Cas	2:12-cv-09879-JAK-E Document 1 Filed	11/19/12 Page 1 of 3	88 Page ID #:6
1 2 3 4 5	QUINN EMANUEL URQUHART & SU Brian Cannon (Bar No. 193071) briancannon@quinnemanuel.com Natasa Pajic (Bar No. 268144) natasapajic@quinnemanuel.com 555 Twin Dolphin Drive, 5 th Floor Redwood Shores, California 94065 Telephone: (650) 801-5000 Facsimile: (650) 801-5100	TLLIVAN, LLP	CLER CLER S
6 7 8 9	Rory S. Miller (Bar No. 238780) rorymiller@quinnemanuel.com 865 South Figueroa Street, 10 th Floor Los Angeles, California 90017 Telephone: (213) 443-3000 Facsimile: (213) 443-3100 Attorneys for Plaintiffs Life Technologies	5	FILED NOV 19 PH 12: 13 U.S. DISTRICT COURT LOS ANGELES
10 11 12 13 14	Corporation and the California Institute o Technology LIFE TECHNOLOGIES CORPORATIO Bradford Paul Schmidt (Bar No. 174440 bradford.schmidt@lifetech.com 5781 Van Allen Way Carlsbad, California 92008 Telephone: (760) 268-8315	f N D)	
15 16	Attorneys for Plaintiff Life Technologies Corporation		
17 18	UNITED STATES CENTRAL DISTRIC	DISTRICT COURT	۲.
19 20 21 22 23	WESTERN LIFE TECHNOLOGIES CORPORATION, a Delaware corporation and the CALIFORNIA INSTITUTE OF TECHNOLOGY, a California corporation,	CASE NO. 2 9 CASE NO. 2 9 COMPLAINT FOI INFRINGEMENT	879 JAK (Ex) MANDED
24 25 26	Plaintiffs, vs. PROMEGA CORPORATION, a Wisconsin corporation,		
27 28 04724.51988/5045455.3	Defendant.	COMPLAIN	T FOR PATENIT INFRINGEMENT
		COM LAN	· · ··································

Plaintiffs Life Technologies Corporation and the California Institute of
 Technology file this complaint against Promega Corporation:

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The Parties

Plaintiff Life Technologies Corporation ("Life") is a Delaware
 corporation with a principal place of business at 5791 Van Allen Way, Carlsbad,
 California 92008.

Plaintiff the California Institute of Technology ("Caltech" and
collectively with Life, the "Plaintiffs") is a California corporation with a principal
place of business at 1200 East California Boulevard, Pasadena, California 91125.

3. Upon information and belief, Defendant Promega Corporation
("Promega") is a Wisconsin corporation with its headquarters at 2800 Woods
Hollow Road, Madison, Wisconsin 53711.

13

Jurisdiction and Venue

4. This lawsuit is an action for patent infringement arising under the
patent laws of the United States, 35 U.S.C. §§ 1 *et seq*. This Court has jurisdiction
over this action pursuant to 28 U.S.C. §§ 1331 and 1338.

This Court has personal jurisdiction over Promega because Promega
 conducts business in the State of California and has committed acts of patent
 infringement and/or contributed to or induced acts of patent infringement by others
 in the Central District of California and elsewhere in California and the United
 States. For example, Promega maintains a facility within this district, located at 277
 Granada Drive, San Luis Obispo, California 93401.

6. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391
and 1400 because Promega regularly conducts business in this judicial district, and
certain of the acts complained of herein occurred in this judicial district. Promega
offers to sell and sells the accused products in this judicial district.

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The Patent in Suit

7. On January 10, 2012, the United States Patent Office issued U.S. Patent
 Re-issue No. 43,096 titled "Tagged Extendable Primers and Extension Products"
 (the "096 patent"). A true and correct copy of the '096 patent is attached hereto as
 Exhibit A. The '096 patent is a reissue of United States Patent No. 6,200,748 (the
 "748 patent").

8. Following an October 30, 2012 agreement, Plaintiffs have all right,
title, and interest in, and are fully entitled to enforce, the '096 patent. Pursuant to
that agreement, Life possesses, among other rights, the exclusive right to both
sublicense and enforce the '096 patent. Prior to this agreement, neither Life nor its
subsidiaries had unrestricted and exclusive rights to sublicense or enforce the '096
patent.

9. 13 The inventions of the '096 patent are a seminal improvement to genetic assays and analysis. The patent discloses various inventions, including methods, 14 15 compositions, and mixtures that employ fluorescence instead of radioisotopes to label oligonucleotides, and the use of fluorescent labeling overcame the many 16 known disadvantages and obstacles of the then-conventional radioisotope labeling in 17 genetic assays. The claims of the '096 patent relate to and cover compositions, 18 19 mixtures of reagents and other components, and methods for nucleic acid sequence analysis, all of which are useful in various types of genetic analysis. 20

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Background to the Action

10. The inventions disclosed in the '096 patent and its predecessor have
numerous applications to genetic assays and analysis.

11. Promega offers numerous products for genetic assays and analysis,
including those it brands as "PowerPlex" "StemElite" and "CellID." Each of these
product lines utilize fluorescent-tagged oligonucleotides in genetic assays in a
manner disclosed in, described by, and claimed by the '096 patent.

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1 12. The predecessor to the '096 patent, the '748 patent, among others, was
 2 the subject of prior litigation between Promega and Applera Corporation (a
 3 predecessor entity to Life's wholly-owned subsidiary Applied Biosystems, LLC
 4 ("ABLLC")), in a case captioned *Promega Corporation v. Applera Corporation*,
 5 W.D. Wisc. Case No. 01-cv-244, filed in 2001 (the "2001 litigation").

6 13. That prior litigation ended in a settlement and cross-license agreement,
7 in which Promega agreed to pay royalties on the sales of certain licensed products
8 after the reissue of the '748 patent.

9 14. The cross-license agreement is limited in scope to the "Genetic Identity
10 Field," which includes "any analysis, based on the measurement of the length of
11 polynucleotide sequence containing a tandem repeat, of human genetic material for
12 (a) use in, or preparation for, legal proceedings, or (b) analysis of biological
13 specimens for the identification of individuals."

14 15. On January 13, 2012, three days after the USPTO reissued the '096
15 patent, Alan Hammond, ABLLC's Vice President, Intellectual Property, sent a letter
16 informing Promega of the reissue, and requesting that it honor the terms of the
17 cross-license agreement.

18 16. On information and belief, Promega sells products both within and
19 outside the scope of the cross-license agreement. Promega refuses to pay any
20 royalties.

21 22

<u>COUNT I</u>

Infringement of the '096 Patent

23 17. Plaintiffs reallege and incorporate herein the allegations of the
24 preceding paragraphs of this Complaint as if fully set forth at this point.

18. Upon information and belief, in violation of 35 U.S.C. § 271, Promega
has infringed and is continuing to infringe, literally and/or under the doctrine of
equivalents, the '096 patent by practicing one or more claims of the '096 patent in
the manufacture, use, offering for sale, sale, and/or importation or exportation of

products that practice one or more of the inventions claimed in the '096 patent. For
 example, Promega makes, uses, offers for sale, sells, and/or imports or exports
 various products, including, but not limited to, those offered under the brand names
 "PowerPlex," "StemElite" and "CellID."

5 19. Upon information and belief, in violation of 35 U.S.C. § 271, Promega
6 has infringed and is continuing to infringe the '096 patent by contributing to and/or
7 actively inducing the infringement by others of the '096 patent by the manufacture,
8 use, offering for sale, sale, and/or importation or exportation of various products,
9 including, but not limited to, those offered under the brand names "PowerPlex,"
10 "StemElite" and "CellID."

20. As but one example of Promega's contributory and/or induced
infringement, Promega explicitly encourages its customers to practice the methods
disclosed and claimed in the '096 patent by using Promega's products. In its
"Technical Manual: PowerPlex 18D System/Instructions for Use of Products
DC1802 and DC1808" (revised August 2012, and *available at*http://www.promega.com/./media/files/progeuroes/protocols/

16 http://www.promega.com/~/media/files/resources/protocols/

17 || technical%20manuals/101/powerplex%2018d%20system%20protocol.pdf?la=en),

18 Promega provides detailed, step-by-step instructions on using its products "for

19 human identification applications including forensic analysis, relationship testing

20 and research use. The [Promega PowerPlex] system allows co-amplification and

21 four-color fluorescent detection of eighteen loci (seventeen STR loci and

Amelogenin). . ." *Id.* at p. 2. Through materials such as these, Promega actively
encourages its customers to infringe the '096 patent through the use of Promega's
products.

25 21. Promega has had knowledge of the '096 patent since at least January
26 13, 2012, and knowledge of the subject matter of the '096 patent since at least the
27 assertion of the predecessor '748 patent in the 2001 litigation.

22. Upon information and belief, Promega has had knowledge that its

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products are especially made or especially adapted for use in an infringement of the
 '096 patent and are not a staple article or commodity of commerce suitable for
 substantial noninfringing use.

4 23. Upon information and belief, Promega knowingly induced
5 infringement of the '096 patent after learning of its issuance and possessed specific
6 intent to encourage another's infringement (*e.g.*, Promega's customers).

7 24. Upon information and belief, Promega has willfully infringed the '096
8 patent. Among other facts, Promega has had knowledge of the subject matter of the
9 '096 patent since at least the assertion of its predecessor patent in the 2001
10 litigation. Moreover, by entering into the cross-license agreement that specifically
11 agreed to pay royalties on covered products, Promega has acknowledged that its
12 products practice the inventions disclosed by the '096 patent and its predecessors.

