects of the invention are ⁵⁵ realized by the present invention which shows how one can overcome the aforementioned drawbacks of the prior are using a comparatively inexpensive wick-style marker that works by capillary action eliminating a costly assembly ⁶⁰ process.

The objects of the invention are achieved by mainly utilizing:

- A small particle size aluminum dispersion less than 12 microns;
- 2. an anti-settling agent to keep aluminum flakes in suspension;

- 3. a densely packed highly porous polyester fiber filler;
- a highly porous oriented polyester fiber nib with many channels;

5, a non-volatile humectant; and

6. a sub-micron colored pigmented dispersion.

This invention will work for a conventional simple wickstyle marker that carries a cylindrical shaped reservoir (filler) that absorbs the ink and transports it to a porous oriented fiber nib that feeds on the filler by capillary action (see FIG. 2).

The invention results in a minimum of metallic particles settling out. The marker can be stored either vertically or horizontally; overcoming a traditional problem associated with pfgmented ink systems. This wick-ink system, when filled into the writing device (FIG. 2), will also lay-down a thick opaque line capable of being seen on both black and white surfaces.

Traditional inks that work with pens (FIG. 2) cannot write on black paper since they are translucent, therefore, this market has been occupied by the valve-action marker of

FIG. 1 which permits the flow of large particle size pigments. This invention solves this problem in a wick-style writing instrument (FIG. 2) that is user friendly and much simpler, not to mention a cheaper alternative.

The present invention is based on the startling discovery that based on the disclosed, specific ink formulation, a writing instrument can be made that carries a cylindrical shaped reservoir which feeds into a fiber nih by capillary action and remains for extended periods without settling out or blocking the nib or tip. This invention also eliminates the

use of an expensive valve type marker. Thus, an object of the invention is that a single wick-type pen can be used to write on both black and white surfaces

and achieve a metallic almost iridescent appearance. Another object of the invention is a pen that may possibly be used for decorative poster work on both black and white surfaces.

A further object of the invention is the ability to write on human skin to achieve decorative effects similar to temporary tattoos, with an intense opaque metallic look, and washable with soap and water.

Still further, an object of this invention is to attain, by changing the permanent binder to a temporary one, a damp erase or dry cruse writing instrument that can be made to write on black and white melamine, porcelain and all non porous boards.

The invention composition includes water as a carrier, an acrylic resin in solution as a binder although a polyvinylpyrrolidone polymer can be substituted to make temporary inks,

50 a pigmented dispersion as a coloring agent, a unique aluminum dispersion of particle size 1 to 12 microns, a surfactant to reduce surface tension, an anti-settling agent to suspend aluminum particles along with a preservative, and a pH adjuster in the form of an amine.

The components of the writing instrument utilized in this invention are of some importance. The polyester filler, or reservoir chosen, must be densely packed and porous to allow flow of aluminum particles and at the same time keep settling to a minimum. Polyester fiber is chosen because it

50 is non-reactive and very porous. The tip, or nib, of the writing instrument must be a porous, oriented polyester nib that has many channels to allow aluminum pigments to pass freely. Many other types of fibers will filter the aluminum and only allow the colored pigment to pass through, thus.
65 creating a non-metallic appearance.

One additional detail should be noted about this invention. Dyes are not used in this composition. This is because

dyes wash away easily, they do not provide bonding to the aluminum pigments and are more of an outline as described in U.S. Pat. No. 4,604,139.

This invention utilizes a permanent and semi-permanent opaque metallic ink, which may display iridescent qualities 5 depending on the surface written on—black or white.

Other features and advantages of the present invention will become apparent from the following description of the invention which refers to the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 diagrammatically illustrates a conventional valve action ink dispensing writing implement.

FIG. 2 illustrates a conventional, wick-style writing implement. 15

DETAILED DESCRIPTION OF THE INVENTION

The present invention illustrates the considerable case and convenience of an ink system in a simple wick type writing ²⁰ instrument (FIG. 2), compared to the complexity of conventional valve-action markers (FIG. 1) that are currently used to deliver metallic inks.

This invention is accomplished by providing an aqueous one-phase ink composition having a viscosity of 5 cps to 10²⁵ cps at 25° Celsius with a pH of 7.5 to 8.5 suitable for use in a wick-style writing instrument with a porous oriented fiber nib.

