S JS 44 (Rev. 12/07) (CAND Rev 1/10)

CIVIL COVER SHEET



The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON PAGE TWO OF THE FORM.)

I. (a) PLAINTIFFS		TO OF THE FORM	11.)	DEFENDANTS			
OSRAM GmbH				DEFENDANTS			
				LG ELECTRONICS INC., LG INNOTEK CO., LTD., LG ELECTRONICS U.S.A., INC., and LG INNOTEK U.S.A., INC.			
(b) County of Residence of First Listed Plaintiff Germany				County of Residence of First Listed Defendant			
(EXCEPT IN U.S. PLAINTIFF CASES)				(IN U.S. PLAINTIFF CASES ONLY)			
				NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.			
(c) Attorney's (Firm Name, Address, and Telephone Number)				Attorneys (If Known)			
Thomas F. Fitzpatrick, GOODWIN PROCTER LLP,						,	
135 Commonwealth Drive, Menlo Park, CA 94025							
				CV1	1-026	399	
II. BASIS OF JURISDICTION (Place an "X" in One Box Only)				CITIZENSHIP OF PR	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff	
				(For Diversity Cases Only) and One Box for Defendant)			
1 U.S. Government X 3 Federal Question Plaintiff (U.S. Government Not a Party)			Cì	itizen of This State 1	Incorporated or Princ of Business In T		
2 U.S. Government 4 Diversity Defendant (Indicate Citizenship of Parties in Item III)			Ci	Citizen of Another State 2 2 Incorporated and Principal Place 5 5			
		•	Ci	tizen or Subject of a 3	3 Foreign Nation	6 6 6	
IV. NATURE OF SUIT (Place an "X" in One Box Only)							
CONTRACT 110 Insurance		PRTS		FORFEITURE/PENALT	Y BANKRUPTCY	OTHER STATUTES	
120 Marine	PERSONAL INJURY 310 Airplane	PERSONAL IN. 362 Personal Inju		610 Agriculture 620 Other Food & Drug	422 Appeal 28 USC 158	400 State Reapportionment	
130 Miller Act 140 Negotiable Instrument	315 Airplane Product	Med. Malpra	ictice	625 Drug Related Seizure	423 Withdrawal 28 USC 157	410 Antitrust 430 Banks and Banking	
150 Recovery of Overpayment	Liability 320 Assault, Libel &	365 Personal Inju Product Liab		of Property 21 USC 88		450 Commerce	
& Enforcement of Judgment 151 Medicare Act	Slander	368 Asbestos Per	sonal	640 R.R. & Truck	PROPERTY RIGHTS 820 Copyrights	460 Deportation 470 Racketeer Influenced and	
152 Recovery of Defaulted	330 Federal Employers' Liability	Injury Produ Liability	ct	650 Airline Regs.	820 Copyrights 830 Patent	Corrupt Organizations	
Student Loans (Excl. Veterans)	340 Marine 345 Marine Product	PERSONAL PROP	PERTY	Safety/Health	840 Trademark	480 Consumer Credit 490 Cable/Sat TV	
153 Recovery of Overpayment	Liability 370 Other Fraud 371 Truth in Lending		lima.	690 Other		810 Selective Service 850 Securities/Commodities/	
of Veteran's Benefits 160 Stockholders' Suits	350 Motor Vehicle 355 Motor Vehicle	380 Other Person	al	LABOR	SOCIAL SECURITY	Exchange	
190 Other Contract 195 Contract Product Liability	Product Liability	Property Dam 385 Property Dan		710 Fair Labor Standards Act	861 HIA (1395ff) 862 Black Lung (923)	875 Customer Challenge 12 USC 3410	
196 Franchise	360 Other Personal Injury Product Liability			720 Labor/Mgmt. Relations 730 Labor/Mgmt.Reporting	863 DIWC/DIWW (405(g))	890 Other Statutory Actions	
REAL PROPERTY	CIVIL RIGHTS	PRISONEI		& Disclosure Act	864 SSID Title XVI 865 RSI (405(g))	891 Agricultural Acts 892 Economic Stabilization Act	
210 Land Condemnation	441 Voting	PETITION 510 Motions to Vi		740 Railway Labor Act 790 Other Labor Litigation		893 Environmental Matters 894 Energy Allocation Act	
220 Foreclosure	442 Employment	Sentence		791 Empl. Ret. Inc.	EEDEDAL TAN CHICAG	895 Freedom of Information	
230 Rent Lease & Ejectment 240 Torts to Land	Accommodations	Accommodations Habeas Corpus: 530 General		Security Act	FEDERAL TAX SUITS	Act 900Appeal of Fee	
245 Tort Product Liability 290 All Other Real Property	□444 Welfare	535 Death Penalty			870 Taxes (U.S. Plaintiff or Defendant)	Determination	
270 7 m Other Real Property	445 Amer. w/Disabilities - Employment	540 Mandamus & 550 Civil Rights	Other	IMMIGRATION	871 IRS—Third Party 26 USC 7609	Under Equal Access to Justice 950 Constitutionality of	
F	446 Amer. w/Disabilities – Other	555 Prison Condit	ion '	462 Naturalization Application 463 Habeas Corpus -			
E	440 Other Civil Rights			Alien Detainee		State Statutes	
				465 Other Immigration Actions			
V. ORIGIN (Place an "X" in One Box Only) Transferred from Appeal to District							
Toriginal 2 Removed from 3 Remanded from 4 Reinstated or 5 another district 6 Multidistrict 7 Judge from							
Proceeding State Co	oun Appel	ate Court	Reopen	ned (specify)	Litigation	Magistrate	
VI. CAUSE OF ACTION Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 35 U.S.C. 271 et seq.							
							a creat of Relion
Patent infringement VII. REQUESTED IN CHECK IF THIS IS A CLASS ACTION DEMANDS							
COMPLAINT: UNIDER E.R.C.D. 23							
VIII. RELATED CASE(S) PLEASE REFER TO CIVIL L.R. 3-12 CONCERNING REQUIREMENT TO FILE							
IF ANY "NOTICE OF RELATED CASE". IX. DIVISIONAL ASSIGNMENT (CIVIL L.R. 3-2)							
(PLACE AND "X" IN ONE BOX ONLY) SAN FRANCISCO/OAKLAND X SAN JOSE FUREKA							
DATE 7 2. SIGNATURE OF ATTORNEY OF RECORD							

JS 44 Reverse (Rev. 12/07)

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity.

Example:
U.S. Civil Statute: 47 USC 553
Brief Description: Unauthorized reception of cable service

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.