Upon information and belief, Promega's accused actions continued 13 25. despite an objectively high likelihood that they constituted infringement of the '096 14 patent. Promega either knew or should have known about its risk of infringing the 15 '096 patent based at least on its litigation history involving the predecessor '748 16 17 patent and its knowledge of the reissue proceedings that preceded the '096 patent. Promega's conduct despite this knowledge was made with both objective and 18 subjective reckless disregard for the infringing nature of its activities as 19 20 demonstrated by Promega's extensive knowledge regarding the claims of the '748 and '096 patents. 21

22 26. Upon information and belief, Promega's acts of infringement of the
23 '096 patent will continue after service of this complaint unless enjoined by the
24 Court.

25 27. As a result of Promega's infringement, Plaintiffs have suffered and will
26 suffer damages.

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28. Plaintiffs are entitled to recover from Promega the damages sustained

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as a result of Promega's wrongful acts in an amount subject to proof at trial. 1 Unless Promega is enjoined by this Court from continuing its 2 29. infringement of the '096 patent, Plaintiffs will suffer additional irreparable harm and 3 impairment of the value of their patent rights. Thus, Plaintiffs are entitled to a 4 5 preliminary and a permanent injunction against further infringement. **Prayer for Relief** 6 WHEREFORE, Plaintiffs pray for the following relief: 7 That, pursuant to 35 U.S.C. § 284, Promega be ordered to pay damages 8 (a) adequate to compensate Plaintiffs for Promega's infringement of the '096 patent; 9 That, pursuant to 35 U.S.C. § 284, Promega be ordered to pay treble 10 (b)damages for willful infringement of the '096 patent; 11 That, pursuant to 35 U.S.C. § 285, that this case be deemed 12 (c)exceptional, and that Promega be ordered to pay attorneys' fees; 13 That, pursuant to 35 U.S.C. § 283, Promega, its officers, agents, 14 (d)servants, employees, and those persons acting in active concert or participation with 15 16 them be enjoined from further infringement of the '096 patent; 17 That Promega be ordered to pay prejudgment interest; (e) That Promega be ordered to pay all costs and expenses associated with 18 (f)this action; and 19 20 That Plaintiffs be granted such other and additional relief as the Court (g)deems just and proper. 21 22 111 23 111 24 111 25 /// 26 111 27 | | | 28

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1	DATED: November 19, 2012	Respectfully submitted,
2		QUINN EMANUEL URQUHART &
3		SULLIVAN, LLP
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5		By Mens Mariteon
6		Brian Cannon
7		Attorneys for Plaintiffs Life Technologies
8		Technology
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5045455.3		-8- COMPLAINT FOR PATENT INFORMENT



Exhibit A

Case 2:12-cv-09879-JAK-E Document 1



_300RE43096E

(19) United States

(12) Reissued Patent

Smith et al.

(54) TAGGED EXTENDABLE PRIMERS AND EXTENSION PRODUCTS

- (75) Inventors: Lloyd M. Smith, Madison, WI (US); Leroy E. Hood, Seattle, WA (US); Michael W. Hunkapiller, San Carlos, CA (US); Timothy Hunkapiller, Mercer Island, WA (US); Charles R. Connell, Redwood City, CA (US)
- (73) Assignee: California Institute of Technology, Pasadena, CA (US)
- (21) Appl. No.: 10/389,663
- (22) Filed: Mar. 13, 2003

Related U.S. Patent Documents

Reissue of:

(64)

Patent No .:	6,200,748
Issued:	Mar. 13, 2001
Appl. No.:	08/484,340
Filed:	Jun. 7, 1995

- U.S. Applications:
- (63) Continuation of application No. 08/361,176, filed on Dec. 21, 1994, now Pat. No. 5,821,058, which is a continuation of application No. 07/898,019, filed on Jun. 12, 1992, now abandoned, which is a continuation of application No. 07/660,160, filed on Feb. 21, 1991, now abandoned, which is a continuation of application No. 07/106,232, filed on Oct. 7, 1987, now abandoned, which is a continuation-in-part of application No. 06/722,742, filed on Apr. 11, 1985, now abandoned, which is a continuation-in-part of application No. 06/689,013, filed on Jan. 2, 1985, now abandoned, which is a continuation-in-part of application No. 06/570,973, filed on Jan. 16, 1984, now abandoned.
- (51) Int. Cl. *C12Q 1/68* (2006.01) *G01N 27/447* (2006.01)

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(10) Patent Number: US RE43,096 E

(45) Date of Reissued Patent: Jan. 10, 2012

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Primary Examiner - Stephanie K Mummert

(74) Attorney, Agent, or Firm — James S. Keddie; Carol L. Francis; Bozicevic, Field & Francis, LLP

(57) **ABSTRACT**

This invention provides a duplex comprising an oligonucleotide primer and a template, wherein the primer is coupled chemically to a chromophore or fluorophore so as to allow chain extension by a polymerase. In one embodiment, the primer is extended by a polymerase to generate the complement of the template. In a further embodiment, the extended primer is separated from the template for use in a number of methods, including sequencing reactions. Methods of generating these compositions of matter are further provided.

97 Claims, 6 Drawing Sheets

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FIG. 2

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- I) A HYPOTHETICAL DNA SEQUENCE 5' ACGTGCTACTGA 3'
- II) IDEALIZED AUTORADIOGRAM OF POLYACRYLAMIDE SLAB GEL PRODUCED IN CHAIN TERMINATION SEQUENCING ACCORDING TO THE PRIOR ART



III) IDEALIZED DIAGRAM OF COLORED DNA BANDS ON TUBE ACRYLAMIDE GEL, PRODUCED ACCORDING TO PRESENT INVENTION



IV) IDEALIZED OUTPUT FROM DETECTION OF COLORED BANDS ON ABOVE TUBE GEL



FIG. 3

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FIG. 5

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FIG. 7

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TAGGED EXTENDABLE PRIMERS AND EXTENSION PRODUCTS

Matter enclosed in heavy brackets [] appears in the 5 original patent but forms no part of this reissue specification; matter printed in italics indicates the additions made by reissue.

This application is a continuation of application Ser. No. 08/361,176 filed Dec. 21, 1994, now U.S. Pat. No. 5,821,058 which is a continuation of application Ser. No. 07/898,019, filed Jun. 12, 1992, now abandoned, which is a continuation of application Ser. No. 07/660,160, filed Feb. 21, 1991, now 15 abandoned, which is a continuation of application Ser. No. 07/106,232, filed Oct. 7, 1987, now abandoned, which is a CIP of application Ser. No. 06/722,742, filed Apr. 11, 1985, now abandoned, which is CIP of application Ser. No. 06/689, 013, filed Jan. 2, 1985, now abandoned, which is a CIP of 20 application Ser. No. 06/570,973, filed Jan. 16, 1984, now abandoned.

BACKGROUND OF THE INVENTION

The development of reliable methods for sequence analysis of DNA (deoxyribonucleic acid) and RNA (ribonucleic acid) has been one of the keys to the success of recombinant DNA and genetic engineering. When used with the other techniques of modern molecular biology, nucleic acid sequencing 30 allows dissection and analysis of animal, plant and viral genomes into discrete genes with defined chemical structure. Since the function of a biological molecule is determined by its structure, defining the structure of a gene is crucial to the eventual manipulation of this basic unit of hereditary infor- 35 mation in useful ways. Once genes can be isolated and characterized, they can be modified to produce desired changes in their structure that allow the production of gene productsproteins-with different properties than those possessed by the original proteins. Microorganisms into which the natural 40 or synthetic genes are placed can be used as chemical "factories" to produce large amounts of scarce human proteins such as interferon, growth hormone, and insulin. Plants can be given the genetic information to allow them to survive harsh environmental conditions or produce their own fertil- 45 a single set of reactions is limited primarily by the resolution izer.

The development of modem nucleic acid sequencing methods involved parallel developments in a variety of techniques. One was the emergence of simple and reliable methods for cloning small to medium-sized strands of DNA into bacterial 50 plasmids, bacteriophages, and small animal viruses. This allowed the production of pure DNA in sufficient quantities to allow its chemical analysis. Another was the near perfection of gel electrophoretic methods for high resolution separation of oligonucleotides on the basis of their size. The key con- 55 ceptual development, however, was the introduction of methods of generating size-nested sets of fragments cloned, purified DNA that contain, in their collection of lengths, the information necessary to define the sequence of the nucleotides comprising the parent DNA molecules. 60

Two DNA sequencing methods are in widespread use. These are the method of Sanger, F., Nicken, S. and Coulson, A. R. Proc. Natl. Acad. Sci. U.S.A. 74, 5463 (1977) and the method of Maxam, A. M. and Gilbert, W. Methods in Enzymology 65, 499-599 (1980).

The method developed by Sanger is referred to as the dideoxy chain termination method. In the most commonly

used variation of this method, a DNA segment is cloned into a single-stranded DNA phage such as M13. These phage DNAs can serve as templates for the primed synthesis of the complementary strand by the Klenow fragment of DNA polymerase I. The primer is either a synthetic oligonucleotide or a restriction fragment isolated from the parental recombinant DNA that hybridizes specifically to a region of the M13 vector near the 3" end of the cloned insert. In each of four sequencing reactions, the primed synthesis is carried out in the presence of enough of the dideoxy analog of one of the four possible deoxynucleotides so that the growing chains are randomly terminated by the incorporation of these "deadend" nucleotides. The relative concentration of dideoxy to deoxy forms is adjusted to give a spread of termination events corresponding to all the possible chain lengths that can be resolved by gel electrophoresis. The products from each of the four primed synthesis reactions are then separated on individuals tracks of polyacrylamide gels by the electrophoresis. Radioactive tags incorporated in the growing chains are used to develop an autoradiogram image of the pattern of the DNA in each electrophoresis track. The sequence of the deoxynucleotides in the cloned DNA is determined from an examination of the pattern of bands in the four lanes.