This ink system contains an aluminum pigmented dispersion of no greater particle size than about 12 microns, a sub-micron pigmented colored aqueous dispersion to impart a tim and contribute to permanency, an anti-settling agent to keep aluminum particles from settling out, and a binder to bind the aluminum pigments together and to impart adhesion, water resistance, gloss, and permanency to different surfaces.

In addition to the foregoing important ingredients, this ink system may contain other additives including a preservative, a surfactant to reduce surface-tension, a pH adjuster in the form of an amine, and a rheology modifier such as a starch or clay.

As noted before, one of the important aspects of the present invention is the particle size of the aluminum pigments. If the particle size is too large, greater than 12 $_{45}$ microns, they will not flow through the pathways of the filler and nib. This is one of the main reasons why metallic inks have been confined to be used only in valve markers (FIG. 1), which is essentially a free-flowing system, and the pumping action imparts pressure on the aluminum particles 50 forcing them through the nib.

The present invention solves the problem by using a carefully monitored particle size aluminum dispersion, along with a customized filter of high density with many pathways, and a highly porous oriented fiber nib with many schannels.

The aluminum flakes are dispersed initially in water and binder of starch with the pH adjusted anywhere between 7.0 and 8.0. This prevents the build up of hydrogen gas, which is a notorious and dangerous problem associated with aluminum pigments. The finished ink system is adjusted to a pH of 7.5 to 8.5; this is to ensure this problem does not occur.

The dispersion used in the present invention is of the type Stapa Hydrolac AW from Eckart and Roto Vario from Eckart. The amount needed to impart a metallic look varies 65 from about 10% to 25% by weight depending upon the desired intensity of the color.

It is very interesting to note that on black surfaces, the pigmented color and the aluminum pigments bond together to impart a uniform metallic line. On white paper, the color is separated from the metallic flakes showing a line that is tinted in color and flecked with aluminum to impart a silver appearance.

The aluminum dispersions of the present invention are very stable at temperatures of 100° F. to 125° F. and do not dry up easily. This contributes to the long shelf life of the marker. The ink system is more stable because starch which bonds the aluminum flakes in the dispersion has even more cohesion with the marker binder of the system thereby contributing to it staying in solution and keeping the aluminum flakes suspended which, of course, provides an anti-settling effect.

The binder in the present invention is an acrylic resin solution of about 40% to 50% solids. This binder forms a clear film at room temperature on drying. It is resistant to water and adheres well to most surfaces. The pH is between 7.5 and 8.5. The viscosity is 100 to 150 cps and the glass transition temperature t.g. °C30. The binder must be able to form a film at room temperature. This is the only way the aluminum flakes will bond to writing surfaces on drying. Typical resins of this type include GA-1590 from B. F. Goodrich and Joneryl 537 from S. C. Johnson.

Many other polymers will come to mind of those skilled in the art. The binder is used at about 10% to 25% by weight depending on the desired resistance properties.

The pigmented colored dispersion is of great importance since this dispersion imparts the desired color to the overall metallic ink system and makes it pleasing to the eye. The present invention utilizes a dispersion of about 35% to 45% solids, pH 8.0 to 9.0, and viscosity of 100 to 150 cps at 25° C. The pigments are grounded in an acrylic binder or surfactant to a particle size less than 0.5 micron enhancing their bonding ability to the aluminum pigments. Common dispersions used in the invention include Heucosperse colors from Heucotech and Acroverse colors from Penn Color. A percentage of 10% to 20% by weight is used depending on the desired color intensity.

The anti-settling agent additive is of particular importance because of the aluminum flakes readiness to settle out. The ionicly charged sites on the additive bond themselves to the aluminum flakes suspending them in solutions for extended periods and keeping them tightly adhered to the surfaces of the colored pigments. This ensures that the ink traveling down the polyester filler into the nib and onto the writing surfaces is metallized. Without the anti-settling agent, the aluminum flakes would separate very quickly from the colored pigments. The resulting system will be one that writes very non-uniform by, with colored lines appearing with no metailic appearance. The anti-settling agent utilized in this invention is ionic in nature and derived from a polycarboxylic acid. Examples are phtalic acid, adipic acid and tri-metallic acid. A percentage of 0.25% to 1.5% by weight is utilized, an example of this agent is BYK105 from Byk Chemie.