The method developed by Maxam and Gilbert uses chemi-25 cal treatment of purified DNA to generate size-nested sets of DNA fragments analogous to those produced by the Sanger method. Single or double-stranded DNA, labeled with radioactive phosphate at either the 3' or 5' end, can be sequenced by this procedure. In four sets of reactions, cleavage is induced at one or two of the four nucleotide bases by chemical treatment. Cleavage involves a three-stage process: modification of the base, removal of the modified base from its sugar, and strand scission at that sugar. Reaction conditions are adjusted so that the majority of end-labeled fragments generated are in the size range (typically 1 to 400 nucleotides) that can be resolved by gel electrophoresis. The electrophoresis, autoradiography, and pattern analysis are carried out essentially as is done for the Sanger method. (Although the chemical fragmentation necessarily generates two pieces of DNA each time it occurs. only the piece containing the end label is detected on the autoradiogram.)

Both of these DNA sequencing methods are in widespread use, and each has several variations.

For each, the length of sequence that can be obtained from of the polyacrylamide gels used for electrophoresis. Typically, 200 to 400 bases can be read from a single set of gel tracks. Although successful, both methods have serious drawbacks, problems associated primarily with the electrophoresis procedure. One problem is the requirement of the use of radiolabel as a tag for the location of the DNA bands in the gels. One has to contend with the short half-life of phosphorus-32, and hence the instability of the radiolabeling reagents, and with the problems of radioactive disposal and handling. More importantly, the nature of autoradiography (the film image of a radioactive gel band is broader than the band itself) and the comparison of band positions between four different gel tracks (which may or may not behave uniformly in terms of band mobilities) can limit the observed resolution of bands and hence the length of sequence that can be read from the gels. In addition, the track-to-track irregularities make automated scanning of the autoradiograms difficult-the human eye can presently compensate for these irregularities much better than computers can. This need for manual "reading" of 65 the autoradiograms is time-consuming, tedious and errorprone. Moreover, one cannot read the gel patterns while the electrophoresis is actually being performed, so as to be able to

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terminate the electrophoresis once resolution becomes insufficient to separate adjoining bands, but must terminate the electrophoresis at some standardized time and wait for the autoradiogram to be developed before the sequence reading can begin.

An oligonucleotide is a short polymer consisting of a linear sequence of four nucleotides in a defined order. The nucleotide subunits are joined by phosphodiester linkages joining the 3' hydroxyl moiety of one nucleotide to the 5' hydroxyl moiety of the next nucleotide. An example of an oligonucle- 10 otide is 5' ApCpGpTpApTpGpGpCp 3'. The letters A, C, G and T refer to the nature of the purine of pyrimidine base coupled at the 1-position of deoxyribose. A, adenine; C, cytosine; G, guanine; T, thymidine. P represents the phosphodiester bond. The structure of a section of an oligonucle- 15 otide is shown below.



The single stranded oligonucleotides of this invention are 50 further characterized by being homogenous with respect to the sequence of the nucleoside subunits and are of uniform molecular weight.

Synthetic oligonucleotides are powerful tools in modern molecular biology and recombinant DNA work. There are 55 numerous applications for these molecules, including a) as probes for the isolation of specific genes based on the protein sequence of the gene product, b) to direct the in vitro mutagenesis of a desired gene, c) as primers for DNA synthesis on a single-stranded template, d) as steps in the total 60 electrophoretic analysis of DNA fragments produced in DNA synthesis of genes, and many more, reviewed in Wm. R. Bahl et al, Prog. Nucl. Acid Res. Mol. Biol., 21, 101 (1978).

A very considerable amount of effort has therefore been devoted to the development of efficient chemical methods for the synthesis of such oligonucleotides. A brief review of these 65 methods as they have developed to the present is found in Crockett, G. C., Aldrichimica Acta 16(3), 47-55 (1983). The

best methodology currently available utilizes the phosphoramidite derivatives of the nucleosides in combination with a solid phase synthetic procedure, Matteucci et al, J. Am. Chem. Soc., 103, 3185 (1981); and Beaucage et al, M. H. Tet. Lett., 22 (20), 1858-1862 (1981). Oligonucleotides of length up to 30 bases may be made on a routine basis in this matter, and molecules as long as 50 bases have been made. Machines that employ this technology are now commercially available.

There are other reports in the literature of the derivitization of DNA. A modified nucleoside triphosphate has been developed wherein a biotin group is conjugated to an aliphatic amino group at the 5 position of uracil, Langer et al, Proc. Nat. Acad. Sci., U.S.A., 78, 6633-6637 (1981). This nucleotide derivative is effectively incorporate into double stranded DNA. Once in DNA it may be bound by anti-biotin antibody which can then be used for detection by fluorescence or enzymatic methods. The DNA which has had biotin conjugated nucleosides incorporated therein by the method of Langer et al is fragmented into smaller single and double

- ²⁰ stranded pieces which are heterogeneous with respect to the sequence of nucleoside subunits and variable in molecular weight. Draper and Gold, Biochemistry, 19, 1774-1781 (1980), reported the introduction of aliphatic amino groups by a bisulfite catalyzed transamination reaction, and their
- 25 subsequent reaction with the fluorescent tag. In Draper and Gold the amino group is attached directly to the pyrimidine base. The amino group so positioned inhibits hydrogen bonding and for this reason, these materials are not useful in hybridization and the like. Chu et al, Nucleic Acid Res.
- 30 11(18), 6513-6529 (1983), have reported a method for attaching an amine to the terminal 5' phosphate of oligonucleotides or nucleic acids.

There are many reasons to want a method for covalently attaching other chemical species to synthetic oligonucle-

- otides. Fluorescent dyes attached to the oligonucleotides permits one to eliminate radioisotopes from the research, diagnostic and clinical procedures in which they are used, and improve shelf-life availability. As described in the assignee's co-pending application for a DNA sequencing machine (Se-
- 40 rial No. the synthesis of fluorescent-labeled oligonucleotides permits the automation of the DNA sequencing process.

The invention of the present patent application addresses these and other problems associated with DNA sequencing procedures and is believed to represent a significant advance 45 in the art. The preferred embodiment of the present invention

represents a further and distinct improvement.

SUMMARY OF THE INVENTION

Briefly, this invention comprises a novel process for the electrophoetic analysis of DNA fragments produced in DNA sequencing operations wherein chromophores or fluorophores are used to tag the DNA fragments produced by the sequencing chemistry and permit the detection and characterization of the fragments as they are resolved by electrophoresis through a gel. The detection employs an absorption or fluorescent photometer capable of monitoring the tagged bands as they are moving through the gel.

This invention further comprises a novel process for the sequencing operations wherein a set of four chromophores are used to tag the DNA fragments produced by the sequencing chemistry and permit the detection and characterization of the fragments as they are resolved by electrophoresis through a gel; the improvement wherein the four different fragment sets are tagged with the fluorophores fluorescein, Texas Red, tetramethyl rhodamine, and 7-nitrobenzofurazan.

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This invention also includes a novel system for the electrophoretic analysis of DNA fragments produced in DNA sequencing operations comprising:

- a source of chromophore or fluorescent tagged DNA fragments.
- a zone for containing an electrophoresis gel,

means for introducing said tagged DNA fragments to said zone; and

photometric means for monitoring or detecting said tagged DNA fragments as they move through and are separated ¹⁰ by said gel.

It is an object of this invention to provide a novel process for the sequence analysis of DNA.

It is another object of our invention to provide a novel system for the analysis of DNA fragments.

More particularly, it is an object of this invention to provide an improved process for the sequence analysis of DNA.

These and other objects and advantages of this invention will be apparent from the detailed description which follows.

BRIEF DESCRIPTION OF THE DRAWINGS

Turning to the drawings:

FIG. 1 is an illustration of one means of end-labeling a DNA fragment with a fluorescent tag. Pst. I and T4 DNA ²⁵ ligase are enzymes commonly used in recombinant DNA research.

FIG. 2 is a block diagram of automated DNA sequencer, gel electrophoretic system.

FIG. 3 is a comparison of the type of data produced by 30 DNA sequencing of the sequence shown in FIG. 1.

FIG. 4 is a block diagram of a preferred DNA sequencer according to this invention.

FIG. 5 shows the emission spectra for the four fluorophores used as tags in the preferred embodiment of this invention. ³⁵

FIG. 6 is a schematic diagram of a possible optical configuration in the detector unit. P, lamp source; L1, objective lens; L2, collimating lens; F1, UV blocking filter; F2, heat blocking filter; F3, band pass excitation filter; F4, long pass emission filter; DM, dichroic mirror; C, polyacrylamide gel; 40 PMT, photomultiplier tube.

FIG. 7 is a schematic diagram of another possible optical configuration in the detector unit. F1 to F4 are bandpass filters centered at the emission maximum of the different dyes. P1 to P4 are photomultiplier tubes. The excitation light is of a 45 wavelength such that it is not transmitted through any of the filters F1 to F4.

DETAILED DESCRIPTION OF THE INVENTION

In the previous methods of DNA sequencing, including those based on the Sanger dideoxy chain termination method, a single radioactive label, phosphorus-32, is used to identify all bands on the gels. This necessitates that the fragment sets produced in the four synthesis reactions be run on separate gel 55 tracks and leads to the problems associated with comparing band mobilities in the different tracks. This problem is overcome in the present invention by the use of a set of four chromophores or fluorophores with different absorption or fluorescent maxima, respectively. Each of these tags is 60 coupled chemically to the primer used to initiate the synthesis of the fragment strands. In turn, each tagged primer is then paired with one of the dideoxynucleotides and used in the primed synthesis reaction with the Klenow fragment of DNA polymerase. 65

The primers must have the following characteristics. 1) They must have a free 3' hydroxyl group to allow chain extension by the polymerase. 2) They must be complementary to a unique region 3' of the cloned insert. 3) They must be sufficiently long to hybridize to form a unique, stable duplex. 4) The chromophore or fluorophore must not interfere with the hybridization or prevent 3'-end extension by the polymerase.

Conditions 1, 2 and 3 above are satisfied by several synthetic oligonucleotide primers which are in general use for Sanger-type sequencing utilizing M13 vectors.

One such primer is the 15 mer 5' CCC AG TCA CGA CGT T 3' where A, C, G and T represent the four different nucleoside components of DNA; A, adenosine; C, cytosine; G, guanosine; T, thymidine.

In the preferred embodiment of the present invention a set of four fluorophores with different emission spectra, respectively, are used. These different emission spectra are shown in FIG. 5. Each of these tags is coupled chemically to the primer used to initiate the synthesis of the fragment strands. In turn, each tagged primer is then paired with one of the dideoxynucleotides and used in the primed synthesis reaction with the Klenow fragment of DNA polymerase.

The dyes used must have high extinction coefficients and/ or reasonably high quantum yields for fluorescence. They must have well resolved adsorption maxima and/or emission masima. Representative of such amino reactive dues are: fluorescein isothiocyanage (FITC, $\lambda_{max}^{Ex}=495$, $\lambda_{max}^{Em}=520$, $\epsilon_{495}=8\times10^4$), tetramethyl rhodamine isothiocyanate (TMRITC, $\lambda_{max}^{Ex}=550$, $\lambda_{max}^{Em}=578$, $\epsilon_{550}=4\times10^4$), and substituted rhodamine isothiocyanate (XRITC, $\lambda=580$, $\lambda_{max}^{Em}=604$, $\epsilon_{580}=8\times10^4$)

where λ represents the wavelength in nanometers, Ex is excitation, Em is emission, max is maximum, and ϵ is the molar extinction coefficient. These dyes have been attached to the M13 primer and the conjugates electrophoresed on a 20% polyacrylamide gel. The labeled, primers are visible by both their absorption and their fluorescence in the gel. All four labeled primers have identical electrophoretic mobilities. The dye conjugated primers retain their ability to specifically hybridize to DNA, as demonstrated by their ability to replace the underivitized oligonucleotide normally used in the sequencing reactions.

The chemistry for the coupling of the chromophoric or fluorophoric tags is described in assignee's copending patent applications Ser. No. 565,010, filed Dec. 20, 1983, now abandoned, and Ser. No. 709,579, filed Mar. 8, 1985, the disclosures of which are expressly incorporated herein by reference. The strategy used is to introduce an aliphatic amino group at the 5' terminus as the last addition in the synthesis of the oligonucleotide primer. This reactive amino group may then readily be coupled with a wide variety of amino reactive fluorophores or chromophores. This approach aids compatibility of the labeled primers with condition 4 above.

End Labeling of DNA for Use With Maxam/Gilbert Method. In the Maxam/Gilbert method of DNA sequencing, the end of the piece of DNA whose sequence is to be determined must be labeled. This is conventionally done enzymatically using radioactive nucleosides. In order to use the Maxam/Gilbert method in conjunction with the dye detection scheme described in this invention, the DNA piece must be labeled with dyes. One manner in which this maybe accomplished is shown in FIG. 1. Certain restriction endonucleases generate what is known as a 3' overhang as the product of DNA cleavage. These enzymes generate a "sticky end," a short stretch of single stranded DNA at the end of a piece of double stranded DNA. This region will anneal with a complementary stretch of DNA, which may be covalently joined to the duplex DNA with the enzyme ligase. In this manner one of

the strands is covalently linked to a detectable moiety. This moiety may be a dye, an amino group or a protected amino group (which could be deprotected and reacted with dye subsequent to the chemical reactions).

Sequencing Reactions. The dideoxy sequencing reactions ⁵ are performed in the standard fashion Smith, A. J. H., Methods in Enzymology 65, 560–580 (1980), except that the scale may be increased if necessary to provide an adequate signal intensity in each band for detection. The reactions are done using a different color primer for each different reaction. No 10 radiolabeled nucleoside triphosphate need be included in the sequencing reaction.

The Maxam/Gilbert sequencing reactions are performed in the usual manner, Gil, S. F. Aldrichimica Acta 16(3), 59–61 (1983), except that the end label is either one or four colored dyes, or a free or protected amino group which may be reacted with dye subsequently.

Detection. There are many different ways in which the tagged molecules which have been separated by length using polyacrylamide gel electrophoresis may be detected. Four illustrative modes are described below. These are i) detection of the fluorescence excited by light of different wavelengths for the different dyes, ii) detection of fluorescence excited by light of the same wavelength for the different dyes, iii) elution of the molecules from the gel and detection by chemiluminescence, and iv) detection by the absorption of light by 25 molecules. In modes i) and ii) the fluorescence detector should fulfill the following requirements. a) The excitation light beam should not have a height substantially greater than the height of a band. This is normally in the range of 0.1 to 0.5 mm. The use of such a narrow excitation beam allows the 30 attainment of maximum resolution of bands. b) The excitation wavelength can be varied to match the absorption maxima of each of the different dyes or can be a single narrow, high intensity light band that excites all four fluorophores and does not overlap with any of the fluorescence emission.c) The optical configuration should minimize the flux of scattered and reflected excitation light to the photodetector 14. The optical filters to block out scattered and reflected excitation light are varied as the excitation wavelength is varied. d) The photodetector 14 should have a fairly low noise level and a good spectral response and quantum efficiency throughout the range of the emission of the dyes (500 to 600 nm for the dyes listed above). e) The optical system for collection of the emitted fluorescence should have a high numerical aperture. This maximizes the fluorescence signal. Furthermore, the depth of field of the collection optics should include the entire 45 width of the column matrix.

Two illustrative fluorescence detection systems are diagrammed in FIGS. 6 and 7. The system in FIG. 6 is compatible with either single wavelength excitation or multi wavelength excitation. For single wavelength excitation, the filter 50 F4 is one of four band pass filters centered at the peak emission wavelength of each of the dyes. This filter is switched every few seconds to allow continual monitoring of each of the four fluorophores. For multi wavelength excitation, the optical elements F3 (excitation filter), DM (dichroic mirror), 55 and F4 (barrier filter) are switched together. In this manner both the excitation light and the observed emission light are varied. The system in FIG. 7 is a good arrangement for the case of single wavelength excitation. This system has the advantage that no moving parts are required, and fluorescence from all four of the dyes may be simultaneously and continuously monitored. A third approach (iii above) to detection is to elute the labeled molecules at the bottom of the gel, combine them with an agent for excitation of chemiluminescence such as 1,2 dioxetane dione, Gill, S. K. Aldrichimica Acta 16(3), 59-61 (1983); Mellbin, G. J. Liq. Chrom. 6(9), 1603-1616 65 (1983), and flow the mixture directly into a detector which can measure the emitted light at four separate wavelengths.

The background signal in chemiluminescence is much lower than in fluorescence, resulting in higher signal to noise ratios and increased sensitivity. Finally, the measurement may be made by measurements of light absorption (iv above). In this case, a light beam of variable wavelength is passed through the gel, and the decrease in the beam intensity due to absorption of light at the different wavelengths corresponding to the absorption maximum of the four dyes, it is possible to determine which dye molecule is in the light path. As disadvantage of this type of measurement is that absorption measurements are inherently less sensitive than fluorescence measurements.

The above-described detection system is interfaced to a computer 16. In each time interval examined, the computer 16 receives a signal proportional to the measured signal intensity at that time for each of the four colored tags. This information tells which nucleotide terminates the DNA fragment of the particular length in the observation window at that time. The temporal sequence of colored bands gives the DNA sequence. In FIG. 3 is shown the type of data obtained by conventional methods, as well as the type of data obtained by the improvements described in this invention.

The following Examples are presented solely to illustrate the invention. In the Examples, parts and percentages are by weight unless otherwise indicated.

EXAMPLE I

Gel electrophoresis. Aliquots of the sequencing reactions are combined and loaded onto a 5% polyacrylamide column 10 shown in FIG. 2 from the upper reservoir 12. The relative amounts of the four different reactions in the mixture are empirically adjusted to give approximately the same fluorescence or absorptive signal intensity from each of the dye DNA conjugates. This permits compensation for differences in dye extinction coefficients, dye fluorescence quantum yields, detector sensitivities and so on. A high voltage is placed across the column 10 so as to electrophorese the labeled DNA fragments through the gel. The labeled DNA segments differing in length by a single nucleotide are separated by electrophoresis in this gel matrix. At or near the bottom of the gel column 10, the bands of DNA are resolved from one another and pass through the detector 14 (more fully described above). The detector 14 detects the fluorescent or chromophoric bands of DNA in the gel and determines their color, and therefore to which nucleotide they correspond. This information yields the DNA sequence.