A humectant is utilized in this invention to keep the finished writing instrument from drying out on extended storage in warm and humid conditions. Polyglycols are particularly adept in these applications, although glycerin may be utilized. This invention uses Polyglycols of molecular weight of 400 to 725 at about 5% to 10% by weight. Examples are Carbowax 400 and Carbowax 700 distributed by Pride Chemicals.

With many water based ink systems the surface tension is very high. A surfactant helps reduce this property so that

õ

is

30

35

5

proper flow through the filler and wetting on different surfaces are achieved. This ink composition has a surface tension of about 37 to 40 dynes/cm and utilizes a fluro chemical surfactant similar to one provided by 3M FC-170C and zonyl FSN supplied by DuPont Chemicals. A percentage s of 0.5% to 0.75% by weight is used in this invention.

To discourage improper use of the marker, a water-soluble organic solvent such as denatured ethanol is utilized in this invention. This also contributes to its drying speed on different surfaces. An example would be SOF-642 distributed by Pride Chemicals. A quantity of 3% to 10% is utilized.

As mentioned before, the ink system needs to be maintained at a pH of 7.0 to 8.5 so as to avoid the build up of hydrogen gas. Therefore, an amine pre-mixed with water to avoid shocking the aluminum flakes is utilized to adjust and stabilize the pH. This invention uses Triethanolamine (99%) at about 0.25% to 1.0% by weight, distributed from Pride Chemicals. Other common amines such as AMP-95 distributed by Angus Chemicals can also be used.

Finally, a preservative is utilized to keep fungi and bacteria from build up in the writing instrument on extended storage. Anumber of preservatives will come to mind of one skilled in the art. The preferred product in this invention is 25 Germaben II from ISP Polymers used at 1.0% to 3.0% by weight.

The following examples will demonstrate this ink system that utilizes a simple wick-style marker (FIG. 2).

All figures are percentage by weight.

	Distilled Water	57.00
B. F. Goodrich	GA1594	10.00
Pride Chemical	Carbowax 400	5.00
Fekart	Stapa Hydolac AW	15.00
Penn Color	Acroverse Red 5123	10.50
ISP Polymen	Germaben II	1.25
Pride Chemical	Triethylanolamine 99%	0.25
Byk Chemie	Byk 105	1.00
		100.00
Blue Permanent Me	tallic ink	
	Distilled Water	42.25
B. F. Goodrich	GA1594	10.00
Pride Chemical	Carbowax 400	5.00
Eckart	Roto Vario RV5000	25,00
Heuback	Heucosperse BS5525	15.00
ISP Polymens	Germaben II	1.25
Pride Chemical	Tristhylanolamine 99%	0.50
Byk Chemie	Byk 105	1.00
0 - D		100.00
Green Permanent M	etallic Ink	
	Distilled Water	50.25
S. C. Johnson	Joneryl 537	11.00
	a a b b c c c c c c c c c c	4 00
Pride Chemical	Carbowax 400	4.00
Pride Chemical Eckart	Carbowax 400 Reio Vario RV5008	20.00
Pride Chemical Bekart Penn Color	Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120	4.00 20.00 12.00
Pride Chemical Eckart Pean Color ISP Polymers	Carbowax 400 Reio Vario RV5008 Acroverse Green 32G120 Germaben II	4.00 20.00 12.00 1.25
Pride Chemical Eckart Penn Color ISP Polymers Angus Chemical	Carbowax 400 Roto Vario RV5008 Acrovense Green 32G120 Germaben II AMP-95	4.00 20.00 12.00 1.25 0.25
Pride Chemical Bekart Penn Color ISP Polymers Angus Chemical Byk Chemic	Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105	4.00 20.00 12.00 1.25 0.25 1.25
Pride Chemical Bekatt Penn Color ISP Polymers Angus Chemical Byk Chemic	Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105	4.00 20.00 12.00 1.25 0.25 1.25 100.00
Pride Chemical Bokatt Penn Color ISP Polymers Angus Chemical Byk Chemic Gold Permanent Me	Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105 tallic Ink	4.00 20.00 12.00 1.25 0.25 1.25 100.00
Pride Chemical Bekart Pean Color ISP Polymers Angus Chemical Byk Chemic Gold Permanent Me	Carbowax 400 Roto Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105 tallic Ink Distilled Water	4.00 20.00 12.00 1.25 0.25 1.25 100.00 44.50
Pride Chemical Bokart Pean Color ISP Polymers Angus Chemical Byk Chemic Gold Permanent Me S. C. Johnson	Carbowax 400 Refo Vario RV5008 Acroverse Green 32G120 Germaben II AMP-95 Byk 105 tailie Ink Distilled Water Joneryi 537	4.00 20.00 12.00 1.25 0.25 1.25 100.00 44.50 10,00