EXAMPLE II

FIG. 4 shows a block diagram of a DNA sequenator for use with one dye at a time. The beam (4880A) from an argon ion laser 100 is passed into the polyacrylamide gel tube (sample) 102 by means of a beamsteerer 104. Fluorescence exited by the beam is collected using a low f-number lens 106, passed through an appropriate set of optical filters 108 and 110 to eliminate scattered excitation light and detected using a photomultiplier tube (PMT) 112. The signal is readily detected on a strip chart recorder. DNA sequencing reactions are carried out utilzing a fluorescein labeled oligonucletide primer. The peaks on the chart correspond to fragments to fluorescein labeled DNA of varying lengths synthesized in the sequencing reactions and separated in the gel tube by electrophoresis. Each peak contains on the order of 10^{-15} to 10^{-16} moles of fluorescein, which is approximately equal to the amount of DNA obtained per band in an equivalent sequencing gel utilizing radioisotope detection. This proves that the fluorescent tag is not removed or degraded from the oligonucleotide primer in the sequencing reactions. It also demonstrates that the detection sensitivity is quite adequate to perform DNA sequence analysis by this means.

Fluorescein-5-isothiocyanate (FITC) and Texas Red were obtained from Molecular Probes, Inc. (Junction City, Oreg.). tetramethyl rhodamine isothiocyanate (TMRITC) was obtained from Research Organics, Inc. (Cleveland, Ohio.). 4-fluoro-7-nitro-benzofurazan (NBD-fluoride) was obtained from Sigma Chemical Co. (St. Louis, Mo.). Absorption spectra were obtained on a H/P 8491 spectrophotometer. High performance liquid chromatography was performed on a system composed of two Altex 110A pumps, a dual chamber 10 gradient mixer, Rheodyne injector, Kratos 757 UV detector, and an Axxiom 710 controller.

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EXAMPLE III

Addition of 5'-aminothymidine phosphoramidites to oligonucleotides.

The protected 5'-aminothymidine phosphoramidites, 5'-(N-9-fluorenylmethyloxycarbonyl)-5'-amino-5'-deoxy-3'-N, N-diisopropylaminomethoxyphosphinyl thymidine, is 20 coupled to the 5'-hydroxyl of an oligonucleotide using well established DNA synthetic procedures. The solvents and reaction conditions used are identical to those used in oligonucleotide synthesis.

EXAMPLE IV

Dye Conjugation

The basic procedure used for the attachment of fluorescent dye molecules to the amino oligonucleotides is to combine 30 the amino oligonucleotide and the dye in aqueous solution buffered to pH 9, to allow the reaction to stand at room temperature for several hours, and then to purify the product in two stages. The first purification step is to remove the bulk of the unreacted or hydrolyzed dye by gel filtration. The 35 second purification stage is to separate the dye conjugate from unreacted oligonucleotide by reverse phase high performance liquid chromatography. Slight variations upon these conditions are employed for the different dyes, and the specific procedures and conditions used for four particular dyes are 40 given below and in Table 1.

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Reverse Phase HPLC Conditions for Dye-oligonucleotide Purification				
Sample	Retention time			
PLP-15 ^a	18'			
PLP-15-T-NH ₂ ^b	18'			
FITC PLP-15°	27'	50		
NBD PLP-15	25'			
TMRITC PLP-15	32' and 34'			
Texas Red PLP-15	42'			

Retention limes shown are for HPLC gradients of 20% solvent B/80% solvent A to 60% solvent B/40% solvent A in 40 min., where solvent A is 0.1 M triethylammonium acetate pH 7.0 and solvent B is 50% acclonitrile, 50% 0.1 M triethylammonium acetate pH 7.0. The column was an Axxiom ODS 5 micron C 18 column #555-102 available from Cole Scien-tific, Calabasas, CA. This gradient is not optimized tor purification of PLP-15 and PLP-15-T-NH₂, but the retention times are included for comparison with the dye primer conjugates. "PLP-15 is an oligonucleotide primer for DNA sequence analysis in the M13 vectors. Its sequence is 5/CCC AGT CAC GAC FTT 3'. 55 sequence is 5'CCC AGT CAC GAC FIT 3'. PLP.15-TNLF is the oligonucleotide PLP-15 to which a 5'-amino-5'-deoxythymidine base has been added to=at the 5' terminus.

The nomenclature Dye PLP-15 signifies the conjugate of PLP-15-T-NH2 and the dye

molecule. Two fluorescent oligonucleotide products were obtained with TMRITC. Both were equally effective in sequencing. This is presumed to be due to the two isomers of TMRITC which are present in the commercially available material.

The following procedure is for use with fluorescein isothiocyanate or 4-fluoro-7-nitro-benzofurazan. Amino oligonucle-65 otide (0.1 ml of ~1 mg/ml oligonucleotide in water) is combined with 1 M sodium carbonate/bicarbonate buffer pH9(50

 μ l), 10 mg/ml dye in dimethylformamide (20 μ l) and H₂O (80 µl). This mixture is kept in the dark at room temperature for several hours. The mixture is applied to a 10 ml column of Sephadex G-25 (medium) and the colored band of material eluting in the excluded volume is collected. The column is equilibrated and run in water. In control reactions with underivatized oligonucleotides, very little if any dye is associated with the oligonucleotide eluting in the void volume. The colored material is further purified by reverse phase high performance liquid chromatography on an Axxiom C_{18} column (#555-102, Cole Scientific, Calabasas, Calif.) in a linear gradient of acetonitrile:0.1 M triethylammonium acetate, pH 7.0. It is convenient for this separation to run the column eluant through both a UV detector (for detecting the DNA 15 absorbance) and a fluorescence detector (for detecting the dye moiety). The desired product is a peak on the chromatogram which is both strongly UV absorbing and strongly fluorescent. The dye oligonucleotide conjugates elute at higher acetonitrile concentrations than the oligonucleotides alone, as shown in Table 1. The oligonucleotide is obtained from the high performance liquid chromatographyin solution in a mixture of acetonitrile and 0.1 M triethylammonium acetate buffer. This is removed by lyophilization and the resulting material is redissolved by vortexing in 10 mM sodium 25 hydroxzide (for a minimum amount of time) followed by neutralization with a five fold molar excess (to sodium hydroxide) of Tris buffer, pH 7.5.

The conjugation with Texas Red is identical to that described for fluorescein isothiocyanate and 4-fluoro-7-nitrobenzofurazan, except that:

- a) prior to separation on Sephadex G-25 the reaction is made 1 M in ammonium acetate and kept at room temperature for 30 minutes, and
- b) the Sephadex G-25 column is run in 0.1 M ammonium acetate. This largely eliminates nonspecific binding of the dye molecule to the oligonucleotide.

The conjugation with tetramethyl rhodamine isothiocyanate cyanate is identical to that for Texas Red except that the reaction-is carried out in 10 mM sodium carbonate/bicarbonate buffer, pH 9.0, and 50% dioxane. This increases solubility of the tetramethyl rhodamine and a much higher yield of dye oligonucleotide conjugate is obtained.

In some cases, particularly with the rhodamine-like dyes, a substantial amount of nonspecific binding of dye was observed, as manifested by an inappropriately large dye absorption present in the material eluted from the gel filtration column. In these cases the material was concentrated and reapplied to a second gel filtration column prior to high performance liquid chromatography purification. This generally removed the majority of the noncovalently associated dye.

EXAMPLE V

Properties of Dye-Oligonucleotide Conjugates

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The development of chemistry for the synthesis of dye oligonucleotide conjugates allows their use as primers in DNA sequence analysis. Various fluorescent dye primers have been tested by substituting them for the normal primer in DNA sequence analysis by the enzymatic method. An autoradiogram of a DNA sequencing gel in which these dyeconjugated primers were utilized in T reactions in place of the normal oligonucleotide primer was prepared. This autoradiogram was obtained by conventional methods employing α -³²P-dCTP as a radiolabel. The autoradiogram showed that the underivitized primer, amino-derivitized primer, and dye conjugated primers all give the same pattern of bands (corresponding to the DNA sequence), indicating that the deriv-

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itized primers retain their ability to hybridize specifically to the complementary strand. Secondly, the bands generated using the different primers differ in their mobilities, showing that it is indeed the dye-primers which are responsible for the observed pattern, and not a contaminant of unreacted or 5 underivitized oligonucleotide. Thirdly, the intensity of the bands obtained with the different primers is comparable, indicating that the strength of hybridization is not significantly perturbed by the presence of the dye molecules.

The separations are again carried out in an acrylamide gel 10 column. The beam from an argon ion laser is passed into the polyacrylamide gel tube (sample) by means of a beamsteerer. Fluorescence exited by the beam is collected using a low f-number lens, passed through an appropriate set of optical filters to eliminate scattered excitation light and detected 15 otide primer of the duplex of claim 1 by a polymerase to using a photomultiplier tube (PMT). The signal is monitored on a strip chart recorder. DNA sequencing reactions have been carried out utilizing each of the four different dye coupled oligonucleotide primers. In each case a series of peaks are observed on the chart paper. The peaks correspond 20 nucleotide through an amine linkage.] to fragments of dye labeled DNA of varying lengths synthesized in the sequencing reactions and separated in the gel tube by electrophoresis. Each peak contains of the order of 10⁻¹⁴ to 10^{-16} moles of dye, which is approximately equal to the amount of DNA obtained per band in an equivalent sequenc- 25 ing gel utilizing radioisotope detection. This proves that the fluorescent tag is not removed or degraded from the oligonucleotide primer in the sequencing reactions. It also demonstrates that the detection sensitivity is quite adequate to perform DNA sequence analysis by this means, and that 30 adequate resolution of the DNA fragments is obtained in a tube gel system.

Having fully described the invention it is intended that it be limited only by the lawful scope of the appended claims.

What is claimed is:

[1. A duplex comprising an oligonucleotide primer and a template, wherein the primer is covalently coupled to a chromophore or fluorophore so as to allow chain extension by a polymerase.]

[2. A duplex comprising an extended oligonucleotide primer and a template, produced by providing a duplex according to claim 1 and extending the oligonucleotide primer with a polymerase.]

3. A single-stranded labeled polynucleotide produced by 45 separating the extended oligonucleotide primer from the duplex of claim 2.]

[4. A set of duplexes comprising two or more of the duplexes of claim 1.]

[5. A set of duplexes comprising two or more of the 50 duplexes of claim 2.]

[6. A set of polynucleotides comprising two or more singlestranded labeled polynucleotides of claim 3.

7. A set of reagents comprising oligonucleotide primers covalently coupled to one or more chromophores or fluoro- 55 phores so as to allow chain extension by a polymerase, and a polymerase.

8. A single-stranded labeled polynucleotide comprising a first portion and a second portion,

- wherein the first portion comprises an oligonucleotide 60 primer covalently coupled to a chromophore or fluorophore; and
- wherein the second portion is produced by extension of the first portion along a complementary template.]

[9. The polynucleotide of claim 8, wherein the chro- 65 mophore or fluorophore is covalently coupled to the first portion through an amine linkage.]

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[10. The polynucleotide of claim 8, wherein the chromophore or fluorophore is covalently coupled to the first portion at its 5' end.]

[11. The duplex of claim 1, prepared by a method comprising hybridizing an oligonucleotide primer to a template, wherein the primer is covalently coupled to a chromophore or fluorophore so as to allow chain extension by a polymerase.]

[12. The duplex of claim 11, wherein the chromophore or fluorophore is covalently coupled to the primer through an amine linkage.]

[13. The duplex of claim 11, wherein the chromophore or fluorophore is covalently coupled to the primer at its 5' end.

[14. A single-stranded labeled polynucleotide produced by the method comprising the steps of extending the oligonucleproduce a labeled polynucleotide and separating the labeled polynucleotide from the template.]

[15. The polynucleotide of claim 14, wherein the chromophore or fluorophore is covalently coupled to the oligo-

[16. The polynucleotide of claim 14, wherein the chromophore or fluorophore is covalently coupled to the oligonucleotide at its 5' end.]

[17. A chain termination DNA sequencing method comprising extending the primer of the duplex of claim 1 by a polymerase to produce a labeled polynucleotide, and separating the labeled polynucleotide from the template.]

[18. A chain termination DNA sequencing method comprising extending the primers of the set of duplexes of claim 4 by a polymerase to produce a set of labeled polynucleotides.]

[19. The chain termination DNA sequencing method of claim 18, wherein the set of duplexes comprises four DNA sequencing reactions, wherein each labeled polynucleotide is distinguishable by spectral characteristics of the chromophore or fluorophore covalently coupled thereto.]

[20. The oligonucleotide primer of claim 1, wherein the

primer is DNA. [21. The oligonucleotide primer of claim 1 wherein the 40 chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[22. The duplex of either of claim 1 or 2, wherein the chromophore or fluorophore is detectable by exposure to a laser.]

[23. The set of duplexes of either of claim 4 or 5, wherein the primers are DNA.]

[24. The set of duplexes of either of claim 4 or 5, wherein the chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[25. The set of duplexes of either of claim 4 or 5, wherein the chromophore or fluorophore is detectable by exposure to a laser.]

[26. The set of reagents of claim 7, wherein the primers are DNA.]

[27. The set of reagents of claim 7, wherein the chromophore or fluorophore is detectable by exposure to a highintensity monochromatic light source.]

[28. The set of reagents of claim 7, wherein the chromophore or fluorophore is detectable by exposure to a laser.]

[29. The polynucleotide of any of claims 14 to 16, wherein the primer is DNA.

[30. The polynucleotide of any of claims 14 to 16, wherein the chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[31. The polynucleotide of any of claims 14 to 16, wherein the chromophore or fluorophore is detectable by exposure to a laser.

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[32. The duplex of any of claims 11 to 13, wherein the primer is DNA.]

[33. The duplex of any of claims 11 to 13, wherein the chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[34. The duplex of any of claims 11 to 13, wherein the chromophore or fluorophore is detectable by exposure to a laser.]

[35. The duplex of either of claim 1 or 2, wherein the chromophore or fluorophore is covalently coupled to the 10 primer through an amine linkage.]

[36. The set of duplexes of either of claim 4 or 5, wherein the chromophore or fluorophore is covalently coupled to the primer through an amine linkage.]

[37. The set of reagents of claim 7, wherein the chro- 15 mophore or fluorophore is covalently coupled to the primer through an amine linkage.]

[38. The duplex of either of claim 1 or 2, wherein the chromophore or fluorophore is covalently coupled to the primer at its 5' end.]

[39. The set of duplexes of either of claim 4 or 5, wherein the chromophore or fluorophore is covalently coupled to the primer at its 5' end.]

[40. The set of reagents of claim 7, wherein the chromophore or fluorophore is covalently coupled to the primer at 25 labeled extension product, wherein the duplex comprises the its 5' end.]

[41. The polynucleotide of claim 3, wherein the chromophore or fluorophore is covalently coupled to the primer through an amine linkage.]

[42. The polynucleotide of claim 3, wherein the chro- 30 mophore or fluorophore is covalently coupled to the primer at its 5' end.

[43. The polynucleotide of claim 3, wherein the chromophore or fluorophore is detectable by exposure to a laser.]

[44. The set of polynucleotides of claim 6, wherein the 35 primers are DNA.]

[45. The set of polynucleotides of claim 6, wherein the chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[46. The set of polynucleotides of claim 6, wherein the 40 chromophore or fluorophore is detectable by exposure to a laser.]

[47. The set of polynucleotides of claim 6, wherein the chromophore or fluorophore is covalently coupled to the primer through an amine linkage.]

[48. The set of polynucleotides of claim 6, wherein the chromophore or fluorophore is covalently coupled to the primer at its 5' end.

[49. A duplex comprising an oligonucleotide primer and a template, wherein the primer hybridizes to a specific region of 50 the template and wherein the primer is covalently coupled to a chromophore or fluorophore so as to allow chain extension by a polymerase.]

50. A plurality of identical oligonucleotide primers of defined length and base sequences wherein each primer is 55 covalently coupled to a fluorophore or chromophore so as to allow chain extension by a polymerase.]

[51. The plurality of claim 50 wherein said primers have a free 3' hydroxyl group.]

[52. The plurality of claim 51 wherein the chromophore or 60 fluorophore is covalently coupled to the primer at its 5' end.]

[53. The plurality of claim 50 wherein said primers are coupled to said fluorophore or chromophore by an amine linkage.]

[54. A composition comprising the plurality of claim 50.] 65 [55. The composition of claim 54 further comprising a buffer.]

[56. A set of reagents comprising the plurality of claim 50 and a polymerase.]

[57. A set of reagents comprising two or more pluralities of oligonucleotide primers of claim SO wherein each plurality has a different emission spectra.]

[58. A plurality of single-stranded labeled polynucleotides produced by the method comprising the steps of hybridizing the plurality of oligonucleotide primers of claim 50 to a template thereby forming a plurality of duplexes; extending the primers of said duplexes by a polymerase thereby forming labeled polynucleotides; and separating said labeled polynucleotides from said duplexes.]

[59. A set of single stranded labeled polynucleotides comprising two or more pluralities of polynucleotides of claim 58, wherein each plurality has a different emission spectra.]

[60. The plurality of claim 50 wherein the chromophore or fluorophore is detectable by exposure to a high-intensity monochromatic light source.]

[61. The plurality of claim 50 wherein the chromophore or fluorophore is detectable by exposure to a laser.]

62. A method of nucleic acid sequence analysis, comprising extending an oligonucleotide along a complementary strand of DNA of a duplex by a polymerase to produce a oligonucleotide specifically hybridized to the complementary strand of DNA, and wherein the oligonucleotide is covalently coupled to a fluorophore so as to allow chain extension by the polymerase.

63. The method of claim 62, further comprising separating said labeled extension product from said duplex.

64. A DNA sequencing method, comprising

extending oligonucleotides of a set of duplexes along hybridized complementary strands of DNA by a polymerase to produce a set of labeled extension products, wherein the set of labeled extension products comprises two or more extension products, wherein an extension product comprises an extended oligonucleotide specifically hybridized to a complementary strand of DNA,

thereby producing four sets of labeled extension products, wherein the extension products of each set are distinguishably labeled with a different type of fluorophore from the extension products of the other sets.

65. The method of claim 64 or claim 62, wherein the fluorophore is covalently coupled to the oligonucleotide through an amine linkage.

66. A mixture comprising a polymerase and a duplex, wherein the duplex comprises an oligonucleotide specifically hybridized to a complementary strand of DNA, wherein the oligonucleotide is covalently coupled to a fluorophore so as to allow chain extension by the polymerase.

67. A composition comprising four sets of oligonucleotides, wherein oligonucleotides of each of the four sets are distinguishably labeled with a different type of fluorophore from the oligonucleotides of the other three sets.

68. The method of claim 64, wherein the extension products comprise a terminal nucleotide having any one of four different types of terminal base components, wherein substantially all molecules of the same set of labeled extension products have the same type of terminal base component, and substantially all molecules of different sets of labeled extension products have different types of terminal base components.

69. The composition of claim 67, wherein the oligonucleotides comprise a terminal nucleotide having any one of four different types of terminal base components, wherein substantially all oligonucleotide molecules of the same set have the

same type of terminal base component, and substantially all oligonucleotide molecules of different sets have different types of terminal base components.

70. The method of claim 62, wherein substantially all molecules of the labeled extension product individually comprise 5a single fluorescent nucleotide.