	i		
Ľ		L	
	,		

i leubach	Heucosperse III YS5345	15.00
Eckhart	Roto Vario RV5008	20.00
ISP Polymens	Germaben li	2.00
Angus Chemical	AMP-95	0.25
Byk Chemie	Bvk 105	1.25

Semi-Permanent Wet Erase Metallic Ink For Black and White Melamine, Porcelain and All Non Porous Boards

	Distilled Water	63.7
Pride Chemical	Carbowax 400	7.00
ISP Technologies	PVP/VA630	2.00
Heubech	Heucosperse 33S5528	10.00
Eckhart	Roto Vario RV5008	15,00
ISP Technologies	Germeben II	1.0
Angus Chemical	AMP-95	0.2
		4.00
Byk Chemie Red Ink Wet Erase	Byk 105 Metallic	100.00
Byk Chemie Red Ink Wet Erase	Byk 105 Metallic Distilled Water	<u> </u>
Byk Chemie Red Ink Wet Erase Pride Chemical	Byk 105 Metallic Distilled Water Carbowax 400	<u> </u>
Byk Chemie Red Ink Wet Brase Pride Chemical Pena Color	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123	<u> </u>
Byk Chemie Red Ink Wet Erase Pride Chemical Penn Color ISP Technologies	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperae Red 5123 Germaben II	100.00 67.75 4,00 15.00 1.00
Byk Chemie Red Ink Wet Brase Pride Chemical Penn Color ISP Technologies Eckart	Byk 105 Metallic Distilled Water Carbownx 400 Heucosperae Red 5123 Germaben II Roto Vario RV5308	1,00,00 67.7 4,00 15.00 1,00
Byk Chemie Red Ink Wet Erase Pride Chemical Penn Color ISP Technologies Eckart Pride Chemical	Byk 105 Metallic Distilled Water Carbowax 400 Heucosperse Red 5123 Germaben II Roto Vario RV5308 Tritethanolamine 99%	1,00,00 67.7 4,00 15.00 10,00 0,50
Byk Chemie Red Ink Wet Erase Pride Chemical Penn Color ISP Technologies Eckart Pride Chemical Byk Chemic	Byk 105 Metallic Distilled Water Carbowsx 400 Heucosperse Red 5123 Gernsben II Roto Vario RV5308 Triethanolamine 99% Byk 105	100.00 67.73 4,00 15.00 1.00 10.00 0.50 0.75

Summarizing, the present invention comprises a metallizing system for writing instruments having a densely packed polyester fiber reservoir and a porous polyester oriented fiber nib with channels allowing passage of specific

particle size aluminum pigment (less than 12 microns). This nib is in contact with the reservoir shown in the writing instrument illustrated in FIG. 2 and the system functions by capillary action.