71. The method of claim 64, wherein substantially all molecules of the labeled extension products individually comprise a single fluorescent nucleotide.

72. The mixture of claim 66, wherein substantially all oligonucleotide molecules individually comprise a single fluorescent nucleotide.

73. The composition of claim 67, wherein substantially all oligonucleotide molecules of each set individually comprise a single fluorescent nucleotide.

74. The method of claim 62, wherein substantially all molecules of the labeled extension product are individually coupled to a fluorophore by a single covalent linkage.

75. The method of claim 64, wherein substantially all mol- 20 ecules of the labeled extension products are individually coupled to a fluorophore by a single covalent linkage.

76. The mixture of claim 66, wherein substantially all oligonucleotide molecules are individually coupled to a fluorophore by a single covalent linkage.

77. The composition of claim 67, wherein substantially all oligonucleotide molecules of each set are individually coupled to a fluorophore by a single covalent linkage.

78. The method of claim 68, wherein substantially all molecules of the labeled extension products individually com- 30 prise a single fluorescent nucleotide.

79. The composition of claim 69, wherein substantially all oligonucleotide molecules of each set individually comprise a single fluorescent nucleotide.

ecules of the labeled extension product individually are terminally labeled with a fluorophore.

81. The method of claim 75, wherein substantially all molecules of the labeled extension products individually are terminally labeled with a fluorophore.

82. The mixture of claim 76, wherein substantially all oligomucleotide molecules individually are terminally labeled with a fluorophore.

83. The composition of claim 77, wherein substantially all oligonucleotide molecules of each set individually are termi- 45 nally labeled with a fluorophore.

84. The method of claim 68, wherein substantially all molecules of the labeled extension products individually are terminally labeled with a fluorophore.

85. The composition of claim 69, wherein substantially all 50 oligonucleotide molecules of each set individually are terminally labeled with a fluorophore.

86. The method of claim 70, wherein substantially all molecules of the labeled extension product individually are terminally labeled with a fluorophore.

87. The method of claim 71, wherein substantially all molecules of the labeled extension products individually are terminally labeled with a fluorophore.

88. The mixture of claim 72, wherein substantially all oligonucleotide molecules individually are terminally labeled 60 with a fluorophore.

89. The composition of claim 73, wherein substantially all oligonucleotide molecules of each set individually are terminally labeled with a fluorophore.

90. The method of claim 78, wherein substantially all mol- 65 ecules of the labeled extension products individually are terminally labeled with a fluorophore.

91. The composition of claim 79, wherein substantially all oligonucleotide molecules of each set individually are terminally labeled with a fluorophore.

92. The method of claim 74, wherein substantially all molecules of the labeled extension product individually comprise a 5' terminal fluorescent nucleotide.

93. The method of claim 75, wherein substantially all molecules of the labeled extension products individually comprise a 5' terminal fluorescent nucleotide.

94. The mixture of claim 76, wherein substantially all oli-10 gonucleotide molecules individually comprise a 5' terminal fluorescent nucleotide.

95. The composition of claim 77, wherein substantially all oligonucleotide molecules of each set individually comprise a 15 5' terminal fluorescent nucleotide.

96. The method of claim 84, wherein substantially all molecules of the labeled extension products individually comprise a 5' terminal fluorescent nucleotide.

97. The composition of claim 85, wherein substantially all oligonucleotide molecules of each set individually comprise a 5' terminal fluorescent nucleotide.

98. The method of claim 86, wherein substantially all molecules of the labeled extension product individually comprise a 5' terminal fluorescent nucleotide.

25 99. The method of claim 87, wherein substantially all molecules of the labeled extension products individually comprise a 5' terminal fluorescent nucleotide.

100. The mixture of claim 88, wherein substantially all oligonucleotide molecules individually comprise a 5' terminal fluorescent nucleotide.

101. The composition of claim 89, wherein substantially all oligonucleotide molecules of each set individually comprise a 5' terminal fluorescent nucleotide.

102. The method of claim 90, wherein substantially all 80. The method of claim 74, wherein substantially all mol-35 molecules of the labeled extension products individually comprise a 5' terminal fluorescent nucleotide.

> 103. The composition of claim 91, wherein substantially all oligonucleotide molecules of each set individually comprise a 5' terminal fluorescent nucleotide.

40 104. The composition of claim 69, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal fluorescent nucleotide.

105. The composition of claim 73, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal fluorescent nucleotide.

106. The composition of claim 79, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal fluorescent nucleotide.

107. The method of claim 68, wherein substantially all molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

108. The composition of claim 69, wherein substantially all 55 oligonucleotide molecules of each set individually (i) are specifically hybridized to a complementary strand of DNA, and (ii) comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

109. The method of claim 71, wherein substantially all molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

110. The composition of claim 73, wherein substantially all oligonucleotide molecules of each set individually (i) are specifically hybridized to a complementary strand of DNA,

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and (ii) comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

111. The method of claim 75, wherein substantially all molecules of the labeled extension products individually com- 5 prise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

112. The composition of claim 77, wherein substantially all oligonucleotide molecules of each set individually (i) are specifically hybridized to a complementary strand of DNA, and (ii) comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

113. The composition of claim 79, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide in a complementary strand of DNA.

114. The method of claim 81, wherein substantially all 20 molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

115. The composition of claim 83, wherein substantially all 25 oligonucleotide molecules of each set individually (i) are specifically hybridized to a complementary strand of DNA, and (ii) comprise a 3' terminal nucleotide that is complementary to a corresponding nucleotide on the complementary strand of DNA.

116. The method of claim 68, wherein substantially all molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

117. The composition of claim 69, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

118. The method of claim 70, wherein substantially all $_{40}$ molecules of the labeled extension product individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

119. The method of claim 71, wherein substantially all molecules of the labeled extension products individually com- 45 prise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

120. The composition of claim 73, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal nucleotide that is adapted to terminate poly- 50 merase extension.

121. The method of claim 74, wherein substantially all molecules of the labeled extension product individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

122. The method of claim 75, wherein substantially all molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

123. The composition of claim 77, wherein substantially all 60 oligonucleotide molecules of each set individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

124. The method of claim 78, wherein substantially all molecules of the labeled extension products individually com- 65 prise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

125. The composition of claim 79, wherein substantially all oligonucleotide molecules of each set individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

126. The method of claim 80, wherein substantially all molecules of the labeled extension product individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

127. The method of claim 81, wherein substantially all 10 molecules of the labeled extension products individually comprise a 3' terminal nucleotide that is adapted to terminate polymerase extension.

128. The composition of claim 83, wherein substantially all oligonucleotide molecules of each set individually comprise a 15 3' terminal nucleotide that is adapted to terminate polymerase extension.

129. The composition of claim 69, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

130. The composition of claim 73, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

131. The composition of claim 77, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

132. The composition of claim 79, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

133. The composition of claim 83, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

134. The composition of claim 85, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

135. The composition of claim 89, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

136. The composition of claim 91, further comprising a polymerase or nucleotides adapted to terminate polymerase extension.

137. The method of claim 68, wherein the four different types of terminal base components are adenosine, guanosine, thymidine and cytosine.

138. The composition of claim 69, wherein the four different types of terminal base components are adenosine, guanosine, thymidine and cytosine.

139. The method of claim 81, wherein the oligonucleotides are fluorescently labeled before being extended.

140. The method of claim 84, wherein the oligonucleotides are fluorescently labeled before being extended.

141. The method of claim 87, wherein the oligonucleotides are fluorescently labeled before being extended.

142. The method of claim 90, wherein the oligonucleotides 55 are fluorescently labeled before being extended.

143. A method of nucleic acid sequence analysis, comprising producing the composition of claim 69, and detecting the type of fluorophore on oligonucleotides of the composition.

144. A method of nucleic acid sequence analysis, comprising producing the composition of claim 73, and detecting the type of fluorophore on oligonucleotides of the composition.

145. A method of nucleic acid sequence analysis, comprising producing the composition of claim 77, and detecting the type of fluorophore on oligonucleotides of the composition.

146. A method of nucleic acid sequence analysis, comprising producing the composition of claim 83, and detecting the type of fluorophore on oligonucleotides of the composition.

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147. A method of nucleic acid sequence analysis, comprising producing the composition of claim 85, and detecting the type of fluorophore on oligonucleotides of the composition.

148. A method of nucleic acid sequence analysis, comprising producing the composition of claim 104, and detecting the $^{-5}$ type of fluorophore on oligonucleotides of the composition.

149. A method of nucleic acid sequence analysis, comprising producing the composition of claim 105, and detecting the type of fluorophore on oligonucleotides of the composition.

150. A method of nucleic acid sequence analysis, comprising producing the composition of claim 108, and detecting the type of fluorophore on oligonucleotides of the composition.

151. A method of nucleic acid sequence analysis, comprising producing the composition of claim 117, and detecting the type of fluorophore on oligonucleotides of the composition.

152. The method of claim 68, wherein the oligonucleotides are fluorescently labeled before being extended.

153. The method of claim 71, wherein the oligonucleotides are fluorescently labeled before being extended.

154. The method of claim 75, wherein the oligonucleotides are fluorescently labeled before being extended.

155. The method of claim 78, wherein the oligonucleotides are fluorescently labeled before being extended.

156. The method of claim 93, wherein the oligonucleotides are fluorescently labeled before being extended. 10

157. The method of claim 107, wherein the oligonucleotides are fluorescently labeled before being extended.

158. The method of claim 116, wherein the oligonucleotides are fluorescently labeled before being extended.

UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY

This case has been assigned to District Judge John Kronstadt and the assigned discovery Magistrate Judge is Charles Eick.

The case number on all documents filed with the Court should read as follows:

CV12- 9879 JAK (Ex)

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

NOTICE TO COUNSEL

A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).

Subsequent documents must be filed at the following location:

Western Division 312 N. Spring St., Rm. G-8 Los Angeles, CA 90012

Southern Division 411 West Fourth St., Rm. 1-053 Santa Ana, CA 92701-4516



Eastern Division 3470 Twelfth St., Rm. 134 Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

Case 2:12-cv-09879-JAK-E Document 1 Fil Quinn Emanuel Urquhart & Sullivan LLP Brian Cannon (Bar No. 193071) briancannon@quinnemanuel.com 555 Twin Dolphin Drive, 5th Floor Redwood Shores, California 94065 Tel: (650) 801-5000 Fax: (650) 801-5100	ed 11/19/12	Page 36 of 38	Page ID #:41
UNITED STATES I CENTRAL DISTRIC	DISTRICT CO T OF CALIF	OURT ORNIA	
LIFE TECHNOLOGIES CORPORATION, a	CASE NUMBER		
Delaware corporation and the CALIFORNIA			
INSTITUTE OF TECHNOLOGY, a California			
corporation, PLAINTIFF(S) V.	CV1	2 9879	WEAK /EN
PROMEGA CORPORATION, a Wisconsin			
corporation,			
		SUMMON	(S
DEFENDANT(S).			

TO: DEFENDANT(S):

A lawsuit has been filed against you.

NOV **19 201**2

Within <u>21</u> days after service of this summons on you (not counting the day you received it), you must serve on the plaintiff an answer to the attached \square complaint \square ______ amended complaint \square counterclaim \square cross-claim or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff's attorney, <u>Brian C. Cannon</u>, whose address is 555 Twin Dolphin Drive, 5th Floor, Redwood Shores CA 94065 ______. If you fail to do so, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Clerk, U.S. District Court	×.
Distance Count	r. M
By: MARILYN DANS	
Deputy Letk	K
(Seal of the Country of the	<u>k</u>

[Use 60 days if the defendant is the United States or a United States agency, or is an officer or employee of the United States. Allowed 60 days by Rule 12(a)(3)].

Dated:

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I (a) PLAINTIFFS (Check bo LIFE TECHNOLOGIES CALIFORNIA INSTITU	x if you CORPO JTE OF	are representing yourself I DRATION, a Delaware co TECHNOLOGY, a Califo	□) rporatio ornia coi	n and the poration	EFEND PROM	ANTS EGA CORPORA	TION, a '	Wisconsin corpora	tion		
(b) Attorneys (Firm Name, A yourself, provide same.) Quinn Emanuel Urquhart 555 Twin Dolphin Drive,	ddress an & Sulli 5th Floo	nd Telephone Number. If y van LLP or	you are	representing A	ttorneys	(If Known)					
Redwood Shores CA 940	65 T	el: (650) 801-5000 Fax	c: (650)	801-5100							
II. BASIS OF JURISDICTIO	N (Plac	e an X in one box only.)		III. CITIZENSE	IP OF P	RINCIPAL PA	RTIES -	For Diversity Case	s Only		
				(Place an X i	n one bo	x for plaintiff and	l one for d	lefendant.)			
□ 1 U.S. Government Plaintiff	x 3	Federal Question (U.S. Government Not a Party)	Citizen of This St	ite	P'	ГF DEF 1 □1	Incorporated or of Business in the	Principal Place	PTF □4	DEF □4
2 U.S. Government Defendat	nt □4	Diversity (Indicate Citiz of Parties in Item III)	enship	Citizen of Anothe	r State		2 🗆 2	Incorporated and of Business in A	d Principal Place nother State	□5	□ 5
				Citizen or Subject	of a Fore	eign Country 🛛	3 🗆 3	Foreign Nation			□6
IV. ORIGIN (Place an X in or	ne box o	nly.)				*******	Gennel di sel son que que a sumo				
I Original □ 2 Remov Proceeding State C	ed from ourt	□ 3 Remanded from Appellate Court	□4 Re Re	einstated or 🛛 5 copened	Fransferr	ed from another	district (sp	becify): □6 Mul Dist Litig	ti- □7 App rict Judy gation Mag	eal to I ge from gistrate	District Judge
CLASS ACTION under F.R.(VI. CAUSE OF ACTION (Ci (I) Patent Infringement of VII. NATURE OF SUIT (Pla OTHER STATUTES 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce/ICC Rates/etc. 460 Deportation 470 Racketeer Influenced and Corrupt Organizations 480 Consumer Credit 490 Cable/Sat TV 810 Selective Service 850 Securities/Commodities, Exchange 875 Customer Challenge 12 USC 3410 890 Other Statutory Actions 891 Agricultural Act 892 Economic Stabilization Act 893 Environmental Matters 894 Energy Allocation Act 990 Appeal of Fee Determination Under Equal	2.P. 23: te the U. U.S. Pai ce an X □ 110 □ 120 □ 130 □ 140 □ 150 □ 151 □ 152 □ 153 □ 160 □ 190 □ 210 □ 220 □ 220 □ 240	Yes Yes No S. Civil Statute under whitent No. RE43,096 under 3 in one box only.) CONTRACT Insurance Marine Miller Act Negotiable Instrument Recovery of Overpayment & Enforcement of Judgment Medicare Act Recovery of Defaulted Student Loan (Excl. Veterans) Recovery of Overpayment of Veteran's Benefits Stockholders' Suits Other Contract Contract Product Liability Franchise REAL PROPERTY Land Condemnation Foreclosure Rent Lease & Ejectment Torts to Land	ch you a 35 U.S.C PEF 310 315 320 330 340 345 345 360 362 362 365 368 PEF 462	TORTS TORTS SONAL INJURY Airplane Airplane Product Liability Assault, Libel & Slander Fed. Employers' Liability Marine Marine Product Liability Motor Vehicle Product Liability Motor Vehicle Product Liability Other Personal Injury Personal Injury- Product Liability Asbestos Personal Injury Product Liability MMIGRATION Naturalization Application	a brief st a brie	TORTS PERSONAL PROPERTY Other Fraud Truth in Lendir Other Personal Property Dama Property Dama Property Dama Product Liabili ANKRUPTCY Appeal 28 USC 158 Withdrawal 28 USC 157 IVIL RIGHTS Voting Employment Housing/Acco- mmodations Welfare American with Disabilities - Employment American with Disabilities - Other	. Do not c . Do not c . Do not c 	PRISONER PETITIONS Motions to Vacate Sentence Habeas Corpus General Death Penalty Mandamus/ Other Civil Rights Prison Condition DREEITURE / PENALTY Agriculture Other Food & Drug Drug Related Seizure of Property 21 USC 881 Liquor Laws R.R. & Truck Airline Regs Occupational Safety /Health Other	LAB 710 Fair La Act 720 Labor/I Relatio 720 Labor/I Relatio 730 Labor/I Reporti Disclos 740 Railwa; 790 Other L Litigati 791 Empl. F Securit: PROPERT 820 Copyrig 840 Tradem SOCIAL SI 840 Tradem SOCIAL SI 861 HIA (1: 862 Black L 863 DIWC/ (405(g)) 864 SSID T 865 RSI (40 FEDERAL T 870 Taxes 0	OR bor Stan Agmt. ns Agmt. ng & ure Act y Labor an & Labor on & Labor on & Ct. Inc. y Act & RIGH ghts ark (CURI 395ff) ung (92 DIWW) itle XV 5(g)) U.S. Pla	ndards Act TS IY 23) I
Access to Justice 950 Constitutionality of State Statutes	□ 240 □ 245 □ 290	Tort Product Liability All Other Real Property	□ 463 □ 465	Habeas Corpus- Alien Detainee Other Immigration Actions	□ 440	Other Civil Rights	090	Office	or Defe 871 IRS-Thi USC 76	ndant) rd Party 09	y 26

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AFTER COMPLETING THE FRONT SIDE OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED BELOW.

FOR OFFICE USE ONLY: Case Number:

Case 2:12-cvh09879stAkes Disoricited ourt, Forentral Sistricager 38a0/166rnPage ID #:43 civil cover sheet

VIII(a). IDENTICAL CASES: Has this action been previously filed in this court and dismissed, remanded or closed? Vio Ves

VIII(b). RELATED CASES: Have any cases been previously filed in this court that are related to the present case? \mathbf{M} No \Box Yes If yes, list case number(s):

Civil cases are deemed related if a previously filed case and the present case:

(Check all boxes that apply) $\Box A$. Arise from the same or closely related transactions, happenings, or events; or

B. Call for determination of the same or substantially related or similar questions of law and fact; or

- \Box C. For other reasons would entail substantial duplication of labor if heard by different judges; or
- D. Involve the same patent, trademark or copyright, and one of the factors identified above in a, b or c also is present.

IX. VENUE: (When completing the following information, use an additional sheet if necessary.)

(a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named plaintiff resides.
 Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

County in this District;*	California County outside of this District; State, if other than California; or Foreign Country
Los Angeles County - the California Institute of Technology	San Diego County - Life Technologies Corporation

(b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH named defendant resides.
 Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
San Luis Obispo County - Promega Corporation	

(c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which EACH claim arose.

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
Los Angeles County - Claim I	

* Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties Note: In land condemnation cases, use the location of the tract of land involved

X. SIGNATURE OF ATTORNEY (OR PRO PER):

Notice to Counsel/Parties: The CV-71 (JS-44) Civil Count Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet.)

Date

Key to Statistical codes relating to Social Security Cases:

Nature of Suit Code	Abbreviation	Substantive Statement of Cause of Action
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405(g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405(g))
864	SSID	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. (g))