As described, the ink system includes: distilled water as a carrier, at about 40% to 70% by weight; a water soluble organic solvent having an evaporation rate greater than 1.0 at 25° C, using butyl acetate at about 1.0 as a basic; a liquid acrylic resin solution at about a 40% to 50% solids and present in the ink system from about 10% to 20% by weight; said humectant being selected from a group of polyglycol

⁵⁰ molecular weight 400 to 1025 and present in the ink system from about 5% to 15% by weight; an aluminum pigmented dispersion of about 10% to 15% solids at less than about 12 microns particle size and present at 10% to 25% by weight with a pH of about 7.0 to 8.5; a fluro chemical surfactant

55 with a pH of about 9.0 and surface tension of about 25 dynes/cm and present at 0.25% to 1.0% by weight; an anti-settling agent chosen from a lower molecular weight unsaturated polycarboxylic acid that has an ionic charge present in an amount 0.25% to 1.25% by weight; and an

ammoniacal base for adjusting the pll of the ink system to about pll 7.5 to 8.5 present in an amount 0.25% to 1.5% by weight; and a colored water-based pigmented dispersion of about 35% to 45% solids having a particle size less than about 0.5 microns and present in amount 10% to 20% by 65 weight.

The ink system also includes a binder than is an acrylic resin solution present at about 10% to 25% by weight

selected from a group or class of acrylic resin solutions that have a t.g. °C -16 to 30, MFFT °C<25°C., a viscosity of about 50 cps to 150 cps, and a solid content of about 40% 10 50%.

7

Although the present invention has been described in 5 relation to particular embodiments thereof, many other variations and modifications and other uses will become apparent to those skilled in the art. It is preferred, therefore, that the present invention be limited not by the specific disclosure herein, but only by the appended claims.

What is claimed is:

A writing instrument, comprising:

- a fibrous reservoir with passageways defined therein and a porous nib with channels allowing passage of an ink 15 therethrough;
- an ink formulation in the fibrous reservoir, the ink formulation including a color pigmented dispersion and a metallic dispersion; and
- the nib being in contact with the fibrous reservoir and being able to absorb the metallic dispersion solely by capillary action and the ink formulation being formulated to permit the metallic dispersion to pass through the fibrous reservoir passageways and the channels in
- 2. The writing instrument of claim 1, including;
- an anti-settling agent to keep the metallic particles in suspension;

a humectant; and

said color pigmented dispersion having a sub-micron particle size.

3. The writing instrument of claim 2, wherein the metallic dispersion comprises an aluminum-pigmented water-based dispersion whose particle size is no greater than 12 microns 35 made from bright leafing aluminum flakes.

4. The writing instrument of claim 3, wherein said aluminum flakes are dispersed in a medium of starch and water to prevent settling and a pH of 8.0 and 8.5 to prevent hydrogen gas build up and is stable at 100° F. to 125° F. so 40 a preservative, a surfactant to reduce surface-tension, and a as to prevent drying out of the writing instrument.

5. The writing instrument of claim 2, wherein said ink formulation includes, as an anti-settling agent based on a 8

lower molecular weight, unsaturated polycarboxylic acid with ionic activity that will bond with aluminum pigments and keep them in suspension for extended periods in a low viscosity ink system.

6. The writing instrument of claim 2, wherein said antisettling agent increases color strength and prevents hard settling of the metallic dispersion.

7. The writing instrument of claim 2, wherein said ink formulation utilizes a humectant chosen from a group of 10 polyglycol of molecular weight 400 to 1025,

8. The writing instrument of claim 2, wherein said ink formulation includes a pH adjuster in the form of a base capable of stabilizing said formulation to a pH of 8.0 to 8.5.

9. The writing instrument of claim 2, wherein said fibrous reservoir is made of polyester that is tightly bonded in a wrapped polypropylene skin and the reservoir is in contact with the nib which is a multi-channel highly porous oriented fiber nib said ink formulation having a viscosity of S cps to 20 10 eps at 25° C., a surface tension of less than 40 dynes/cm at 25° C., a pH about 7.0 to 8.5 capable of making permanent or semi-permanent metallic lines on black and white surfaces

10. The writing instrument of claim 2, wherein said the nib without clogging or blocking the passageways 25 writing instrument is capable of making semi-permanent opaque metallic lines on human skin and nails that are washable with soap and water.

11. A writing instrument, comprising:

- a non valve-action barrel with an opening that is closed off by a fiber nib;
 - a fibrous reservoir in physical contact with the nib;
 - an ink formulation in the fibrous reservoir, the ink formulation containing a metallic pigmented dispersion of not greater particle size than about 12 microns, a pigmented dispersion to impart a tint, and a binder; the ink formulation flowing to the nib solely by capillary action.

12. The writing instrument of claim 11, further including pH adjuster.